### **Linking of 2-spheres in 4-space**

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# Link Homotopy

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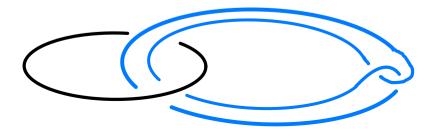
— Introduced for classical links by Milnor (1954) to study "Linking modulo knotting".

#### **EXAMPLES**:

A Hopf link is <u>not</u> link homotopically trivial.



A Whitehead link is link homotopically trivial.



#### **Link Homotopy of circles in the 3-sphere**

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— Habegger-Lin (1990): A link homotopy classification of classical links via \mu-invariants of "string links" (based links) and a "partial conjugation" equivalence relation.

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So from now on "link map" means "spherical link map"...



Bartels-Teichner (1999): no 4-dimensional Hopf link!

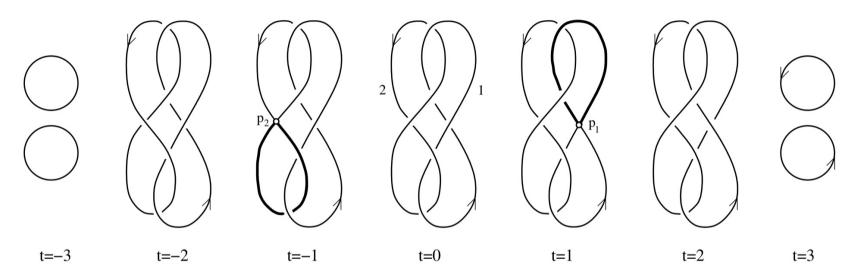
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In fact, codimension-2 <u>embedded</u> (spherical) link maps with any number of components are link homotopically <u>trivial</u> above the classical dimension.

Bartels-Teichner (1999): no 4-dimensional Hopf link!

Fenn-Rolfsen (1986): exists a 2-component <a href="mailto:immersed">immersed</a> link map of 2-spheres in the 4-sphere which is not link homotopically trivial.

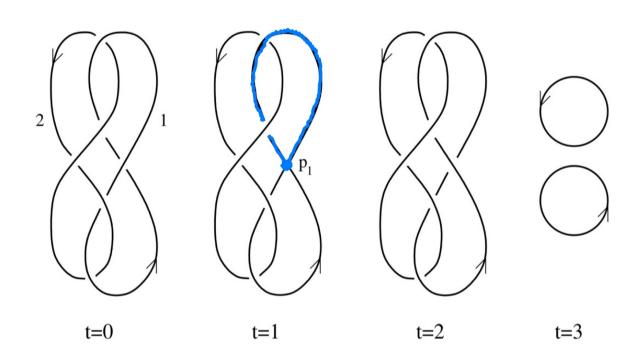
### A "movie" of the Fenn-Rolfsen link map FR:



(Figure courtesy of Audoux—Meilhan—Yasuhara)

One half of the Fenn-Rolfsen link map *FR*:

### A double point loop thru the self intersection in the first component links the second component:



The *Kirk invariant* of a link map "counts" linking numbers of double point loops on one component with the other component:

$$(f_1, f_2): S^2 \coprod S^2 \longrightarrow S^4 \leadsto \sigma_i(f_1, f_2) := \lambda(f_i, f_i) \in \mathbb{Z}[\mathbb{Z}]$$

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Kirk (1988): 2-component link homotopy classes map onto an infinitely generated Abelian group.

<u>Corollary</u>: Kirk's invariant classifies 2-component link maps in the 2-sphere up to link homotopy.

Proof strategy:

Express link homotopy to the trivial link in terms of Z[Z]-intersections between one component and "metabolic" Whitney disks on the other component.

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More on the proof later; for now some ingredients...

Proof uses:

Whitney spheres around Whitney disks

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  - Whitney sphere link homotopies

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  - Freedman's technology (!) to find certain framed embedded topological Whitney disks

Stirling (2023): There exist infinitely many distinct link homotopy classes of Brunnian 3-component link maps.

"Brunnian" means that deleting any one component yields a link which is link homotopically trivial.

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Proofs later; first the invariant...

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— After finger moves:  $\pi_1(S^4 \setminus f_i) \cong \mathbb{Z}^{\prime}$ 

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- mod out indeterminacies from double point loop orientation choices and trivial linking

 $\sigma_i(f_1, f_2) := \lambda(f_i, f_i) \in \mathbb{Z}[\mathbb{Z}]$ 

self-intersection of  $f_i$  in the complement of  $f_j$ 

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- After finger moves:  $\pi_1(S^4 \setminus f_i) \cong \mathbb{Z}$ 

 mod out indeterminacies from double point loop orientation choices and trivial linking:

$$\lambda(f_i, f_i) = \mu(f_i) + \overline{\mu(f_i)} + e(\nu(f_i)) \cdot 1$$

**Recall Kirk's invariant:** 

in the complement of 
$$f_j$$
 
$$(f_1,f_2):S^2 \coprod S^2 \to S^4 \leftrightsquigarrow \sigma_i(f_1,f_2):=\lambda(f_i,f_i)\in \mathbb{Z}[\mathbb{Z}]$$

self-intersection of  $f_i$ 

 mod out indeterminacies from double point loop orientation choices and trivial linking:

$$\lambda(f_i, f_i) = \underline{\mu(f_i) + \overline{\mu(f_i)}} + e(\nu(f_i)) \cdot 1$$

Work modulo *regular* (link) homotopy, with  $e(\nu(f_i))=0$ 

$$(f_1, f_2, f_3) : S^2 \coprod S^2 \coprod S^2 \coprod S^4$$

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3

$$\sigma_i(f_1, f_2, f_3) := \lambda(f_i, f_i) \in \mathbb{Z}[M^i]$$

self-intersection of  $f_i$  in the complement of  $f_j \cup f_k$ 

$$(f_1, f_2, f_3) : S^2 \coprod S^2 \coprod S^2 \coprod S^4$$

$$M^i := \stackrel{\textit{free Milnor group}}{\mathsf{meridians to}} \ f_j \ \mathsf{and} \ f_k$$

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Stirling's invariant: self-intersection of  $f_i$  in the complement of  $f_j \cup f_k$ 

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$$(f_1,f_2,f_3):S^2\coprod S^2\coprod S^2\to S^4$$
  $M^i:= egin{array}{c} free\ \it{Milnor\ group}\ on\ meridians\ to\ f_j\ and\ f_k \end{array}$ 

 $\pi_1(S^4 \setminus (f_i \cup f_k)) \cong \mathcal{M}^i$ 

$$\sigma_i(f_1, f_2, f_3) := \lambda(f_i, f_i) \in \mathbb{Z}[M^i]$$

$$\lambda(f_i, f_i) \in \mathbb{Z}[$$

in the complement of  $f_i \cup f_k$ 

 $(f_1, f_2, f_3) : S^2 \coprod S^2 \coprod S^2 \coprod S^2 \longrightarrow S^4 \longrightarrow \sigma_i(f_1, f_2, f_3) := \lambda(f_i, f_i) \in \mathbb{Z}[M^i]$ 

self-intersection of  $f_i$ 

 $M^i := \frac{\text{free Milnor group on}}{\text{meridians to } f_j \text{ and } f_k}$ 

— After finger moves:

$$\pi_1(S^4 \setminus (f_j \cup f_k)) \cong M^i$$

— mod out indeterminacies…?

# <u>Definition</u>:

The free Milnor group  $M^i$  is the quotient of the free group on  $x_j$  and  $x_k$  by the "self-finger move" relations:

$$[x_j, x_i^g] = 1 = [x_k, x_k^g]$$

Lemma: Each g in  $M^i$  can be written as

$$g = x_j^u x_k^v [x_j, x_k]^w$$

for unique integers u, v, and w.

Here  $x_j$  and  $x_k$  are meridian generators to  $f_j$  and  $f_k$ , with  $\pi_1(S^4 \setminus (f_j \cup f_k)) \cong M^i$ .

$$\sigma$$

$$\sigma_{i}(f_{1}, f_{2}, f_{3}) := \lambda(f_{i}, f_{i})$$

$$= \sum_{(u,v,w)} a_{uvw}^{i} x_{j}^{u} x_{k}^{v} c_{jk}^{w} \in \mathbb{Z}[M^{i}]$$

$$\sigma_{i}$$

$$\sigma_i(f_1, f_2, f_3) := \lambda(f_i, f_i)$$

 $(u, v, w) \in \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$ 

 $a_{uvw}^i \in \mathbb{Z}$ , with  $c_{ik} := [x_i, x_k]$ 

$$\sigma_i(f_1, f_2, f_3) := \lambda(f_i, f_i)$$

$$= \sum_{(u,v,w)} a^i_{uvw} x^u_j x^v_k c^w_{jk} \in \mathbb{Z}[M^i]$$

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$$a_{uvw}^i \in \mathbb{Z}$$
, with  $c_{jk} := [x_j, x_k]$ 

Depends on basing choice defining generators!

$$\sigma_i(f_1, f_2, f_3) := \lambda(f_i, f_i)$$

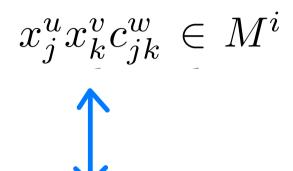
$$= \sum_{(u,v,w)} a^i_{uvw} x^u_j x^v_k c^w_{jk} \in \mathbb{Z}[M^i]$$

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$$a_{uvw}^i \in \mathbb{Z}$$
, with  $c_{jk} := [x_j, x_k]$ 

Depends on basing choice defining generators!

Need to mod out by effect of basing change...



$$(x_j')^u (x_k')^v (c_{jk}')^{(\ell_{jk} - \ell_{ik})u + (\ell_{ij} - \ell_{kj})v + w} \in (M^i)'$$

$$x_j^u x_k^v c_{jk}^w \in M^i$$

$$(x_j')^u (x_k')^v (c_{jk}')^{(\ell_{jk} - \ell_{ik})u + (\ell_{ij} - \ell_{kj})v + w} \in (M^i)'$$

with exponent of  $c'_{jk} := [x'_j, x'_k]$  determined by linking of base-change loops with components

$$x_j^u x_k^v c_{jk}^w \in M^i$$

 $(x_i')^u (x_k')^v (c_{ik}')^{(\ell_{jk} - \ell_{ik})u + (\ell_{ij} - \ell_{kj})v + w} \in (M^i)'$ 

 $\sigma_i(f_1, f_2, f_3) := \lambda(f_i, f_i)$ 

$$x_j^u x_k^v c_{jk}^w \in M^i$$

$$(x_j')^u (x_k')^v (c_{jk}')^{(\ell_{jk} - \ell_{ik})u + (\ell_{ij} - \ell_{kj})v + w} \in (M^i)'$$

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# For example, in the case i = 1:

$$\sigma_1(f_1, f_2, f_3) := \lambda(f_1, f_1) \in \frac{\mathbb{Z}M^1}{\text{Relations}}$$

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$$\sum_{(u,v,w)} a_{uvw}^1 x_2^u x_3^v c_{23}^w$$

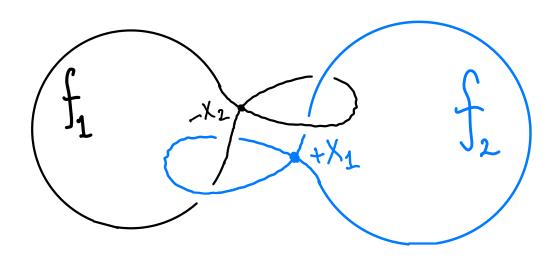
$$\sum a_{uvw}^1 x_2^u x_3^v c_{23}^{pu+qv+w} \quad \text{for arbitrary } \mathbf{p}, \mathbf{q} \in \mathbb{Z}$$

(u,v,w)

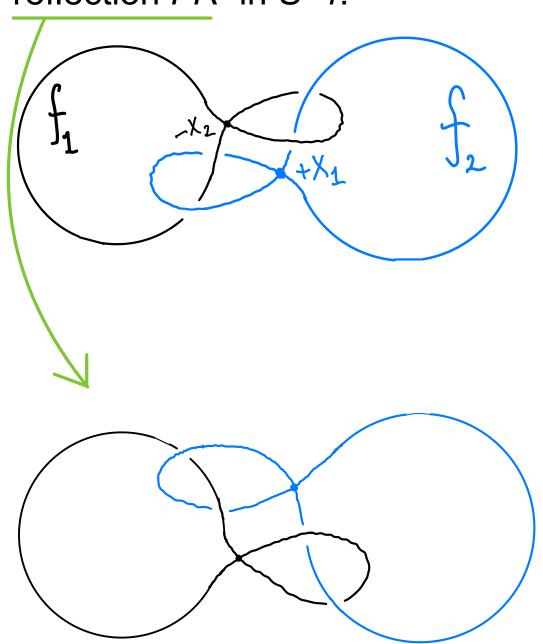
Stirling (2023): There exist infinitely many distinct link homotopy classes of Brunnian 3-component link maps.

Construction to follow...

# Schematic picture of FR in S^4:

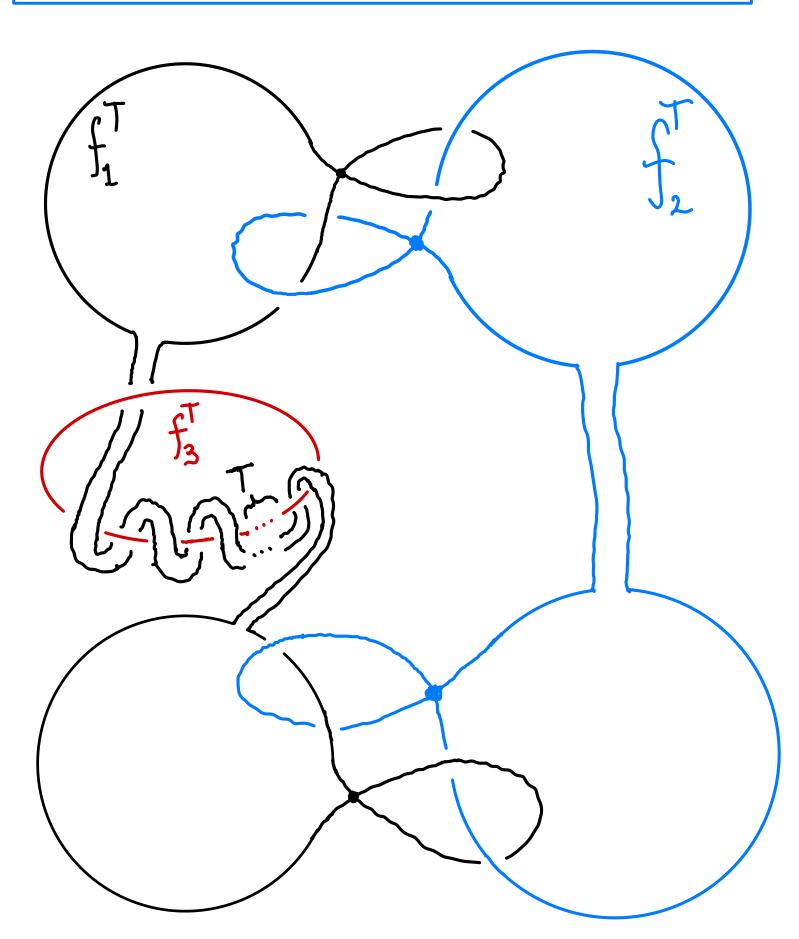


# Disjoint union of *FR* with its reflection *FR'* in *S^4*:

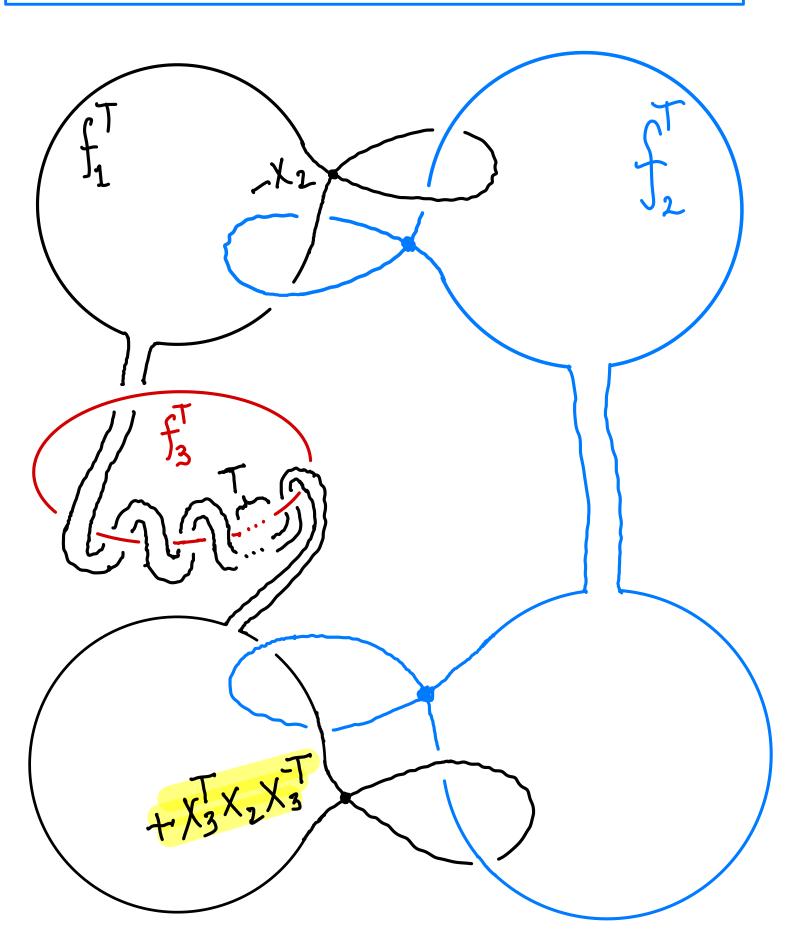


# $FR \cup FR' \cup unknotted f(S^2) \subset S^4$ :

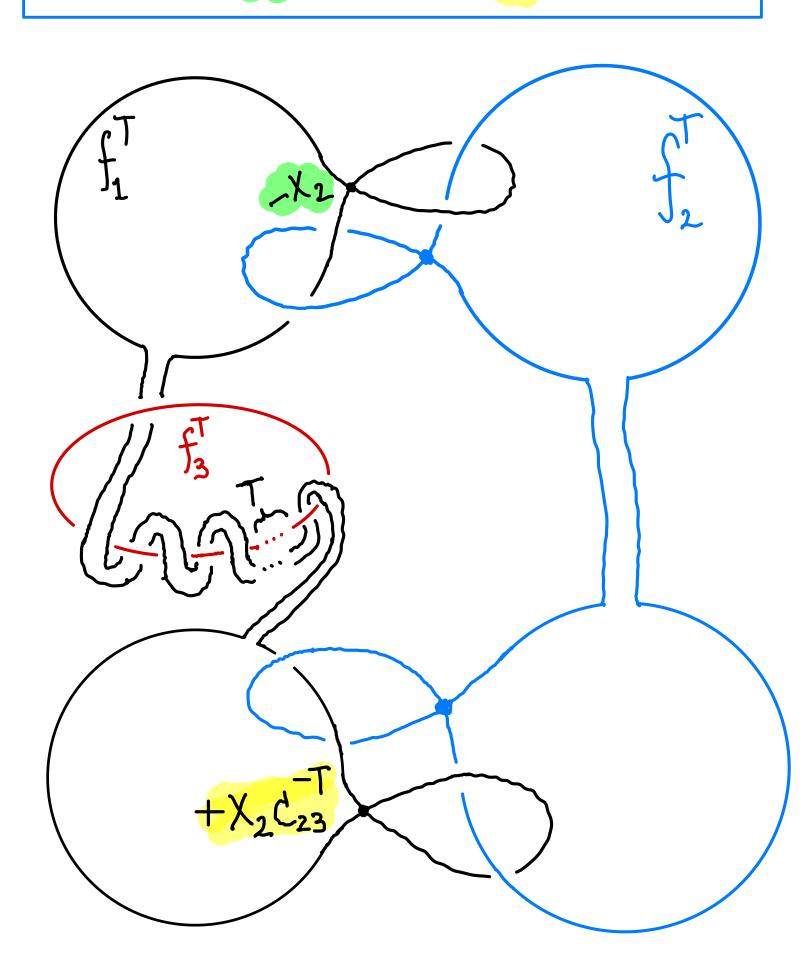
$$f^T = (f_1, f_2, f_3) := T \text{ twists of } f_1 \text{ thru } f_3$$



$$f^T = (f_1^T, f_2^T, f_3^T) := T \text{ twists of } f_1 \text{ thru } f_3$$



$$Mf_1^T = -X_2 + X_2 C_{23}^{-T} \in \mathbb{Z}M^1$$



$$\sum_{(u,v,w)} a_{uvw}^{1} x_{2}^{u} x_{3}^{v} c_{23}^{w}$$

$$\sum_{(u,v,w)} a_{uvw}^{1} x_{2}^{u} x_{3}^{v} c_{23}^{pu+qv+w}$$

$$\sum_{(u,v,w)} \sum_{(u,v,w)} a_{uvw}^{1} x_{2}^{u} x_{3}^{v} c_{23}^{pu+qv+w}$$

$$= - \chi_{2}^{1} C_{23}^{P} + \chi_{2}^{1} C_{23}^{P-T}$$

$$= \chi_{2} (C_{23}^{P-T} - C_{23}^{P})$$

$$\sum_{(u,v,w)} a_{uvw}^{1} x_{2}^{u} x_{3}^{v} c_{23}^{w}$$

$$\sum_{(u,v,w)} a_{uvw}^{1} x_{2}^{u} x_{3}^{v} c_{23}^{pu+qv+w}$$

$$- \chi_{2}^{1} + \chi_{2}^{1} c_{23}^{-T} = - \chi_{2}^{1} c_{23}^{p} + \chi_{2}^{1} c_{23}^{p-T}$$

$$= \chi_{2} \left( c_{23}^{p-T} - c_{23}^{p} \right)$$

$$\downarrow \int_{1}^{T} = - \chi_{2}^{1} \chi_{2}^{p} c_{23}^{p} + \chi_{2}^{1} c_{23}^{p-T}$$

$$= \chi_{2} \left( c_{23}^{p-T} - c_{23}^{p} \right)$$

$$\downarrow \int_{1}^{T} c_{23}^{p} c_{23}^{p} dc_{23}^{p} dc_{23}^{p} + \chi_{2}^{1} c_{23}^{p} c_{23}^{p}$$

$$= \chi_{2} \left( c_{23}^{p-T} - c_{23}^{p} \right)$$

$$\downarrow \int_{1}^{T} c_{23}^{p} c_{23}^{p} dc_{23}^{p} dc_{23}^$$

Similarly, have:  $T_{1} \neq T_{2} \iff \mathcal{M}_{1}^{T_{2}} \neq \mathcal{M}_{1}^{T_{2}}$ 

Stirling (2023): There exist infinitely many pairs of 3-component link maps with equal images that are not link homotopic.

Construction:

Flip orientation of unknotted component  $f_3$  of  $f = (f_1, f_2, f_3)$ 

Check that invariant changes...

—Compute the image of Stirling's triple invariant?

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—Does Stirling's triple invariant detect link homotopy to the unlink?

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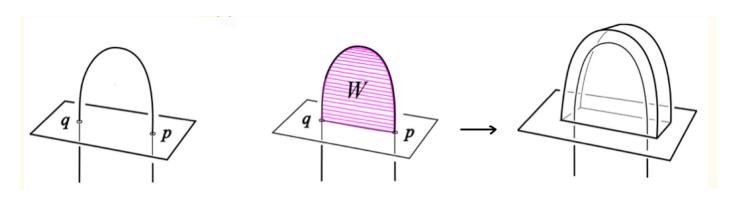
—Classify 3-component link homotopy?

S—Teichner (2017): Kirk's invariant is injective.

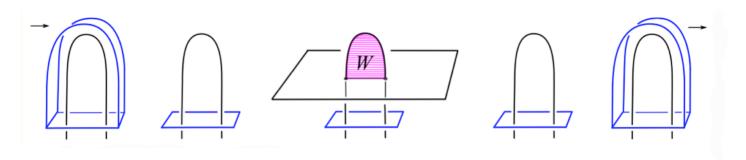
# Proof uses:

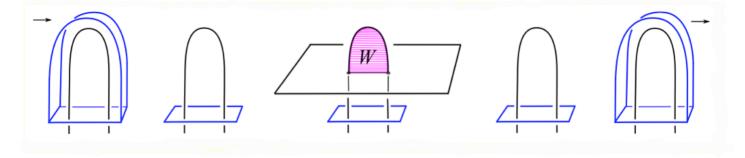
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# Whitney move:

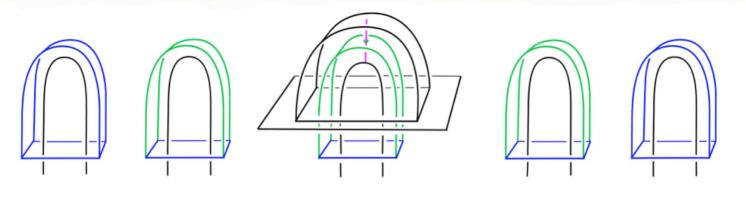


# Whitney sphere:





# Whitney sphere bounds ball after Whitney move:



# Accessory spheres and Whitney spheres: