Surprises (!) in Specht polynomial theory

Maria Gillespie, Colorado State University Includes joint work with Raymond Chou, Mitsuki Hanada, and Brendon Rhoades

ICERM workshop: Category theory, combinatorics, and machine learning

$$\begin{bmatrix} 1 \\ 0 & 1 \end{bmatrix}$$
 $\begin{bmatrix} 2 \\ 1 & 3 \end{bmatrix}$ $x_3(x_2 - x_1)$

Intro: S_n action on polynomial ring

Key player: symmetric group S_n of permutations of $1, \ldots, n$, acts on:

$$\mathbb{C}[x_1,\ldots,x_n]$$

by permuting the variables.

Q: What is the decomposition into irreducibles (S_n -invariant subspaces)?

- Specht polynomials give one copy of each irreducible up to isomorphism
- What are the higher degree Specht polynomial analogs?

• λ - partition/Young diagram, n boxes



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- Specht polynomial $F_T = \prod_{i \text{ above } j} (x_i x_j)$

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Symmetric group action

$$\pi \cdot \mathsf{F}_{\mathsf{T}} = \mathsf{F}_{\pi(\mathsf{T})}.$$

Column permutations:

$$(37) \cdot F_T = (37) \cdot (x_3 - x_7)(x_7 - x_2)(x_3 - x_2) \cdot \cdots$$
$$= (x_7 - x_3)(x_3 - x_2)(x_7 - x_2) \cdot \cdots$$
$$= -F_T$$

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Row permutations:

S_n action continued

• Garnir relations: Give straightening algorithm. Example:

$$F_{\frac{2}{31}} = -F_{\frac{3}{21}} = x_2 - x_3 = F_{\frac{2}{13}} - F_{\frac{3}{12}}$$

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• Basis of V_{λ} : $\{F_T : T \in SYT(\lambda)\}$

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$$F_{\frac{2}{3}1} = -F_{\frac{3}{2}1} = x_2 - x_3 = F_{\frac{2}{13}} - F_{\frac{3}{12}}$$

ullet SYT(λ) is **Standard Young Tableaux** - rows and columns sorted

- Basis of V_{λ} : $\{F_T : T \in SYT(\lambda)\}$
- How to generalize to higher degree copies of irreducibles in $\mathbb{C}[x_1,\ldots,x_n]$?

Alternate definition of Specht polynomials

• Young symmetrizer: C(T), R(T) column and row stabilizers,

$$\varepsilon_T = \sum_{\tau \in C(T)} \sum_{\sigma \in R(T)} \operatorname{sgn}(\tau) \tau \sigma$$

• Specht polynomials: Up to constant scalar, have

$$F_T = \varepsilon_T x_T^r$$

where $x_T^r = \prod_i x_i^{\text{row}_T(i)-1}$. Example:

$$\varepsilon_T x_T^r = 144(x_4 - x_2)(x_4 - x_1) \cdots$$

Recipe for constructing higher (degree) Specht polynomials:

 $oldsymbol{0}$ S any tableau, T an SYT of the same shape

$$T = \boxed{\frac{2}{1 \mid 3}} \qquad S = \boxed{\frac{1}{0 \mid 1}}$$

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- **3** Higher Specht polynomial: $F_T^S = \varepsilon_T x_T^S$

$$x_2x_3 + (13)x_2x_3 - (12)x_2x_3 - (12)(13)x_2x_3 = x_3(x_2 - x_1)$$

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Lemma (G.): Garnir relations satisfied by F_T^S for any fixed S.

Cor: $\{F_T^S: T \in SYT(\lambda)\}$ is a copy of V_{λ} for any fixed S...?

When the recipe breaks (!)

• *S*, *T*, form monomial:

$$T = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$
 $S = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ $x_T^S = x_1 x_2$

• Apply ε_T : $F_T^S = \varepsilon_T x_T^S = x_1 x_2 - (12)x_1 x_2 = 0$

When the recipe breaks (!)

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Q: When is F_T^S nonzero?

Nonzero examples: Higher Specht basis for coinvariant ring

A full construction for the polynomial ring:

• Ariki, Terasoma, Yamada: constructed nonvanishing higher Specht basis of n! elements for $R_n = \mathbb{C}[x_1, \dots, x_n]/(e_1, \dots, e_n)$

$$e_2 = x_1x_2 + x_1x_3 + x_2x_3 + \cdots + x_{n-1}x_n$$

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- **RSK:** n! pairs (T, P) of SYT's of same shape, size n
- Cocharge tableau S(P): label square containing 1 with 0, increment label if next letter is in a row above previous

$$T = \begin{array}{|c|c|c|} \hline 5 \\ \hline 2 & 4 & 7 \\ \hline 1 & 3 & 6 \\ \hline \end{array}$$

$$P = \begin{array}{|c|c|c|} \hline 7 \\ \hline 3 & 4 & 6 \\ \hline 1 & 2 & 5 \\ \hline \end{array}$$

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$$T = \begin{bmatrix} 5 \\ 2 & 4 & 7 \\ 1 & 3 & 6 \end{bmatrix} \qquad P = \begin{bmatrix} 7 \\ 3 & 4 & 6 \\ 1 & 2 & 5 \end{bmatrix} \qquad S(P) = \begin{bmatrix} 3 \\ 1 & 1 & 2 \\ 0 & 0 & 1 \end{bmatrix}$$

- Monomial $x_T^S = x_2 x_4 x_6 x_7^2 x_5^3$ Polynomial $F_T^P := \varepsilon_T \left(x_T^{S(P)} \right)$
- **Higher Specht basis:** $\{F_T^P\}$ where $T, P \in SYT(n)$ of same shape

- Much study of R_n , many bases including: (ex for R_3):
 - Artin basis: $\{1, x_2, x_3, x_2x_3, x_3^2, x_2x_3^2\}$
 - Garsia-Stanton: $\{1, x_2, x_3, x_2x_3, x_1x_3, x_2x_3^2\}$
 - Schubert basis: $\{1, x_1, x_1 + x_2, x_1^2, x_1x_2, x_1^2x_2\}$
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- Conjecture of Procesi: construction of a basis that respects the decomposition into irreducibles
- Allen basis: Slight modification of Procesi's:

$$\{6, 2x_2, 2x_3, x_3(x_1 + x_2), x_2(x_1 + x_3), x_2x_3^2\}$$

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• **Higher Specht (ATY)**: Respects decomposition and satisfies Garnir:

$$\{6,2(x_2-x_1),2(x_3-x_1),x_3(x_2-x_1),x_2(x_3-x_1),(x_3-x_2)(x_3-x_1)(x_2-x_1)\}$$

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• Many generalizations: R_{μ} , $R_{n,k}$, $R_{n,k,\mu}$, DR_n , DR_{μ} , and more

Generalization 1: to R_{μ}

Garsia-Procesi Modules: a generalization

- ullet Generalization of coinvariant ring: $R_n woheadrightarrow R_\mu$
- $R_{\mu} \cong H^*(\mathcal{B}_{\mu})$ where \mathcal{B}_{μ} is **Springer fiber** of all flags fixed by a unipotent matrix of Jordan type μ

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- Explicit presentation in terms of *Tanisaki generators* (generalize e_i 's):

$$R_{\mu} = \mathbb{C}[x_1, \dots, x_n]/I_{\mu}$$

- Bases:
 - ► Garsia-Procesi: a monomial basis, defined recursively
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 - ► G.-Rhoades: Conjectured higher Specht basis, proven for two rows

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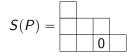
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- **Dimension count:** need one basis element for each pair (T, P) of same shape, T standard, P semistandard of **content** μ :

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- Define $F_T^P = \varepsilon_T x_T^{S(P)}$
- Conjecture (G.–Rhoades '18): F_T^P 's form a basis
- Enumeratively correct in each dimension, proven for two rows
- False in general! Smallest known counterexample size 10. Chou, Hanada working on a correction
- Computational time to test conjectures is a huge issue, usually can only get up to size 8 or 9 with current methods

The issue (!)

• Counterexample (G, Chou, 2025):

$$T = \begin{bmatrix} \frac{4}{3} \\ \frac{2}{3} \\ \frac{2}{1} & \frac{6}{5} & \frac{8}{10} \\ \frac{1}{1} & \frac{5}{7} & \frac{7}{9} \end{bmatrix} \qquad P = \begin{bmatrix} \frac{5}{3} \\ \frac{2}{3} & \frac{4}{5} \\ \frac{1}{1} & \frac{1}{2} & \frac{1}{4} \end{bmatrix} \qquad S(P) = \begin{bmatrix} \frac{2}{2} \\ \frac{2}{2} \\ \frac{1}{1} & \frac{1}{1} & \frac{1}{3} \\ 0 & 0 & 0 & 2 \end{bmatrix}$$

- If $\sigma \in R(T)$, term $\sigma x_T^{S(P)}$ has $x_3^2 x_4^2$, so $\tau = (34)$ changes sign, cancels
- $F_T^P = 0$ as a polynomial!

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- If $\sigma \in R(T)$, term $\sigma x_T^{S(P)}$ has $x_3^2 x_4^2$, so $\tau = (34)$ changes sign, cancels
- $F_T^P = 0$ as a polynomial!

Q: When is F_T^S nonzero?

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- If $\sigma \in R(T)$, term $\sigma x_T^{S(P)}$ has $x_3^2 x_4^2$, so $\tau = (34)$ changes sign, cancels
- $F_T^P = 0$ as a polynomial!
- (G., 2024): If S(P) is semistandard then $F_T^P \neq 0$
- Sufficient to avoid columns with duplicate entries?

Q: When is F_T^S nonzero?

A permutative condition

Theorem (Chou, G., Hanada, literally yesterday)

We have $\varepsilon_T x_T^S = 0$ if and only if, for every row permutation of S, say S', that there is an odd column permutation of the boxes that when applied to S' fixes the content of each row.

Box labeling:

Example (!):

Have $F_T^S = 0$ for any T of shape (3,3).

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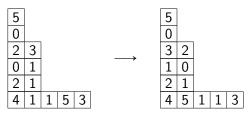
Box labeling:

Example (!):

Fun little corollary: Coefficients of all nonvanishing monomials in F_T^P are equal, to number of column permutations fixing the content of each row

Two more fun little corollaries

• Two columns (plus a hook): In this case, nonzero iff the columns can be made to each have all distinct letters using a row permutation:



 Two rows (plus a hook): In this case, nonzero iff chimney has no repeats and some row permutation has no odd subset of columns having the same sets of elements in first and second row

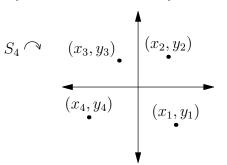
5						5				
1	1	1	3	2	\longrightarrow	1	2	3	1	1
2	1	1	3	2		2	1	1	3	2

Generalization 2: to

$$\mathbb{C}[x_1,\ldots,x_n,y_1,\ldots,y_n]$$

The diagonal action

• **Diagonal action:** S_n acts on $\mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n] = \mathbb{C}[\mathbf{x}_n, \mathbf{y}_n]$ by permuting x and y variables simultaneously



- Reduce to diagonal coinvariant ring $DR_n = \mathbb{C}[\mathbf{x}_n, \mathbf{y}_n]/I_n$, have $\dim_{\mathbb{C}} DR_n = (n+1)^{n-1}$
- (Carlsson, Oblomkov) monomial basis, not higher Specht
- Open: find graded decomposition into irreducibles

lacksquare S any tableau with **pairs** as entries, T an SYT of the same shape

$$T = \boxed{\frac{2}{1 \mid 3}} \qquad S = \boxed{\frac{12}{01 \mid 10}}$$

 $oldsymbol{0}$ S any tableau with **pairs** as entries, T an SYT of the same shape

$$T = \begin{bmatrix} 2 \\ \hline 1 & 3 \end{bmatrix}$$
 $S = \begin{bmatrix} 12 \\ \hline 01 & 10 \end{bmatrix}$ $\mathbf{x}\mathbf{y}_T^S = y_1x_2y_2^2x_3$

Monomial: $\mathbf{xy}_T^S = \prod_b x_{T(b)}^{S(b)_1} y_{T(b)}^{S(b)_2}$, product over boxes b

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 $S = \begin{bmatrix} 12 \\ \hline 01 & 10 \end{bmatrix}$ $\mathbf{x}\mathbf{y}_T^S = y_1x_2y_2^2x_3$

- **Monomial:** $\mathbf{xy}_T^S = \prod_b x_{T(b)}^{S(b)_1} y_{T(b)}^{S(b)_2}$, product over boxes b
- **3** Higher Specht polynomial: $F_T^S = \varepsilon_T x y_T^S$

$$(I+(13) - (12) - (12)(13))y_1x_2y_2^2x_3$$

= $y_1x_2y_2^2x_3 + y_3x_2y_2^2x_1 - y_2x_1y_1^2x_3 - y_3x_1y_1^2x_2$

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Lemma (G.): Garnir relations satisfied by F_T^S for any fixed S.

Cor: $\{F_T^S: T \in SYT(\lambda)\}$ is a copy of V_{λ} for any fixed S whenever one F_T^S is nonzero (odd permutation condition still applies!)

Garsia-Haiman modules

• Garsia–Haiman: DR_{μ} is quotient of DR_{n} ,

$$\mathrm{DR}_{\mu} = \mathbb{C}[\mathbf{x}_n, \mathbf{y}_n]/I_{\mu}$$

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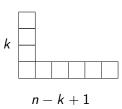
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- (G., 2024): higher Specht basis for DR_{μ} for μ a **hook shape**:



Hook shape Garsia-Haiman modules: construction

• μ -cocharge: reindex so that n - k + 1 is labeled 0:

Theorem (G.)

The set $\{F_{\tau}^{P}(\mu)\}\$ is a higher Specht basis for DR_{μ} for hook shape μ .

Hook shape Garsia-Haiman modules: construction

• μ -cocharge: reindex so that n-k+1 is labeled 0:

• Take $F_T^P(\mu) = \varepsilon_T(x_T^p y_T^n)$ where p =positive, n=negative. Here

$$x_T^p y_T^n = x_{16}^2 x_{15}^3 x_{14} x_{12} x_9^2 y_5 y_4^2 y_3 y_2^2 y_1^2$$

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Higher Specht basis for DR_3

Frobenius term	Basis polynomials	Frobenius term	Basis polynomials
s (3)	1	$q^2 s_{(2,1)}$	$rac{arepsilon_3}{1} rac{x_3 x_2}{2}$
<i>qs</i> _(2,1)	$x_2 - x_1$ $x_3 - x_1$	$t^2s_{(2,1)}$	$\varepsilon = x_2 x_3$ $\varepsilon = x_3 x_3 y_2$ $\varepsilon = x_3 x_3 y_2$ $\varepsilon = x_3 x_3 y_2$
$ts_{(2,1)}$	$y_2 - y_1 \\ y_3 - y_1$	q ³ s ₍₃₎	$ \begin{array}{c} \varepsilon \\ \hline{2} \\ 1 \\ 3 \end{array} $ $ (x_3 - x_2)(x_3 - x_1)(x_2 - x_1) $
$\mathit{qts}_{(1,1,1)}$	$\varepsilon_{3}^{x_3y_1}$	$t^3 s_{(3)}$	$(y_3 - y_2)(y_3 - y_1)(y_2 - y_1)$
$qts_{(2,1)}$	ε_{3} x_3y_2 12	$q^2 t s_{(1,1,1)}$	$\frac{\varepsilon_{3}}{2} x_3^2 y_1$
	ε_{2} $x_{2}y_{3}$ 1 3	$qt^2s_{(1,1,1)}$	$ \begin{array}{c} \varepsilon_{\boxed{3}} x_3^2 y_1 \\ 2 \\ 1 \end{array} $ $ \varepsilon_{\boxed{3}} x_3 y_1^2 \\ 2 \\ 1 $

Higher Specht basis for:

- DR_n ? Maybe use pairs of tableaux whose words correspond to parking functions? Find guesses with machine learning??
- More DR_{μ} 's besides hook shapes? Trying two rows, two columns (joint work with Chou, Hanada)
- Both of the above would lead to (or from) Schur expansion for Macdonalds or Shuffle polynomials

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Q: When is F_T^S nonzero *mod an ideal*? How can we use our result to search for bases? Can I just put a priority ranking on the good monomials and use FunSearch?

k	n	а	t	h							t	h	а	n	k					
0	f	_	y	0	и	r				\rightarrow	У	0	и	_	f	0	r			
е	n	1	i	s	t	i	n	g	ļ		1	i	s	t	e	n	i	n	g	!