

# Quantum loop algebras and simple modules

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# Quantum affine / loop algebras

- Let  $\mathfrak{g}$  be a simple Lie algebra over  $\mathbb{C}$ . We associate to it

- the quantum affine algebra

$$U_q(\widehat{\mathfrak{g}})|_{c=1} = \mathbb{C} \left\langle e_i, f_i, h_i \right\rangle_{i \in I \sqcup \{0\}} / \left( \text{relations} \right)$$

by cleverly adding a vertex 0 to the Dynkin diagram of  $\mathfrak{g}$ .

- the quantum loop algebra

$$U_q(L\mathfrak{g}) = \mathbb{C} \left\langle e_{i,k}, f_{i,k}, h_{i,k} \right\rangle_{i \in I, k \in \mathbb{Z}} / \left( \text{relations} \right)$$

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by Drinfeld's "new realization".

- The two algebras are isomorphic (Drinfeld and Beck, Damiani).
- But only  $U_q(L\mathfrak{g})$  is defined when  $\mathfrak{g}$  is a Kac-Moody Lie algebra.

# Representations of quantum affine algebras

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$$\psi = \left( \psi_i(z) = \sum_{k=0}^{\infty} \frac{\psi_{i,k}}{z^k} \right)_{i \in I} \in \mathcal{R}^I$$

where  $\mathcal{R}$  is the set of power series expansions of rational functions (over  $\mathbb{C}$ ) that are regular and non-zero at  $z = 0$  and  $\infty$ .

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where  $\mathcal{R}$  is the set of power series expansions of rational functions (over  $\mathbb{C}$ ) that are regular and non-zero at  $z = 0$  and  $\infty$ .

- Hernandez-Jimbo generalized this by defining an irreducible

$$U_q(L\mathfrak{g}) \curvearrowright L(\psi)$$

for any  $\psi \in \mathcal{R}^I$ , which might be infinite-dimensional.

# Decompositions into generalized eigenspaces

- Frenkel-Reshetikhin and Frenkel-Mukhin considered decompositions

$$L(\psi) = \bigoplus_x L(\psi)_x$$

as  $x = (x_{i1}, \dots, x_{in_i})_{i \in I} \subset \mathbb{C}^*$  goes over all multisets of non-zero complex numbers, and the integers  $(n_i \geq 0)_{i \in I}$  are arbitrary.

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- Specifically,  $L(\psi)_x$  is the generalized eigenspace of the power series

$$\left( \sum_{k=0}^{\infty} \frac{h_{i,k}}{z^k} \right)_{i \in I} \in U_q(L\mathfrak{g})[[z^{-1}]]'$$

acting on  $L(\psi)$  according to the eigenvalues

$$\left( \psi_i(z) \prod_{j \in I} \prod_{a=1}^{n_j} \frac{z - x_{ja} q^{d_{ij}}}{z q^{d_{ij}} - x_{ja}} \right)_{i \in I}$$

# $L(\psi)$ and $q$ -characters

- The generating series of  $\dim L(\psi)_x$  is called a  $q$ -character. These <sup>1</sup> form a very rich subject, involving deep connections to many fields:

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  - ▶ cluster algebras: Hernandez-Leclerc observed that the  $q$ -characters of the various  $L(\psi)$  generate a cluster algebra;
  - ▶ monoidal categorification that implies the above cluster algebra statement (Kang, Kashiwara, Kim, Oh, Park, Qin).

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- Though elegant and useful, it is not very explicit and doesn't readily generalize <sup>2</sup> to the case of Kac-Moody Lie algebras  $\mathfrak{g}$ .
- In what follows, we propose an explicit construction of  $L(\psi)$ , for which we will present geometric and categorical interpretations.

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# Motivation: Verma modules and Shapovalov forms

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- ▶ Step 3: show that the following quotient is irreducible

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- We will now emulate this procedure for quantum loop algebras.

# Definition of quantum loop algebras

- For a Kac-Moody algebra  $\mathfrak{g}$  with Cartan matrix  $\left(\frac{2d_{ij}}{d_{ii}} \in \mathbb{Z}\right)_{i,j \in I}$ , let

$$U_q(L\mathfrak{g}) = \mathbb{C} \left\langle e_{i,k}, f_{i,k}, h_{i,k} \right\rangle_{i \in I, k \in \mathbb{Z}} / \left( h \text{'s commute and relations below} \right)$$

$$\left\{ \begin{array}{l} e_i(x)e_j(y)\zeta_{ji}\left(\frac{y}{x}\right) = e_j(y)e_i(x)\zeta_{ij}\left(\frac{x}{y}\right) \\ e_i(x)h_j^\pm(y)\zeta_{ji}\left(\frac{y}{x}\right) = h_j^\pm(y)e_i(x)\zeta_{ij}\left(\frac{x}{y}\right) \\ \text{higher order relations among } e \text{'s, known for } \mathfrak{g} \left\{ \begin{array}{l} \text{finite type (Drinfeld)} \\ \text{simply-laced (Negu\c{t})} \end{array} \right. \\ \text{opposite relations with } e \text{'s replaced by } f \text{'s} \\ \left[ e_i(x), f_j(y) \right] = \delta_{ij}\delta(x/y)\left( h_i^+(x) - h_i^-(y) \right) \end{array} \right.$$

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- Above, set  $\zeta_{ij}(x) = \frac{x-q^{-d_{ij}}}{x-1}$ ,  $\delta(x) = \sum_{k \in \mathbb{Z}} x^k$  and write  $\forall i \in I$

$$e_i(x) = \sum_{k \in \mathbb{Z}} \frac{e_{i,k}}{x^k}, \quad f_i(x) = \sum_{k \in \mathbb{Z}} \frac{f_{i,k}}{x^k}, \quad h_i^\pm(x) = h_{i,0}^{\pm 1} + \sum_{k=1}^{\infty} \frac{h_{i,\pm k}}{x^{\pm k}}$$

# Shuffle algebras

- The subalgebra  $U_q^+(L\mathfrak{g}) \subset U_q(L\mathfrak{g})$  generated by the  $e_{i,d}$ 's has a shuffle algebra model, introduced by Feigin-Odesskii and Enriquez:

$$\mathcal{V} = \bigoplus_{(n_i \geq 0)_{i \in I}} \frac{\mathbb{C}[z_{i1}^{\pm 1}, z_{i2}^{\pm 1}, \dots, z_{in_i}^{\pm 1}]_{i \in I}^{\text{symmetric}}}{\prod_{\substack{\text{unordered pairs} \\ (i,a), (j,b) \text{ with } i \neq j}} (z_{ia} - z_{jb})}$$

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- The algebra structure on  $\mathcal{V}$  is given by the shuffle product

$$E(z_{i1}, \dots, z_{in_i})_{i \in I} * E'(z_{i1}, \dots, z_{in'_i})_{i \in I} =$$

$$\text{Sym} \left[ E(z_{i1}, \dots, z_{in_i})_{i \in I} E'(z_{i,n_i+1}, \dots, z_{i,n_i+n'_i})_{i \in I} \prod_{\substack{i,j \in I \\ a \leq n_i, b > n_j}}^{i,j \in I} \zeta_{ij} \left( \frac{z_{ia}}{z_{jb}} \right) \right]$$

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- Sending  $e_{i,k} \rightsquigarrow z_{i1}^k$  yields a monomorphism  $\Upsilon : U_q^+(L\mathfrak{g}) \hookrightarrow \mathcal{V}$ . Let

$$\text{Im } \Upsilon =: \boxed{\mathcal{S} \cong U_q^+(L\mathfrak{g})}$$

# The construction of $L(\psi)$

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- Then we show that the following yields a “Shapovalov form”

$$\mathcal{S} \otimes \mathcal{S} \xrightarrow{\langle \cdot, \cdot \rangle_\psi} \mathbb{C}$$

$$\left\langle E, E' \right\rangle_\psi = \oint \frac{E(z_{i1}, \dots, z_{in_i})_{i \in I} E'(z_{i1}, \dots, z_{in_i})_{i \in I}}{\prod_{(i,a) \neq (j,b)} \zeta_{ij} \left( \frac{z_{ia}}{z_{jb}} \right)} \prod_{(i,a)} \psi_i(z_{ia})$$

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where  $\oint$  denotes a certain explicit contour integral.

- **Theorem** (Neguț): For any  $\ell$ -weight  $\psi$ ,

$$L(\psi) = \mathcal{S} / \text{Ker } \langle \cdot, \cdot \rangle_\psi$$

is an irreducible representation of  $U_q(L\mathfrak{g})$ .

## Connection with geometry, following Hernandez-Leclerc

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- In a seminal result, Hernandez-Leclerc proved that for so-called Kirillov-Reshetikhin modules  $L(\psi)$ , we have for all  $x$

$$\dim L(\psi)_x = \left( \text{Euler characteristic of a quiver variety } N_{x,\psi}^{\text{stab}} \right)$$

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- $N_{x,\psi}^{\text{stab}}$  is defined using the quiver with vertex set  $I \times \mathbb{C}^*$  and arrows

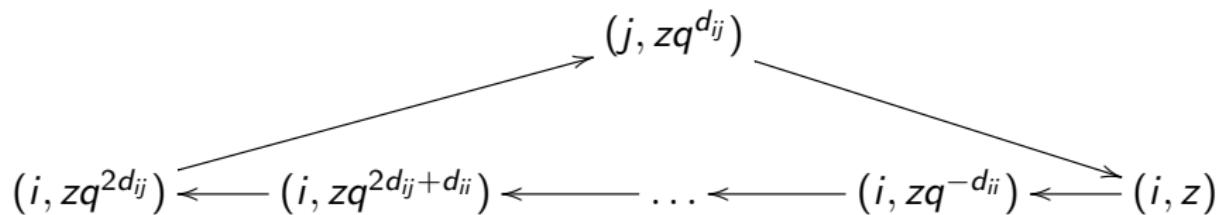
$$(i, z) \mapsto (j, zq^{-d_{ij}}), \quad \forall i, j \in I, z \in \mathbb{C}^*$$

# Critical $K$ -theory

- We may consider the smooth quasi-projective variety  $M_{x,\psi}^{\text{stab}}$  of stable representations of the above quiver, with dimension depending on  $x$  and framing depending on  $\psi$  in a certain way.

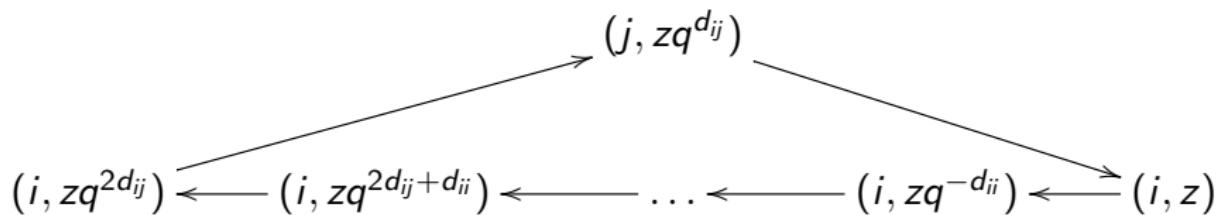
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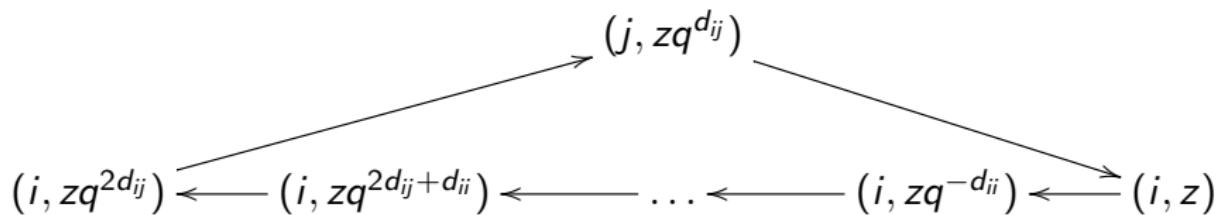


- **Conjecture** (Neguț): for any  $\ell$ -weight  $\psi$  and all  $x$ , we have

$$L(\psi)_x \cong \left( \text{Critical } K\text{-theory of } (M_{x,\psi}^{\text{stab}}, f) \right)$$

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- This is inspired by the case of Kirillov-Reshetikhin  $L(\psi)$  studied by Varagnolo-Vasserot, plus the fact that  $\text{Crit}(M_{x,\psi}^{\text{stab}}, f) = N_{x,\psi}^{\text{stab}}$ .

## Connection with categorification

- The conjecture above suggests that  $L(\psi)_x$  is categorified by  
$$\left( \text{the derived factorization category of } (M_{x,\psi}^{\text{stab}}, f) \right) \quad (1)$$

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- As shown above, the Grothendieck groups of the categories (1) admit explicit descriptions as shuffle algebras. This is one of our main motivations for wanting to understand the latter explicitly.