

I will not give a formal definition!

A brief review of Abelian categorifications M. Khovanov, V. Mazorchuk, C. Stroppel

Theory Appl. Cat. 22 (2009), 479–508

ArXiv: math/0702746

All categories/functors will be  $k$ -linear,  $k = \overline{k}$  char.  $p \geq 0$

All Abelian categories will be locally finite  $\Rightarrow K_0$  is free  $\mathbb{Z}$ -module with basis  $[L]$  (isoclasses of irrep)

$R \hookrightarrow E, F, \dots$  exact functors



$x, \tau, \dots$

categorify  $\left\{ \right\}$  decategorify

natural transformations  
(the main point!)

$K_0(R) \hookrightarrow e, f, \dots$  induced  $\mathbb{Z}$ -linear maps

Example 0 Let  $S$  be the symmetric groupoid  $\coprod_{n \geq 0} S_n$   
 Its groupoid algebra is  $kS$   $\bigoplus_{n \geq 0} kS_n$

Take  $R = kS\text{-mod}_{fd}$   $\bigoplus_{n \geq 0} kS_n\text{-mod}_{fd}$

What endofunctors/natural transformations come to mind?

$\uparrow = \text{id}_{ind}$   
 $\downarrow = \text{id}_{res}$

$$ind = kS \overset{i^*}{\otimes} kS -$$

$$i: S \rightarrow S$$

$$is \quad | \otimes -$$

$$\bigoplus_{n \geq 0} kS_{n+1} \otimes kS_n -$$

$$S_n \hookrightarrow S_{n+1}$$

$$res = i^*$$

naturally right adjoint to  $ind$   
 (also left adjoint)

Better:  $S$  is the free symmetric strict monoidal cat. with one generating object  $1$

$$Ob S \longleftrightarrow \mathbb{N}$$

$$id_1 = \begin{array}{c} | \\ \end{array} \quad \text{identity endo.}$$

$$c_{1,1} = \begin{array}{cc} & \diagdown \\ \diagup & \\ & \diagup \\ & \diagdown \end{array} \quad \text{symmetric braiding}$$

Relations:

$$\begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array} = \begin{array}{c} | \\ | \\ | \end{array}, \quad \begin{array}{ccc} \diagdown & & \diagup \\ \diagup & & \diagdown \\ \diagdown & & \diagup \end{array} = \begin{array}{ccc} \diagdown & & \diagup \\ \diagup & & \diagdown \\ \diagdown & & \diagup \end{array}$$

$$End(1^{\otimes n}) = S_n$$

The adjunction  $(\text{ind}, \text{res})$  defines natural tfs.

 :  $\text{ind} \circ \text{res} \Rightarrow \text{id}$  counit

 :  $\text{id} \Rightarrow \text{res} \circ \text{ind}$  unit

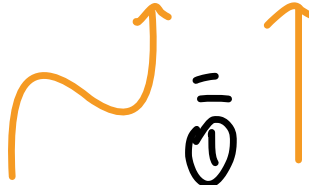
 :  $\text{ind} \circ \text{id} \Rightarrow \text{id} \circ \text{id}$

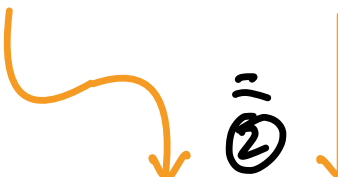
$\text{lk } S_{n+2} \otimes \text{lk } S_n$  ↖  $\times S_{n+1}$  (last transposition)

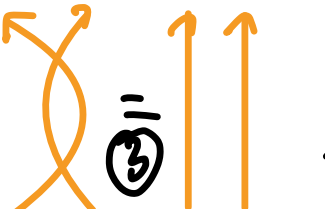
 :  $\text{id} \Rightarrow \text{id}$

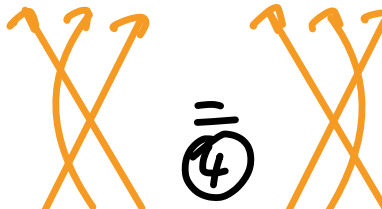
$\text{lk } S_{n+1} \otimes \text{lk } S_n$  ↖  $\times \mathcal{C}_{n+1} = \sum_{i=1}^n (i, n+1)$   
Jucys-Murphy

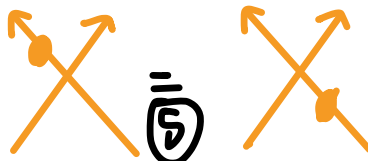
## Relations

  $\textcircled{1}$  ,

  $\textcircled{2}$  ,

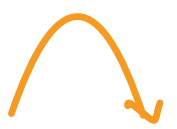
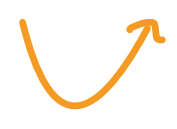
  $\textcircled{3}$  ,

  $\textcircled{4}$  ,

  $\textcircled{5}$  +  ,

$\left[ \text{crossing} \quad \text{cup} \right] : \text{ind} \circ \text{res} \oplus \text{id} \xrightarrow{\textcircled{6}} \text{res} \circ \text{id}$

$\left[ \text{crossing} \quad \text{counit} \right]$  is the weier matrix,  $\text{cup} := \text{crossing}$   
↖ There are the other adjunction!

Definition Fix  $k \in \mathbb{Z}$ , central charge. The Heisenberg category  $\text{Heis}_k$  is the strict monoidal cat w/ generating objects  $E, F$  ( $\text{id}_E = \uparrow$ ,  $\text{id}_F = \downarrow$ ), generating morphisms , , , , and relations ①–⑤ as before and generalized ⑥:

$$\left\{ \begin{array}{l} \left[ \begin{array}{c} \text{crossing} \quad \text{cap} \quad \text{cup with dot} \quad \dots \quad \text{cup with dot} \end{array} \right] \quad \text{is invertible} \quad (k \leq 0) \\ \left[ \begin{array}{c} \text{crossing} \quad \text{cap} \quad \text{arrow with dot} \quad \dots \quad \text{arrow with dot} \end{array} \right]^T \quad \text{is invertible} \quad (k \geq 0) \end{array} \right.$$

Note:  $k = -1$  case is due to Khovanov, as on previous slide. General: MacKoay-Savage Brundan (2018)

Definition A Heisenberg categorification is a locally finite Abelian category  $\mathcal{R}$  plus adjoint pair  $(E, F)$  of endofunctors with given adjunction    
plus nat. tfs   $: E^2 \Rightarrow E^2$    $: E \Rightarrow E$   
satisfying  $\text{Heis}_k$ -relations for some  $k \in \mathbb{Z}$ .  i.e.  $\mathcal{R}$  is a strict left  $\text{Heis}_k$ -module category

Examples

- ①  $kS\text{-mod}_{\text{fd}}$ ,  $k = -1$
- ②  $\text{Rep}(GL_n)$ ,  $k = 0$  Rational reps of group scheme  $GL_n/k$   
 $E = V \otimes -$  ( $V = k^n = \text{natural module}$ )  
 is action of Casimir tensor  $\sum_{i,j=1}^n e_{ij} \otimes e_{ji}$
- ③ Pretty much any classically studied "type A" Abelian cat. of representations

Now I'm going to list some general results which apply to all Heisenberg categorifications  
A long history — Kleshchev, Grojnowski, Vazirani, Chuang-Rouquier, ...  
1990s 2004

① Let  $E_i$  be gen.  $i$ -eigenspace of  $\uparrow : E \Rightarrow E$ . Then  $(E_i, F_i)$  are biadjoint functors  $\forall i$ .  
 $F_i : F \Rightarrow F$   
 Let  $I = \{i \in k \mid E_i \neq 0\}$ , so  $E = \bigoplus_{i \in I} E_i$ ,  $F = \bigoplus_{i \in I} F_i$  ("spectrum")  
 Also  $I$  is closed under  $i \mapsto i \pm 1$ .  
 ( $A_\infty$  or  $A_{p_1}^{(1)}$ )

② Let  $\mathfrak{g}$  be affine KM algebra with Cartan matrix  $(a_{ij})_{i,j \in I}$   $\begin{cases} 2 & i=j \\ -1 & i=j+1 \text{ or } j-1 \\ -2 & i=j+1=j-1 \end{cases}$

Then  $e_i, f_i$  make  $\mathbb{C} \otimes_{\mathbb{Z}} K_0(\mathbb{R})$  into an integrable  $\mathfrak{g}$ -module

of level  $k$ . The weight space decomposition gives "blocks"  $R = \bigoplus_{\lambda \in X} R_\lambda$ .  $X = \text{weight lattice}$

③ Let  $B_\lambda$  be a set indexing full set of irreducibles in  $R_\lambda$ .

Let  $B = \coprod_{\lambda \in X} B_\lambda$ .  $L(b)$

Define  $\Sigma_i(b)$  so min. poly. of  $\uparrow : E_i L(b) \rightarrow E_i L(b)$  is  $\prod_{i \in I} (x - i)^{\Sigma_i(b)}$   
 "  $\varphi_i(b)$  " " " "  $\downarrow : F_i L(b) \rightarrow F_i L(b)$  is  $\prod_{i \in I} (x - i)^{\varphi_i(b)}$

If  $\Sigma_i(b) \neq 0$  then  $E_i L(b)$  has irred. head/socle  $L(\tilde{e}_i b)$  for  $\tilde{e}_i b \in B$   
 "  $\varphi_i(b) \neq 0$  "  $F_i L(b)$  " "  $L(\tilde{f}_i b)$  for  $\tilde{f}_i b \in B$

The above gives  $B$  the structure of a normal  $\sigma$ -crystal (Kashiwara).

It is the underlying crystal of basis  $\{[L(b)] \mid b \in B\}$  of  $K_0(R)$ , which is a perfect basis (Berenstein-Kazhdan).

④ For  $i \in I$ , let  $s_i \in W$  be the simple reflection in Weyl group of  $\mathfrak{g}$ .

There's a singular Rouquier complex of functors  $R_\lambda \rightarrow R_{s_i(\lambda)}$  yielding an equivalence  $D^b(R_\lambda) \rightarrow D^b(R_{s_i(\lambda)})$  which decategorifies to usual  $\exp(e_i) \exp(-f_i) \exp(e_i)$  on  $K_0$ .

So "blocks" labelled by weights in the same  $W$ -orbit are derived equivalent.

Example  $R = kS\text{-mod}_{fd}$ .

What's  $I$ ?  $\mathbb{Z}/p\mathbb{Z} \subset k$  so  $\mathfrak{g} = \mathfrak{sl}_\infty$  or  $\widehat{\mathfrak{sl}}_p$

What's  $\bigoplus_{\mathbb{Z}} K_0(R)$ ? It's  $V(-\omega_0)$  basic lowest weight module

What's underlying crystal? Young's partition lattice ( $p=0$ ), or Kashiwara's  $B(-\omega_0)$   $p>0$   
(also Kleshchev's branching graph)



I haven't said anything new here, but see

An update on Heisenberg and Kac-Moody categorification J.B., A. Savage, B. Webster

ArXiv: 2508.14378

Partly survey — we give more details about this and other examples.

I've focussed on Heisenberg categorification, but it is a role model for several parallel theories.

Four classical families of Abelian categorifications

- GL Heisenberg categorification  $\longrightarrow$  Cartan types  $A_\infty, A_{p-1}^{(1)}$   
 (B.-Savage-Webster - complete)
- OSp Brauer categorification  $\dashrightarrow$  Cartan types  $A_\infty, A_{p-1}^{(1)}$  plus Satake type AIII  
 (Qui-Song) (B.-Savage-Wang-Webster - in progress - far to go!) quasi-split quantum groups
- p Periplectic Brauer categorification  $\dashrightarrow$  Electric algebras  
 (Many authors) (Stroppel-Nehme - major progress, not quite complete?)
- Q Isomeric Heisenberg categorification  $\checkmark \longrightarrow$  Cartan types  $A_\infty, B_\infty, C_\infty, A_{p-1}^{(1)}, A_{(p-1)/2}^{(2)}$   
 (B.-Savage - writing now)

END