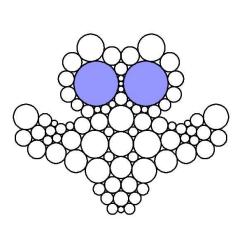
## Experimental Takes: Circle Packing and Discrete Schwarzians

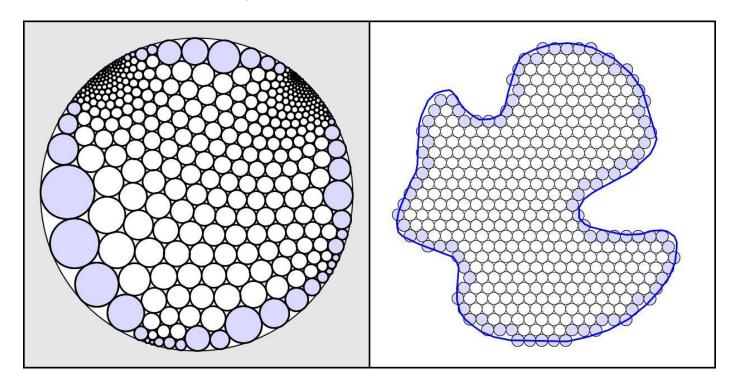


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University of Tennessee, Knoxville
ICERM 2025

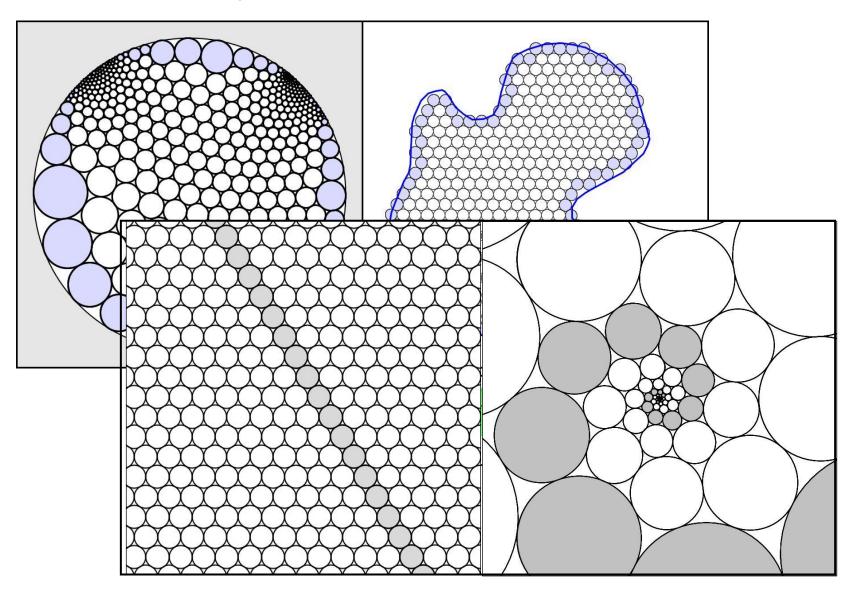
#### **Outline**

- Circle packing and discrete analytic functions: Missing in action: discrete rational functions
- Schwarzian derivatives, classical and discrete
- "Intrinsic" schwarzians
- Normalized flower layouts
- Special flowers

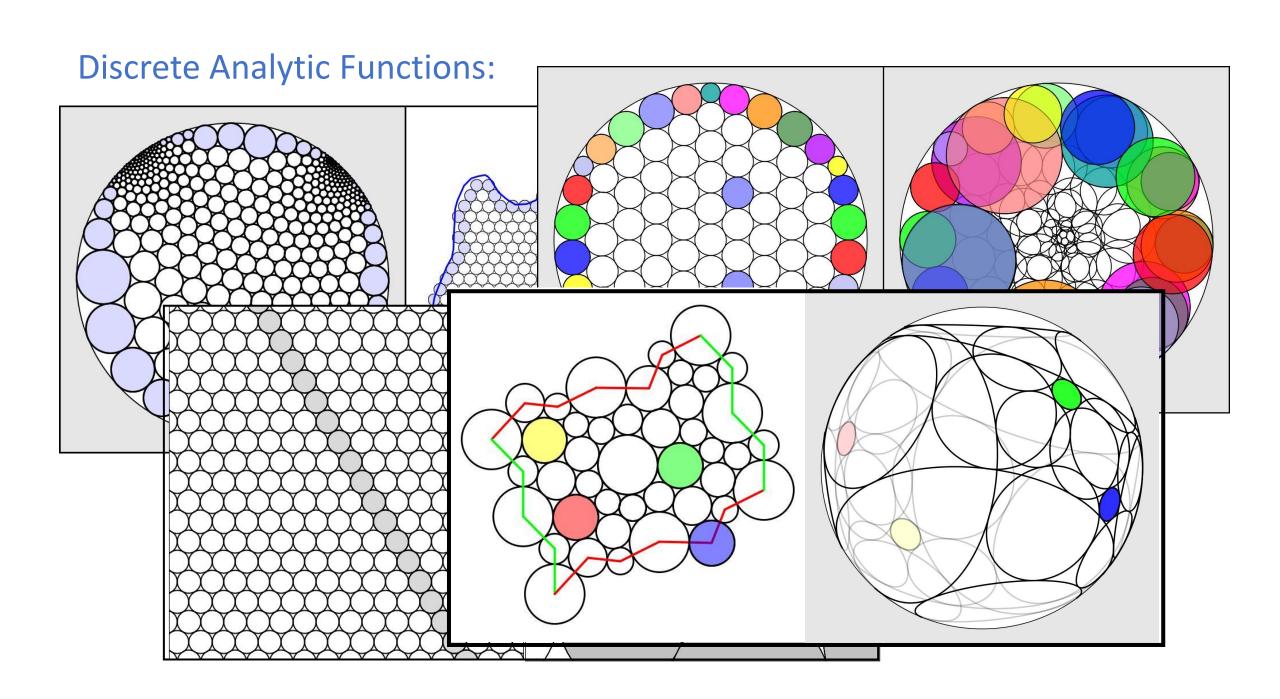
#### Discrete Analytic Functions:

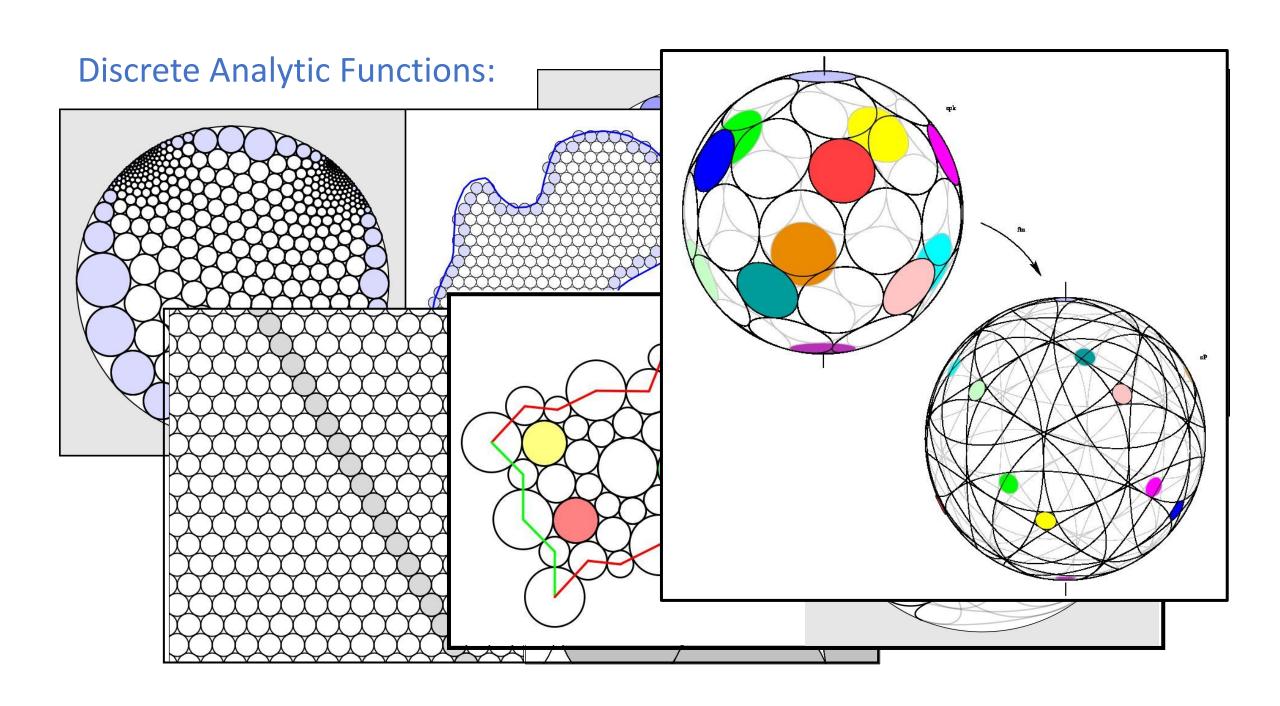


#### Discrete Analytic Functions:



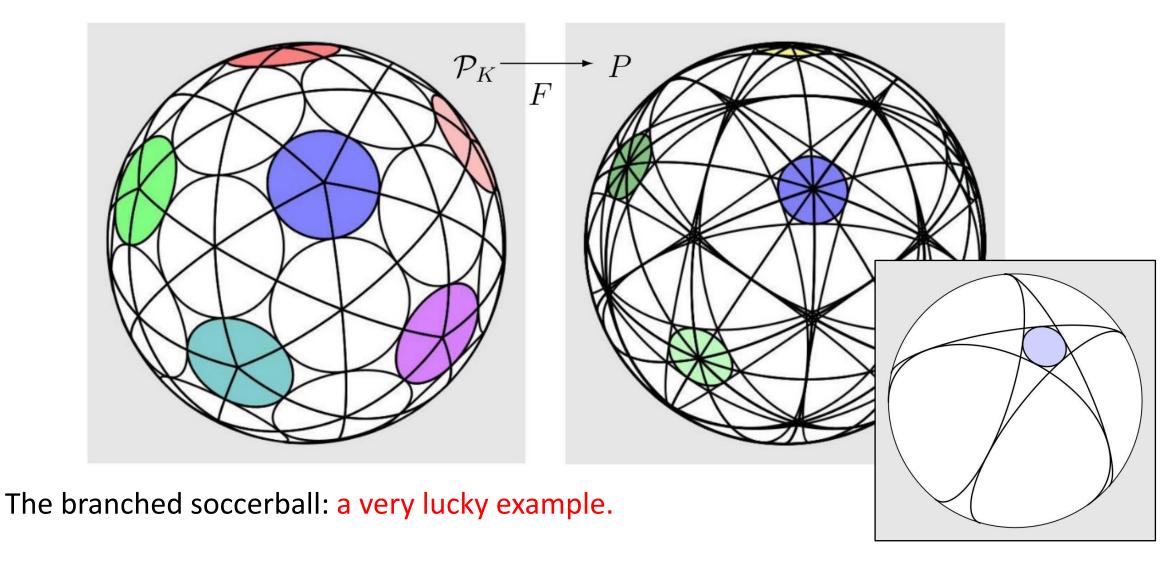
# Discrete Analytic Functions:





#### A fly in the Ointment:

Creating/manipulating discrete rational functions

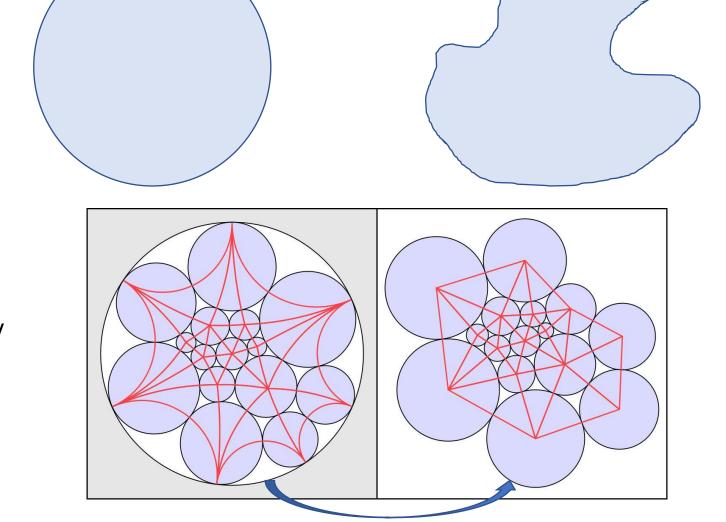


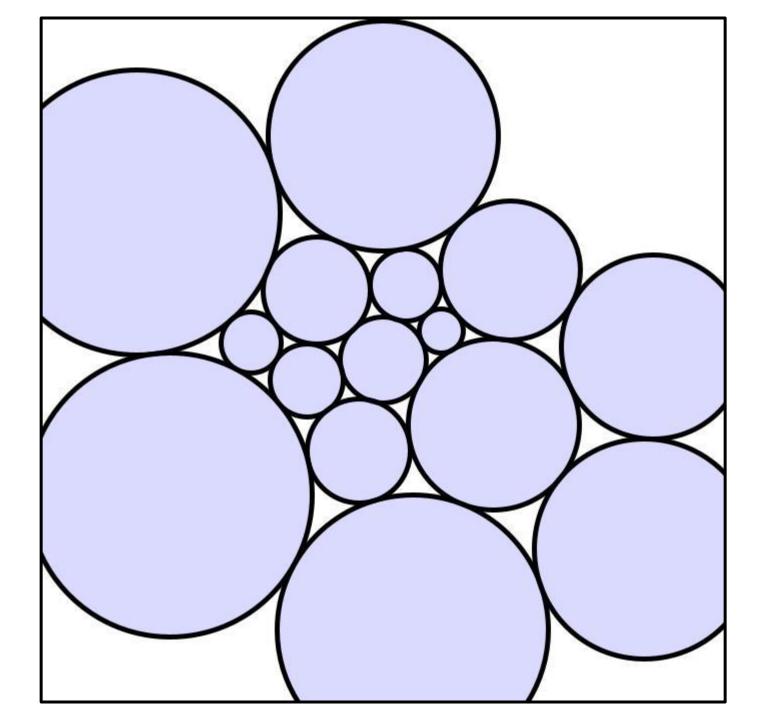
Discrete Conformal Geometry:

Classical analytic function



Circle packing provides a fairly comprehensive and faithful parallel to the classical world.

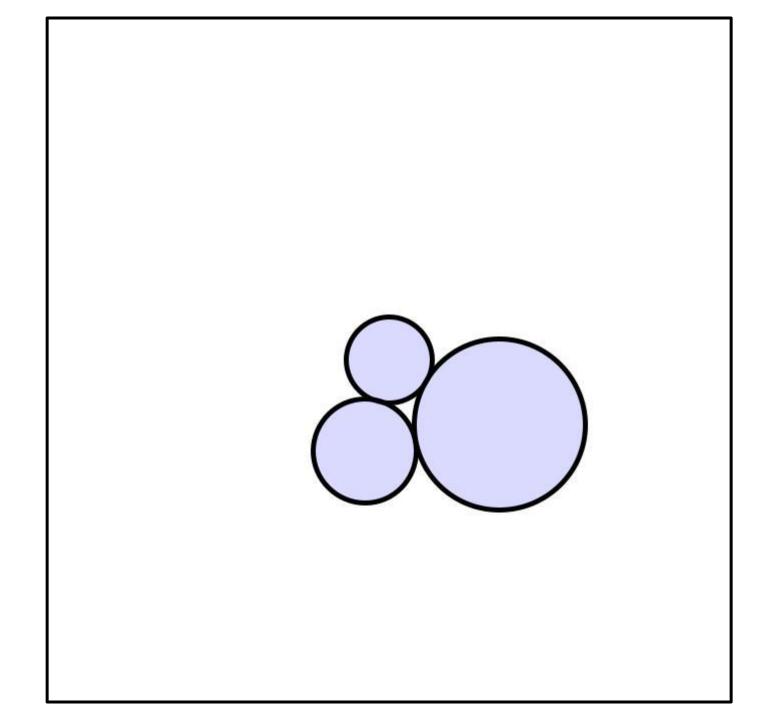


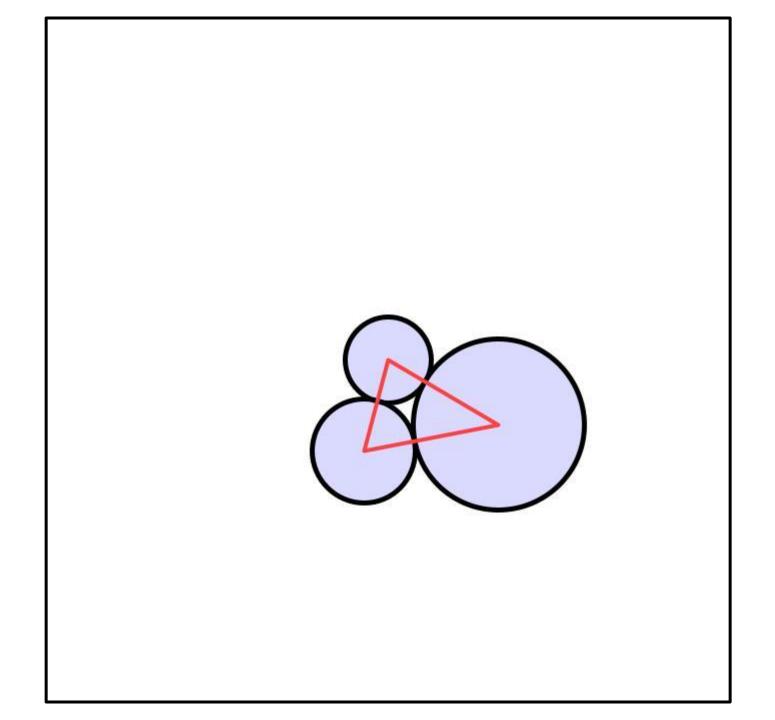


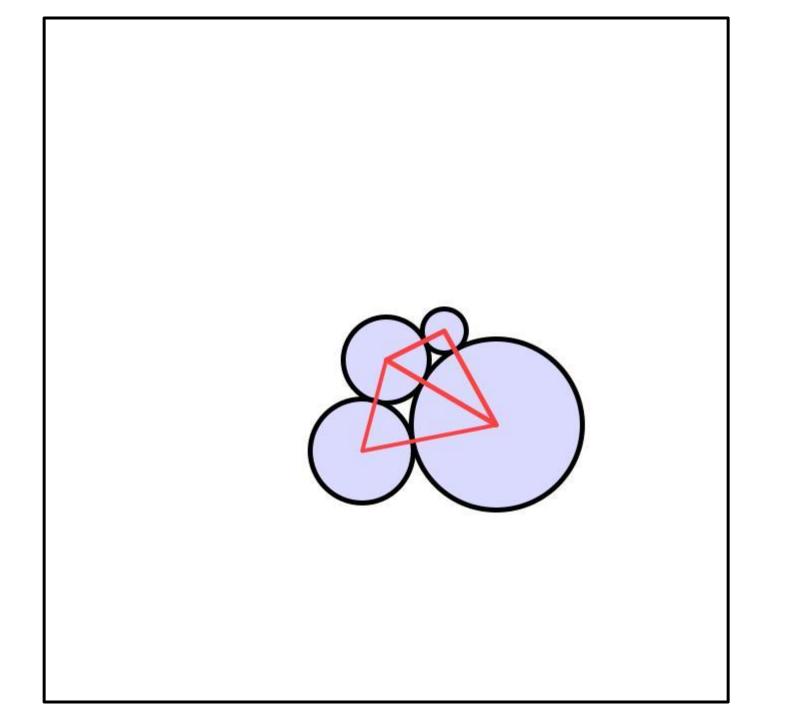
Traditional circle packings are created and manipulated using radii as the parameters.

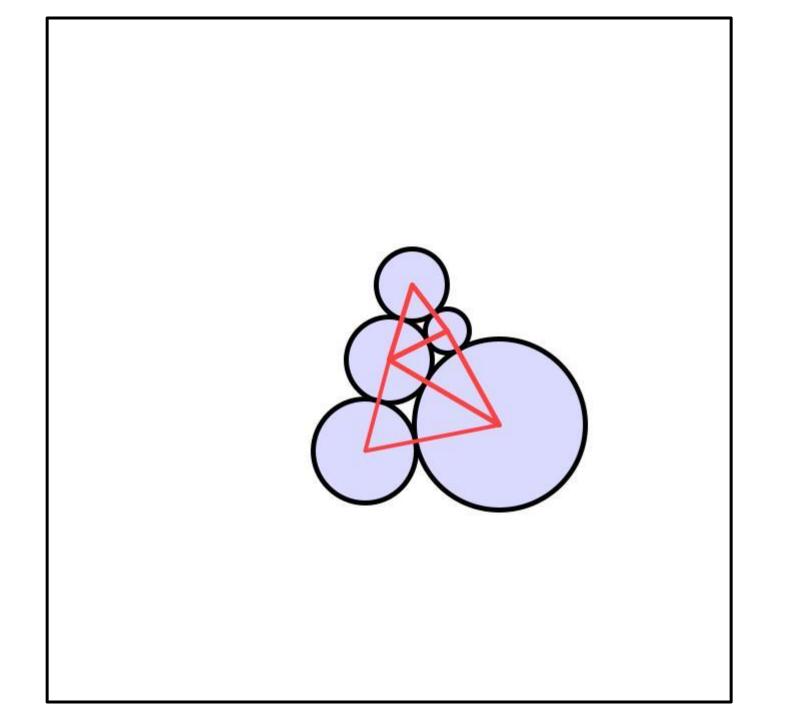
For Example: Given complex K

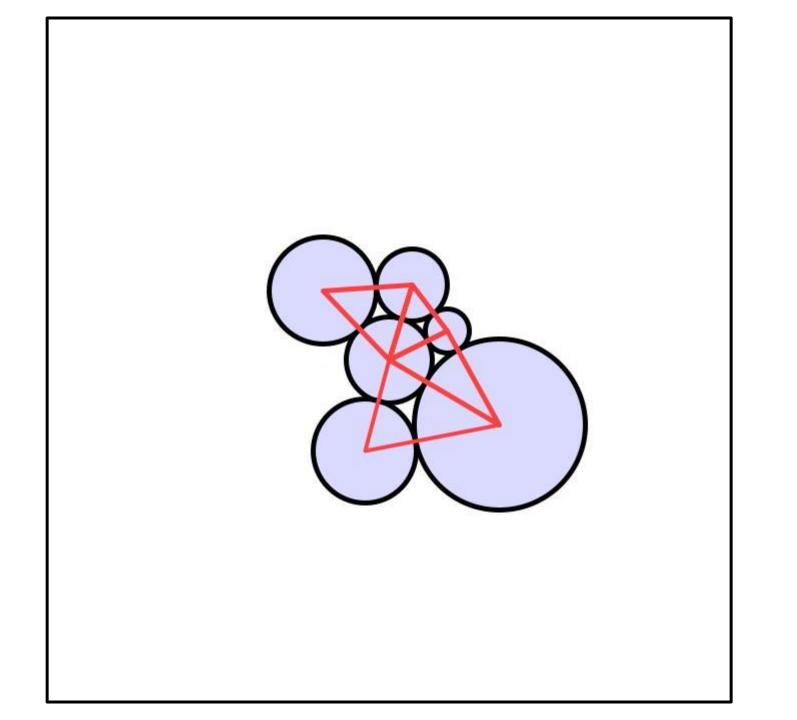
- Set the **boundary** radii
- Interatively **adjust** internal radii until all internal vertices have **angle sum** a multiple of pi.
- Lay out an initial triple of circles
- Successively lay out the remaining circles.

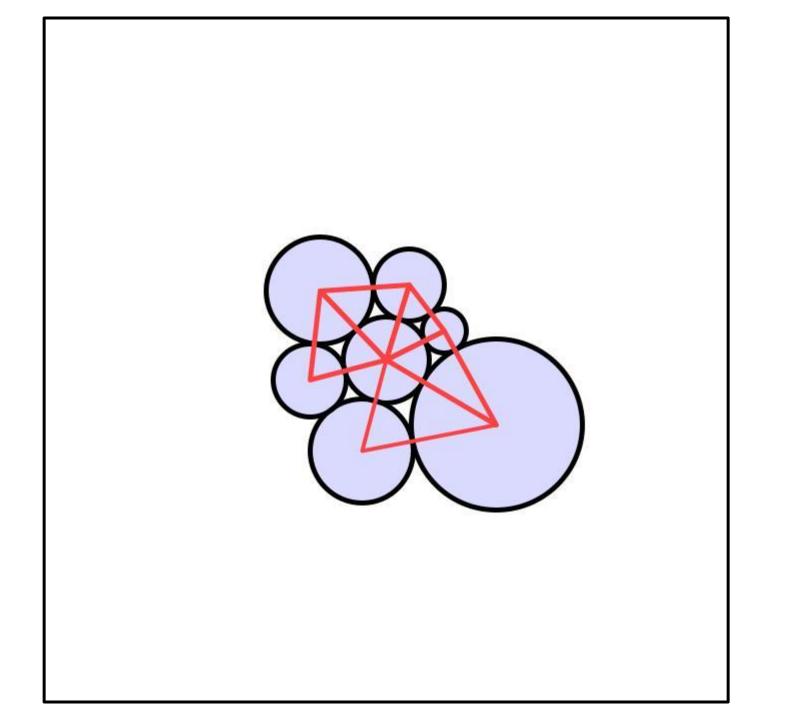


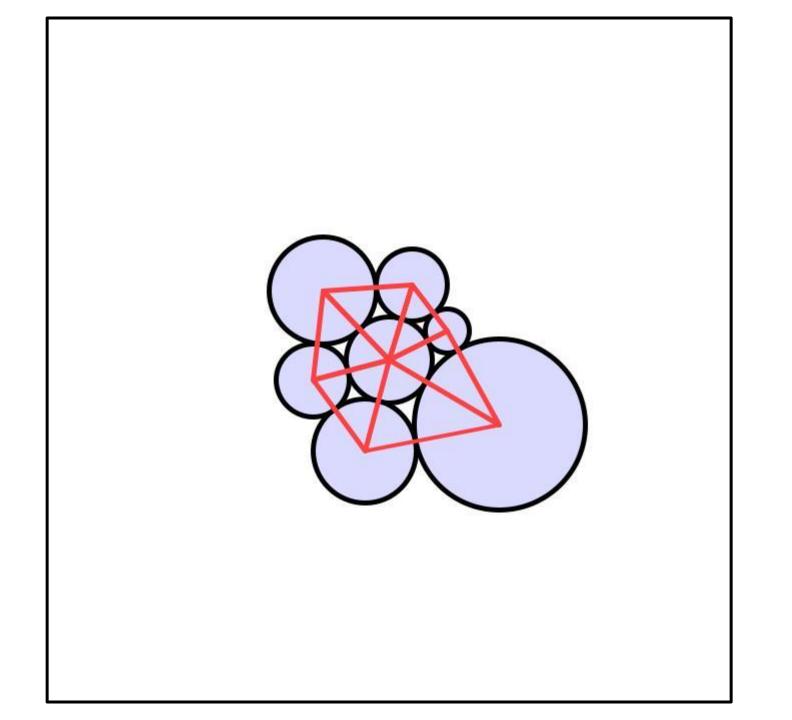


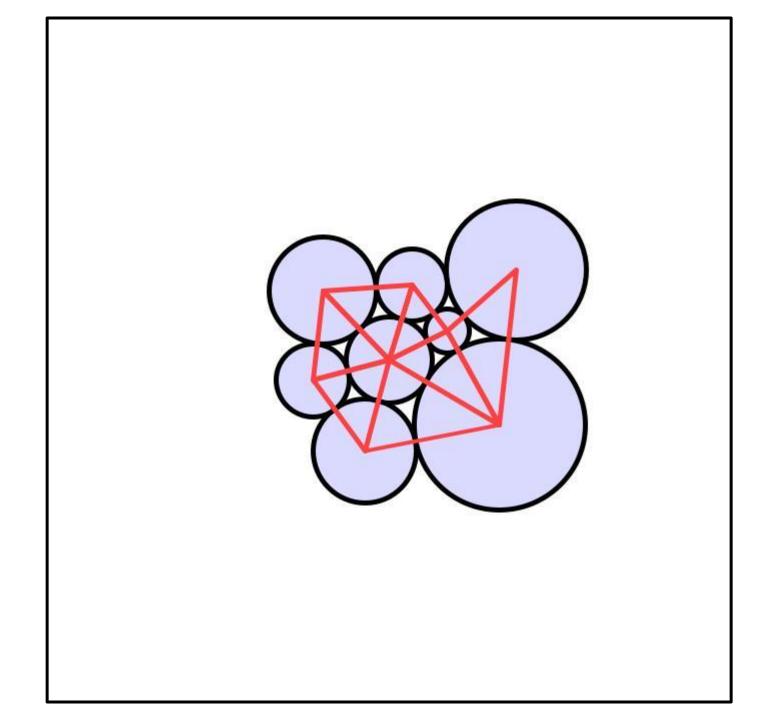


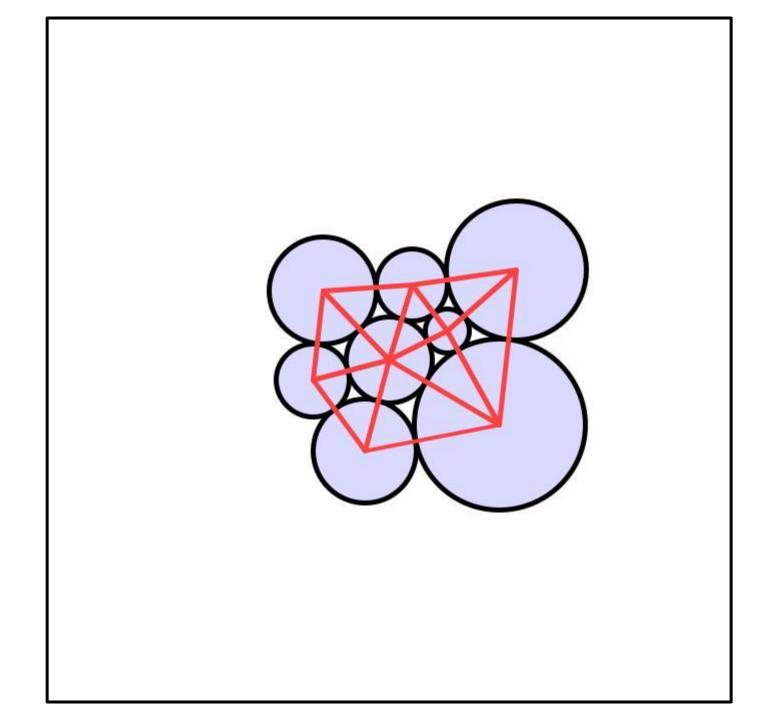


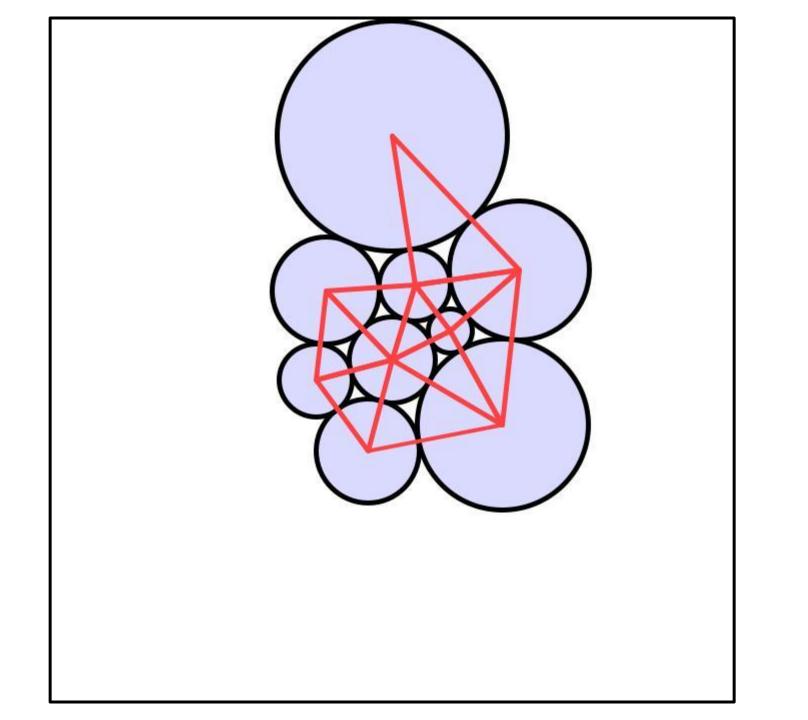


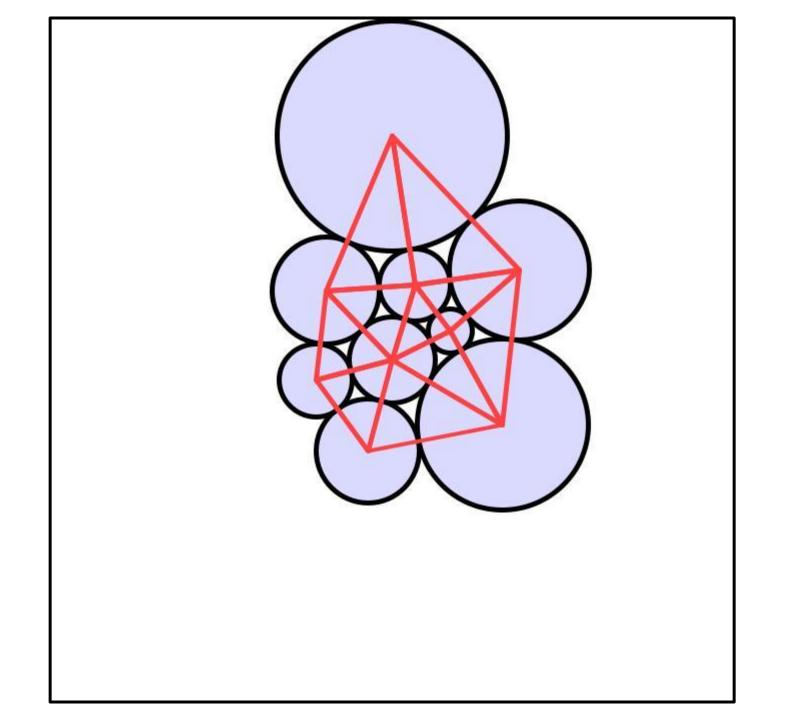


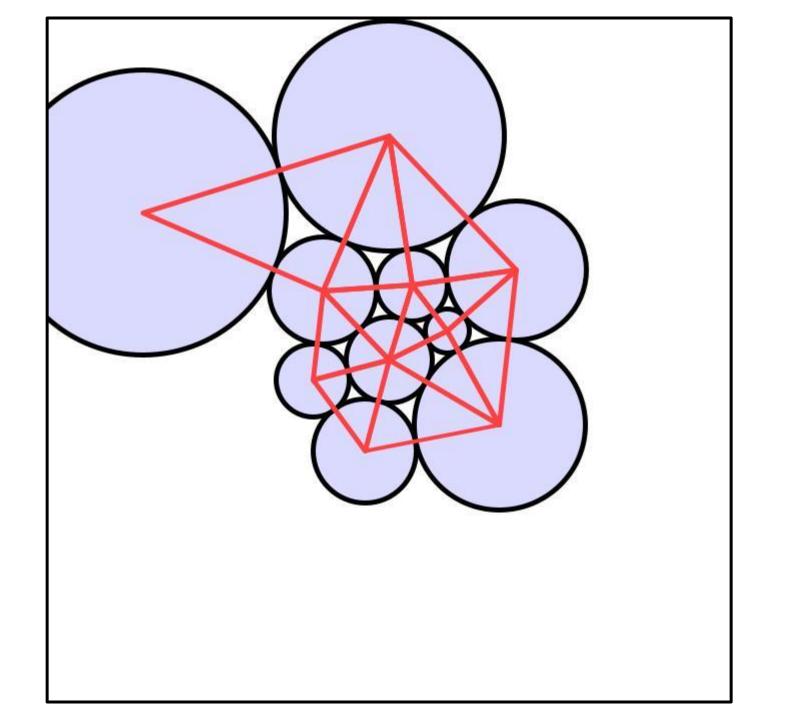


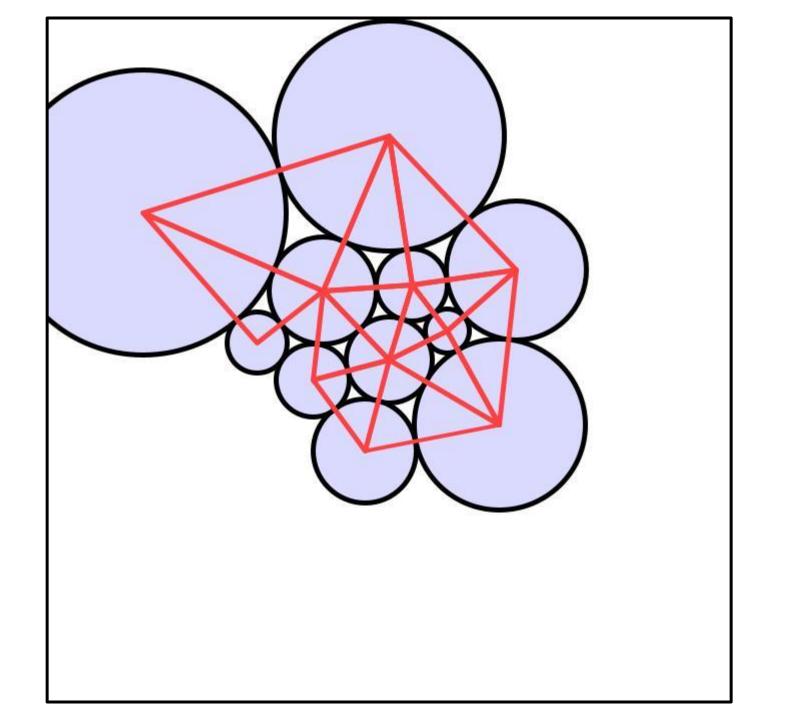


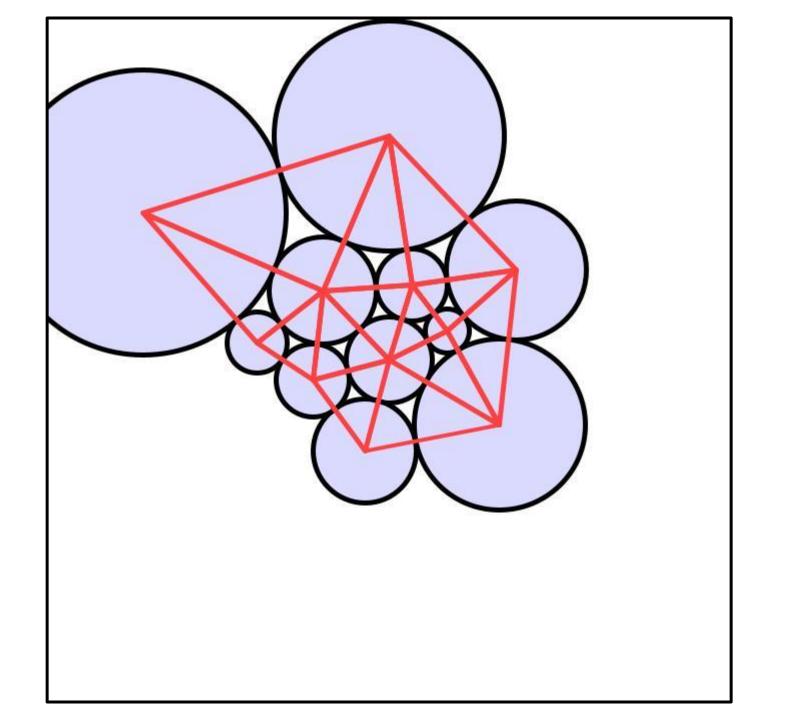


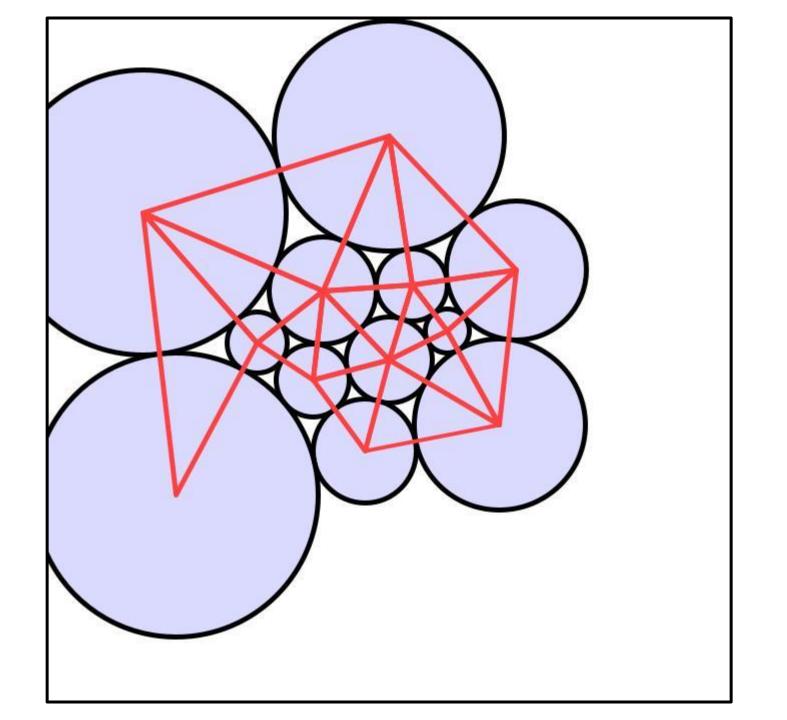


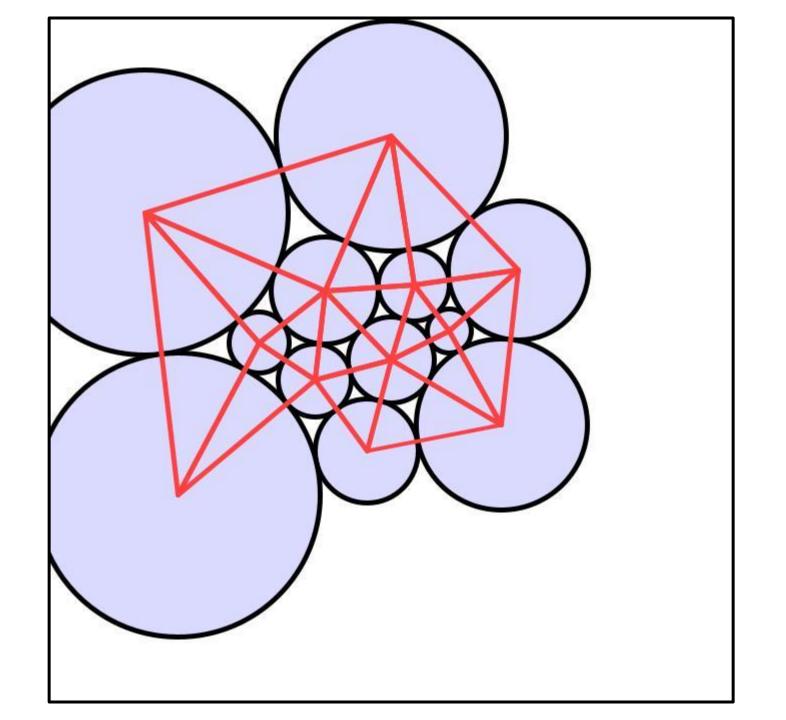


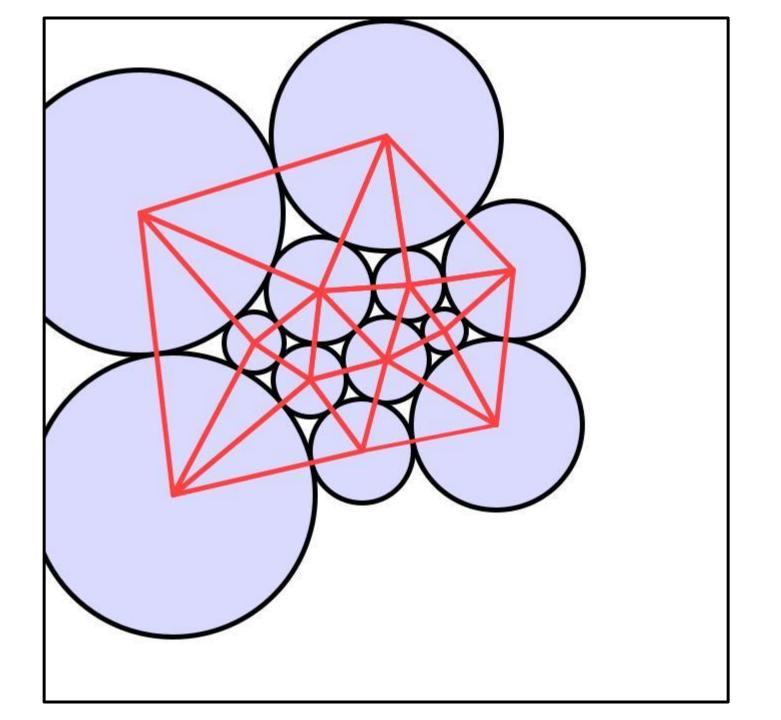


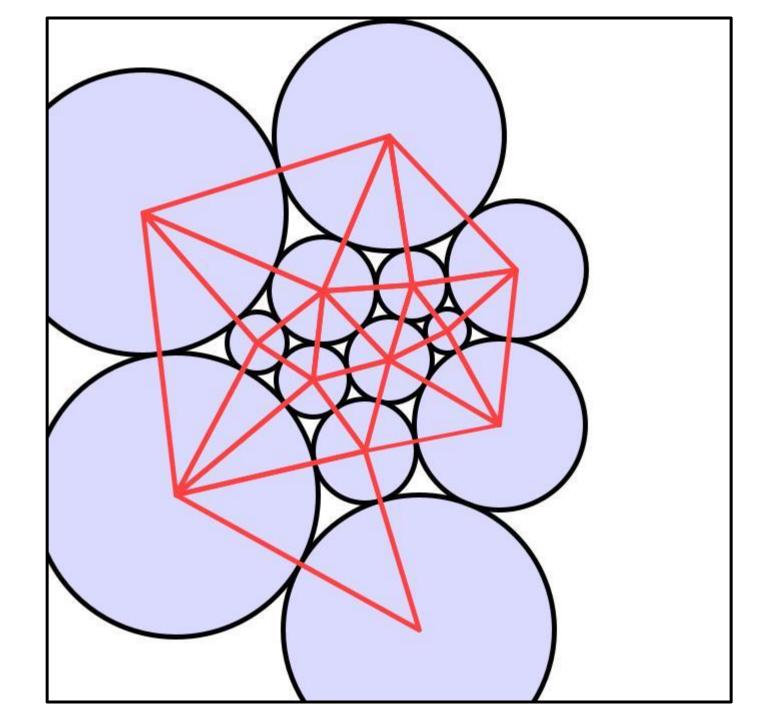


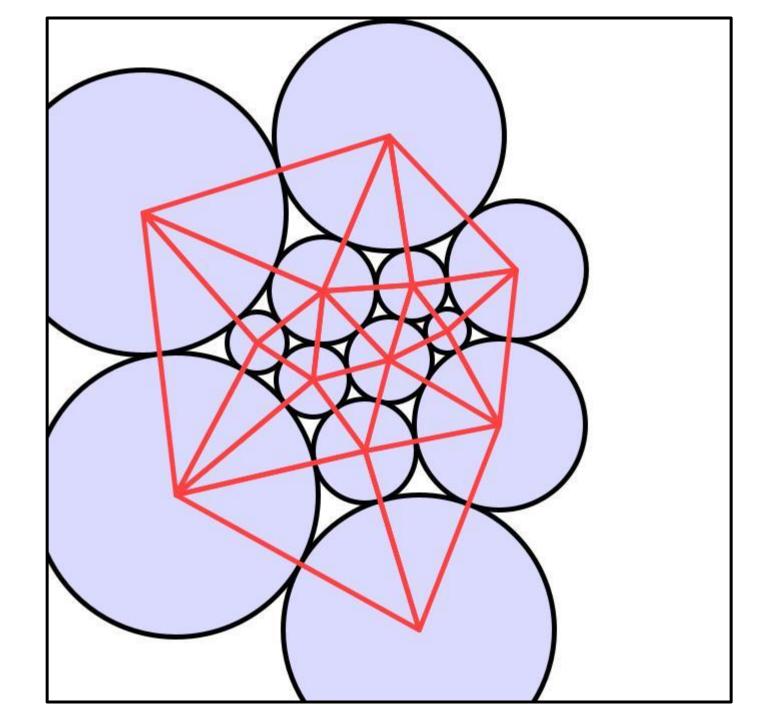


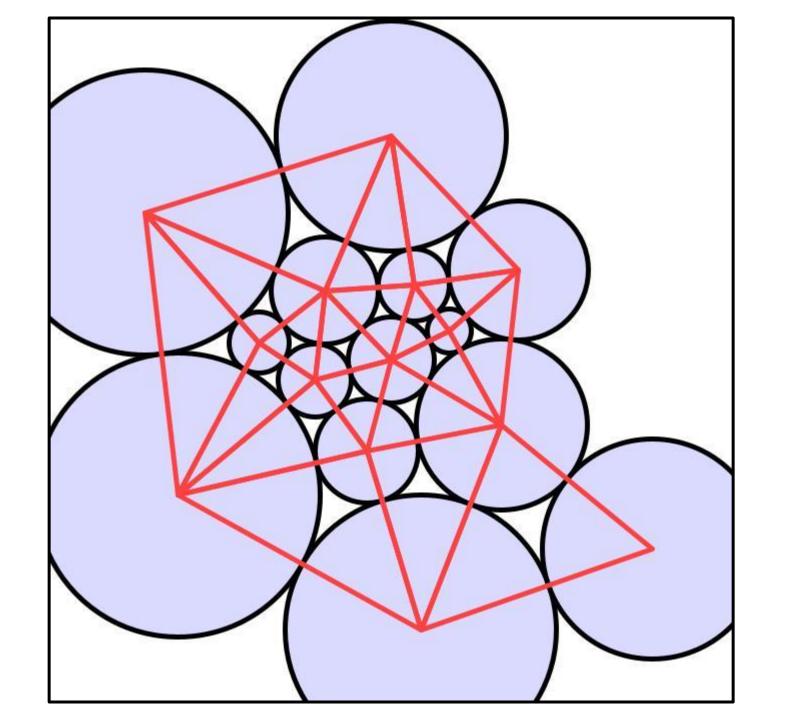


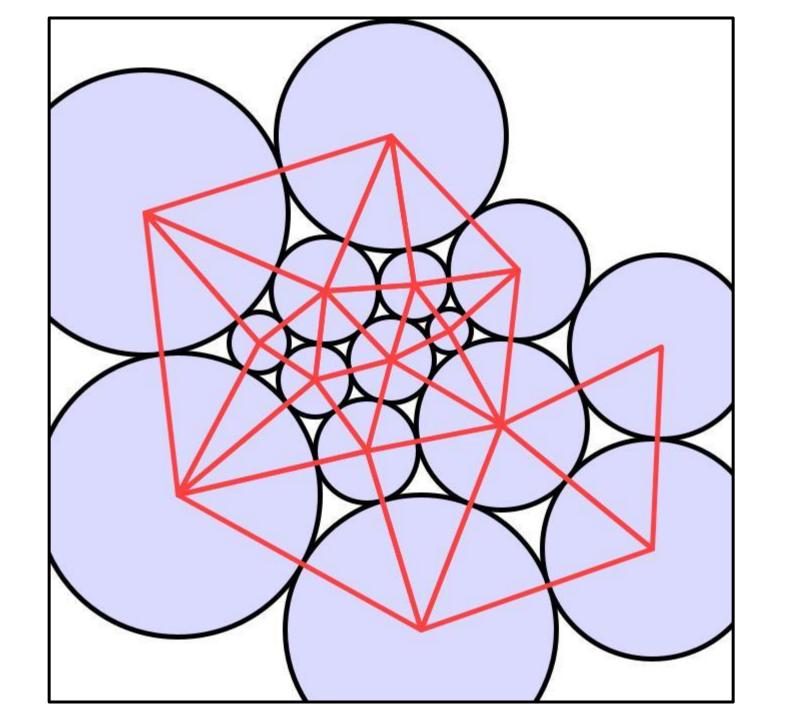


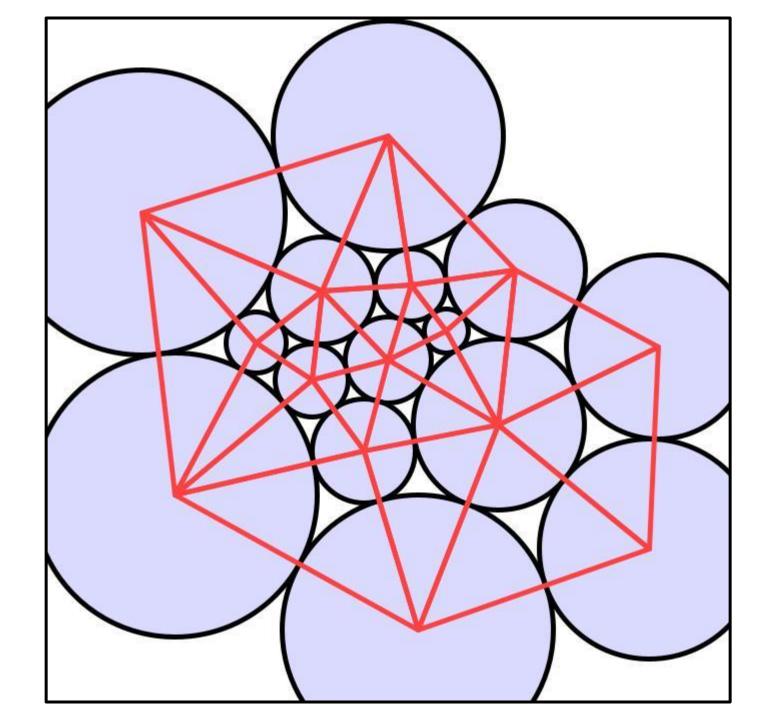












Done!

#### Algorithms:

- A "packing algorithm" refers to some systematic method for computing the data associated with a circle packing P for a given complex K.
- Bill Thurston introduced circle packing and blessed us with a wonderful iterative algorithm based on radii. This works amazingly well in **euclidean** and **hyperbolic** geometry; the latest algorithms will pack complexes involving several million circles.
- However, there is as yet no algorithm which works directly in spherical geometry.
- Nearly all circle packings on the sphere have been stereographically projected from the plane or the disc. Except in certain rare exceptional situations, stereographic projection does not work for circle packings with branching.
- This talk is about opening a new approach, replacing radii as parameters by something Mobius invariant; namely, discrete Schwarzian data associate with edges.

<u>I am nowhere near an algorithm, so I can use some help!</u>

### A Discrete Schwarzian Derivative

#### A Discrete Schwarzian Derivative:

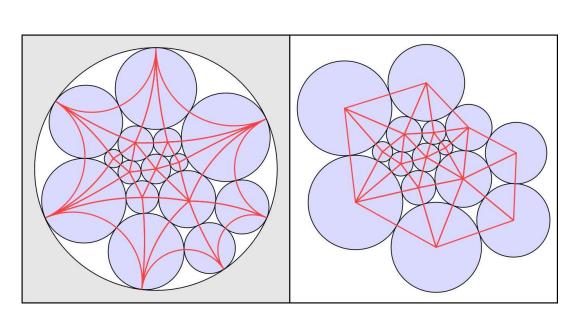
#### **Classical:**

\* 
$$S(f) = (\frac{f''}{f'})' - \frac{1}{2}(\frac{f''}{f'})^2$$

- \*  $f \equiv 0$  iff f Is Mobius
- \* Invariance:  $S(\phi(f)) \equiv S(f)$  If  $\phi$  is Mobius
- \* Measures the "distance" of f from the closest Mobius.

#### Discrete: ????

- Combinatoric
- Face-by-face
- Conformally faithful



#### Defining the discrete Schwarzian Derivative: (Following Gerald Orick)

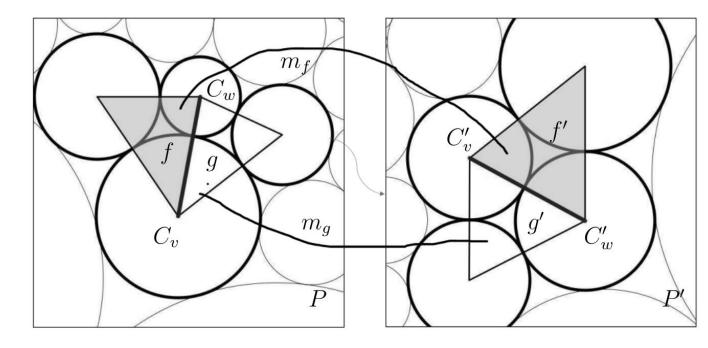
Given discrete analytic function

$$F: P \longrightarrow P'$$

and edge e between faces f and g, define Möbius transformations:

$$m_f: f \longrightarrow f'$$
  
 $m_g: g \longrightarrow g'$ 

The discrete Schwarzian derivative of F on edge e is given by



where 
$$m_g^{-1} \circ m_f = \mathbb{I} + \sigma \begin{bmatrix} t & -t^2 \\ 1 & -t \end{bmatrix} = \begin{bmatrix} 1 + \sigma t & 1 - \sigma t^2 \\ \sigma & 1 - \sigma t \end{bmatrix}$$

Sadly, Schwarzian Derivatives are not a good setting for experiments (2 packings, complex numbers)

# The <u>Intrinsic</u> Schwarzian for interior edges

#### Plan B: intrinsic schwarzians:

Reformulate for use with a single packing P and real numbers:

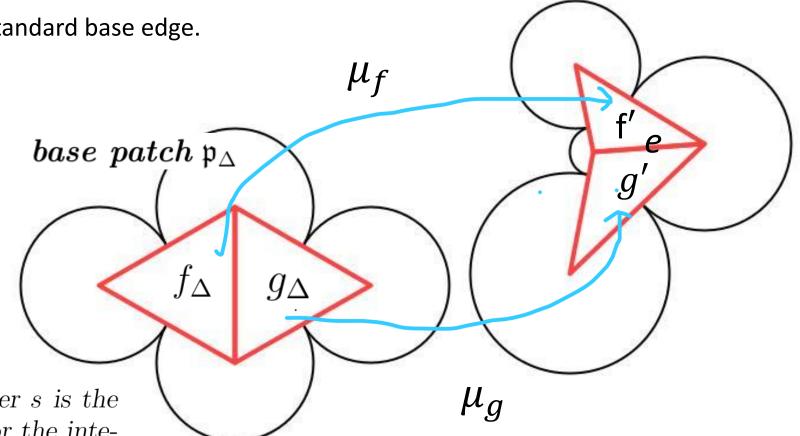
Compare every edge e to the standard base edge.

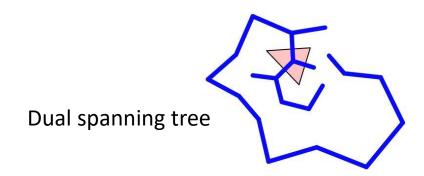
$$m_e = \mu_g^{-1} \circ \mu_f$$

$$m_e = \begin{bmatrix} 1+s & -s \\ s & 1-s \end{bmatrix}$$

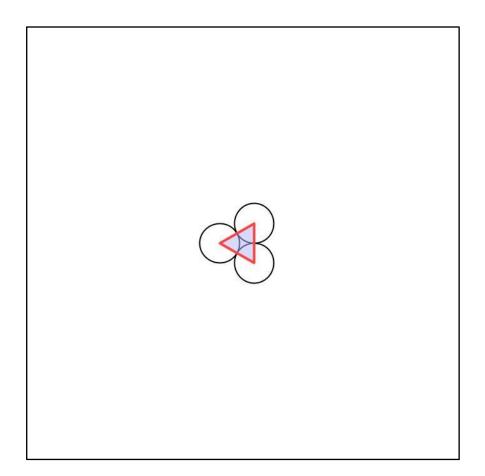
$$m_e = I + \begin{bmatrix} s & -s \\ s & -s \end{bmatrix}$$

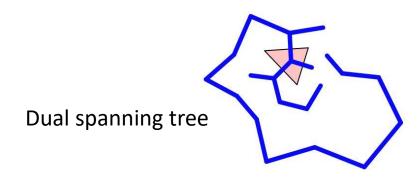
**Definition:** The real number s is the *(intrinsic)* schwarzian for the interior edge e of P.



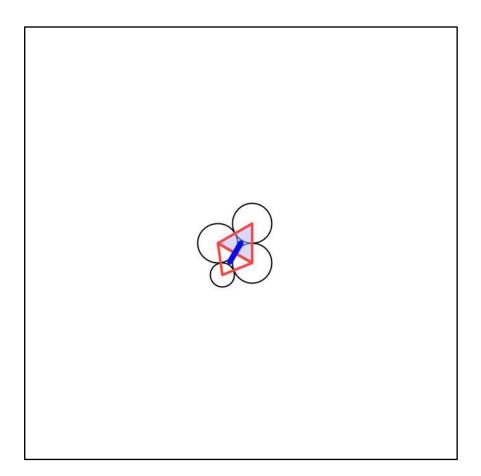


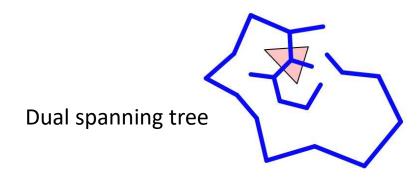
On the plane



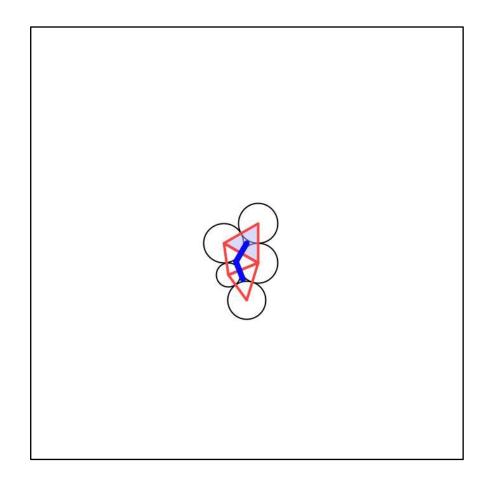


On the plane



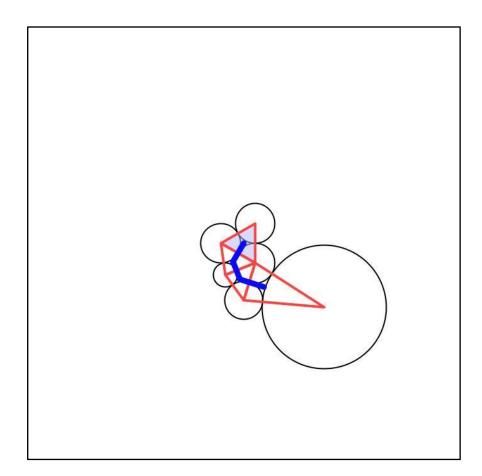


On the plane



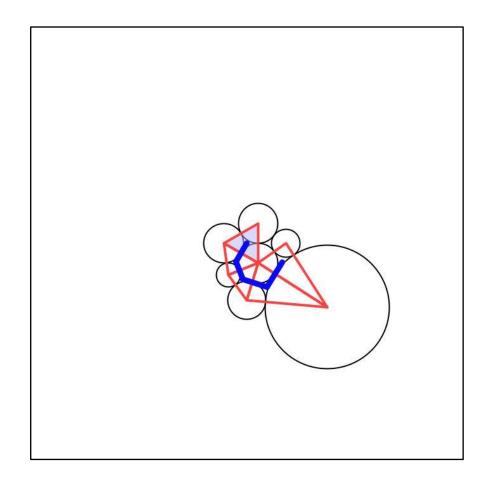
Dual spanning tree

On the plane



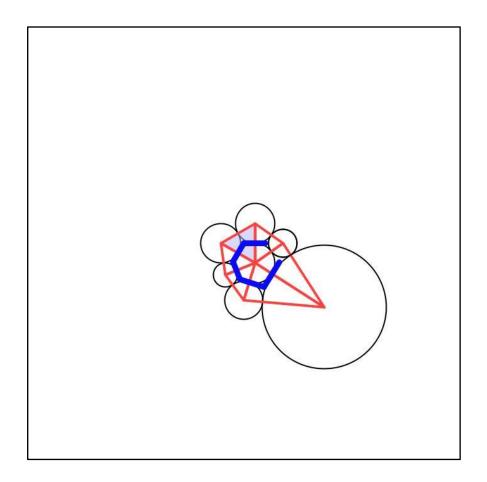
Dual spanning tree

On the plane



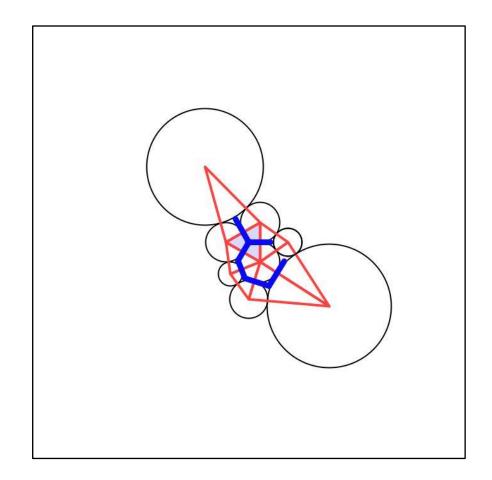
Dual spanning tree

On the plane



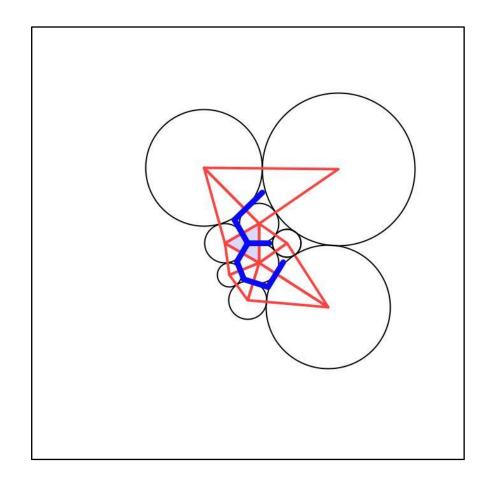
Dual spanning tree

On the plane



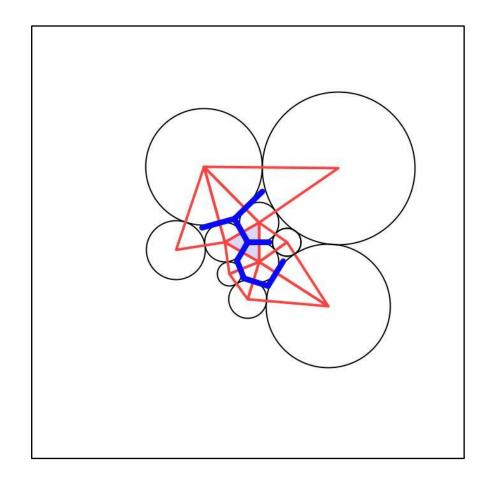
Dual spanning tree

On the plane



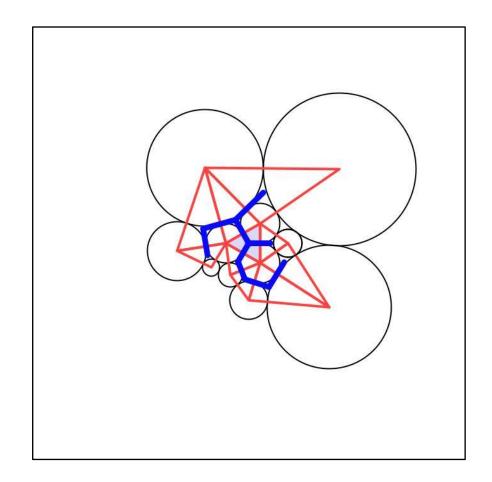
Dual spanning tree

On the plane



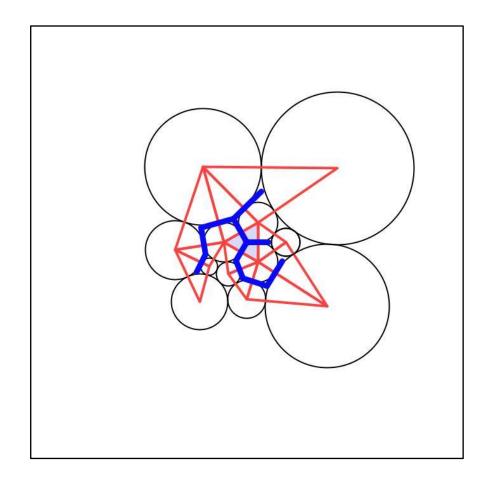
Dual spanning tree

On the plane



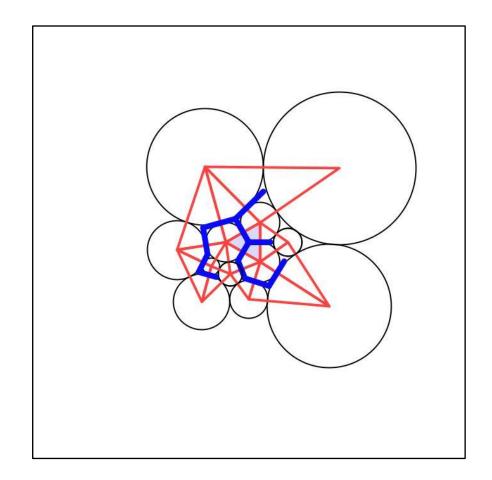
Dual spanning tree

On the plane



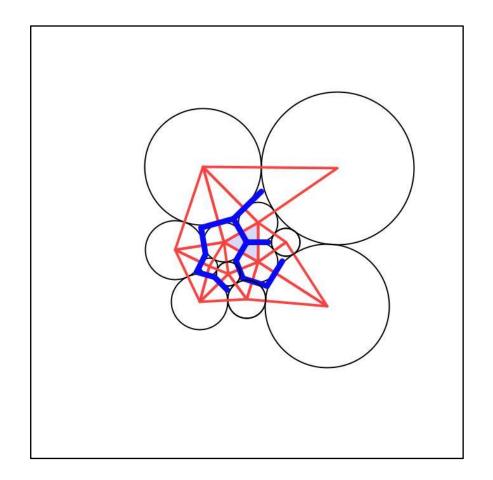
Dual spanning tree

On the plane



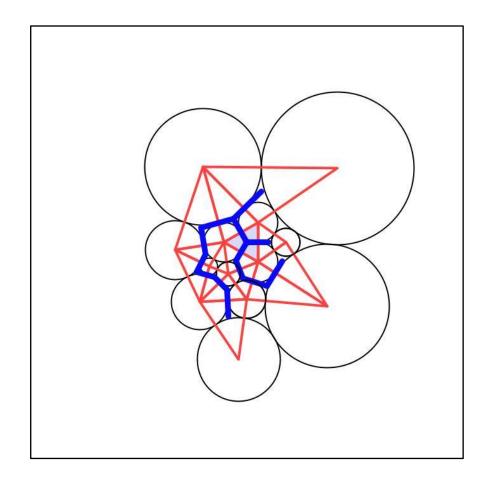
Dual spanning tree

On the plane



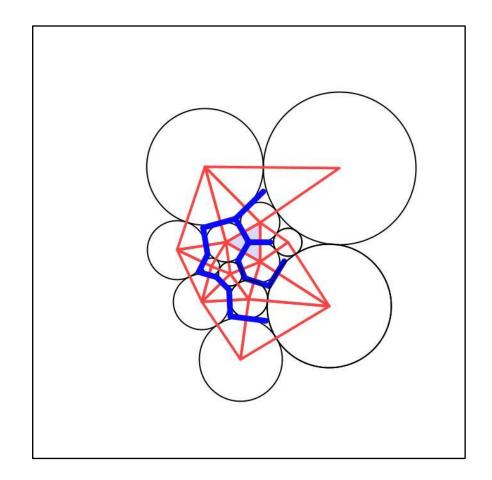
Dual spanning tree

On the plane



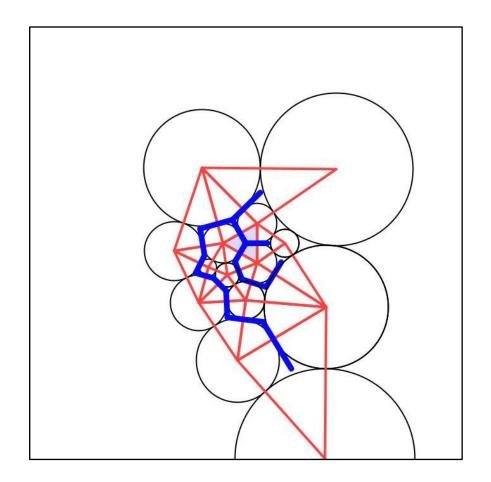
Dual spanning tree

On the plane



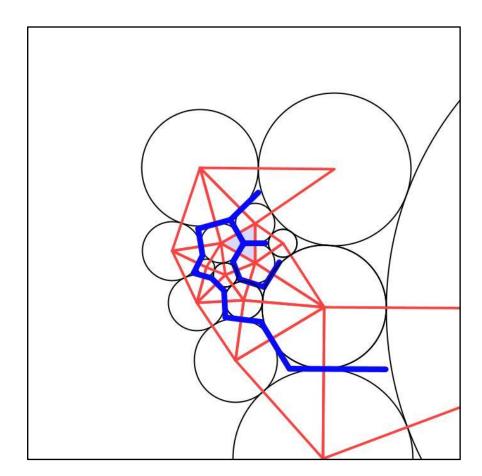
Dual spanning tree

On the plane



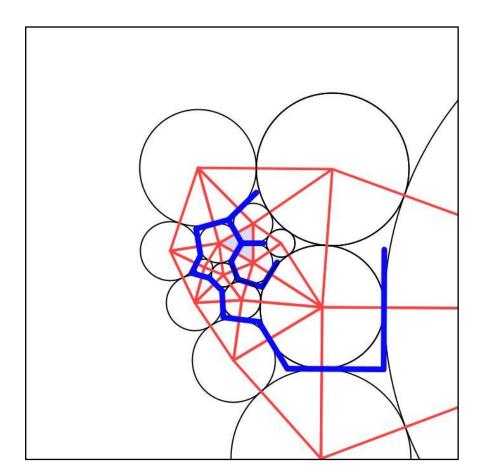
Dual spanning tree

On the plane



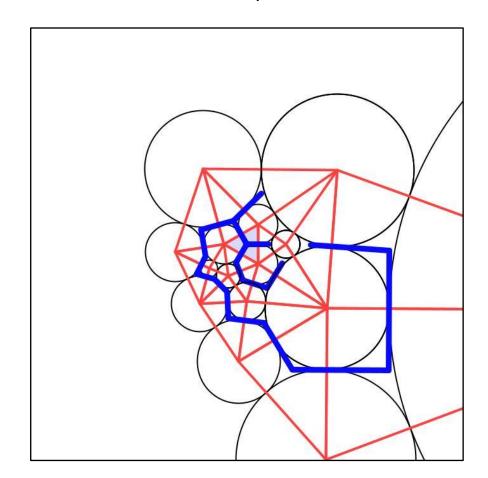
Dual spanning tree

On the plane



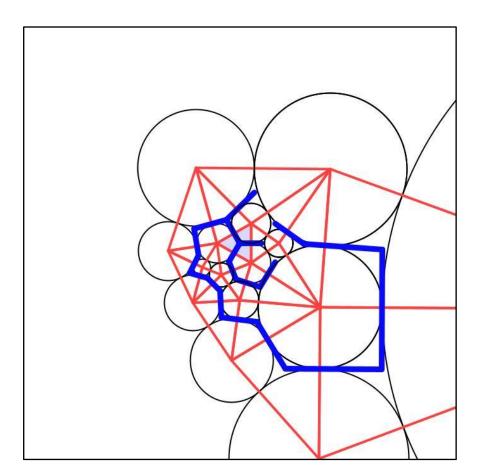
Dual spanning tree

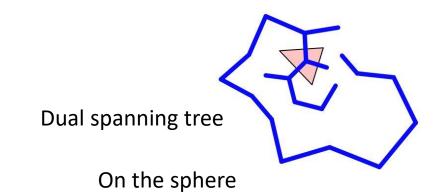
On the plane



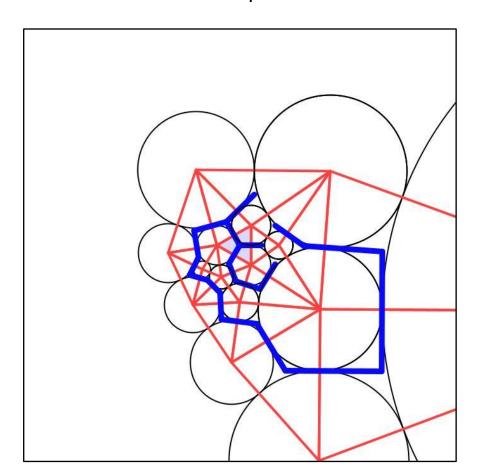
Dual spanning tree

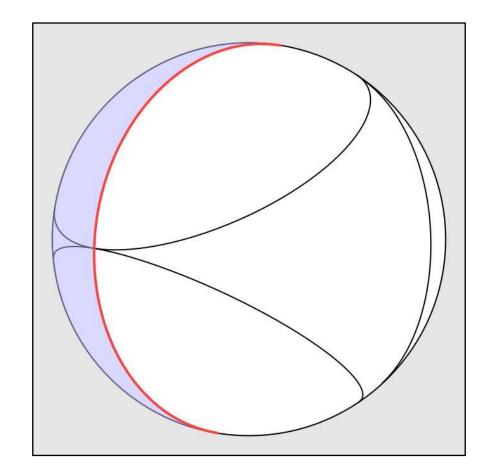
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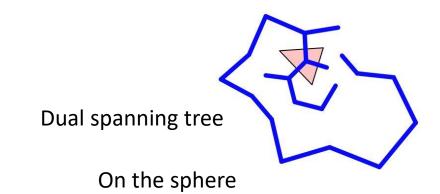




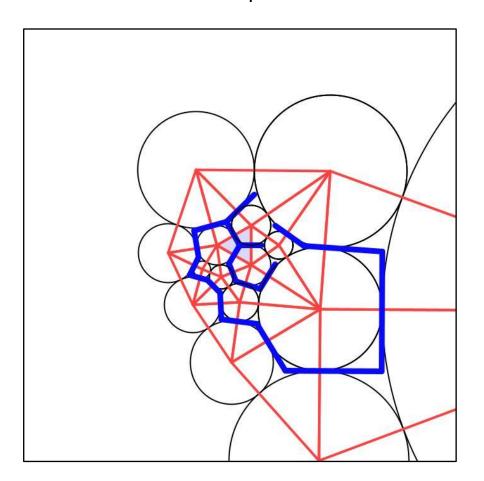
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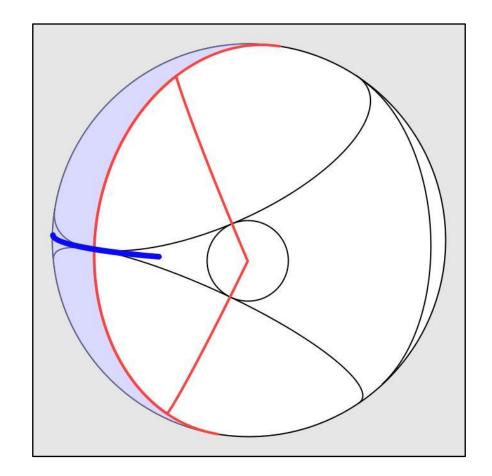


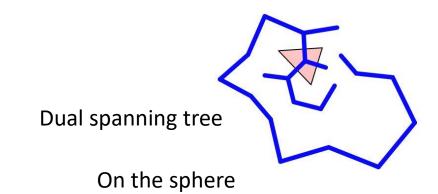




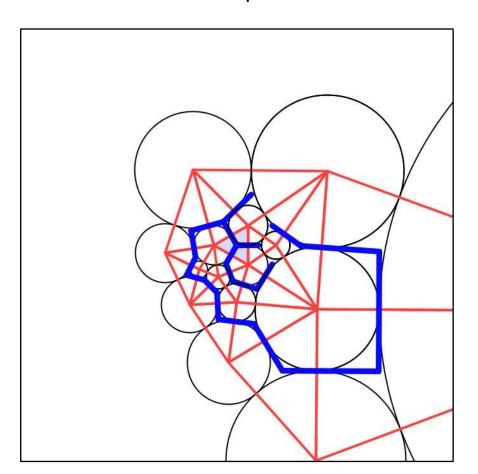
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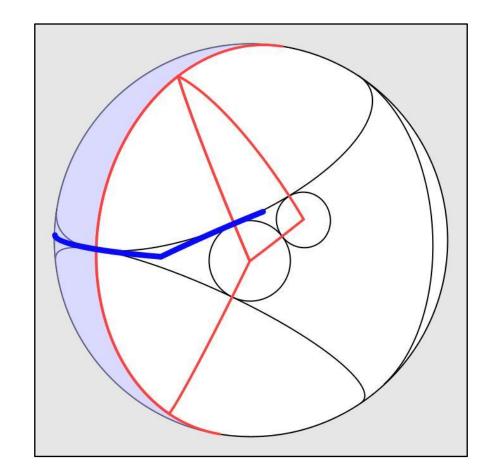


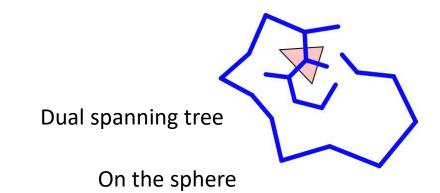




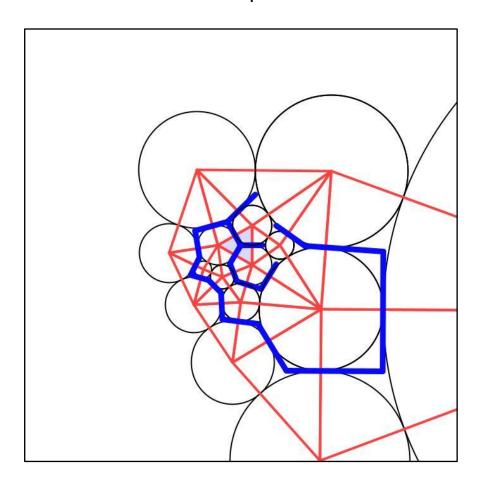
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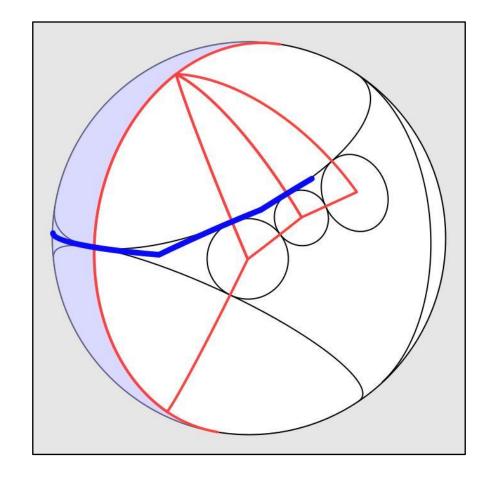


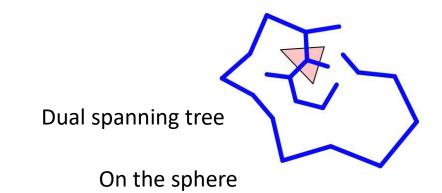




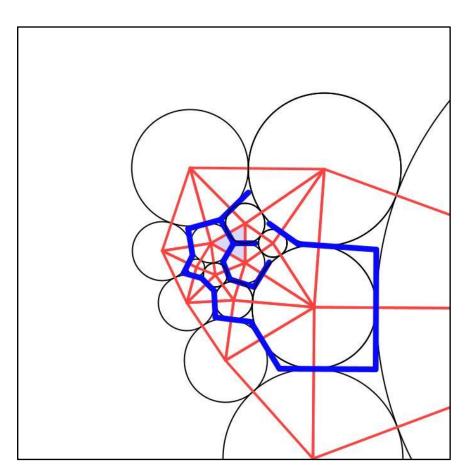
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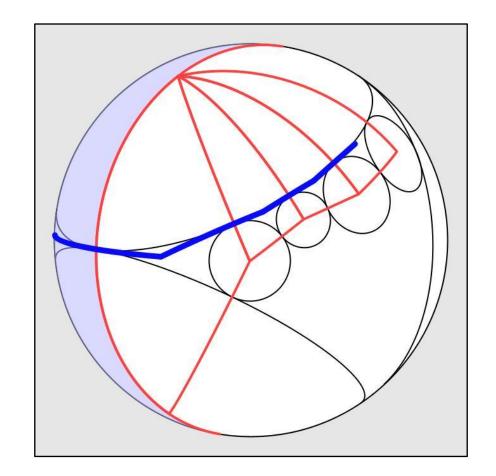


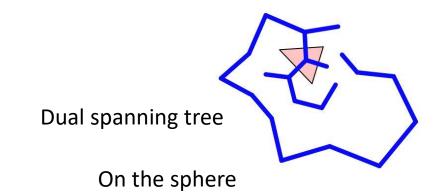




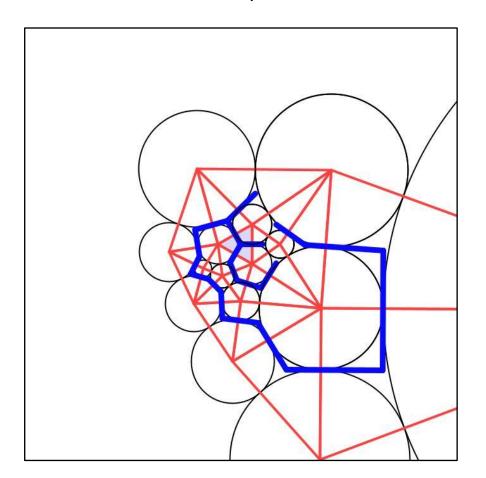
On the plane

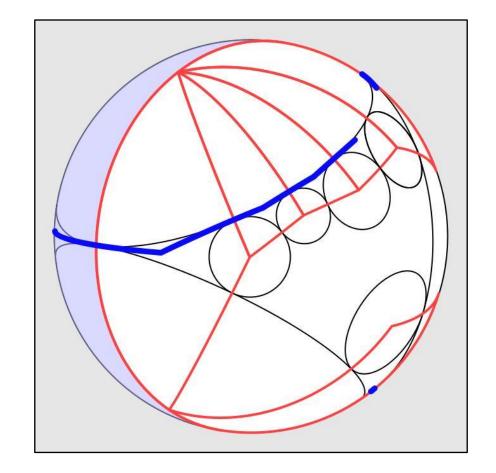


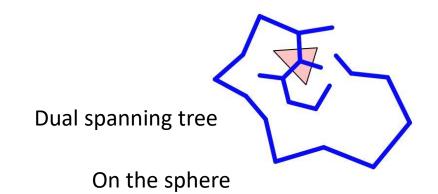




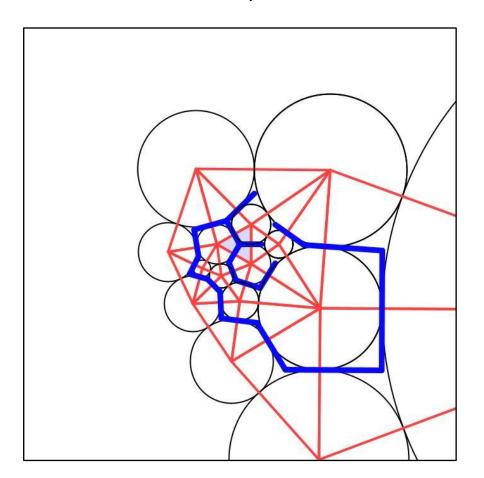
On the plane

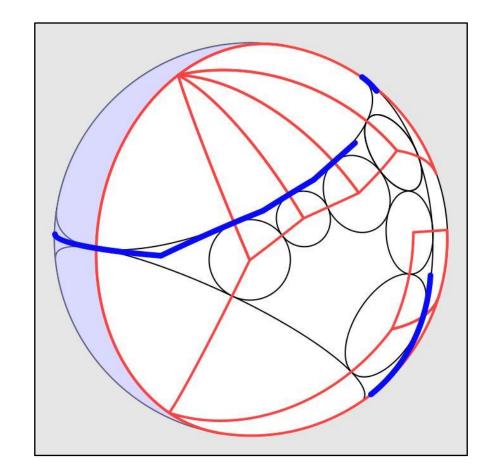


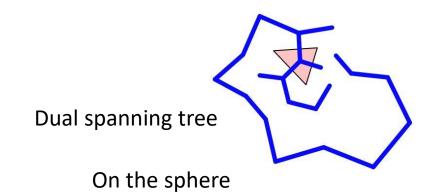




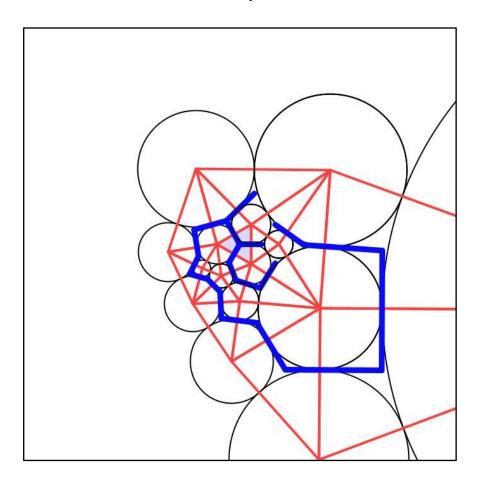
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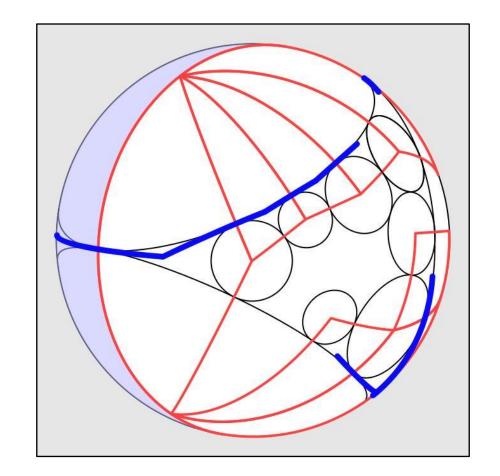


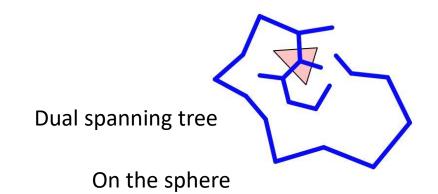




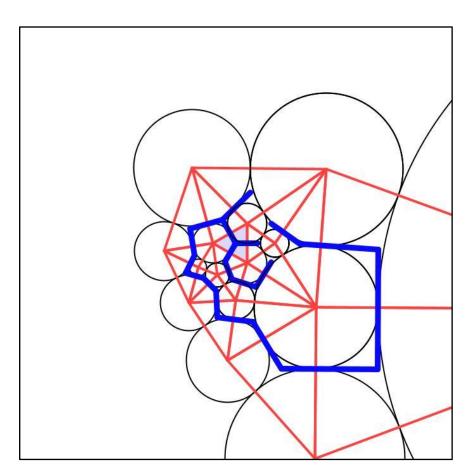
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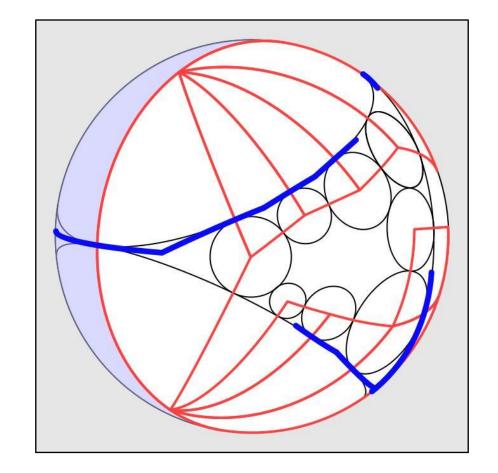


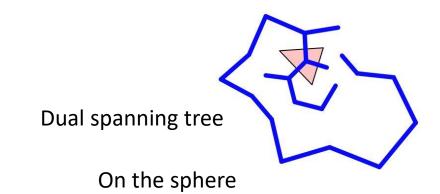




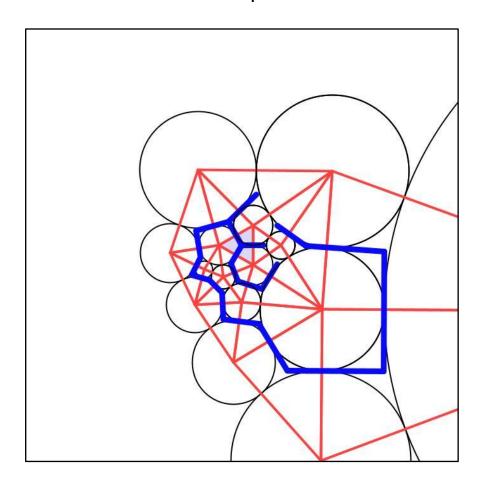
On the plane

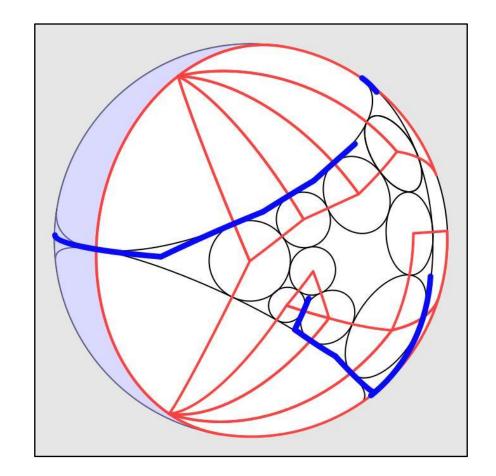


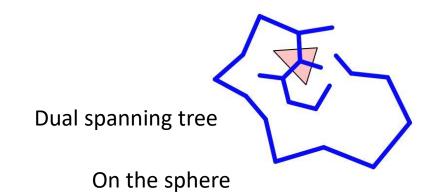




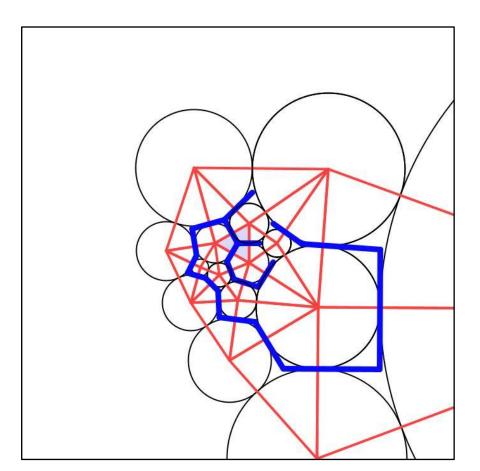
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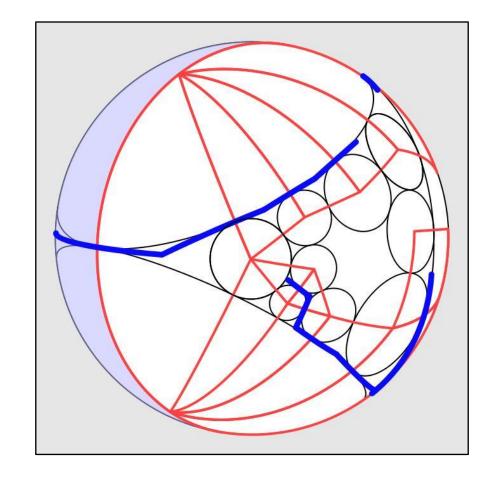


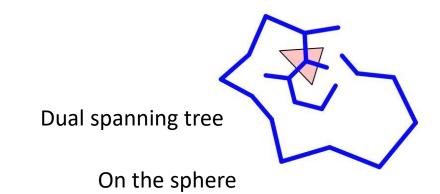




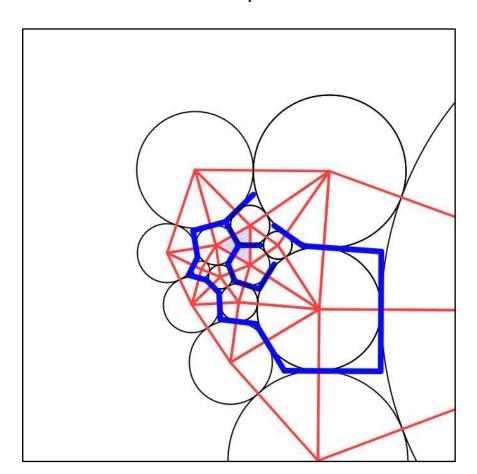
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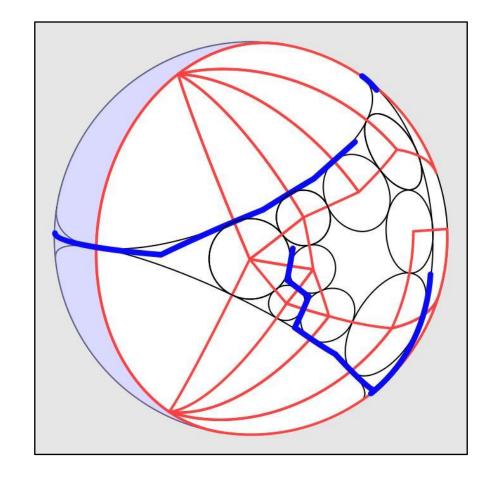


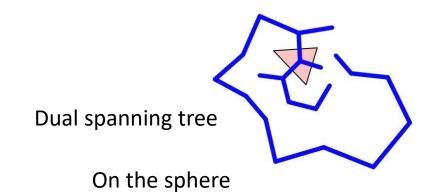




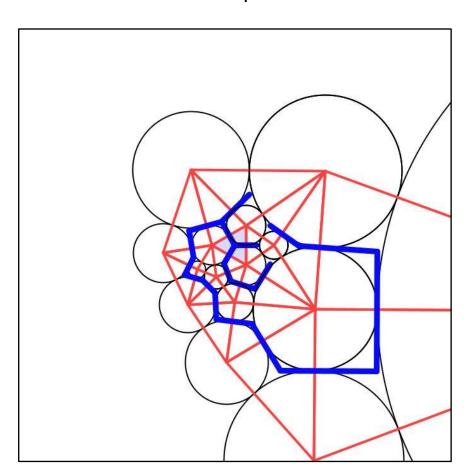
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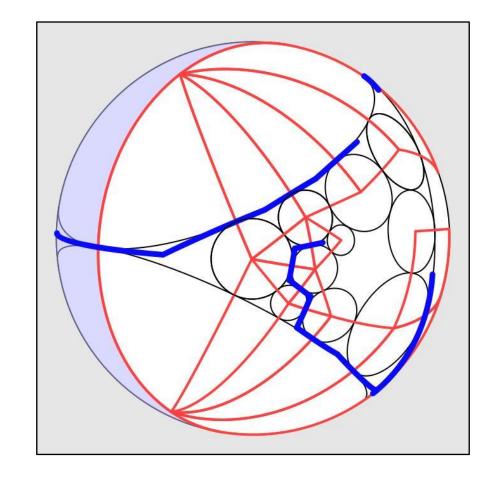


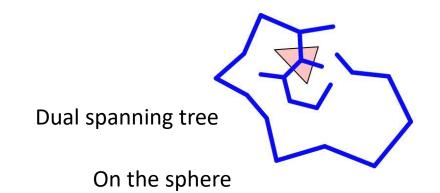




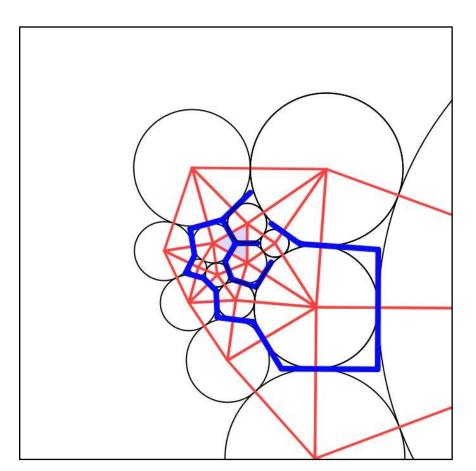
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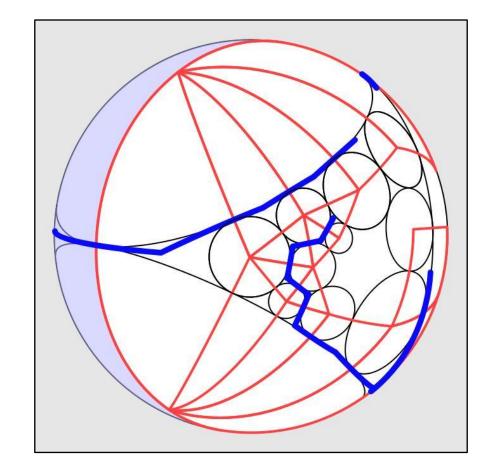




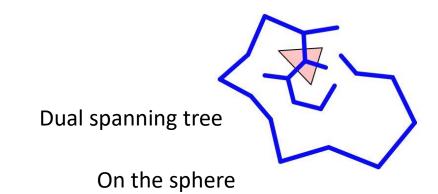


On the plane

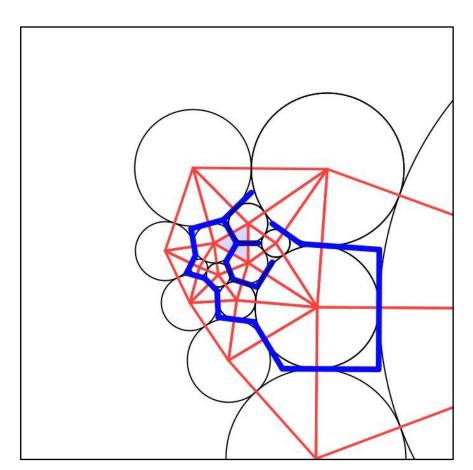


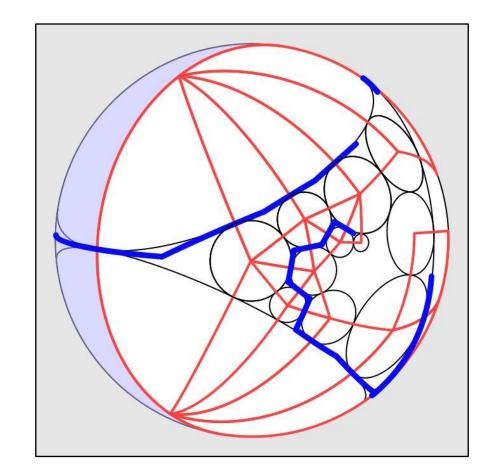


### Using known discrete Schwarzians:

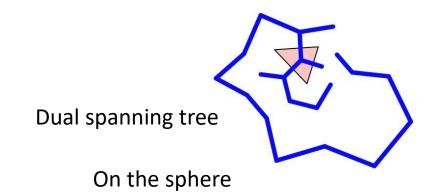


On the plane

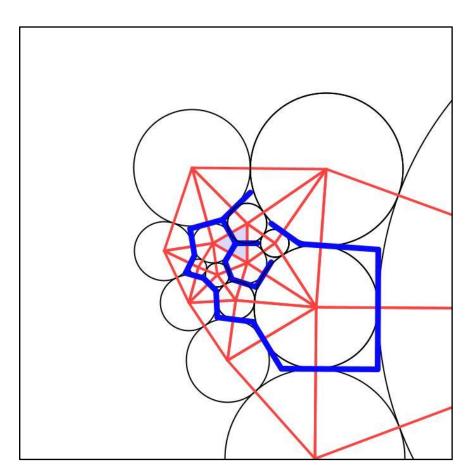


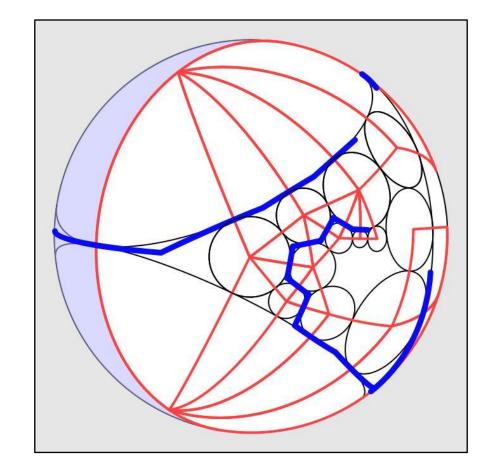


### Using known discrete Schwarzians:

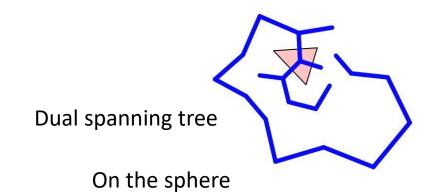


On the plane

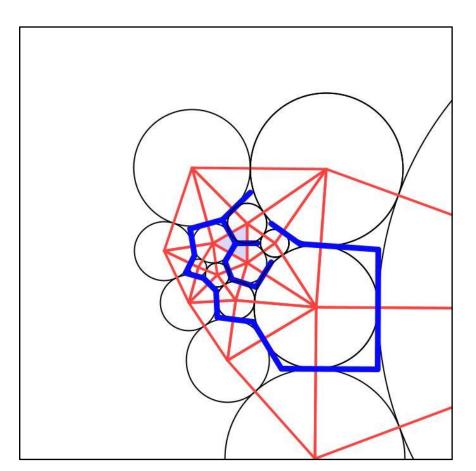


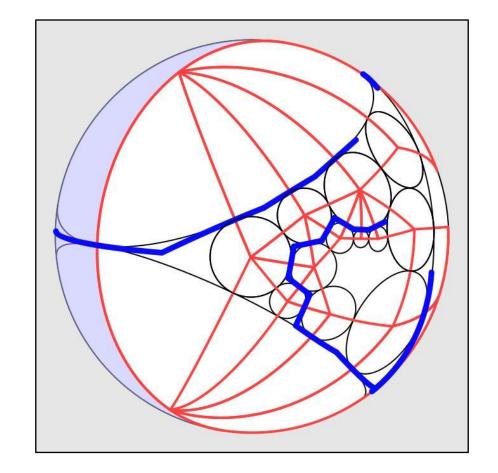


### Using known discrete Schwarzians:



On the plane





### Goal (long term): Find packing (edge) labels

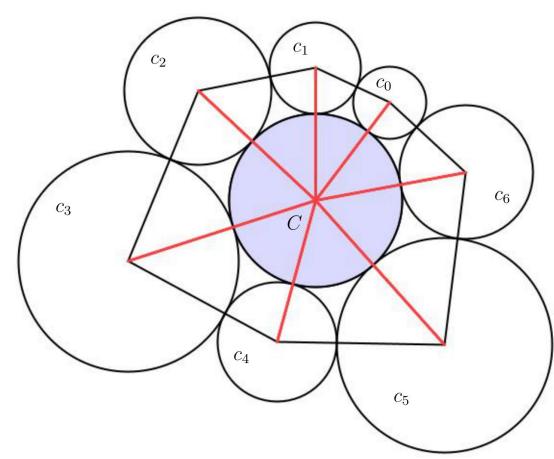
**Definition.** Let K be a simply connected complex and let S be an edge label, that is, a set of real numbers, one for each interior edge of K. We call S a **packing** (edge) label if there exists a circle packing P on the Riemann sphere whose intrinsic schwarzians are given by S.

Two traditional keys for working with radius labels:

- \* Criteria for packing
- \* Monotonicities in the data

If there exist monotonicities, they remain a mystery to me.

In this talk I concentrate on <u>criteria</u>: it suffices to have criteria for **packing labels for individual** *n***-flowers** 

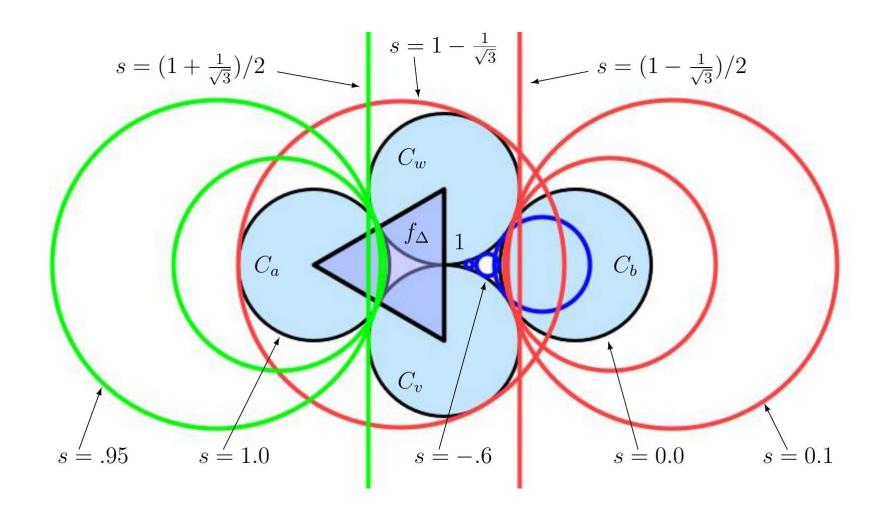


### Sample intrinsic schwarzians

$$c_b = M_s^{-1}(C_b)$$

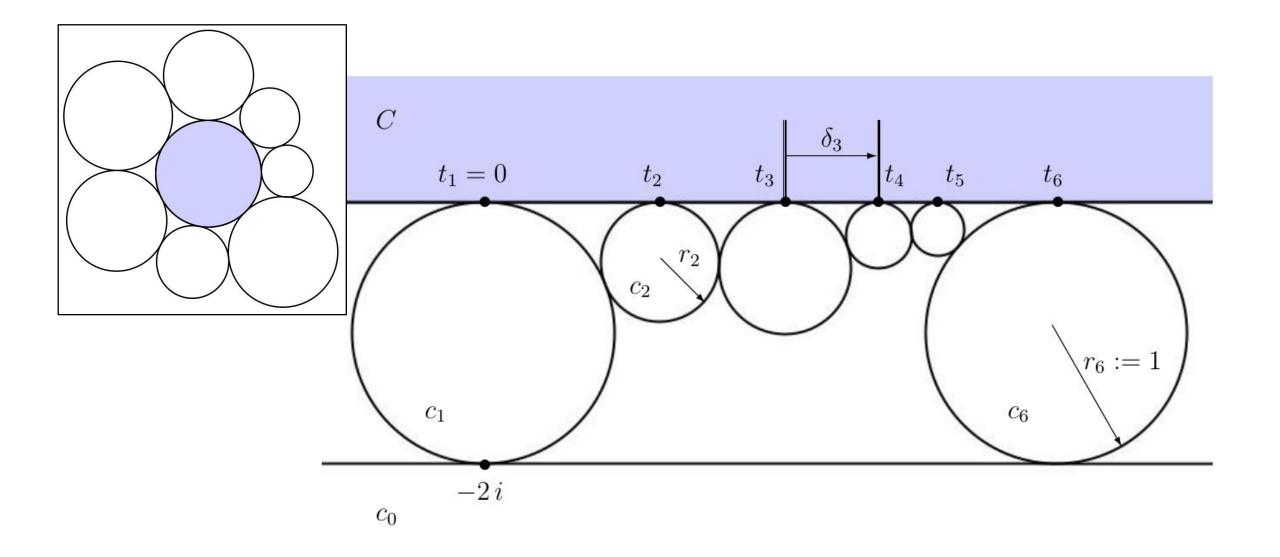
where

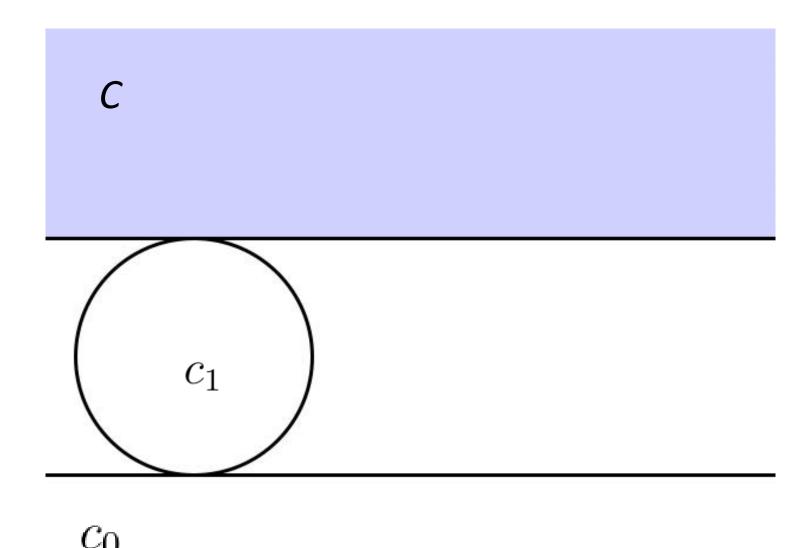
$$M_s^{-1} = \begin{bmatrix} 1-s & s \\ -s & 1+s \end{bmatrix}$$

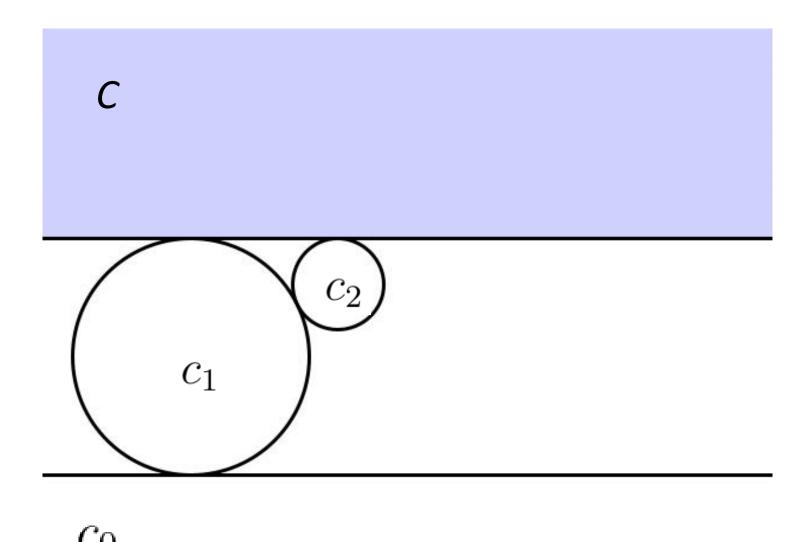


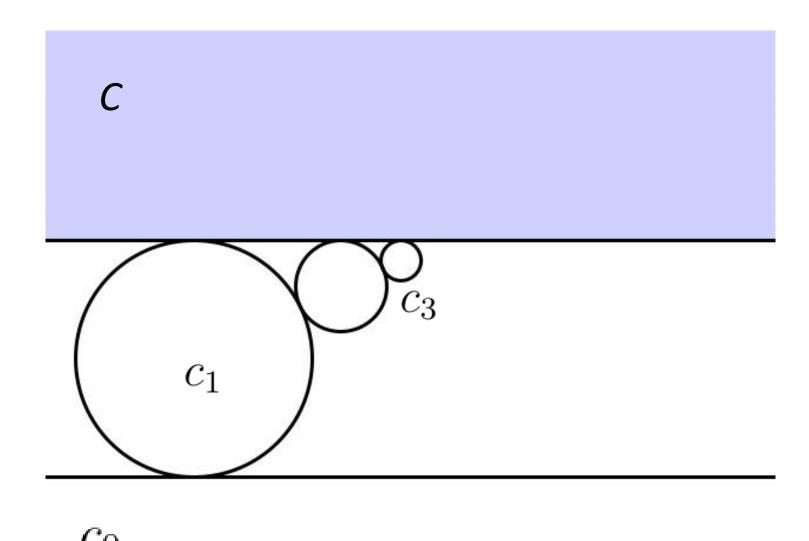
### Packing Labels for Un-branched Interior Flowers

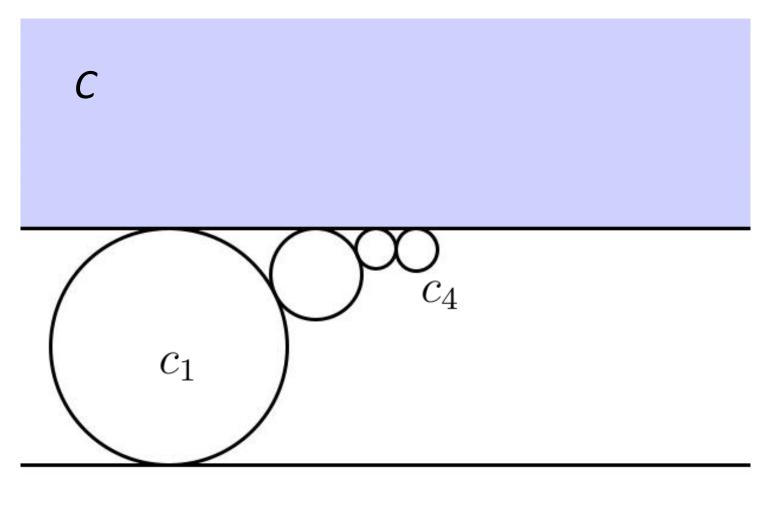
### Flower Normalizations and Notations



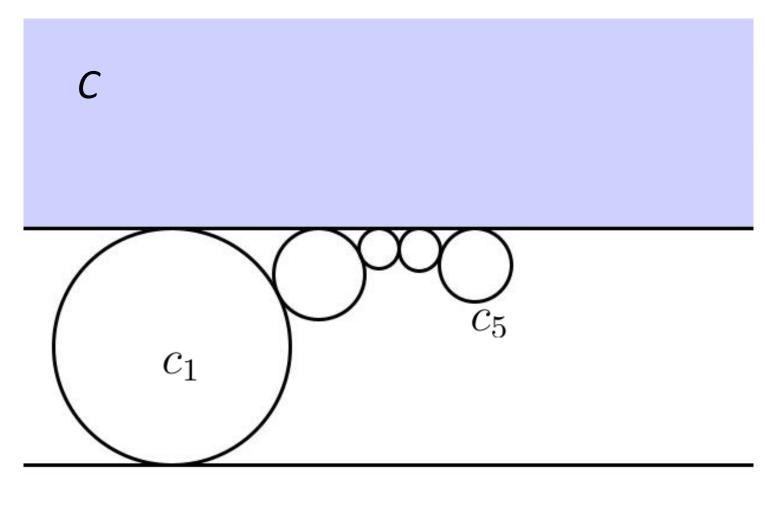




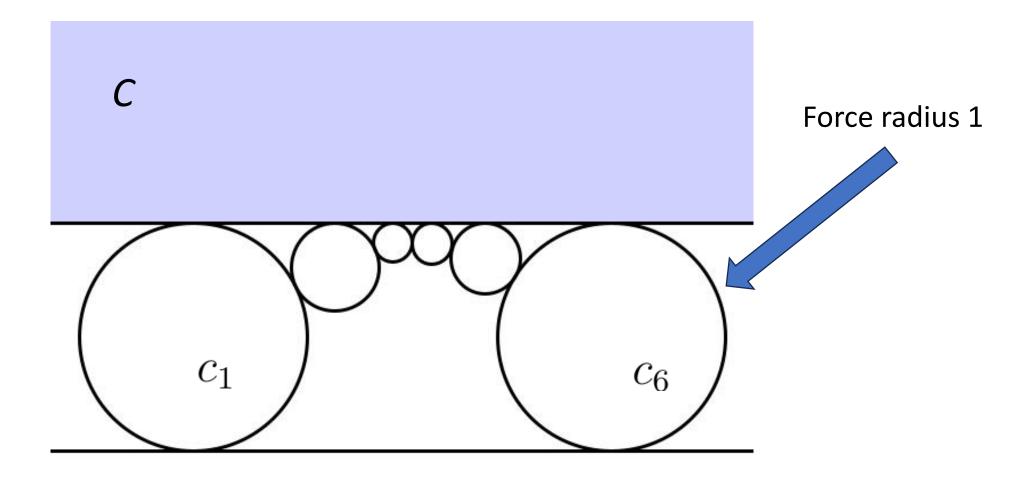




 $c_0$ 



 $c_0$ 

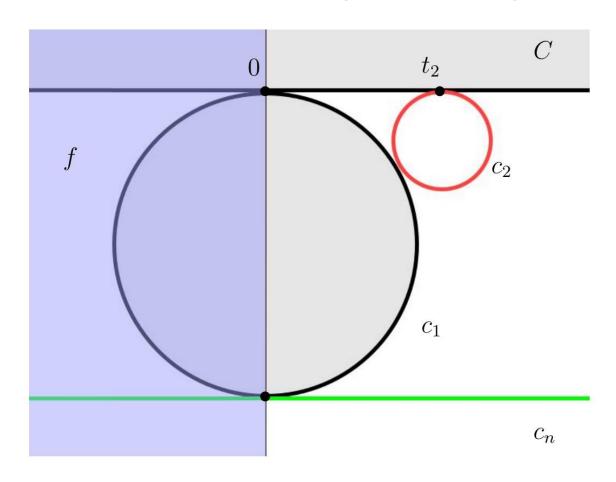


NOTE: This process used only *n*-3 of the *n* edge labels!!

### **Step-wise Computations:**

This step-by-step construction encounters several computational situations:

NOTE: hand computations suggested introducing a new variable: u=1-s so, our n-3 parameters are  $\{u_1,\cdots,u_{n-3}\}$ 



Place the red petal based on the Previous edge label:

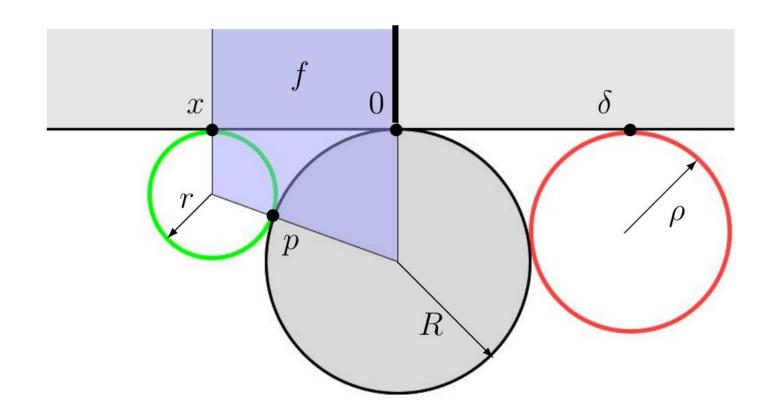
$$t_2 = 2/(\sqrt{3}\,u_1)$$

$$r_2 = 1/(\sqrt{3}u_1)^2$$

### **Step-wise Computations:**

#### **Next** is the generic step which is repeated n-2 times:

Place the red petal based on the face f formed by the green and shaded petals and the upper halfplane C; use the schwarzian for the edge to the shaded petal:



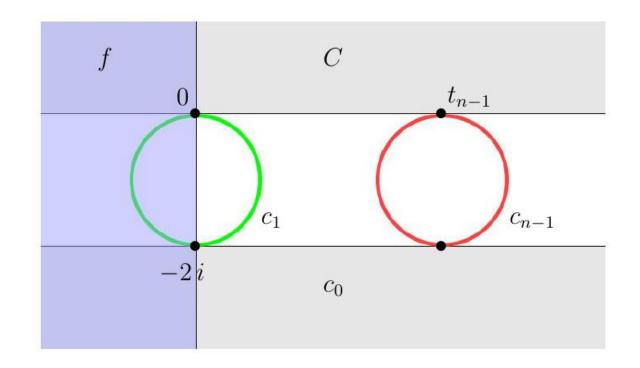
$$\delta = \frac{2R}{(\sqrt{3}u - \sqrt{R/r})}$$

$$\rho = \frac{1}{(\sqrt{3}u/\sqrt{R} - 1/\sqrt{r})^2}$$

If 
$$\rho = 1$$
, then
$$u = \frac{\sqrt{R} + \sqrt{R/r}}{\sqrt{3}}$$

### **Step-wise Computations:**

The red and green petals are opposite across the edge connecting the half planes:



**IF** we where placing the last petal based on the first, we would get

$$t_{n-1} = 2\sqrt{3}\,u_0$$

But we do not know  $u_0$ !!

Crucial Step: We MANDATE  $r_{n-1} = 1$ 

With the last petal of radius 1 in place, we can directly compute  $u_{n-2}, u_{n-1}, u_0$ 

### Successive reciprocal roots:

A focus on reciprocal roots gives the valuable functions  $\mathfrak{C}_j(u_1, \dots, u_{j-1})$ . (Notation:  $u_{i,j,k} = u_i u_j u_k$ )

$$1/\sqrt{r_2} = \mathfrak{C}_2(u_1) = \sqrt{3}u_1,$$

$$1/\sqrt{r_3} = \mathfrak{C}_3(u_1, u_2) = 3u_{1,2} - 1,$$

$$1/\sqrt{r_4} = \mathfrak{C}_4(u_1, u_2, u_3) = \sqrt{3}(3u_{1,2,3} - u_1 - u_3),$$

$$1/\sqrt{r_5} = \mathfrak{C}_5(u_1, \dots, u_4) = 9u_{1,2,3,4} - 3u_{1,4} - 3u_{3,4} - 3u_{1,2} + 1,$$

$$1/\sqrt{r_6} = \mathfrak{C}_6(u_1, \dots, u_5) =$$

$$\sqrt{3}(9u_{1,2,3,4,5} - 3u_{1,4,5} - 3u_{3,4,5} - 3u_{1,2,5} - 3u_{1,2,3} + u_1 + u_3 + u_5).$$

$$\dots$$

For  $p = (u_0, u_1, \dots, u_{n-1}) \in \mathbb{R}^n_+$  write  $\mathfrak{C}_j(u_1, \dots, u_{j-1}) = \mathfrak{C}_j(p)$ . Then computations show

$$\mathfrak{C}_{j+1}(p) = \sqrt{3}u_j\mathfrak{C}_j(p) - \mathfrak{C}_{j-1}(p)$$

### Associated Functions $\mathfrak{U}_n(\cdot)$

Since the last petal has radius 1, (\*) implies  $u_{n-2} = \frac{1 + \mathfrak{C}_{n-3}(p)}{\sqrt{3} \mathfrak{C}_{n-2}(p)}$ .

Defining 
$$\mathfrak{U}_n(u_1, \dots, u_{n-3}) = \mathfrak{U}_n(p) = \frac{1 + \mathfrak{C}_{n-3}(p)}{\sqrt{3} \mathfrak{C}_{n-2}(p)}$$
, we have:

$$u_{2} = \mathfrak{U}_{4}(u_{1}) = \frac{2}{3u_{1}},$$

$$u_{3} = \mathfrak{U}_{5}(u, u_{2}) = \frac{u_{1} + 1/\sqrt{3}}{3u_{1,2} - 1},$$

$$u_{4} = \mathfrak{U}_{6}(u_{1}, u_{2}, u_{3}) = \frac{u_{1,2}}{3u_{1,2,3} - u_{1} - u_{3}},$$

$$u_{5} = \mathfrak{U}_{7}(u_{1}, u_{2}, u_{3}, u_{4}) = \frac{3(3u_{1,2,3} - u_{1} - u_{3}) + 1/\sqrt{3}}{3(3u_{1,2,3,4} - u_{1,2} - u_{1,4} - u_{3,4}) + 1},$$

$$u_{6} = \mathfrak{U}_{8}(u_{1}, u_{2}, u_{3}, u_{4}, u_{5})$$

$$= \frac{3(3u_{1,2,3,4} - u_{1,2} - u_{1,4} - u_{3,4}) + 2}{3(9u_{1,2,3,4,5} - 3u_{1,2,5} - 3u_{1,4,5} - 3u_{3,4,5} + u_{1} + u_{3} + u_{5})}.$$

. . . . .

### Un-branched Flowers (petals wrapping once around C)

**Theorem:** Given n > 3, the parameters  $\{u_1, \dots, u_{n-3}\}$  are part of a packing label for an un-branched n-flower if and only if

$$\mathfrak{C}_j(u_1,\cdots,u_{j-1})>0, \ j=2,\cdots,(n-2).$$

In this case, these expressions

(1) 
$$u_{n-2} = \mathfrak{U}_n(u_1, \dots, u_{n-3}),$$

$$u_{n-1} = \mathfrak{U}_n(u_2, \dots, u_{n-2}),$$

$$u_0 = \mathfrak{U}_n(u_3, \dots, u_{n-1}),$$

allow computation of the three remaining labels.

Simultaneously a characterization, parameterization, and computational tool

### The Intriguing Functions $\mathfrak{U}_n(\cdot)$ $\mathfrak{C}_n(\cdot)$

Work with vectors  $p = (u_0, u_1, \dots, u_{n-1}) \in \mathbb{R}^n_+$ . For **un-branched** *n*-flowers:

(\*) 
$$u_{n-2} = \mathfrak{U}_n(p), \text{ with } \mathfrak{C}_j(p) > 0, j = 2, \dots, (n-2)$$

- $\mathfrak{U}_n$  is **rational**,  $\mathfrak{C}_j$  are **polynomial** with coefficients in  $\mathbb{Q}[\sqrt{3}]$ . The zeros of  $\mathfrak{C}_{n-2}$  are poles of  $\mathfrak{U}_n$ .
- (\*) is invariant under cyclic rotation (or reversal) of the coords of p. If  $\vec{p}^{k}$  is the cyclic permutation moving  $u_{k}$  to  $u_{0}$ , then

$$u_{n-1} = \mathfrak{U}_n(\vec{p}^1) \text{ and } u_0 = \mathfrak{U}_n(\vec{p}^{n-3}).$$

• Self-referential:

$$u_{n-2} = \mathfrak{U}_n(\vec{u}_{1,n-3})$$

$$u_{n-1} = \mathfrak{U}_n(\vec{u}_{2,n-3}, \mathfrak{U}_n(\vec{u}_{1,n-3}))$$

$$u_0 = \mathfrak{U}_n(\vec{u}_{3,n-3}, \mathfrak{U}_n(\vec{u}_{1,n-3}), \mathfrak{U}_n(\vec{u}_{2,n-3}, \mathfrak{U}_n(\vec{u}_{1,n-3}))).$$

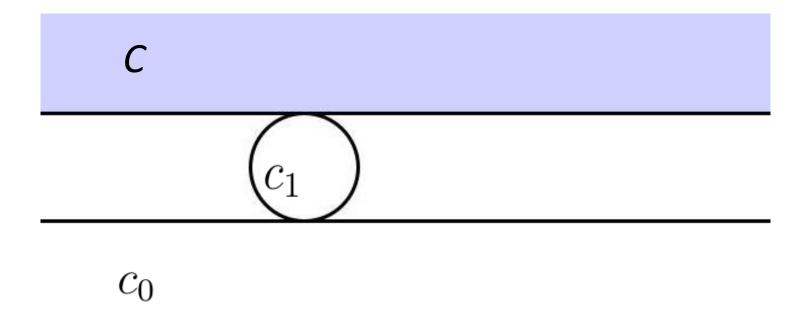
• Define  $\mathcal{V}_n \in \mathbb{R}^n$  as the (n-3)-dim alg variety defined by

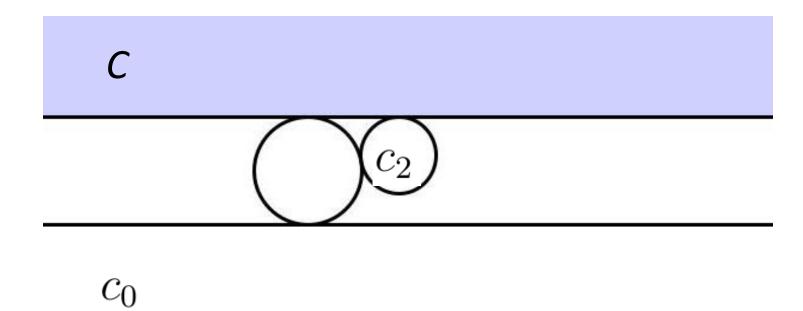
$$u_{n-2} = \mathfrak{U}_n(p)$$
  $u_{n-1} = \mathfrak{U}_n(\vec{p}^{\,1})$   $u_0 = \mathfrak{U}_n(\vec{p}^{\,2})$ 

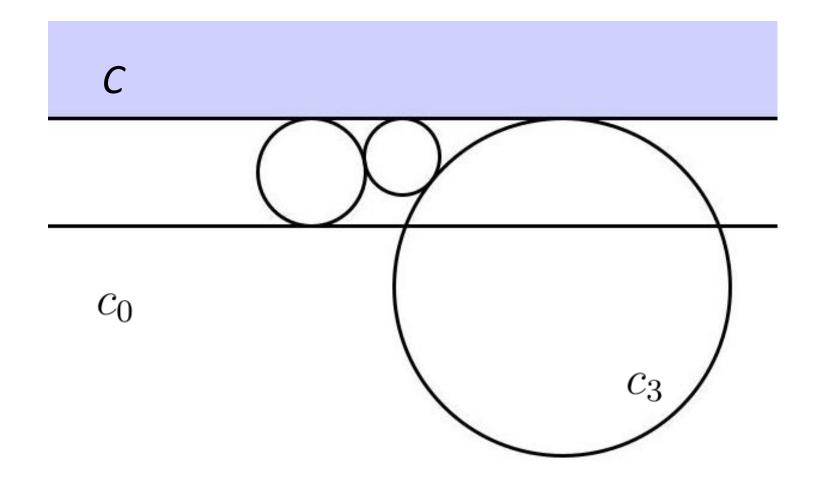
and  $C_n$  as cone  $\{\mathfrak{C}_j(p) > 0, j = 2, \cdots, (n-2)\}$ . Then:

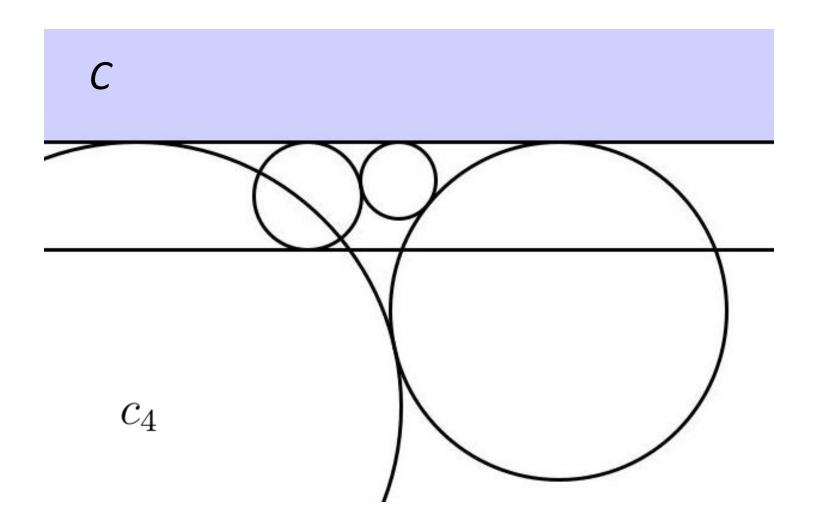
Parameter Space for un-branched *n*-flowers is  $\mathcal{F}_n = \mathcal{V}_n \cap \mathcal{C}_n$ 

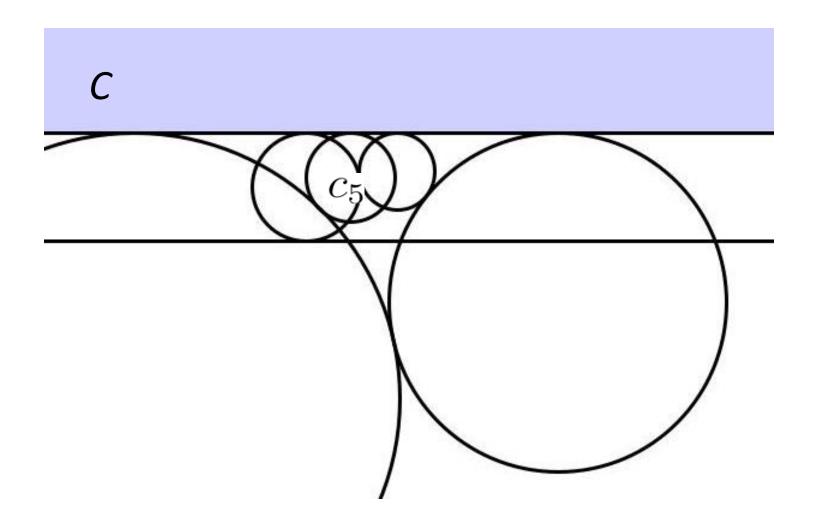
# Packing Labels for Branched Interior Flowers

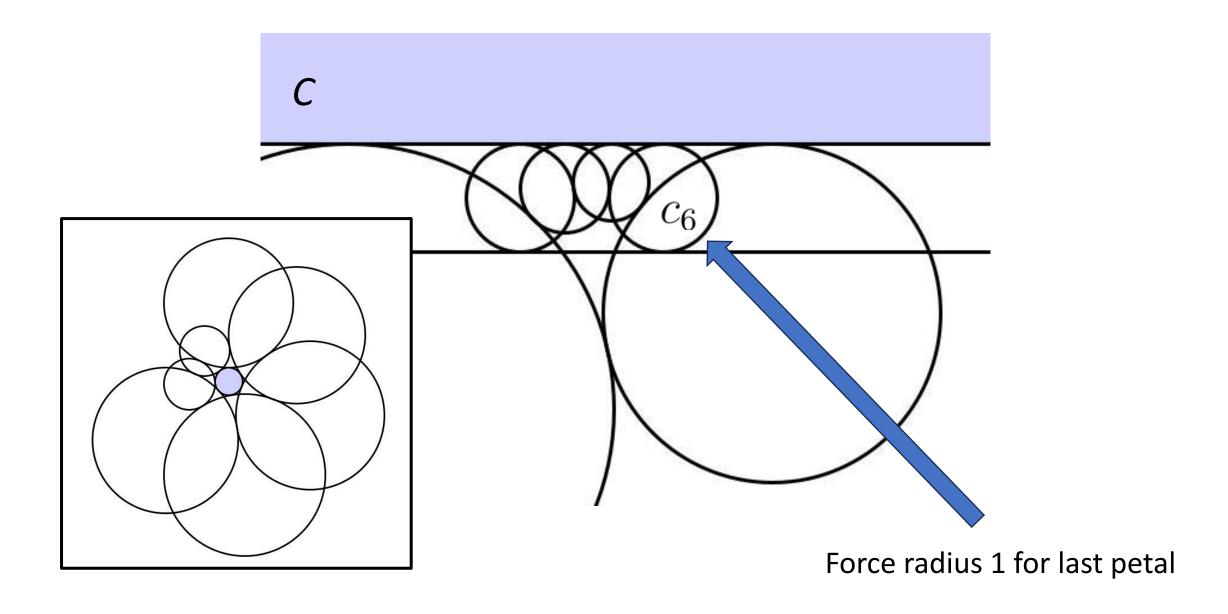




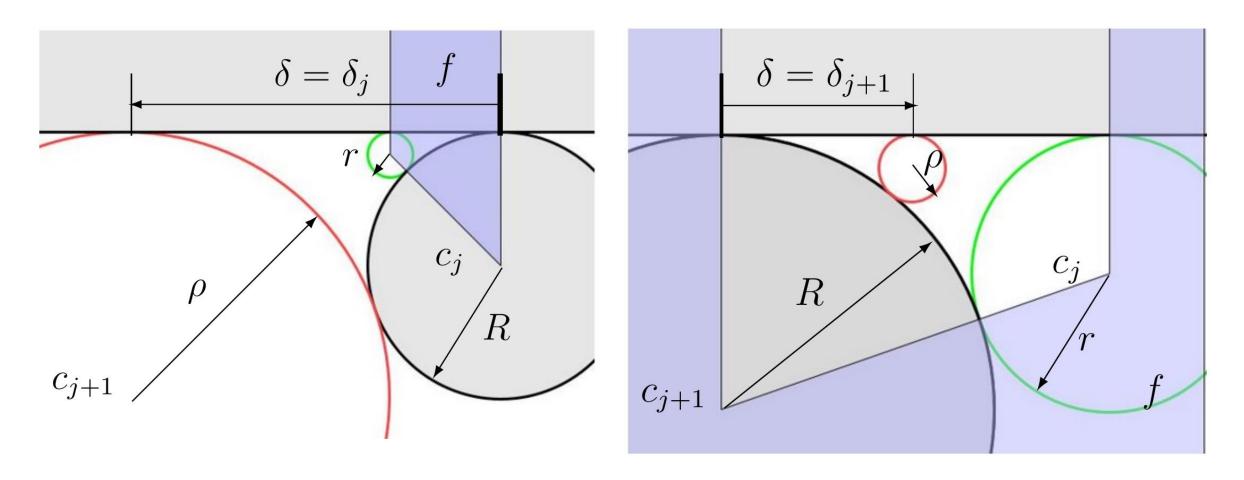








### **Branching Starts:**



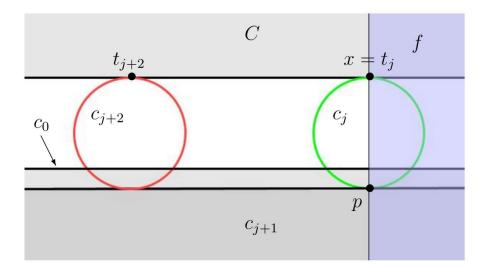
A negative displacement

$$\delta(u, r, R) = \frac{2R}{(\sqrt{3}u + \sqrt{R/r})}$$
  $\rho = \frac{1}{(\sqrt{3}u/\sqrt{R} + 1/\sqrt{r})^2}$ 

$$\rho = \frac{1}{(\sqrt{3}u/\sqrt{R} + 1/\sqrt{r})^2}$$

**Theorem:** Given schwarzians  $\{s_1, \dots, s_{n-3}\}$ , the **Layout Process** results in a legitimate n-flower except in the following two situations:

- (a) when  $c_{n-2}$  is tangent to C at infinity or
- (b) when the computed  $s_0$  exceeds 1.

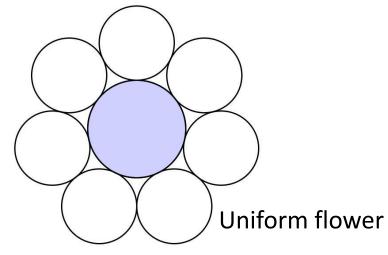


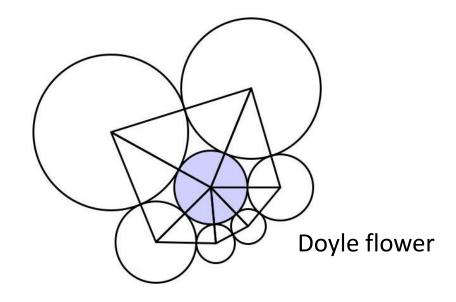
### Upshot in the case of branching?

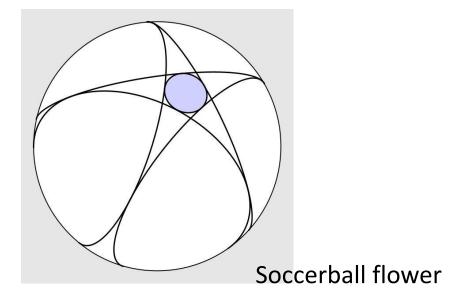
Computations/formulas for normalized flowers continue to hold. The  $\mathfrak{U}_n$  are no longer explicit and must be interpreted as algorithms.

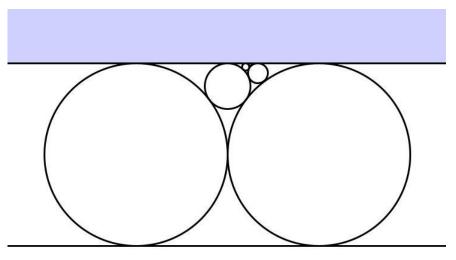
## Schwarzians for Special Classes of Flowers

### Special flowers

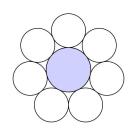




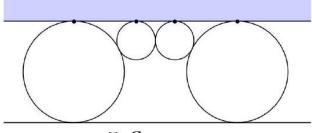




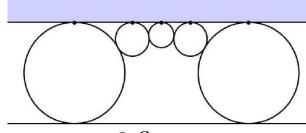
Ring Lemma flower



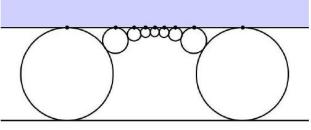
### **Uniform Flowers:**



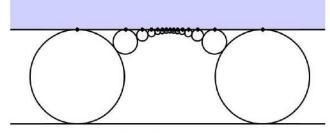
5-flower



6-flower



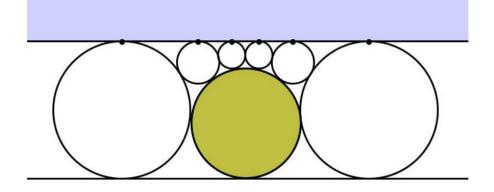
10-flower

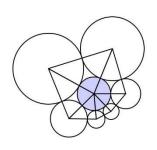


16-flower

For an *n*-flower: 
$$\mathfrak{s}_n = 1 - \frac{2\cos(\pi/n)}{\sqrt{3}}$$

More general: 
$$s = 1 - \frac{2\cos(\alpha)}{\sqrt{3}}$$





### Doyle Flowers:

Two-parameter family: For a > 0, b > 0 the pattern of petal radii is:

**Radii**:  $\{a, b, \frac{b}{a}, \frac{1}{a}, \frac{1}{b}, \frac{a}{b}\}$ 

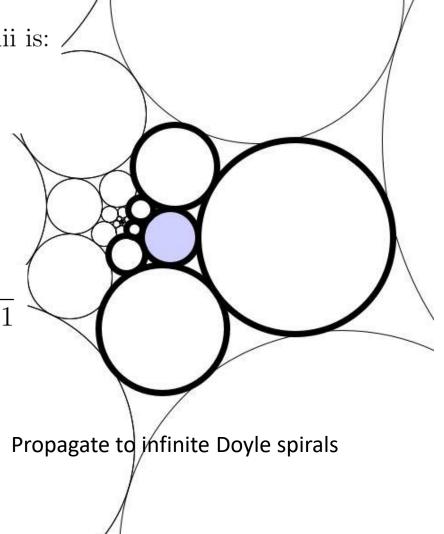
Pairing similar faces, get repeat pattern of edge labels:

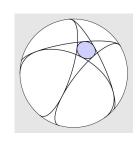
Edge labels:  $\{u_1, u_2, u_3, u_1, u_2, u_3\}$ 

$$u_1 = \mathfrak{U}_6(u_1, u_2, u_3) = \frac{u_1 u_2}{3u_1 u_2 u_3 - u_1 - u_3} \implies u_3 = \frac{u_1 + u_2}{3u_1 u_2 - 1}$$

So this is also a two-parameter family:  $u_1 > 0, u_2 > 0$ .

Are there other local patterns that propagate ad infinitum? Perhaps in square-grid combinatorics?





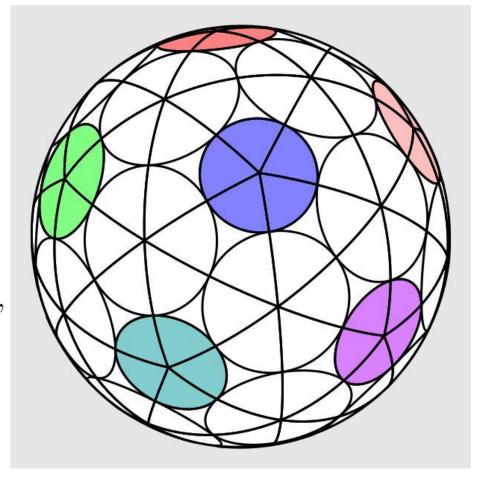
### Soccerball Flowers

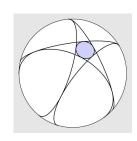
K and its max packing  $P_K$  have dodecahedral symmetry: 42 verts, 12 degree 5, 30 degree 6.

- By symmetry,  $\exists$  just 2 schwarzians, s and s'.
- 5-flowers are uniform, so  $u = 1 s = \frac{2}{\sqrt{3}}\cos(\pi/5)$ .
- 6-flowers have alternating pattern  $\{u, u', u, u', u, u'\}$ , thus  $u' = \frac{uu'}{3uu'u u u'}$ , which yields uu' = 1.

Therefore, for  $P_K$ :

$$s = 1 - \frac{2}{\sqrt{3}}\cos(\pi/5)$$
 and  $s' = 1 - \frac{\sqrt{3}}{2}\sec(\pi/5)$ .





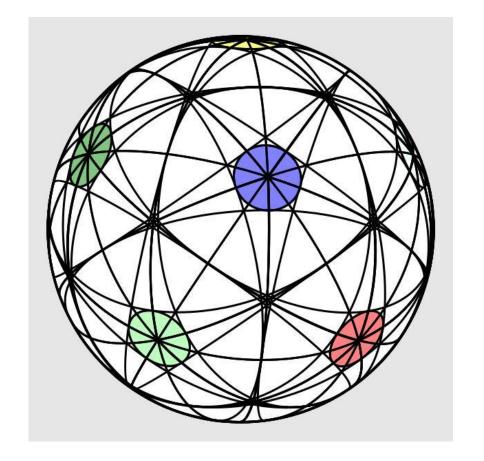
### **Branched Soccerball Flowers**

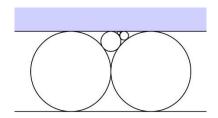
The same analysis applies here: there are just two schwarzians, s and s' and (1-s)(1-s')=1. However, now the petals in each 5-degree flower wrap twice around the center, implying

$$s = 1 - \frac{2}{\sqrt{3}}\cos(2\pi/5)$$
 and  $s' = 1 - \frac{\sqrt{3}}{2}\sec(2\pi/5)$ .

There are infinitely many pairs s, s' satisfying (1-s)(1-s')=1 which can be used to lay out circle packings for this K.

In general, these generate **topological spheres** with **projective structures**, each having 12 **cone points**.



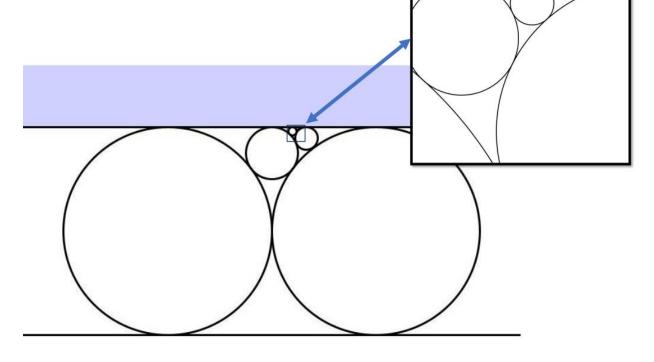


### Ring Lemma Flowers:

The extremal flowers for the Rodin-Sullivan "ring" lemma have fascinating geometry, with connections to the **Descartes Circle**Theorem, Farey arithmetic, Apollonian packings, the golden ratio, etc.

**Procedure**: Starting with 3 petals:

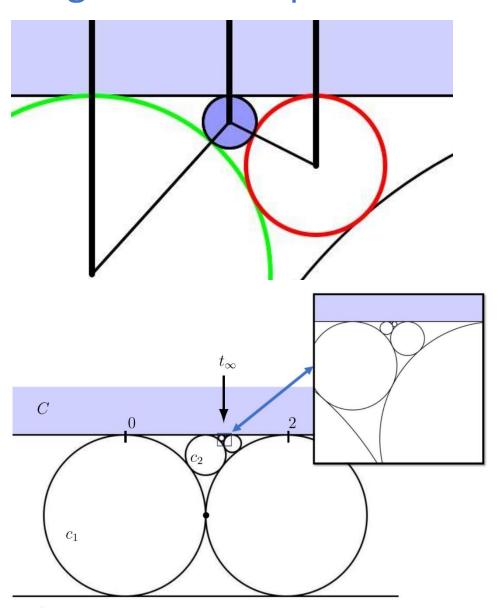
- Add a new petal in the smallest interstice,
- Repeat
- Repeat
- •



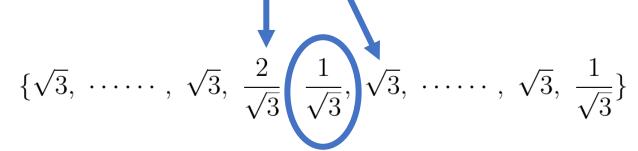
If we stop when we have an n-flower, here is the sequence of labels:

$$\{\sqrt{3}, \dots, \sqrt{3}, \frac{1}{\sqrt{3}}, \frac{2}{\sqrt{3}}, \sqrt{3}, \dots, \sqrt{3}, \frac{1}{\sqrt{3}}\}$$

### Ring Lemma Steps:



$$\{\sqrt{3}, \dots, \sqrt{3}, \frac{1}{\sqrt{3}}, \frac{2}{\sqrt{3}}, \sqrt{3}, \dots, \sqrt{3}, \frac{1}{\sqrt{3}}\}$$



$$\frac{1}{\sqrt{r_{j+1}}} = \frac{1}{\sqrt{r_j}} + \frac{1}{\sqrt{r_{j-1}}}$$

$$\implies \frac{r}{r'} \longrightarrow (\frac{1+\sqrt{5}}{2})^2 = \tau^2$$

$$\implies t_{\infty} = 2/\tau$$

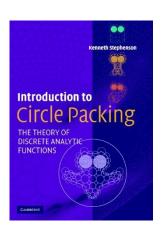
Conclusion: aren't circles grand?

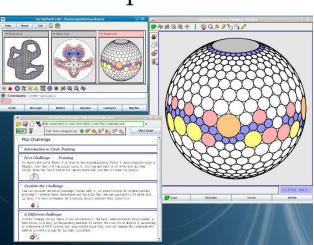
### **Concluding Comments:**

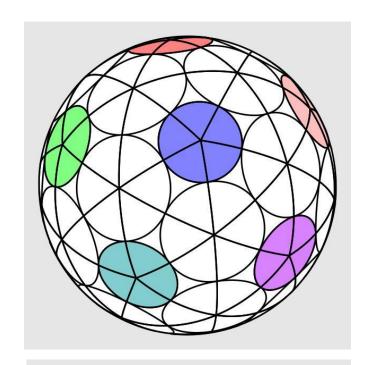
Main Question: How does one compute packing edge labels S for a complex K? The soccerball example relies on extreme symmetry.

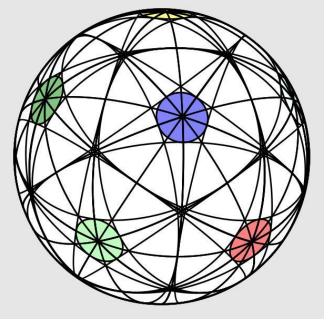
Is there a packing algorithm using schwarzians, which lack angle sums, radii, and their associated monotonicities?

In the meantime, there are some beautiful visual, geometric, and numerical results to pursue.









### Thanks for your attention

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- 5. Gerald Lee Orick Jr., Computational circle packing: Geometry and discrete analytic function theory, Ph.D. thesis, 2010, PhD Thesis, Univ. of Tenn., directed by Ken Stephenson.
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- 7. Oded Schramm, Circle patterns with the combinatorics of the square grid, Duke Math. J. 86 (1997), 347–389.
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- 9. \_\_\_\_\_, Introduction to circle packing: the theory of discrete analytic functions, Camb. Univ. Press, New York, 2005, (ISBN 0-521-82356-0, QA640.7.S74).
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