

# CRITICAL GAUGES FOR RANDOM TILINGS

Richard Kenyon (Yale)

Catherine Wolfram (MIT)

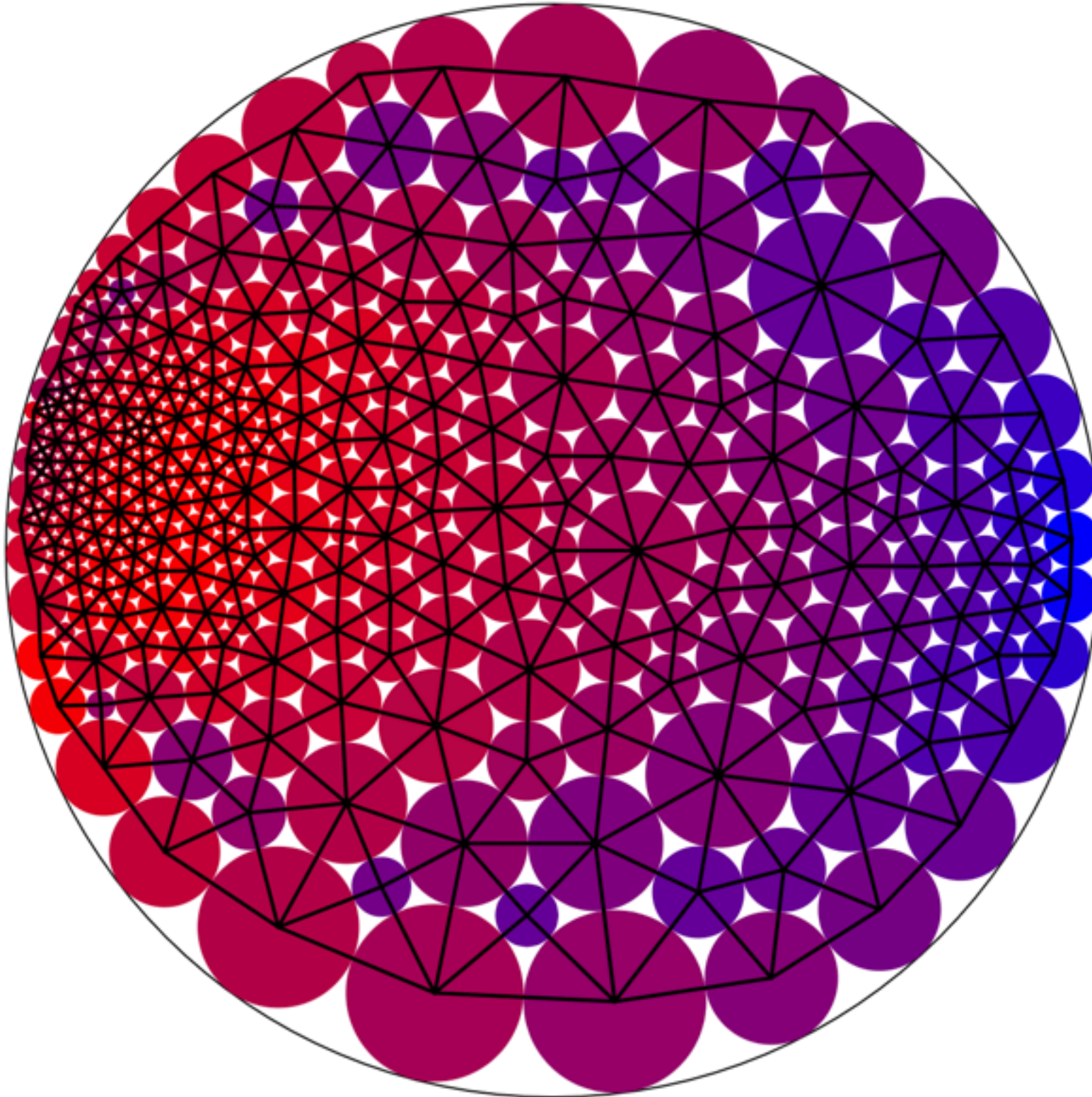


based on earlier work with Cosmin Pohoata (Emory)



Circle packing: given a planar triangulation, find a function  $f : V \rightarrow \mathbb{R}_+$

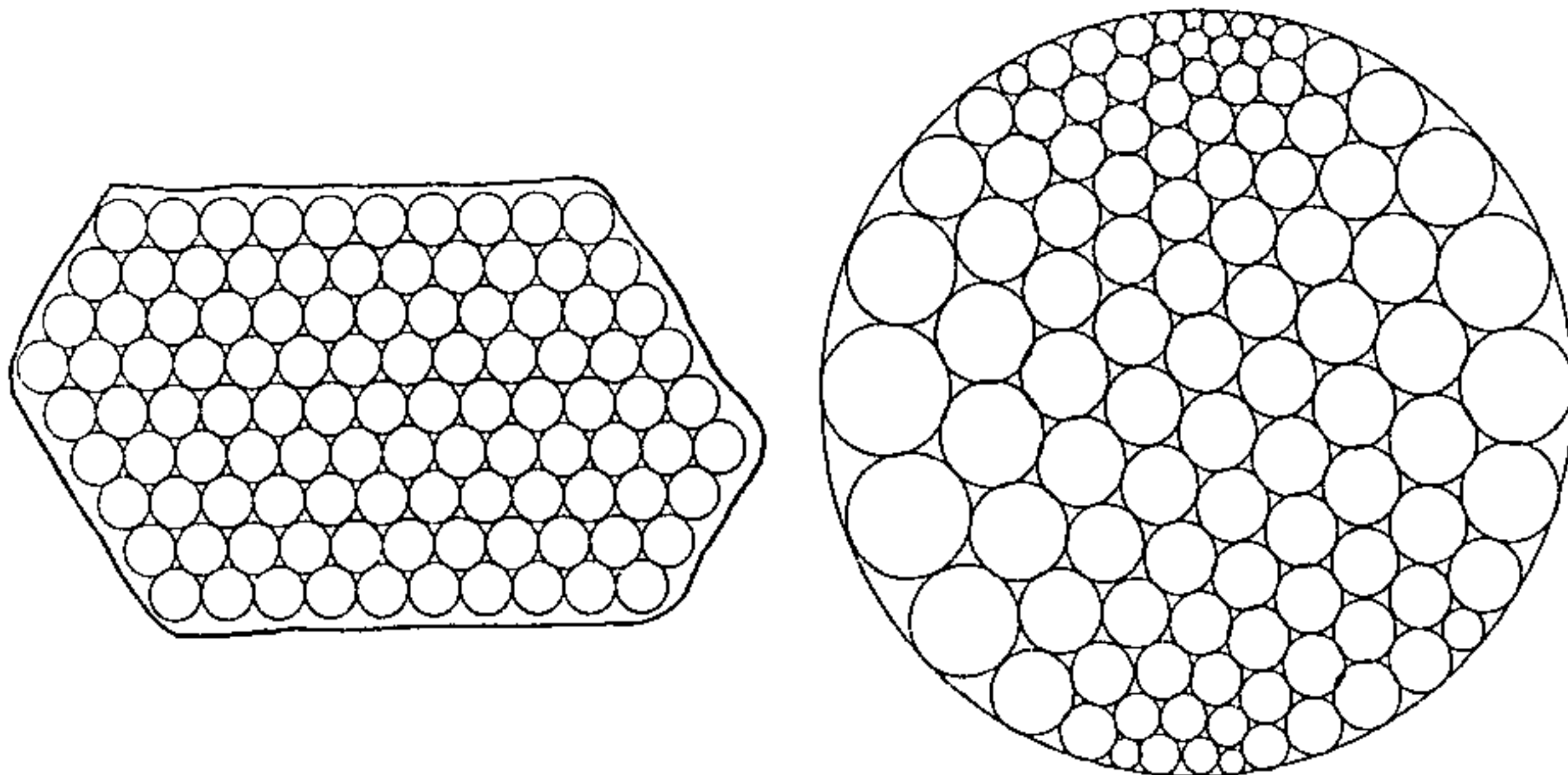
$f$  = “critical” radius



Colin-de-Verdière, Brägger, Springborn (...)

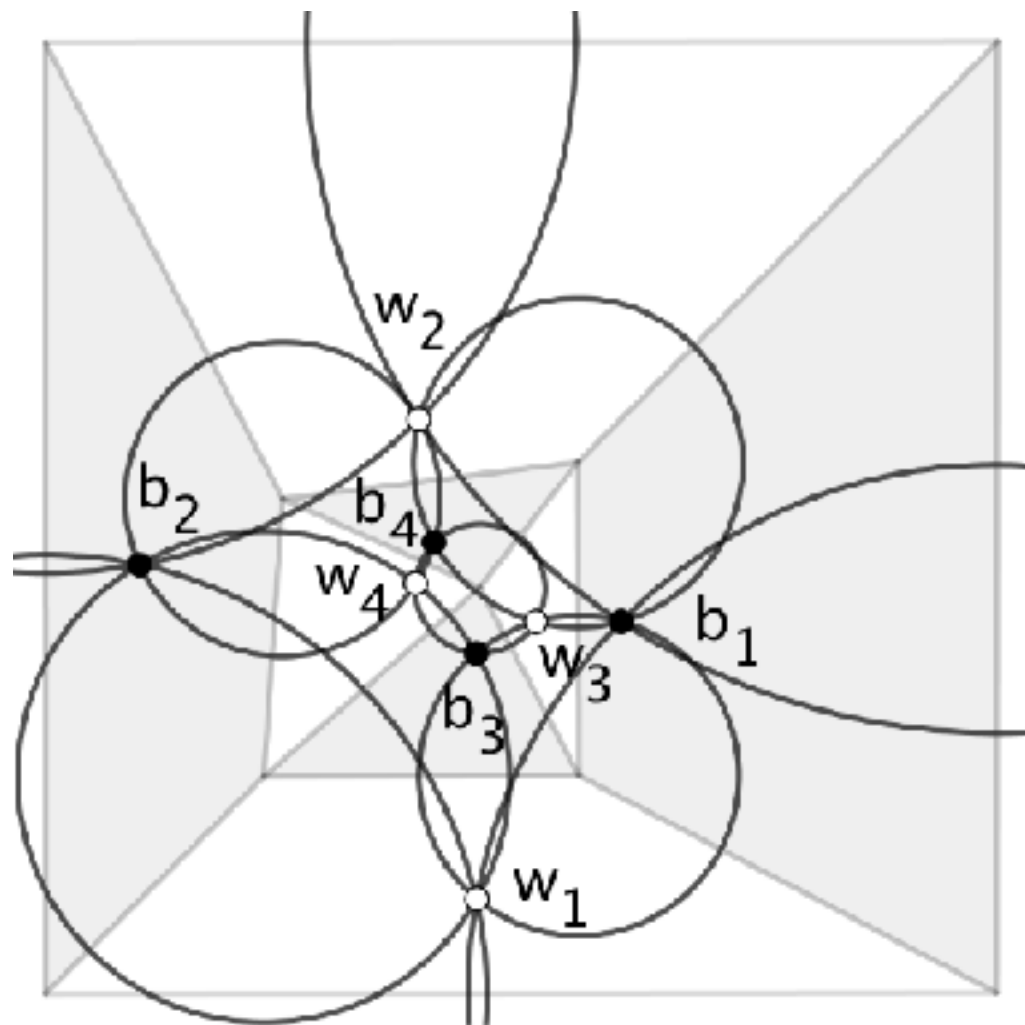
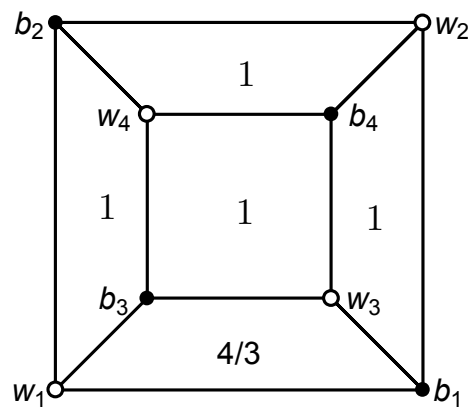
$f$  is determined as the critical point of a convex functional  $F(r_1, \dots, r_V)$ .

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Riemann mapping via convergent circle packings

Thurston, Rodin-Sullivan



Circle pattern: Given a bipartite quadrangulation with positive face weights, embed it so that faces are cyclic and circle center distances have given biratios.

[KLRR '22] To do this find a “Coulomb gauge”: Find  $F : V \rightarrow \mathbb{C}$  so that

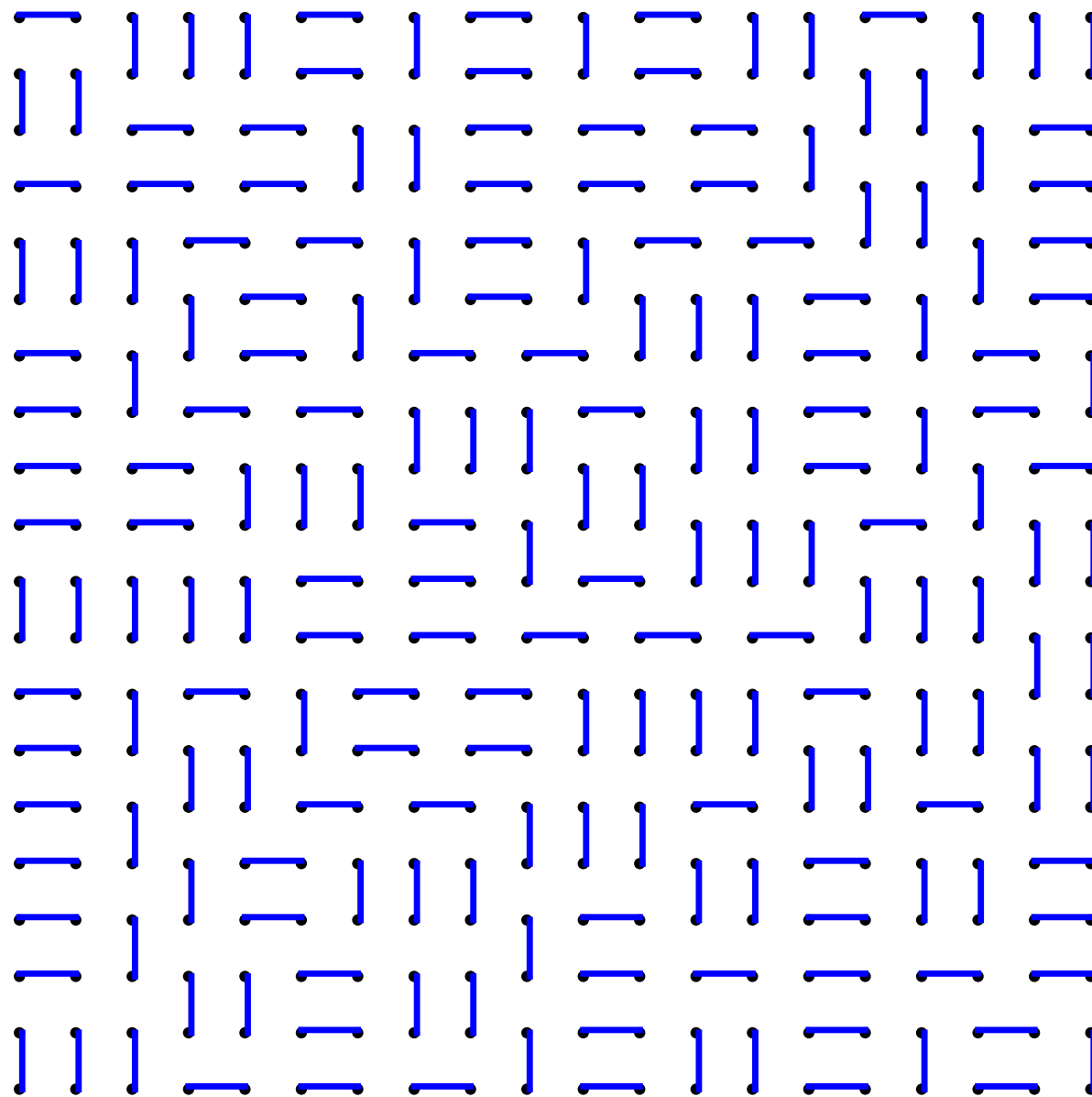
$$\sum_b K_{wb} F(b) = 0$$

$$\sum_w F(w) K_{wb} = 0$$

(and some boundary equations).

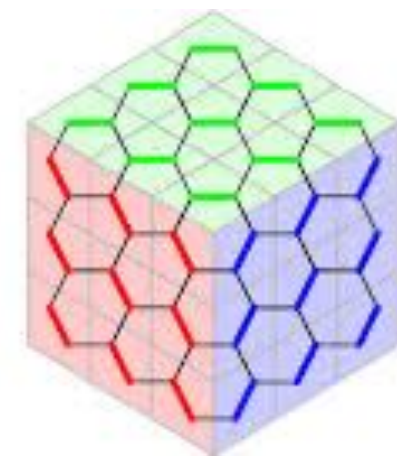
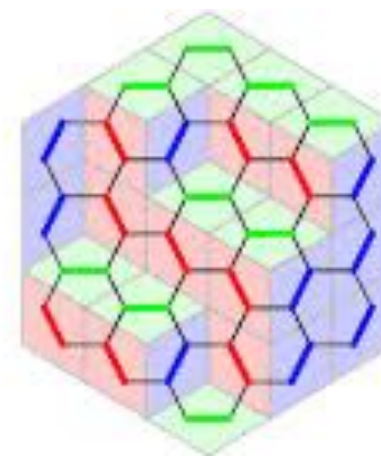
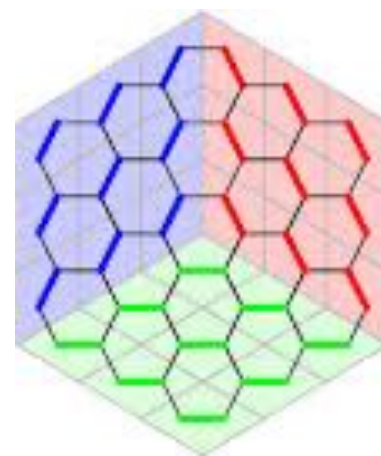
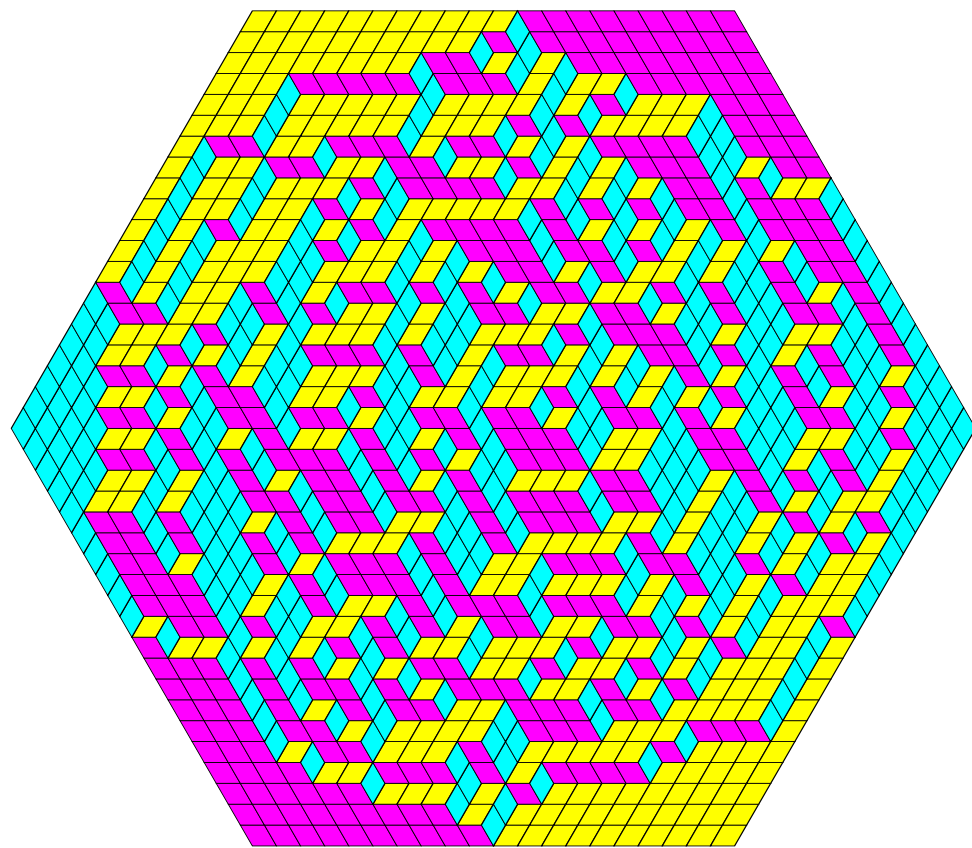


Dimer cover (or, perfect matching)



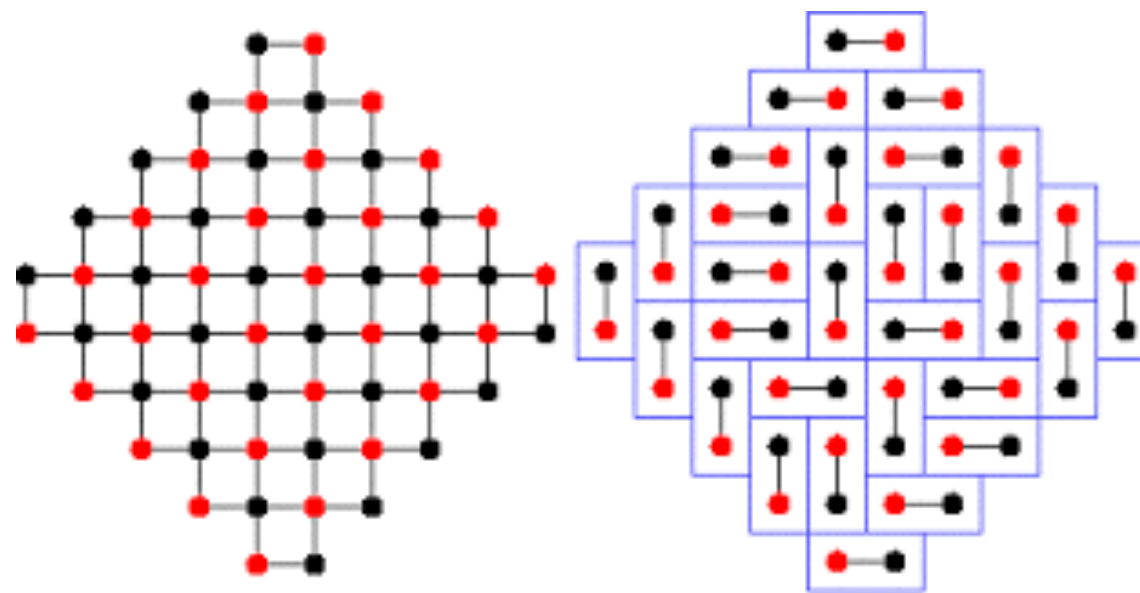
number of dimer covers of an  $n \times n$  square [Kasteleyn, Temperley/Fisher 1961]

$$Z_{n \times n} = \left[ \prod_{j=1}^n \prod_{k=1}^n \left( 2 \cos \frac{\pi j}{n+1} + 2i \cos \frac{\pi k}{n+1} \right) \right]^{1/2}$$



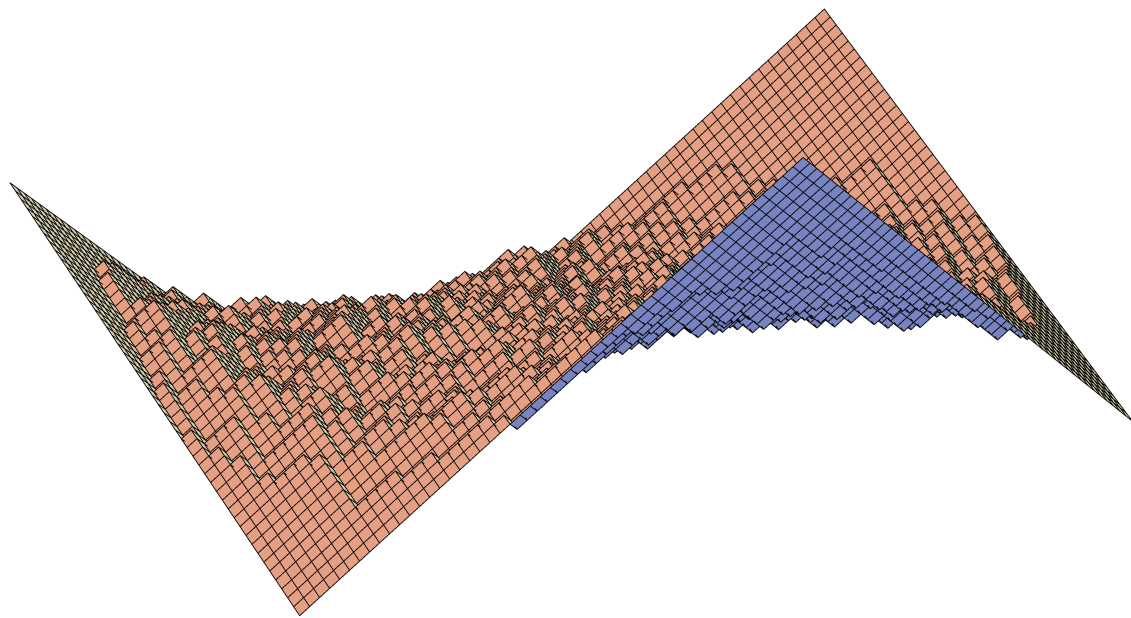
Number of “boxed plane partitions” =  
Macmahon (1896)

$$\prod_{i,j,k=1}^n \frac{i+j+k-1}{i+j+k-2}$$

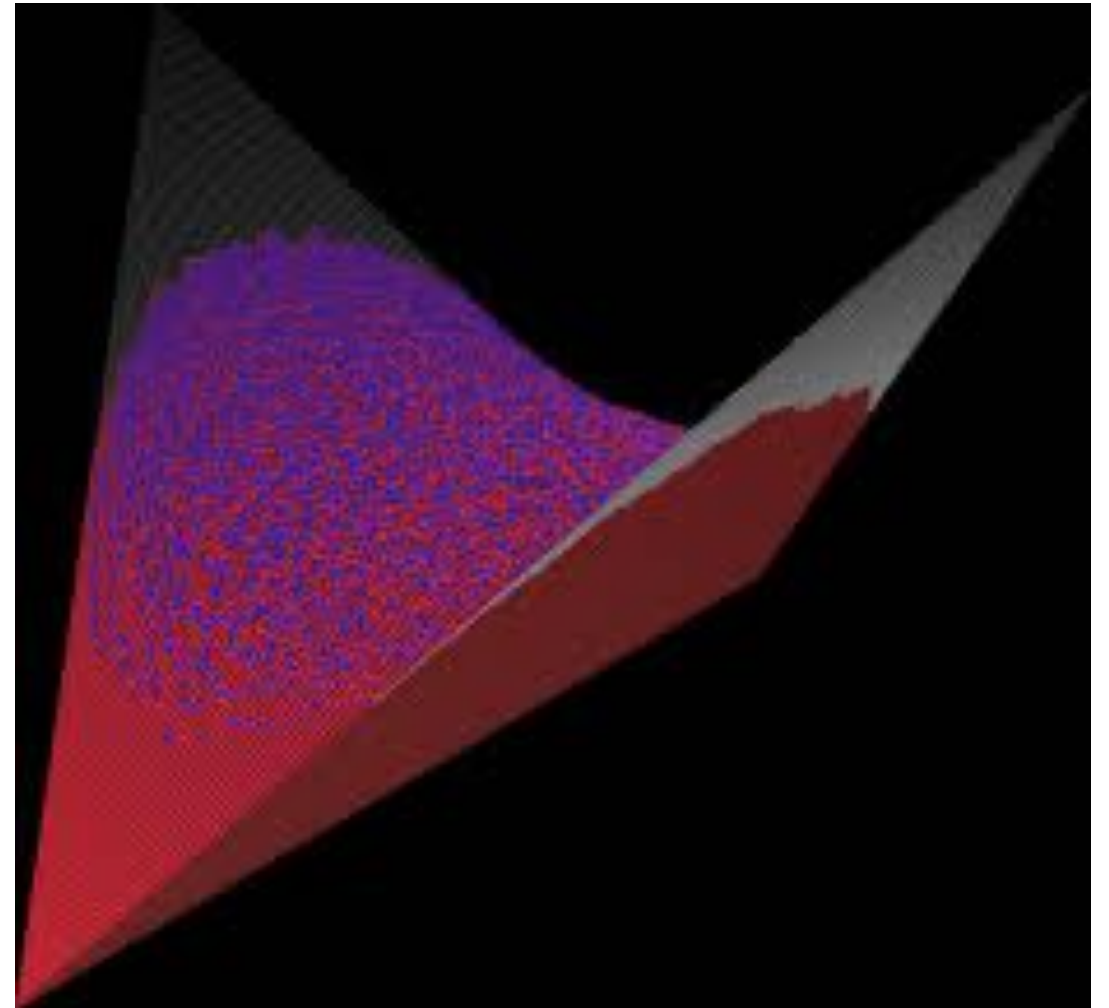


Number of dimer covers of the “Aztec diamond” =  $2^{\binom{n}{2}}$ .  
Elkies Kuperberg Larsen Propp (1992)

# Limit shapes (energy-minimizing surfaces)



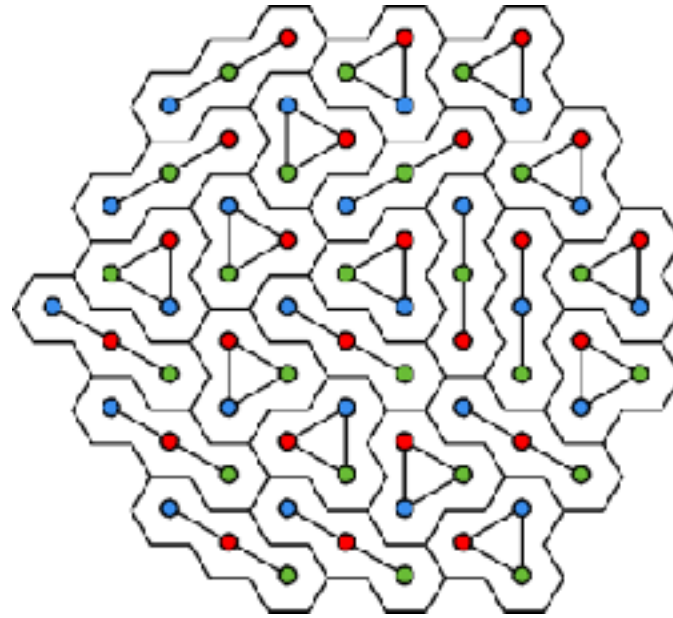
Cohn Larsen Propp '98



[picture by A. Borodin]

Cohn Elkies Propp '96

Counting tilings of regions with fixed tile shapes is NP-hard.



We define a variant of the tiling problem with easier (asymptotic) counting:

The multinomial tiling problem [K-Pohoata 2021].



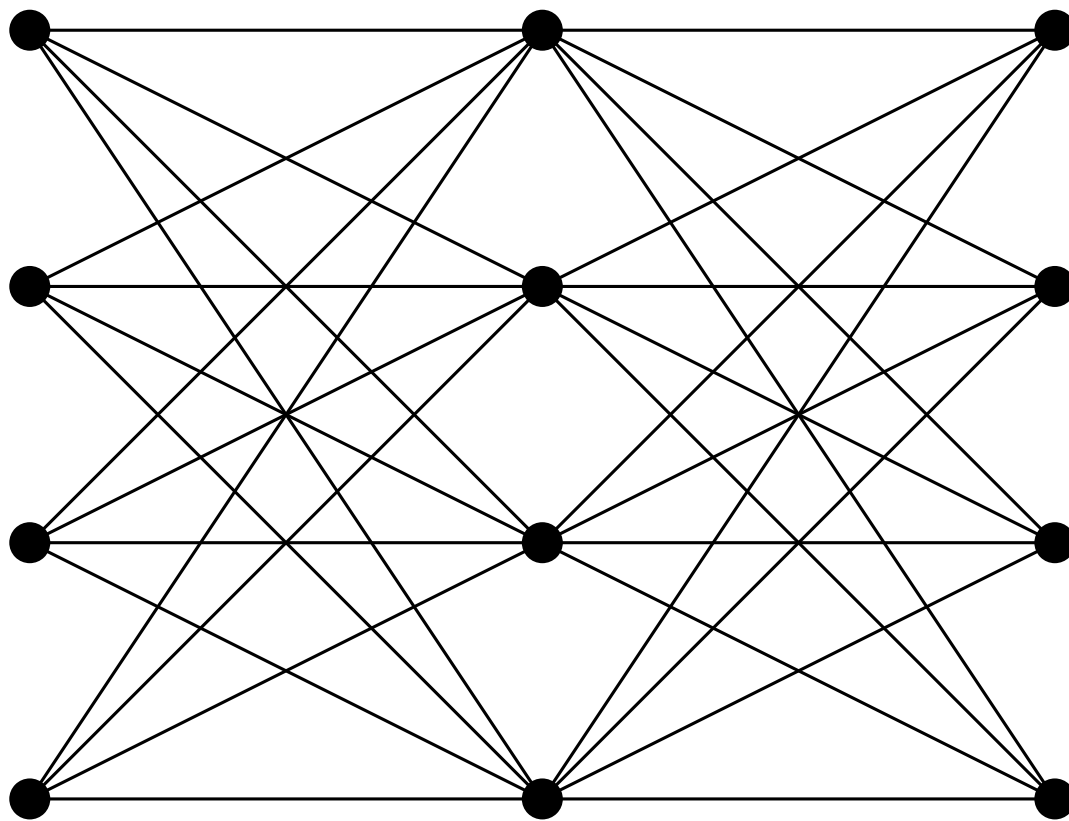
$G = (V, E)$  is a finite graph. Let  $T = \{t_1, \dots, t_k\}$  be the tiles;  $t_i \subset V$ .

Let  $G_n$  be the  $n$ -fold *blow-up graph* of  $G$ :

$G_n$  has vertices  $V \times \{1, 2, \dots, n\}$

$G_n$  has edges  $(u, i) \sim (v, j)$  whenever  $u \sim v$ .

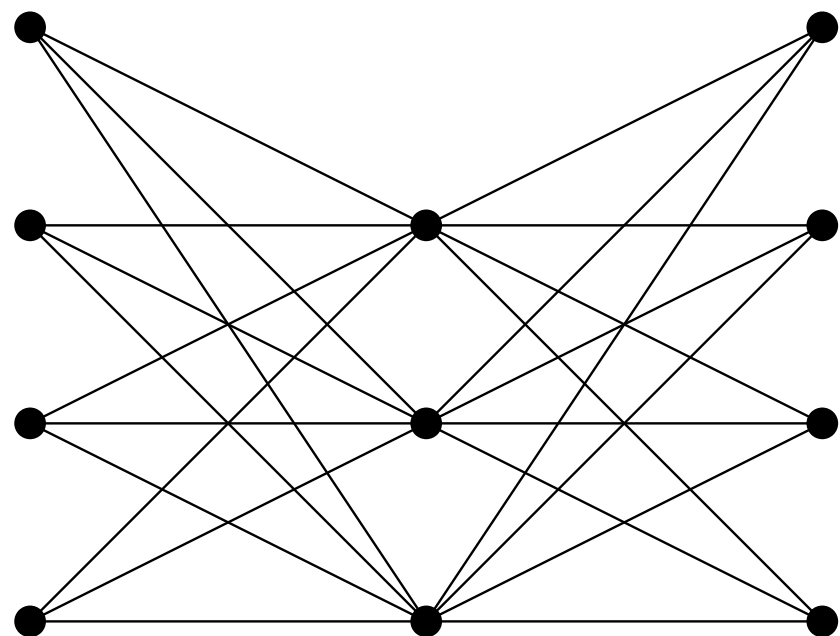
$G_4$



$G$



We can also let  $n$  vary from vertex to vertex:  $\mathbf{n} = (n_1, \dots, n_V)$ .



$G_n$



$G$

Let  $Z(\mathbf{n})$  be the number of tilings of  $G_n$  by lifts of  $\{t_1, \dots, t_k\}$ .

Let  $x_v$  a variable for each vertex  $v$  of  $G$ .

Let  $P(\mathbf{x}) = \sum_t \prod_{v \in t} x_v$  be the “tiling polynomial”.

**Thm [K'-Pohoata 2021]:**

$$Z := \sum_{\mathbf{n} \geq 0} Z(\mathbf{n}) \frac{\mathbf{x}^{\mathbf{n}}}{\mathbf{n}!} = e^P.$$

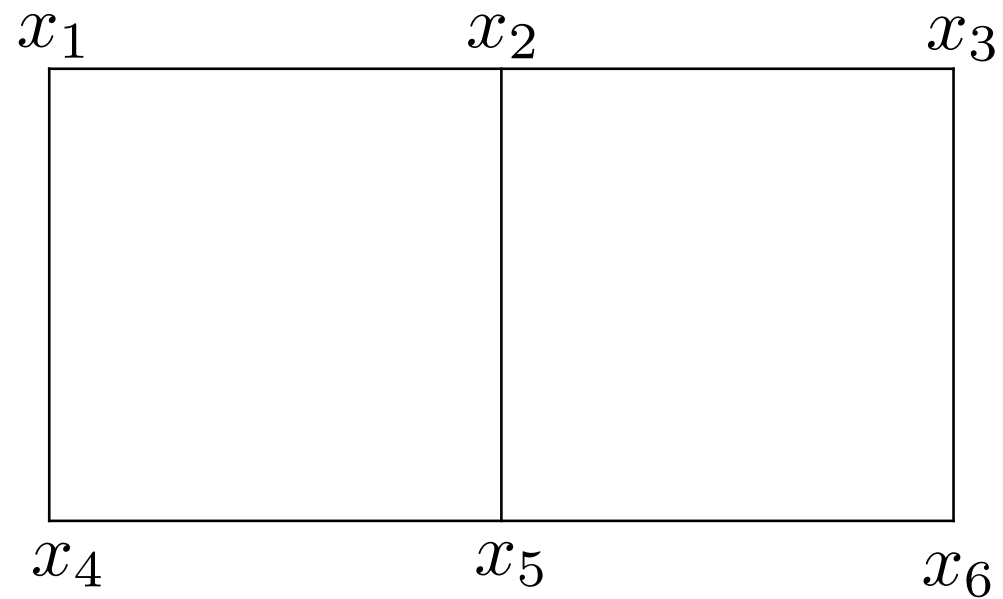
$$\frac{\mathbf{x}^{\mathbf{n}}}{\mathbf{n}!} := \prod_v \frac{x_v^{n_v}}{n_v!}$$

Note: if use  $K$  tiles:  $\frac{P^k}{K!}$ .

We'll now assume that  $T = \{\text{edges of } G\}$  (i.e. the dimer model).

# Probabilistic interpretation

Think of  $P$  as a (scaled) probability generating function.

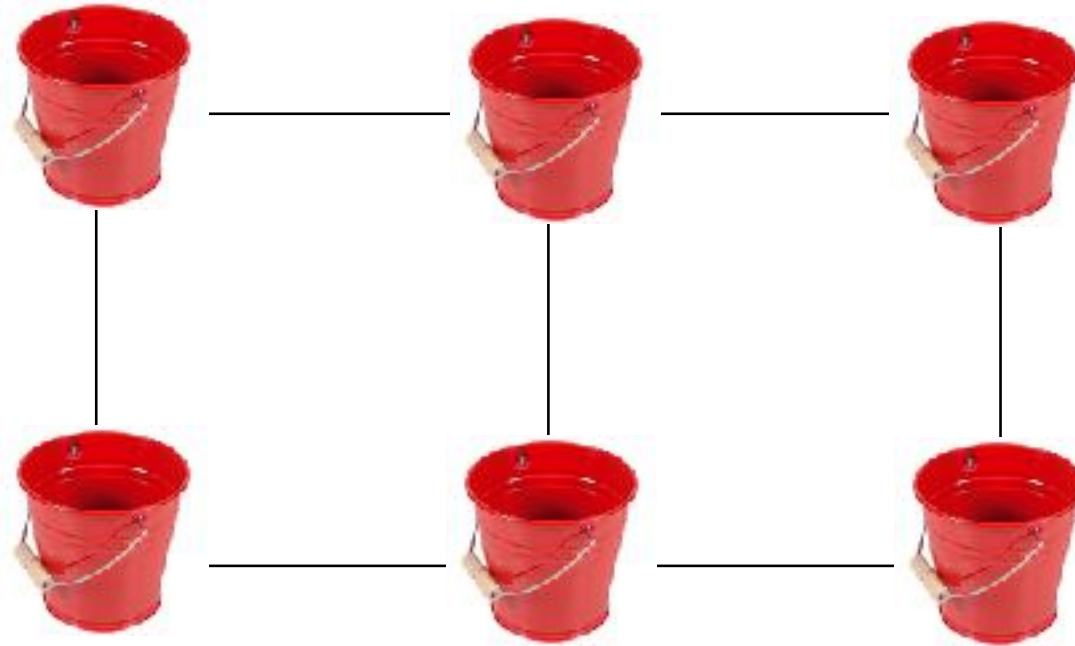


$$P = x_1x_2 + x_1x_4 + x_2x_3 + x_2x_5 + x_3x_6 + x_4x_5 + x_5x_6$$



## Probabilistic interpretation

Think of  $P$  as a (scaled) probability generating function.



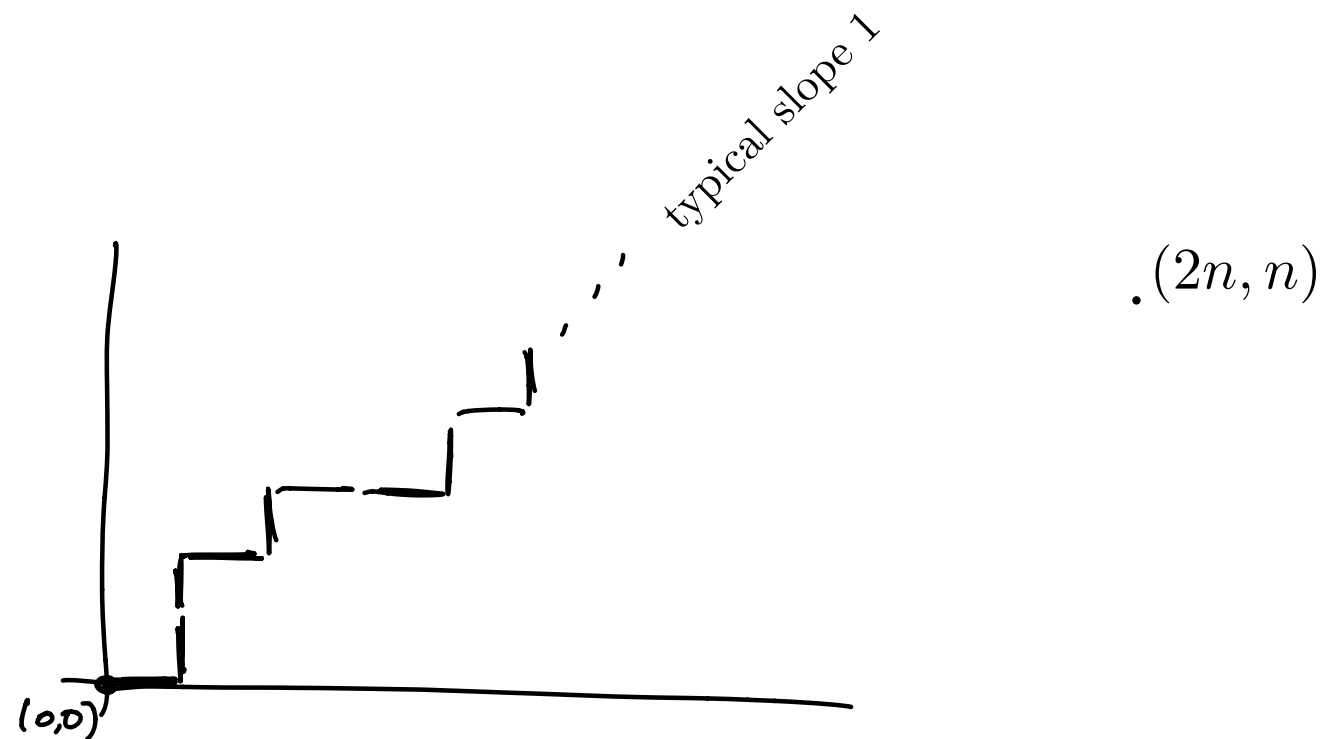
$$P = x_1x_2 + x_1x_4 + x_2x_3 + x_2x_5 + x_3x_6 + x_4x_5 + x_5x_6$$

Select an edge at random; drop a ball into its two buckets. Repeat  $K$  times.

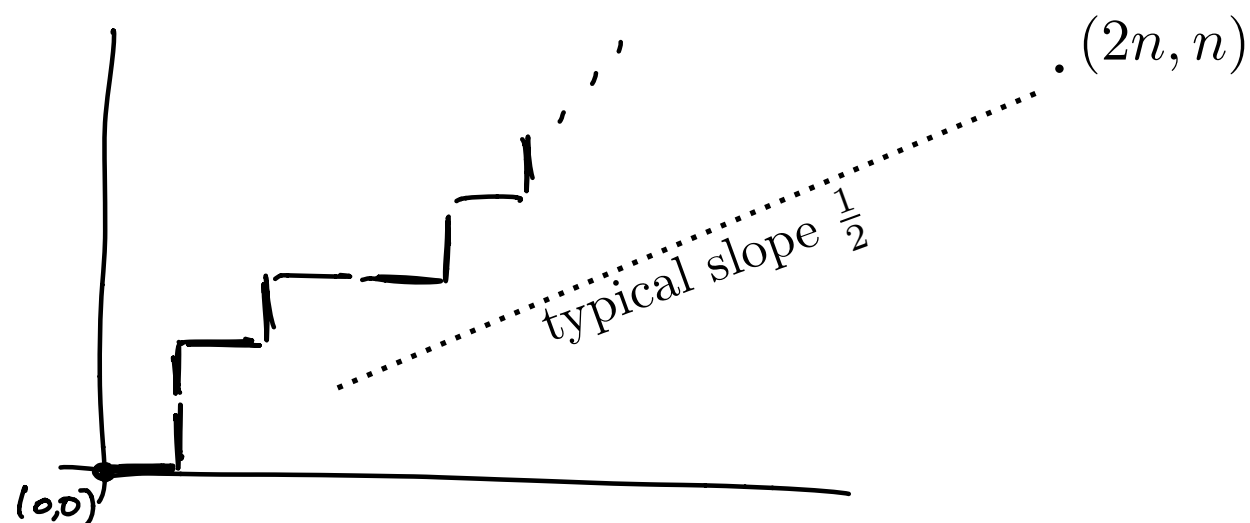
**Condition** on the event that all buckets filled after  $K = 3n$  steps.

Problem: central buckets fill up faster...

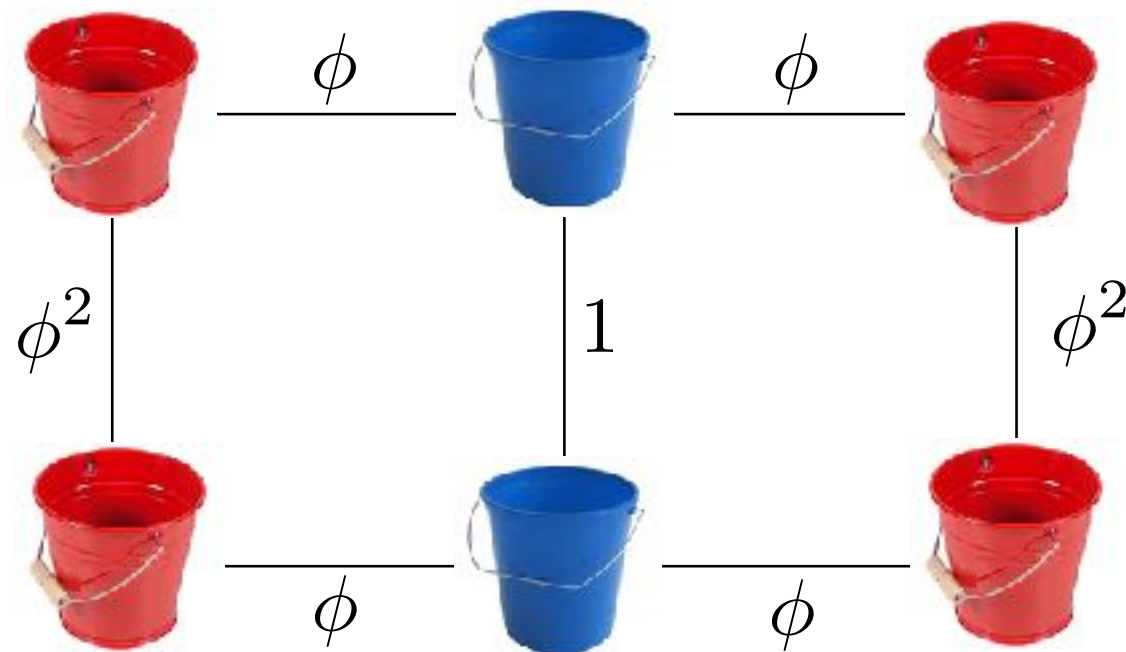
Analogous problem: find coefficient of  $x^{2n}y^n$  in  $(x+y)^{3n}$ .



Bias by changing  $x$  to  $\frac{2}{3}x$  and  $y$  to  $\frac{1}{3}y$ .



Gauge change: change edge probabilities to  $pr(uv) = f(u)f(v)$ , so that all buckets fill *at the same rate*, but the conditional distribution is unchanged.



$$= \phi$$

$$\phi^2 + \phi = 2\phi + 1$$

$$\phi = \frac{\sqrt{5} + 1}{2}$$

# Asymptotics

Let  $K = \text{number of dimers} = \frac{1}{2} \sum n_v$ .

Suppose  $\mathbf{n} \rightarrow \infty$  with  $\frac{n_v}{K} \rightarrow \alpha_v$ .

(So  $\alpha_v$  is the fraction of dimers covering  $v$ .)

**Thm[KP]:** We have  $Z(\mathbf{n}) = K! e^{cK + o(K)}$  where

$$c = \log P(\mathbf{x}) - \sum_v \alpha_v \log(x_v / \alpha_v)$$

and where the  $x_v$  are the (essentially) unique positive solution to

$$x_v \frac{\partial}{\partial x_v} \log P = \alpha_v.$$

Here  $c$  is a strictly convex function of the  $\{\log x_v\}$ .

we call  $\{x_v\}$  the *critical gauge*.



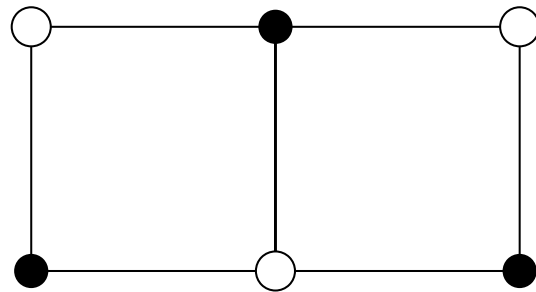
If  $\mathbf{n} \equiv n$  the critical gauge (up to scale) satisfies

$$\sum_{u \sim v} x_u x_v = 1.$$

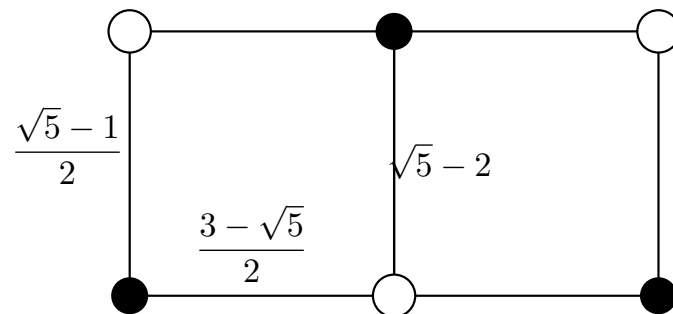
i.e. it is the gauge in which the sum of edge weights around a vertex is 1.

Then “dimer probabilities” (edge fractions) are  $x_u x_v$ .

Example.

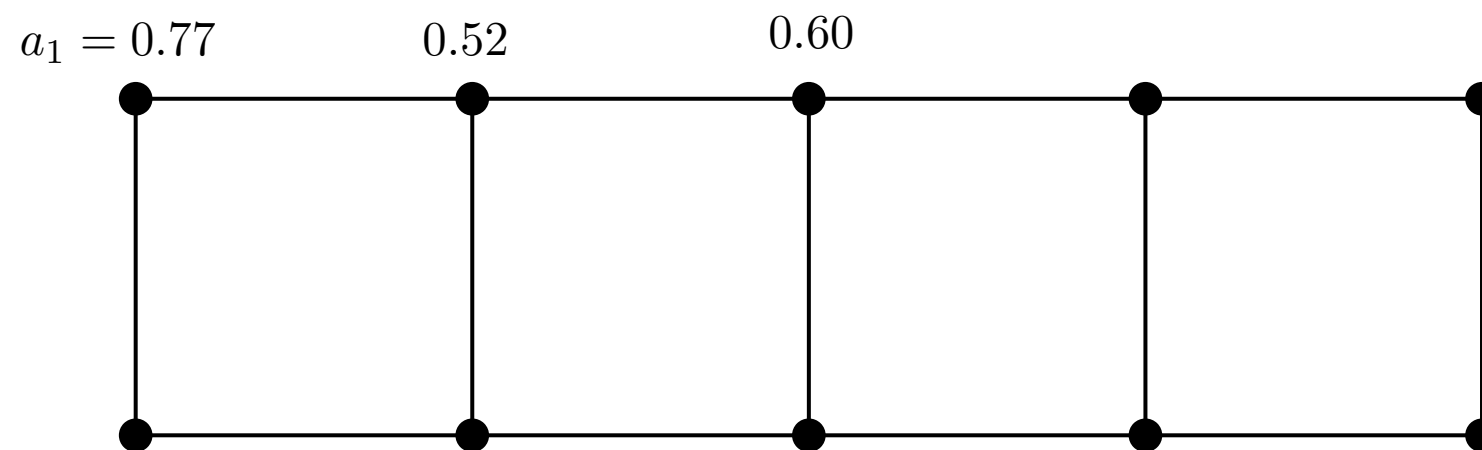
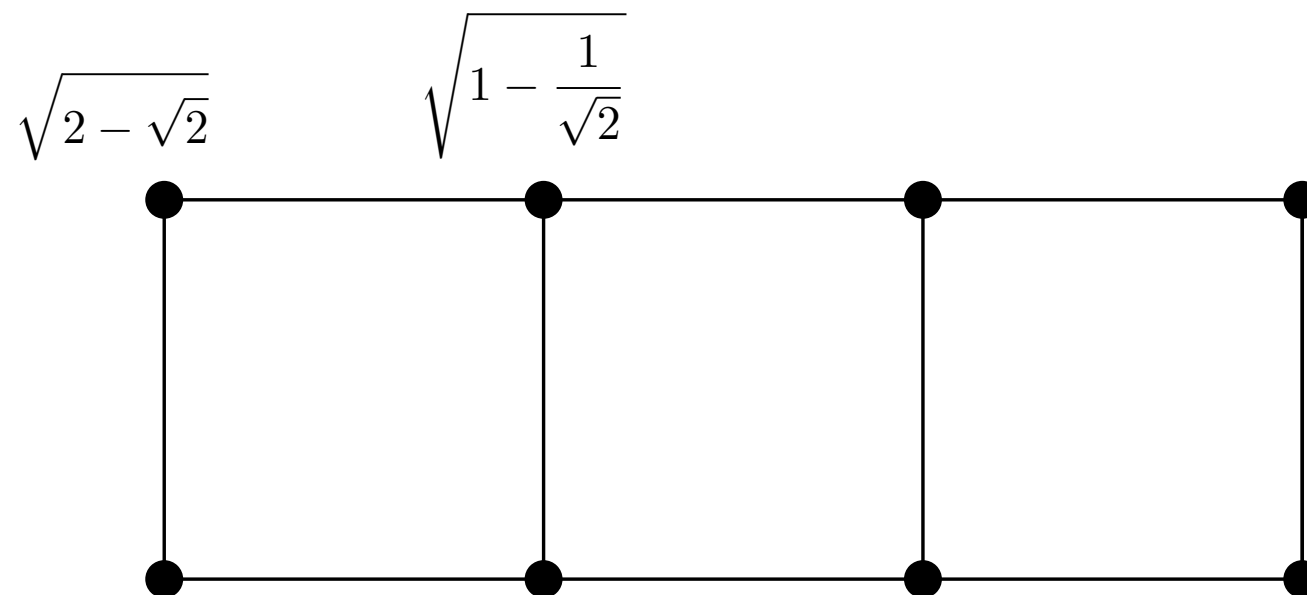


$$\begin{pmatrix} x_1 & 0 & 0 \\ 0 & x_2 & 0 \\ 0 & 0 & x_3 \end{pmatrix} \begin{pmatrix} 1 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} \begin{pmatrix} x_4 & 0 & 0 \\ 0 & x_5 & 0 \\ 0 & 0 & x_6 \end{pmatrix} = \begin{pmatrix} \frac{\sqrt{5}-1}{2} & \frac{3-\sqrt{5}}{2} & 0 \\ \frac{3-\sqrt{5}}{2} & \sqrt{5}-2 & \frac{3-\sqrt{5}}{2} \\ 0 & \frac{3-\sqrt{5}}{2} & \frac{\sqrt{5}-1}{2} \end{pmatrix}$$



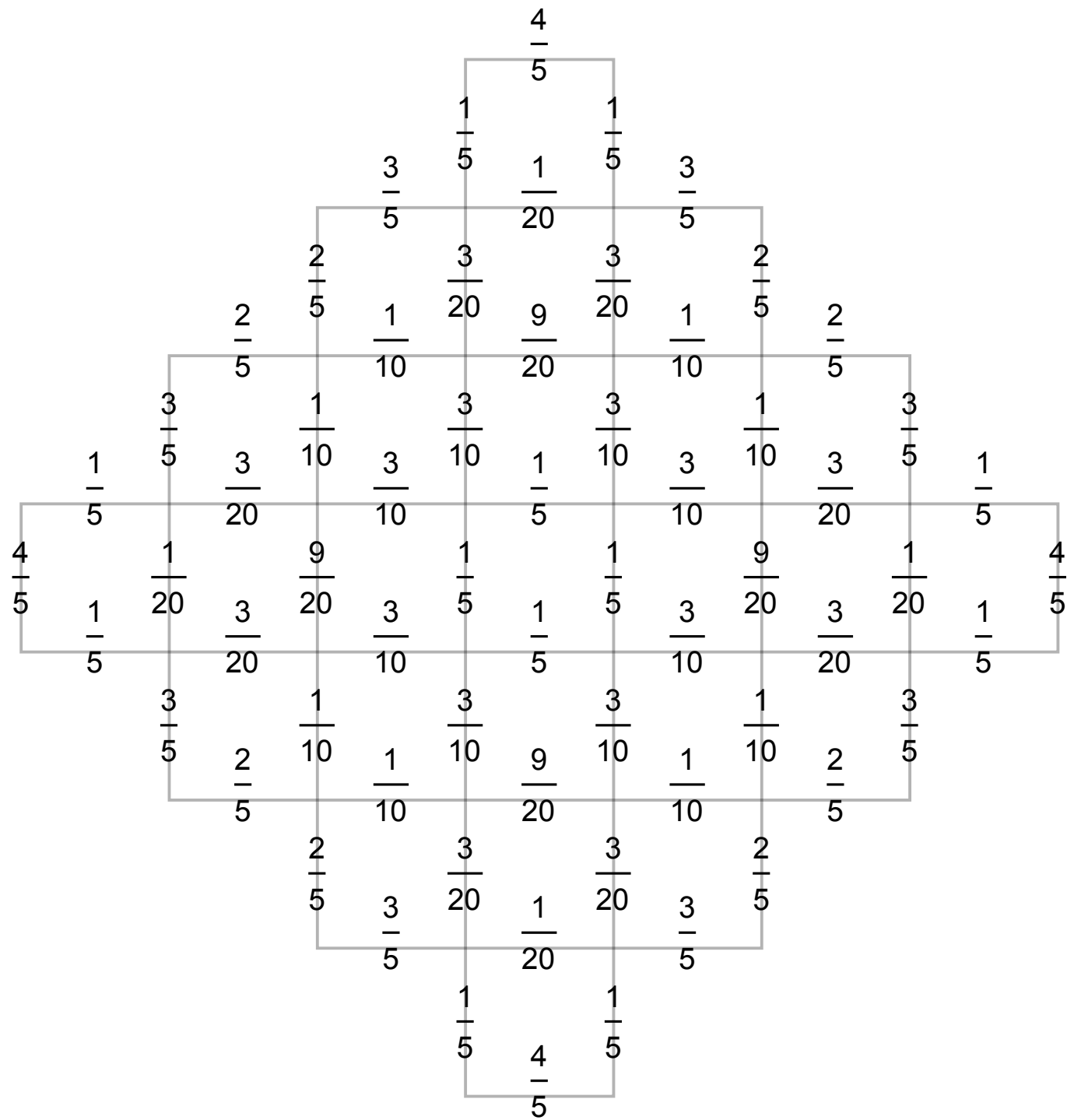
“make the adjacency matrix bistochastic”

critical gauges for some simple graphs

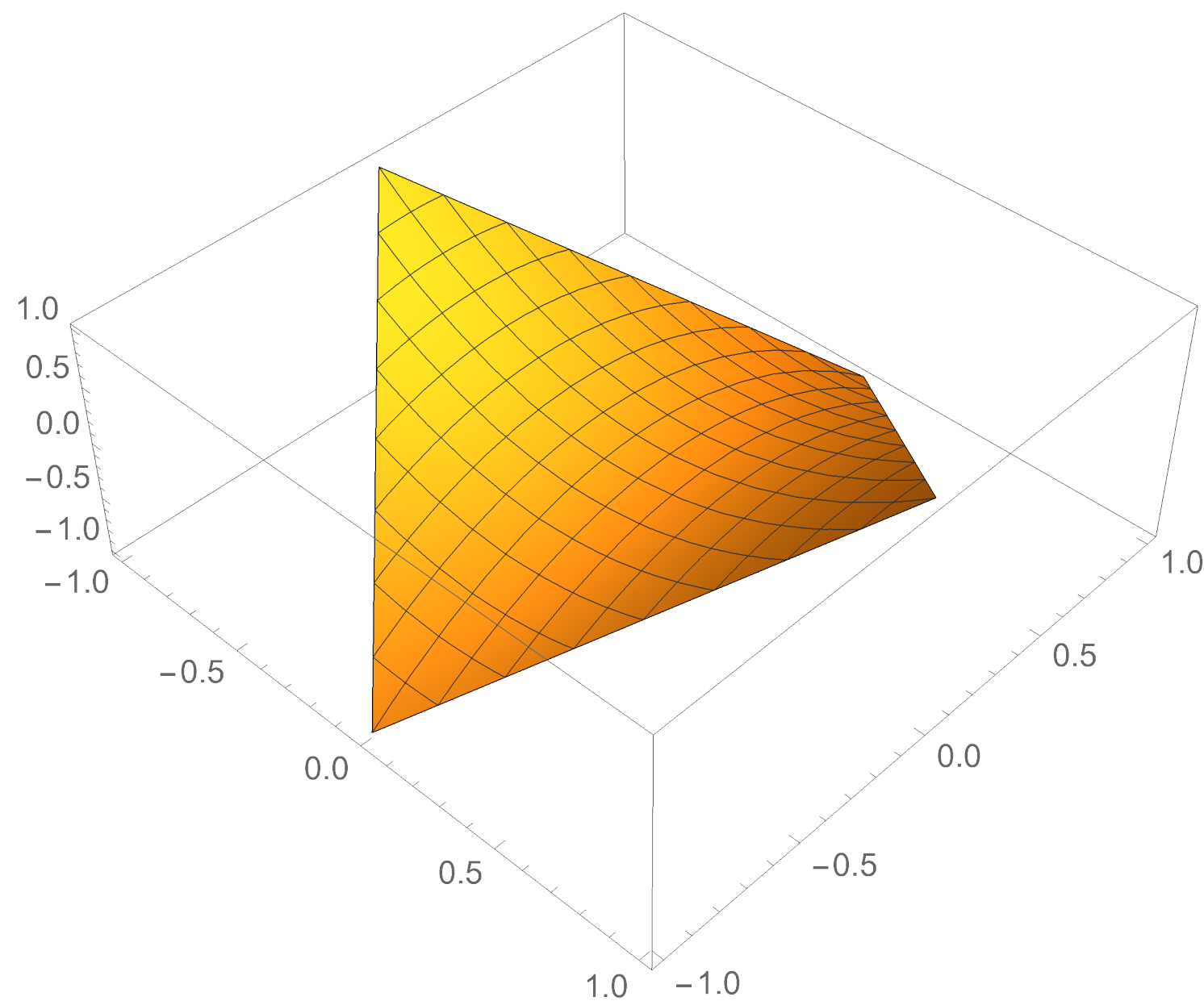
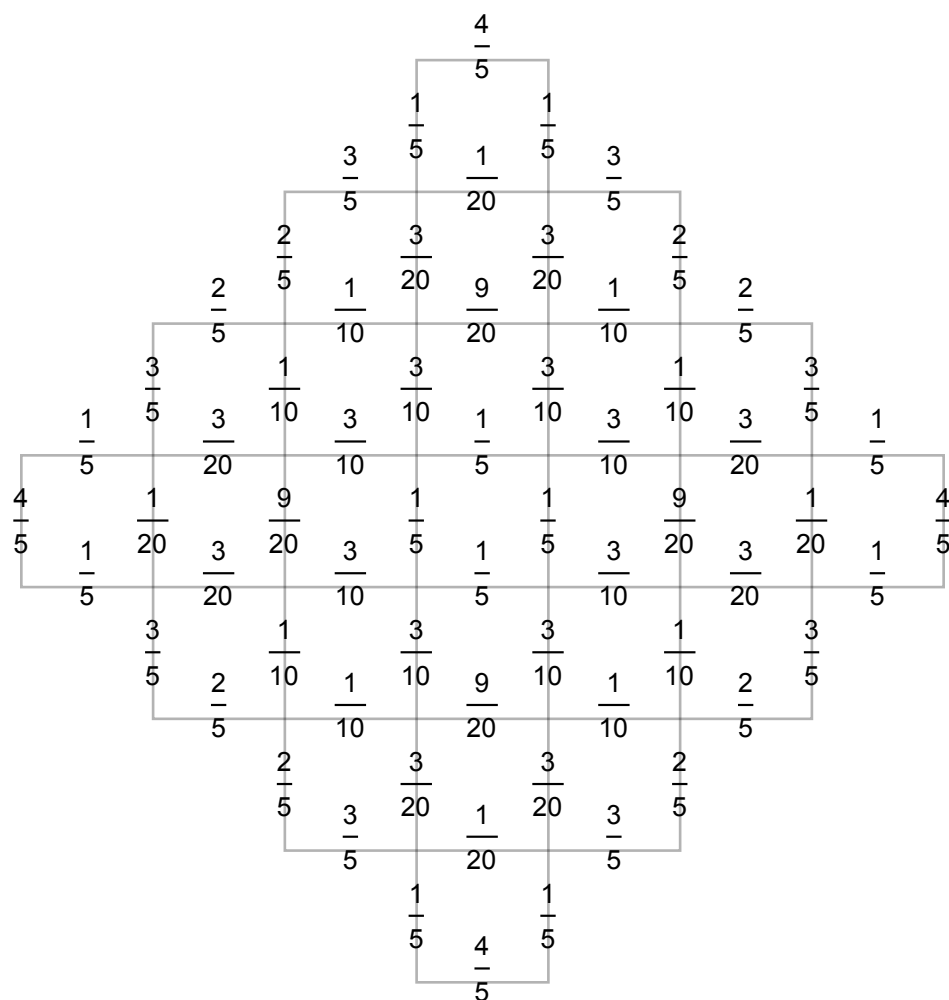


$$3a_1^6 - 4a_1^4 + 3a_1^2 - 1 = 0$$

critical gauge for Aztec diamond



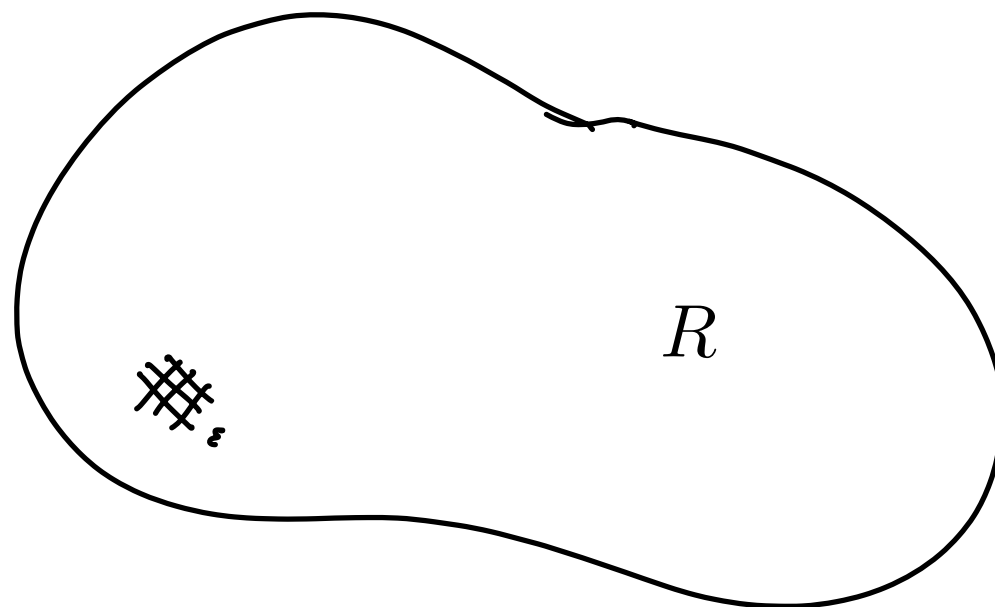
For the critical gauge as above, the tile fractions (edge probabilities) are  $x_u x_v$ .



The scaling limit height function for the aztec diamond is  $h(x, y) = x^2 - y^2$ .



Variational principle:



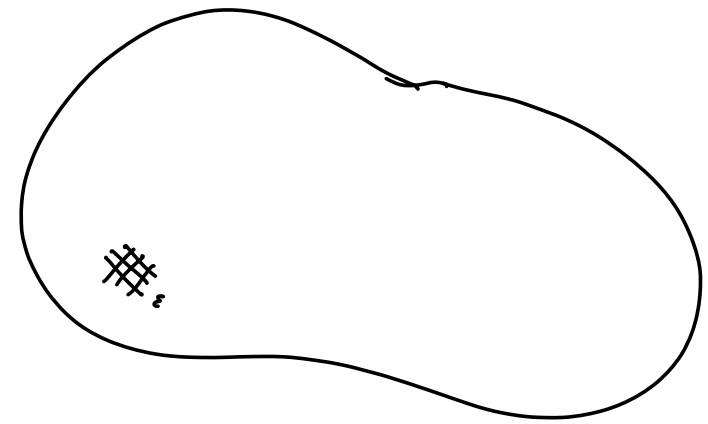
A region  $R$  in  $\mathbb{R}^2$  and approximating graph  $R_\epsilon \subset \mathbb{Z}^2$ .

In limit  $\epsilon \rightarrow 0$ , what is the critical gauge?

What is the limiting height function?

(Note: boundary height is determined by choice of boundary conditions for  $R_\epsilon$ )

Variational principle:



**Thm [K-Wolfram]:** For multinomial dimers on the scaling limit of (rotated)  $\mathbb{Z}^2$ , on a domain  $R$  with boundary height function  $u : \partial R \rightarrow \mathbb{R}$ , the limit height function  $h$  is the unique function with  $h|_{\partial R} = u$  maximizing

$$\text{Ent}(h) = \iint_R \sigma(\nabla h) dx dy$$

where

$$\sigma(s, t) = -\frac{1-s}{2} \log \frac{1-s}{2} - \frac{1+s}{2} \log \frac{1+s}{2} - \frac{1-t}{2} \log \frac{1-t}{2} - \frac{1+t}{2} \log \frac{1+t}{2}.$$

and  $(s, t) \in [-1, 1]^2$ .

The Euler-Lagrange equation for the limiting height function  $h$  is

$$\frac{h_{xx}}{1-h_x^2} + \frac{h_{yy}}{1-h_y^2} = 0.$$

General solutions can be written in terms of  ${}_2F_1$ 's.

The critical gauge (on black vertices) is

$$f(x, y) = e^{\frac{1}{\epsilon}(H(x,y)+o(1))}$$

where

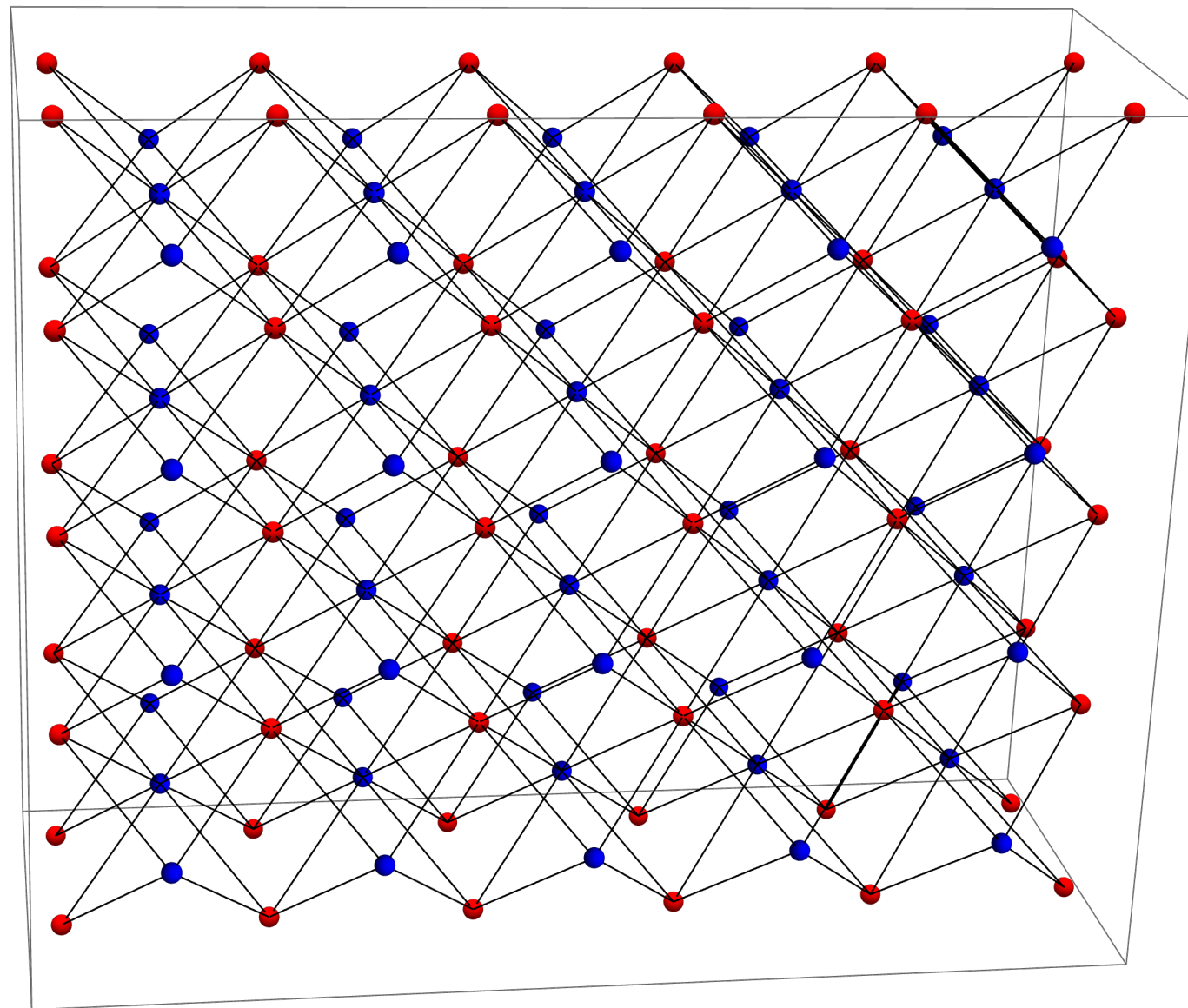
$$\frac{e^{H_x}}{(1 + e^{H_x})^2} H_{xx} + \frac{e^{H_y}}{(1 + e^{H_y})^2} H_{yy} = 0$$

PDEs for  $h$  and  $H$  are “dual”:

EL equation for  $h$  is equivalent to  $H_{xy} = H_{yx}$ .

Critical gauge equation for  $H$  is equivalent to  $h_{xy} = h_{yx}$ .

# Multinomial dimers on the “3D Aztec diamond” (on BCC lattice in $\mathbb{Z}^3$ )



Reds:  $a \times b \times c$  box

Blues:  $(a + 1) \times (b - 1) \times (c - 1)$  box

$$abc = (a + 1)(b - 1)(c - 1)$$

Dimers in 3D are not described by a height function, but a (divergence free) vector field

The critical gauge is given by

$$x(i, j, k) = \frac{\binom{a}{i}}{\binom{b}{j} \binom{c}{k}}$$

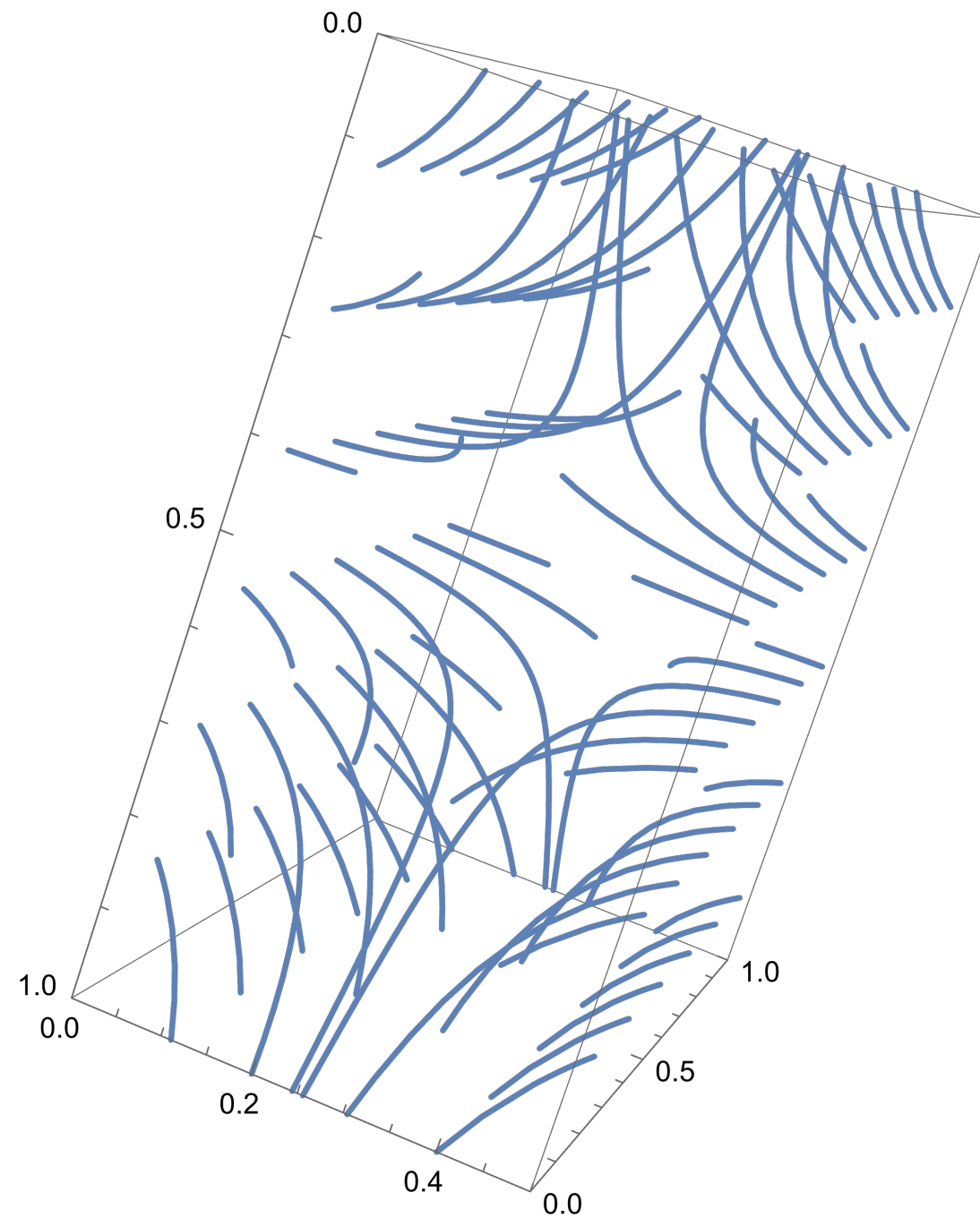
at red vertices and

$$x(i', j', k') = \frac{\binom{b-1}{j} \binom{c-1}{k}}{\binom{a+1}{i+1}} \frac{bc}{(b+1)(c+1)}$$

at blue vertices.

The limit vector field in  $[0, \alpha] \times [0, \beta] \times [0, \gamma]$  is

$$\left(\frac{2x}{\alpha} - 1, 1 - \frac{2y}{\beta}, 1 - \frac{2z}{\gamma}\right)$$



integral curves of the vector field

PDE for  $H$ :

$$\frac{\partial}{\partial x} \left( \frac{1}{1 + e^{H_x}} \right) + \frac{\partial}{\partial y} \left( \frac{1}{1 + e^{H_y}} \right) + \frac{\partial}{\partial z} \left( \frac{1}{1 + e^{H_z}} \right) = 0.$$

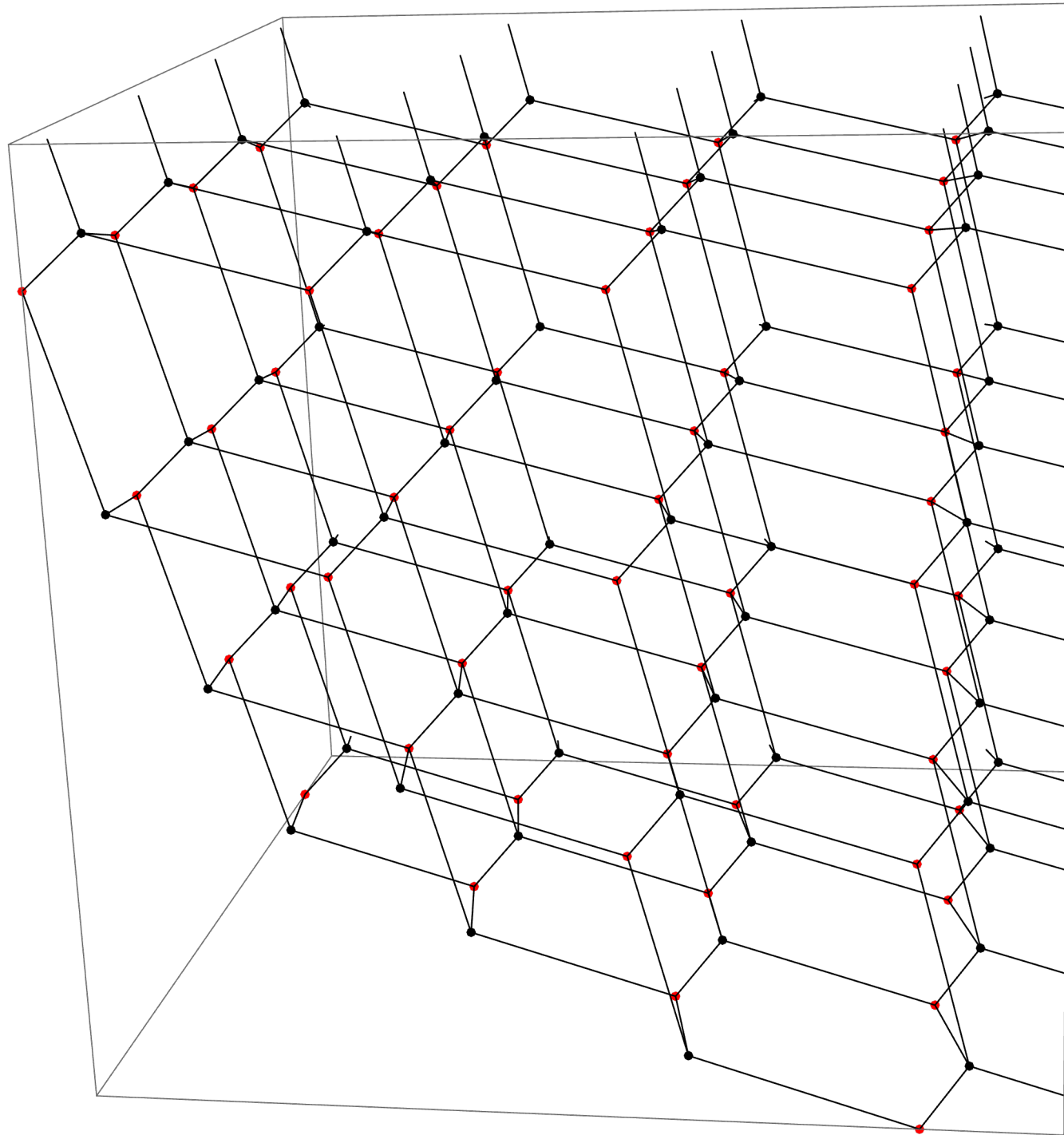
This is equivalent to the divergence-free condition for  $\vec{u}$ .

$$\vec{u} = (u, v, w) = \left( \frac{1 - e^{H_x}}{1 + e^{H_x}}, \frac{1 - e^{H_y}}{1 + e^{H_y}}, \frac{1 - e^{H_z}}{1 + e^{H_z}} \right).$$

The EL equations for  $\vec{u}$  are the mixed partial conditions for  $H$ :

$$\begin{aligned} \frac{u_y}{1 - u^2} &= \frac{v_x}{1 - v^2} & H_{xy} &= H_{yx} \\ \frac{v_z}{1 - v^2} &= \frac{w_y}{1 - w^2} & H_{yz} &= H_{zy} \\ \frac{w_x}{1 - w^2} &= \frac{u_z}{1 - u^2} & H_{xz} &= H_{zx} \end{aligned}$$

# 3D “Honeycomb” model (diamond lattice dimer model)





This graph also has an explicit gauge involving trinomial coefficients.

The criticality equation for  $H$ :

$$\frac{\partial}{\partial x} \left( \frac{H_x}{1 + H_x + H_y + H_z} \right) + \frac{\partial}{\partial y} \left( \frac{H_y}{1 + H_x + H_y + H_z} \right) + \frac{\partial}{\partial z} \left( \frac{H_z}{1 + H_x + H_y + H_z} \right) = 0$$

Scaling limit vector field on “truncated orthant”  $\{x + y + z > 1\}$ :

$$(u, v, w) = \left( \frac{x}{(x + y + z)^3}, \frac{y}{(x + y + z)^3}, \frac{z}{(x + y + z)^3} \right)$$

THANK YOU