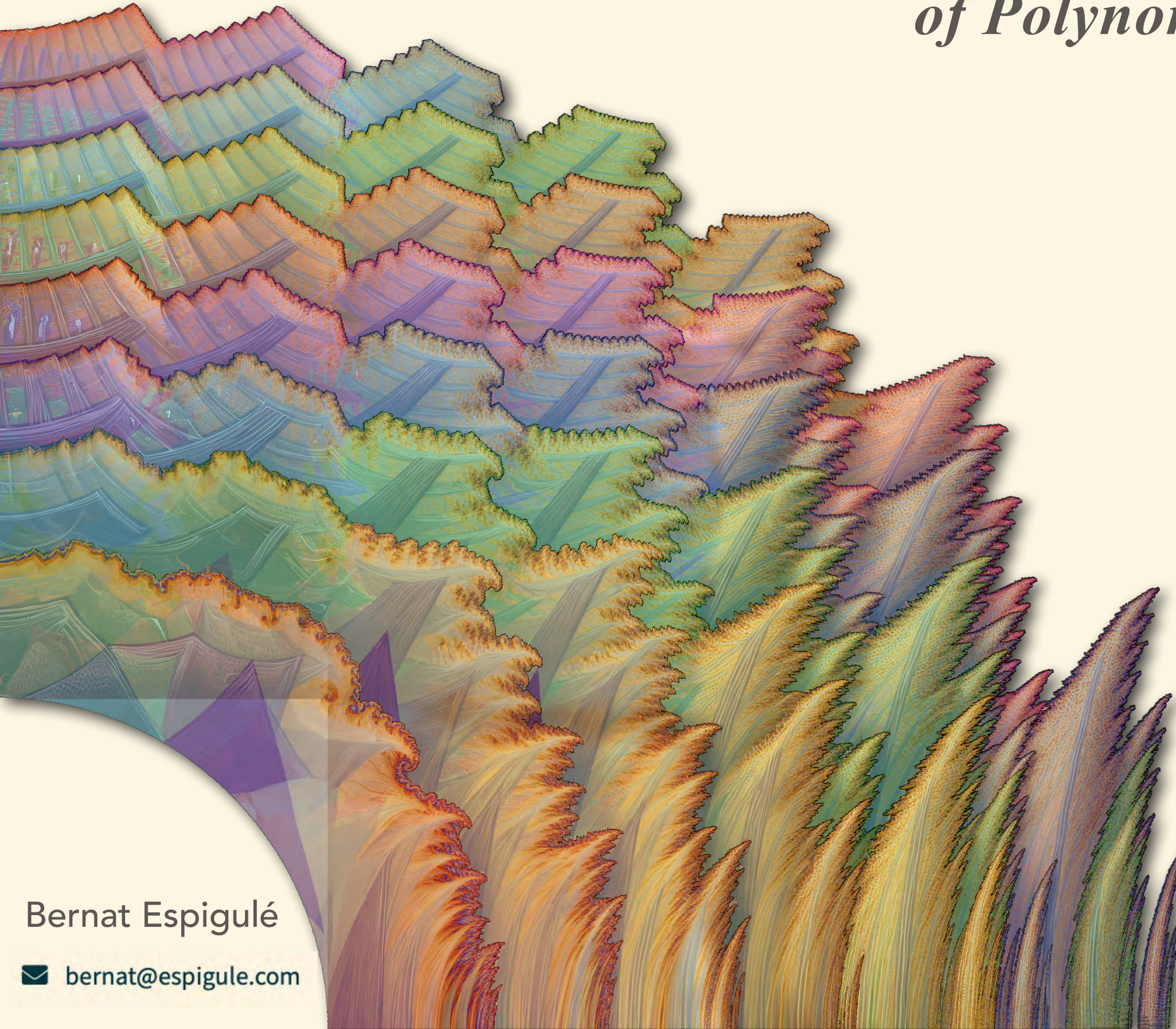



# *Collinear Fractals - Unveiling the Hidden Geometry of Polynomial Roots*



Joint work with my  
PhD supervisors,  
Joan Saldaña, and  
David Juher


  
**Universitat de Girona**

IFUdG 2022–2024  **Santander**

Low dimensional dynamical  
systems: topology, geometry,  
combinatorics and bifurcations

PID2023-146424NB-I00

Bernat Espigulé

 [bernate@espigule.com](mailto:bernate@espigule.com)



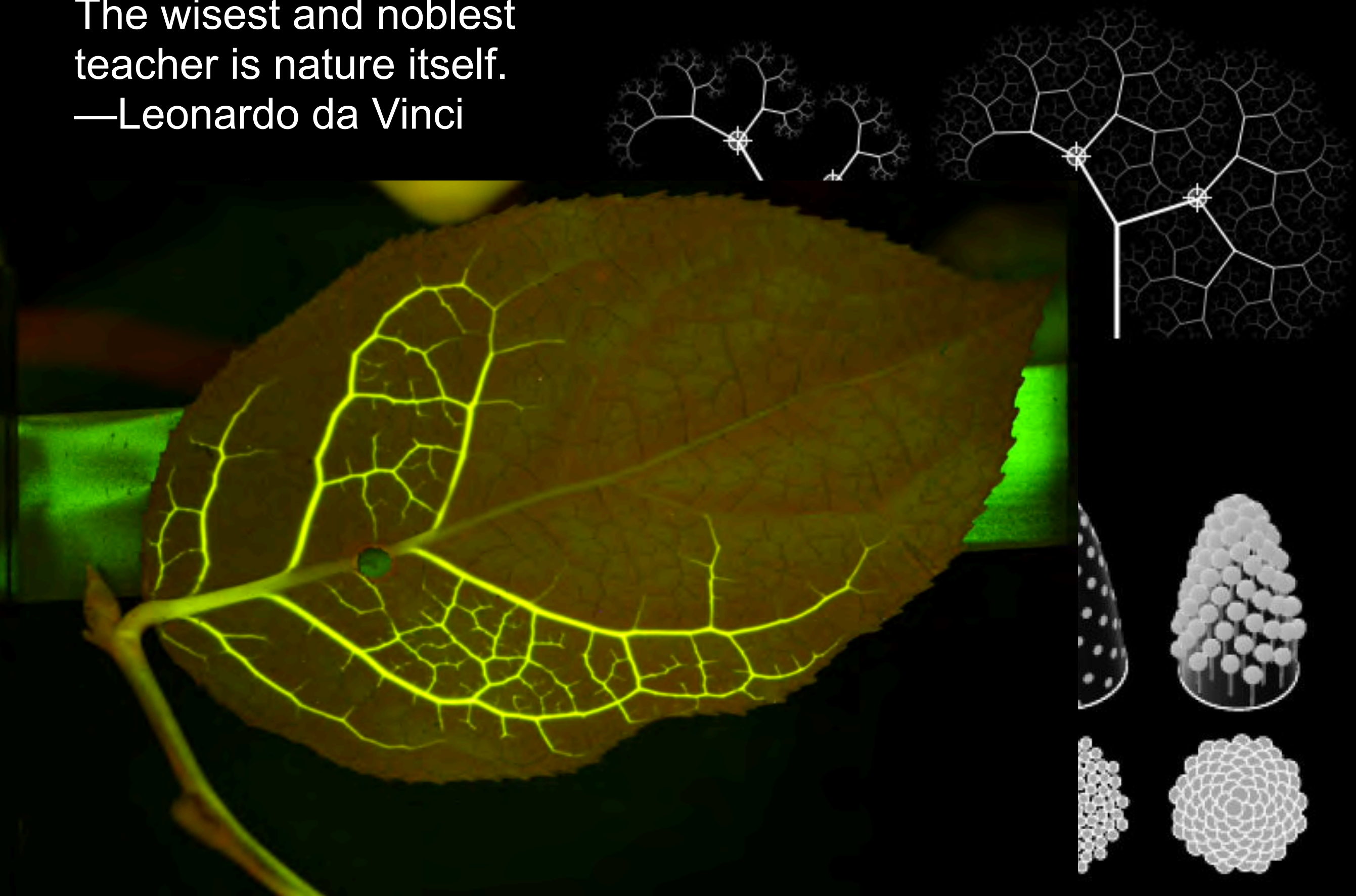


1989 Figueres

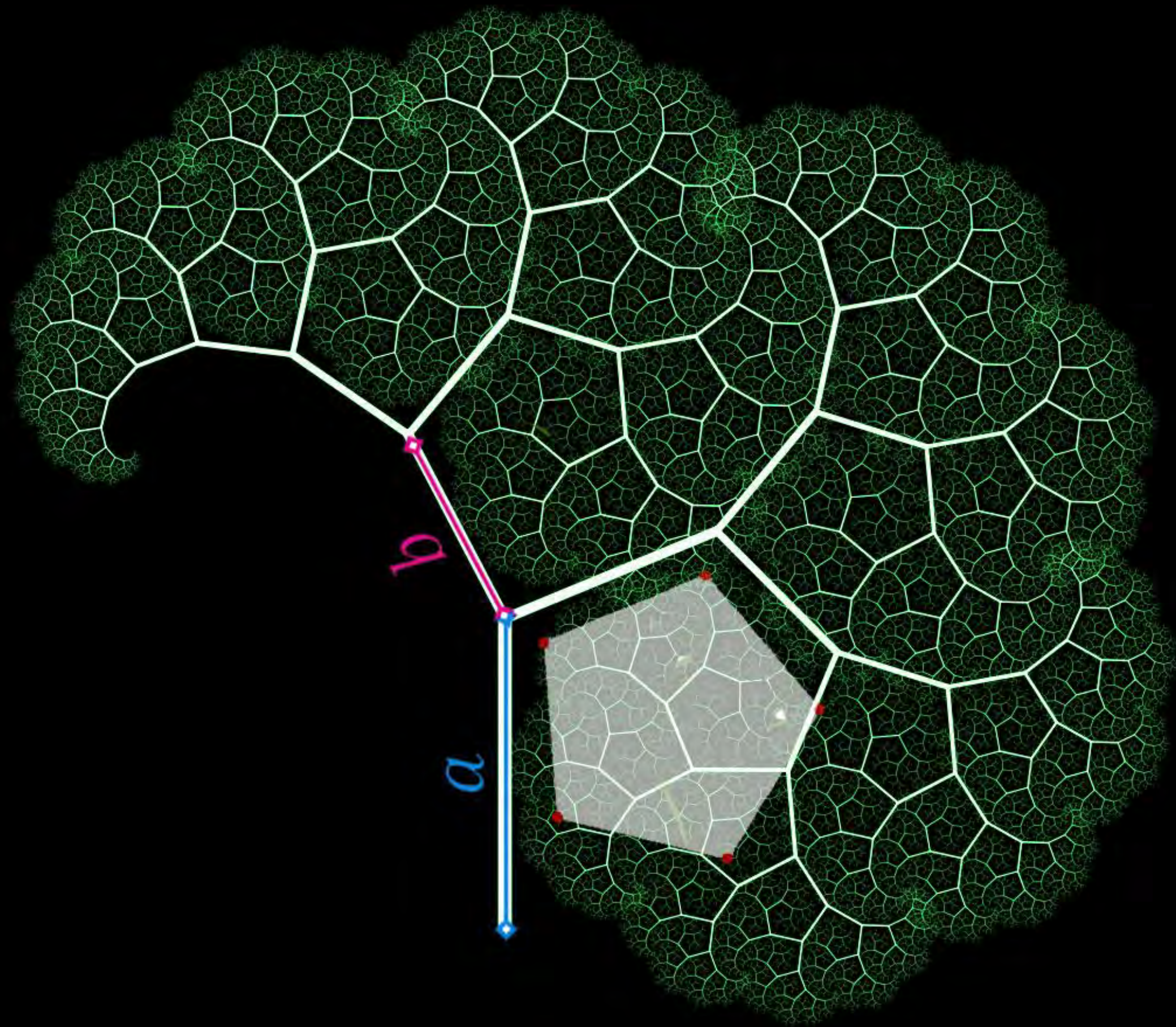




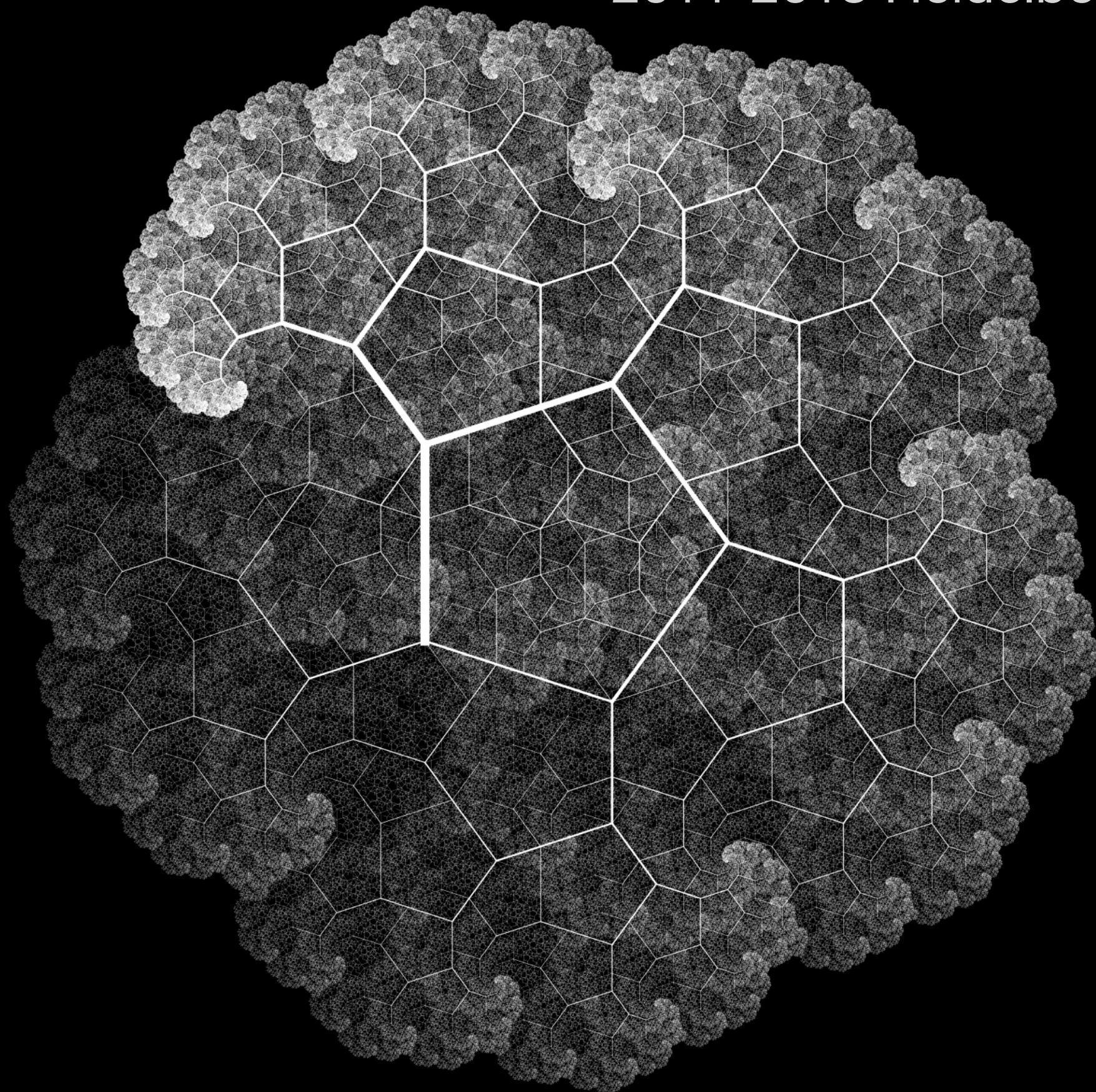
The wisest and noblest  
teacher is nature itself.  
—Leonardo da Vinci







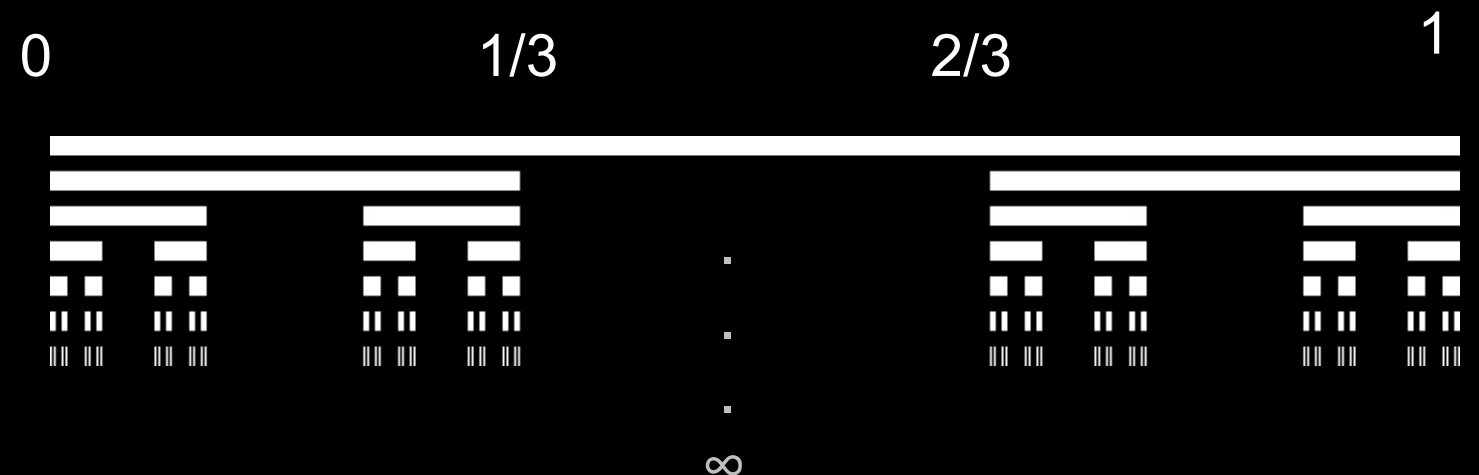






# Georg Cantor

(1845 –1918)



$$C_n = \frac{C_{n-1}}{3} \cup \left( \frac{2}{3} + \frac{C_{n-1}}{3} \right).$$

"Über unendliche, lineare Punktmannigfaltigkeiten V"  
 [On infinite, linear point-manifolds (sets)],  
 Mathematische Annalen, vol. 21, pages 545–591. (1883)

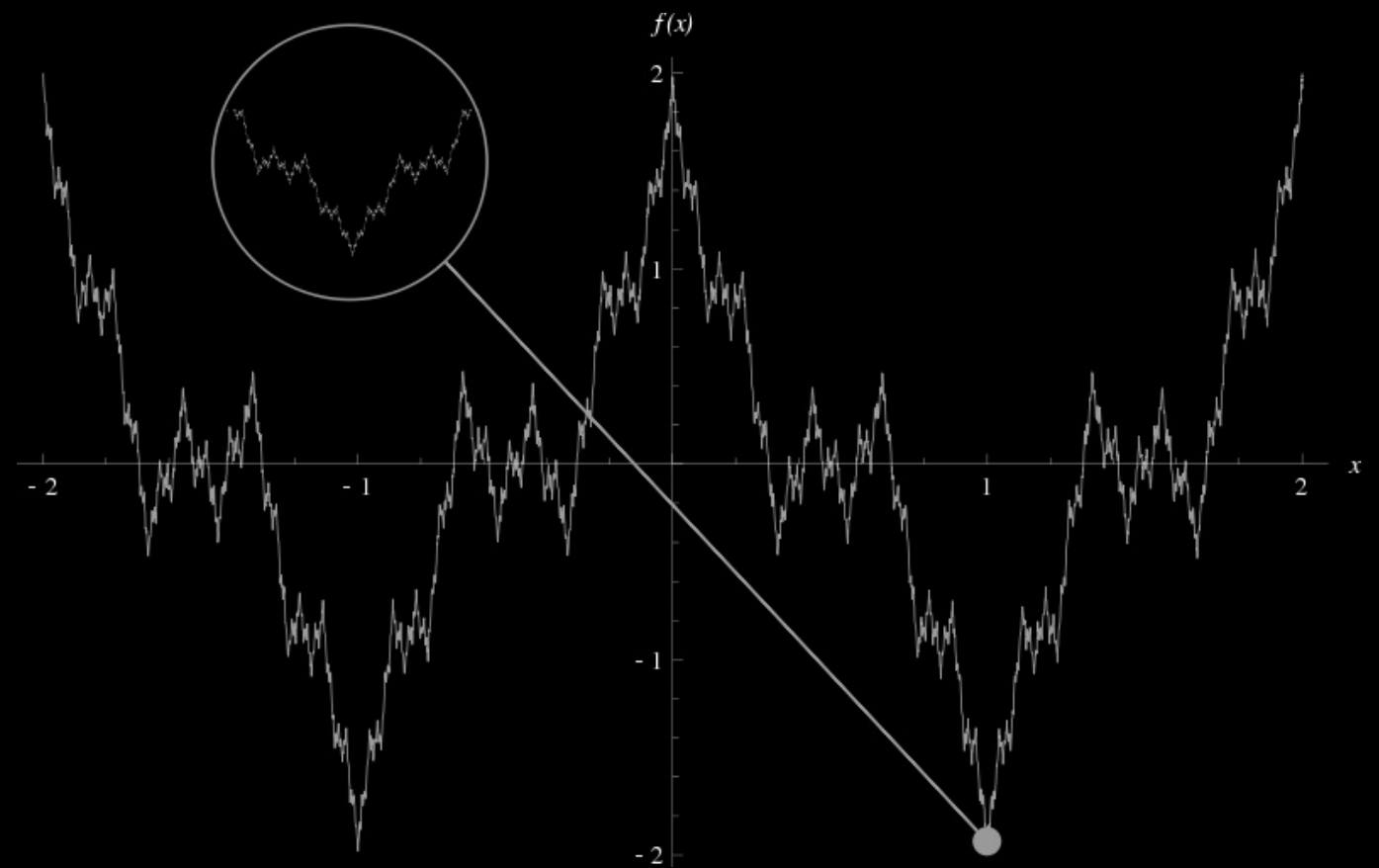


# Helge von Koch

(1870 – 1924)



Weierstrass function, 1872



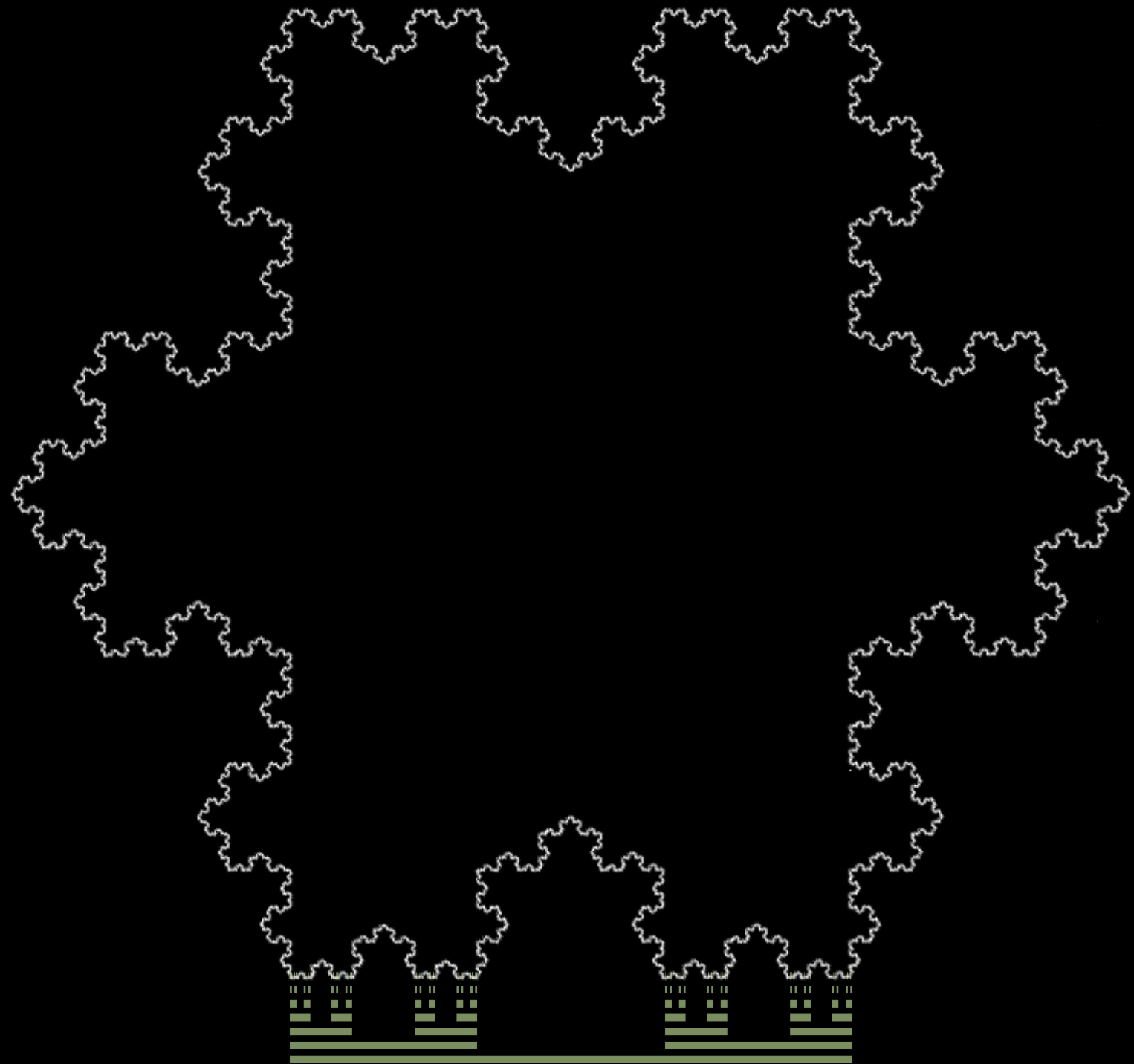
"Sur une courbe continue sans tangente, obtenue par une construction géométrique élémentaire."

Archiv för Matemat., Astron. och Fys. 1, 681-702, 1904.



# Helge von Koch

(1870 – 1924)

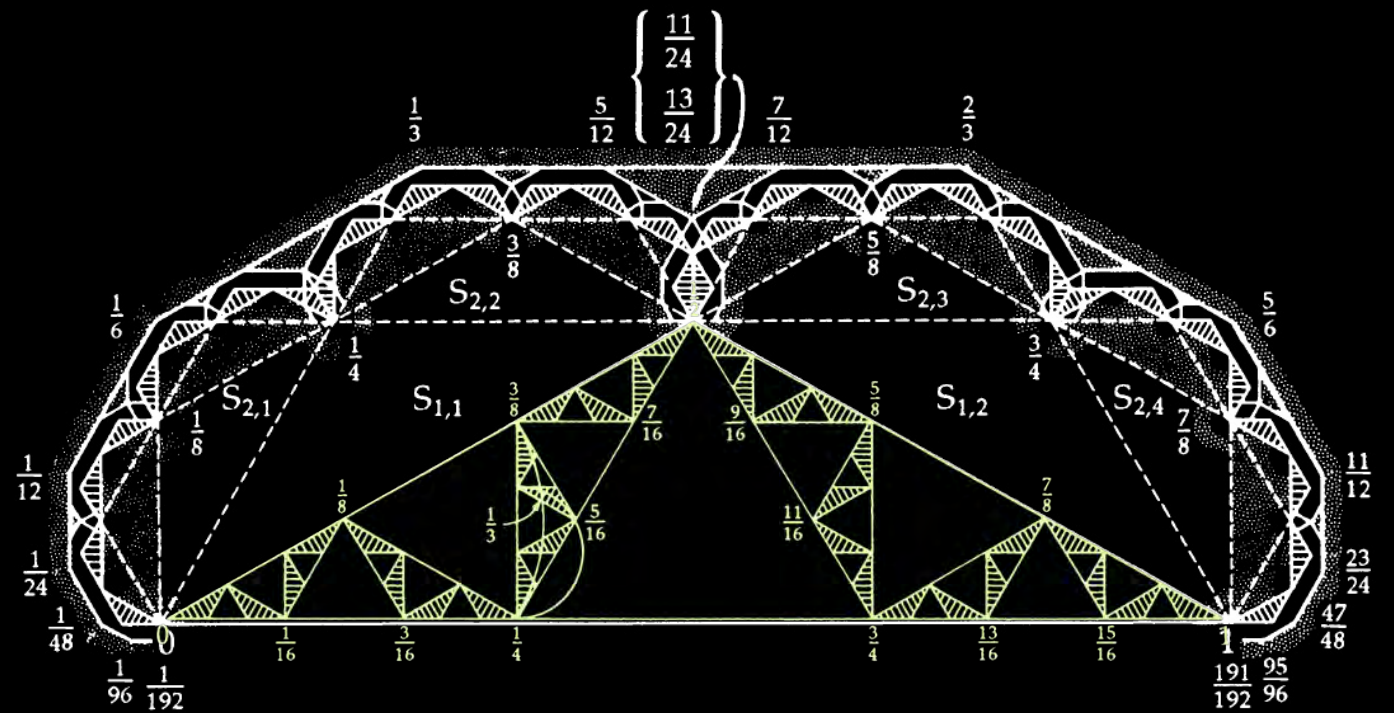
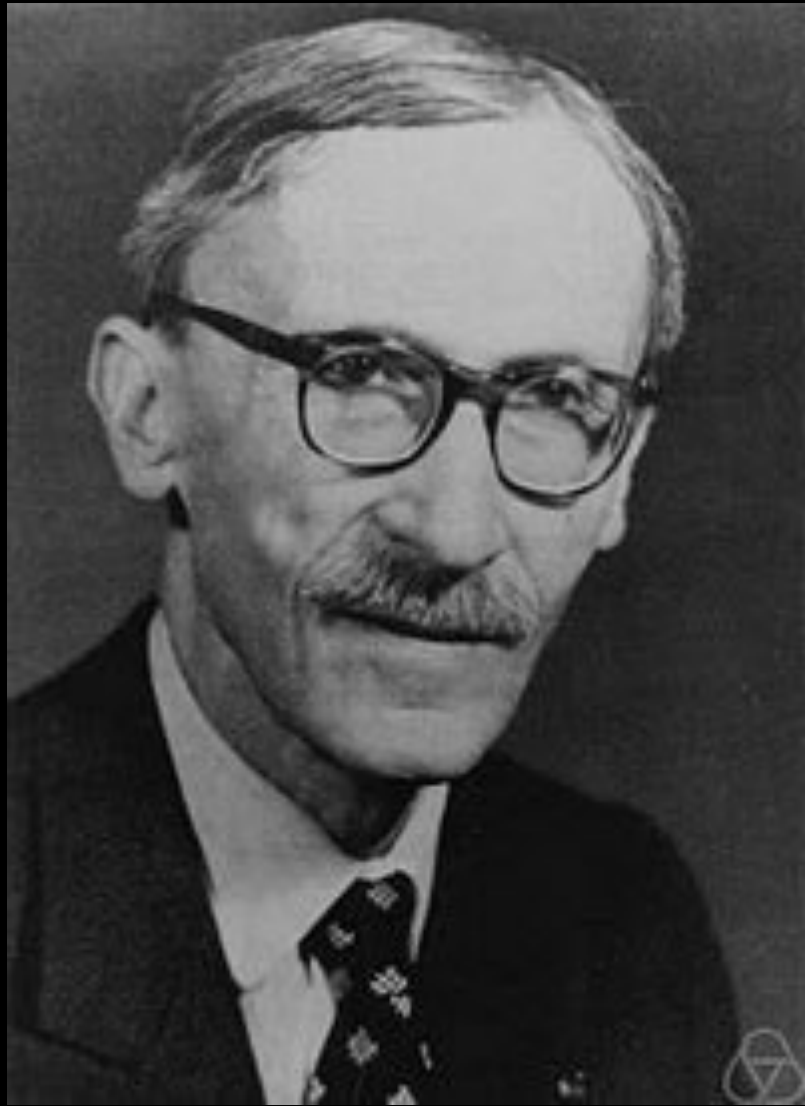


"Sur une courbe continue sans tangente, obtenue par une construction géométrique élémentaire."

Archiv för Matemat., Astron. och Fys. 1, 681-702, 1904.



# Paul Lévy (1886 –1971)

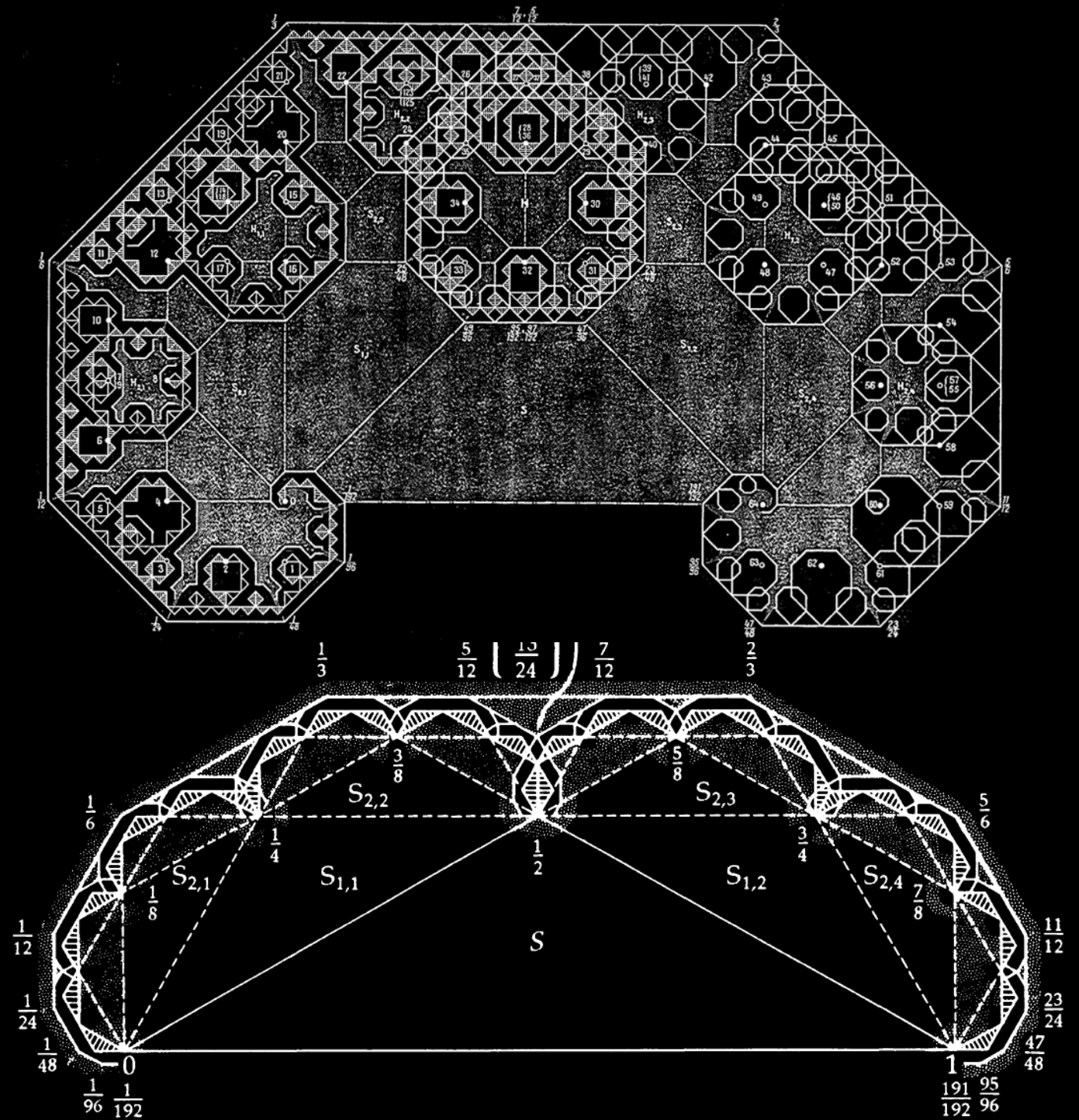


"Les courbes planes ou gauches et les surfaces composées de parties semblables au tout. »

J. l'École Polytech., 227-247 and 249-291, 1939.



# Paul Lévy (1886 – 1971)



"Les courbes planes ou gauches et les surfaces composées de parties semblables au tout. »

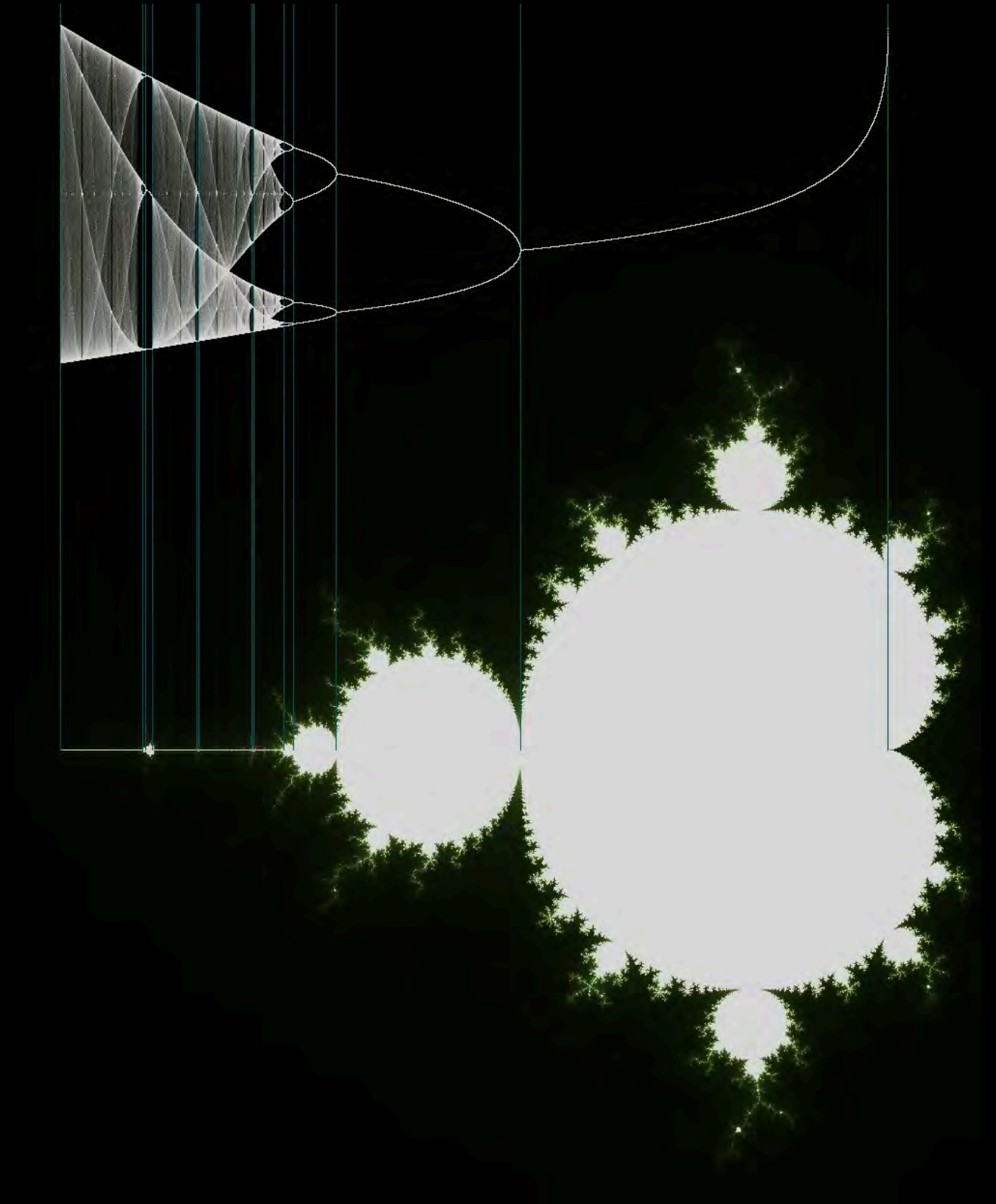
J. l'École Polytech., 227-247 and 249-291, 1939.



# Benoît Mandelbrot (1924 –2010)



« Les objets fractals.  
Forme, hasard et dimension »  
Paris: Flammarion. 1975





# Self-Contacting Fractal Trees

Michael Frame and Benoît B. Mandelbrot  
*The Mathematical Intelligencer*, 1999 Springer-Verlag

Mathematical Details for the article of Frame & Mandelbrot  
 Self-Contacting Fractal Trees  
 by Prof. Donald C. West © (1999)

## The Canopy and Shortest Path in a Self-Contacting Fractal Tree

BENOÎT B. MANDELBROT AND MICHAEL FRAME

*This article concerns the fractal trees that are obtained recursively by symmetric binary branching. A trunk of length 1 divides into two branches of length  $r$ , each of which makes an angle  $\theta > 0^\circ$  with the linear extension of the trunk. Each branch then divides by the same rule. Some basic information on such trees is found in Chapter 16 of [FGN], on which this article elaborates.*

It is well known that the branch tips of these trees can take any dimension satisfying  $0 < D \leq 2$ . Moreover, when  $1 < D < 2$ , it is possible for different branches to have tips, but no other points, in common. These trees, to be called "self-contacting," include points one cannot access from infinity, except by crossing a composite curve called the "hull." In the interesting cases, the hull includes a fractal called the "canopy."

For  $\theta < 90^\circ$ , the canopy can be characterized in another way: as the shortest path along the branch tips from the upper left corner to the upper right corner. The self-contacting branch tips screen from infinity some other branch

tips, thus providing shortcuts between parts of the tree, effectively jumping over the screened regions.

For  $\theta > 90^\circ$ , the canopy is disconnected because of additional screening by branch segments. The shortest path along branch tips remains a variant of the Koch curve, so the shortest path and canopy no longer coincide. The fractal dimensions of the canopy, shortest path, and the set of branch tips are compared in the range  $0^\circ < \theta < 180^\circ$ .

For certain ranges of  $\theta$ , the canopy, shortest path, and the set of branch tips are Koch curves. Consequently, the constructions presented here provide alternative ways to draw Koch curves.

In addition, the angles  $\theta = 90^\circ$  and  $\theta = 135^\circ$  mark profound topological discontinuities in the canopy and shortest path. Consequently, we think of these as *topological critical points*.

The structure of self-avoiding and self-contacting trees (with their canopies and shortest paths) is instructive and entertaining. It seems to make the subtle distinction between denumerable and nondenumerable infinity concrete and near-palpable.

### A Classification of Binary Trees

In the preceding construction, each branch is determined by a finite number of choices of the form "bear left" or "bear right," so each branch defines, in obvious fashion, an "address" that is a finite sequence of letters  $L$  and  $R$ . Therefore, the branches are denumerable. For  $r \geq 1$ , the outcome of this construction is easily seen to be unbounded. For example,  $LRRLRL\ldots = (LR)^*$  defines a sequence in which every  $R$  branch is vertical and every  $L$  branch makes an angle  $\theta$  with the vertical. Thus, the total vertical extent of this branch sequence is

$$1 + r \cos(\theta) + r^2 + r^3 \cos(\theta) + r^4 + \cdots,$$

diverging for  $r \geq 1$ . However, if  $r < 1$ , a limit tree is reached after an infinite number of branchings; it depends on  $\theta$  and will be denoted by  $\mathcal{T}$ . Each branch tip defines an address that is an infinite sequence of  $L$  and  $R$ . A tip's address is the same as an infinite sequence of 0 and 2, hence, in turn, the same as a point in the classic ternary Cantor set.

Many geometrical properties of these trees can be deduced from the positions of branch tips. Denote by  $A_1 A_2 A_3 \ldots$  the address of a branch tip and by  $d_n$  the number of  $R$ 's minus the number of  $L$ 's in  $A_1 A_2 A_3 \ldots A_n$ . Placing the base of the trunk at the origin, this branch tip is located at the point with coordinates

$$x = r \sin(d_1 \theta) + r^2 \sin(d_2 \theta) + r^3 \sin(d_3 \theta) + \cdots, \quad (1)$$

$$y = 1 + r \cos(d_1 \theta) + r^2 \cos(d_2 \theta) + r^3 \cos(d_3 \theta) + \cdots.$$

When the address is eventually periodic, closed expressions for the coordinates can be found by summing the appropriate geometric series. For example, the branch tip with address  $(LR)^*$ , a point of maximal height of the tree for  $0^\circ < \theta \leq 135^\circ$ , has  $y$  coordinate

$$\frac{1 + r \cos(\theta)}{1 - r^2}.$$

To generate pictures of the set of branch tips, the standard method already used in [FGN] is now [B] referred to as "iterated function systems" (IFS). The two functions required are

$$B_R(x, y) = (rx \cos(\theta) - yr \sin(\theta), rx \sin(\theta) + yr \cos(\theta)) + (0, 1)$$

$$B_L(x, y) = (rx \cos(-\theta) - yr \sin(-\theta) + yr \cos(-\theta)) + (0, 1),$$

where

$$s = \frac{1 - r^2}{1 + r \cos(\theta)}.$$

Here,  $s$  is the reciprocal of the height of the tree, hence the vertical scaling factor of the trunk. Note that all the IFS transformations must be contractions, so the trunk can be generated with  $\text{Tr}(x, y)$  only so long as  $s < 1$ ; that is, for  $\theta < 135^\circ$ . However,  $B_R$  and  $B_L$  will generate the set of branch tips for all  $\theta$ . For  $\theta > 135^\circ$ , replace  $\text{Tr}$  with two functions

$$\text{Tr}_1(x, y) = (0, y/2),$$

$$\text{Tr}_2(x, y) = (0, y/2) + (0, 1/2).$$

The wide availability of IFS software makes this area accessible to computer experiments.

### Self-Avoidance

When  $\mathcal{T}$  has no double point (i.e., no loop), it is said to be *self-avoiding*. If so, the branch tips are distinct points and, like the points in a Cantor set, are nondenumerable. They form a self-similar fractal of dimension  $D = \log(2)/\log(1/r)$ . That the scaling of the branch tips is identical to that of the branches is illustrated by the IFS formulation.

In addition, it can be derived from the addresses of appropriate branch tips, using the method we describe in the self-contacting case. For  $r \leq 1/2$ ,  $\mathcal{T}$  is always self-avoiding, regardless of the value of  $\theta$ . However, for  $1/2 < r < 1$ , the tree may or may not be self-avoiding, depending on  $\theta$ .

When the tip of some branch also belongs to some other branch, the tree is said to be *self-contacting*. Self-contacts are of two kinds: a tip may lie on a branch or two tips may coincide; both kinds can be found on the same tree. Tip-to-tip self-contact will be seen to involve a generalization of the familiar fact that in binary representations of the points of the interval  $[0, 1]$ , the points corresponding to 0.01111... and 0.10000... are identical. Here, too, the dimension of the tip set is  $\log(2)/\log(1/r)$ .

### Self-Contact

When the tip of some branch also belongs to some other branch, the tree is said to be *self-contacting*. Self-contacts are of two kinds: a tip may lie on a branch or two tips may coincide; both kinds can be found on the same tree. Tip-to-tip self-contact will be seen to involve a generalization of the familiar fact that in binary representations of the points of the interval  $[0, 1]$ , the points corresponding to 0.01111... and 0.10000... are identical. Here, too, the dimension of the tip set is  $\log(2)/\log(1/r)$ .

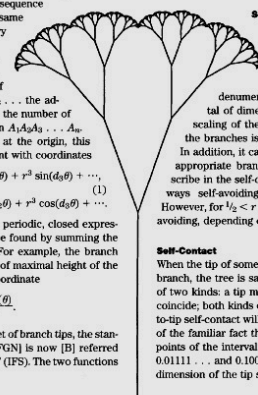
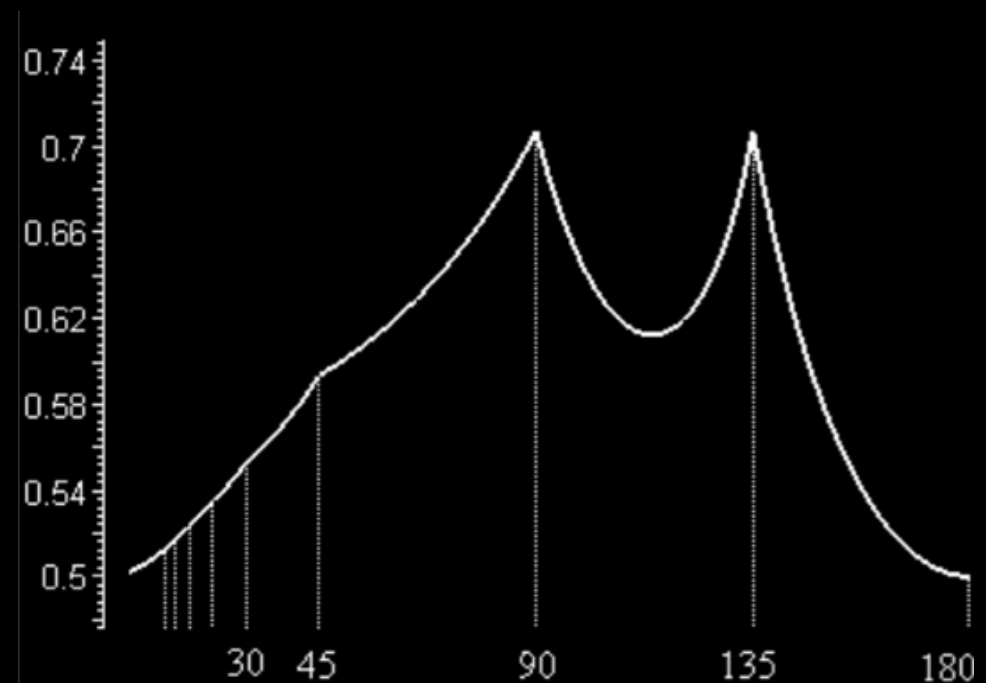


Figure 1. The self-contacting  $\theta = 20^\circ$  tree.

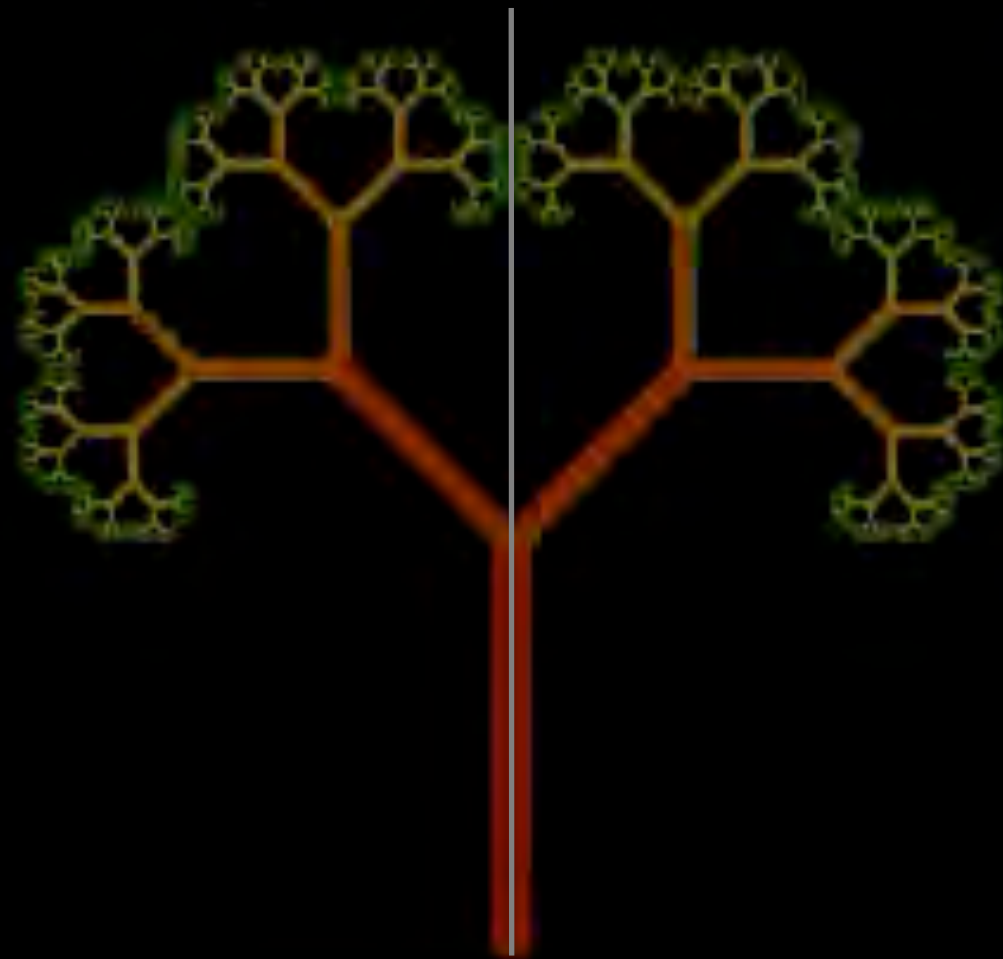
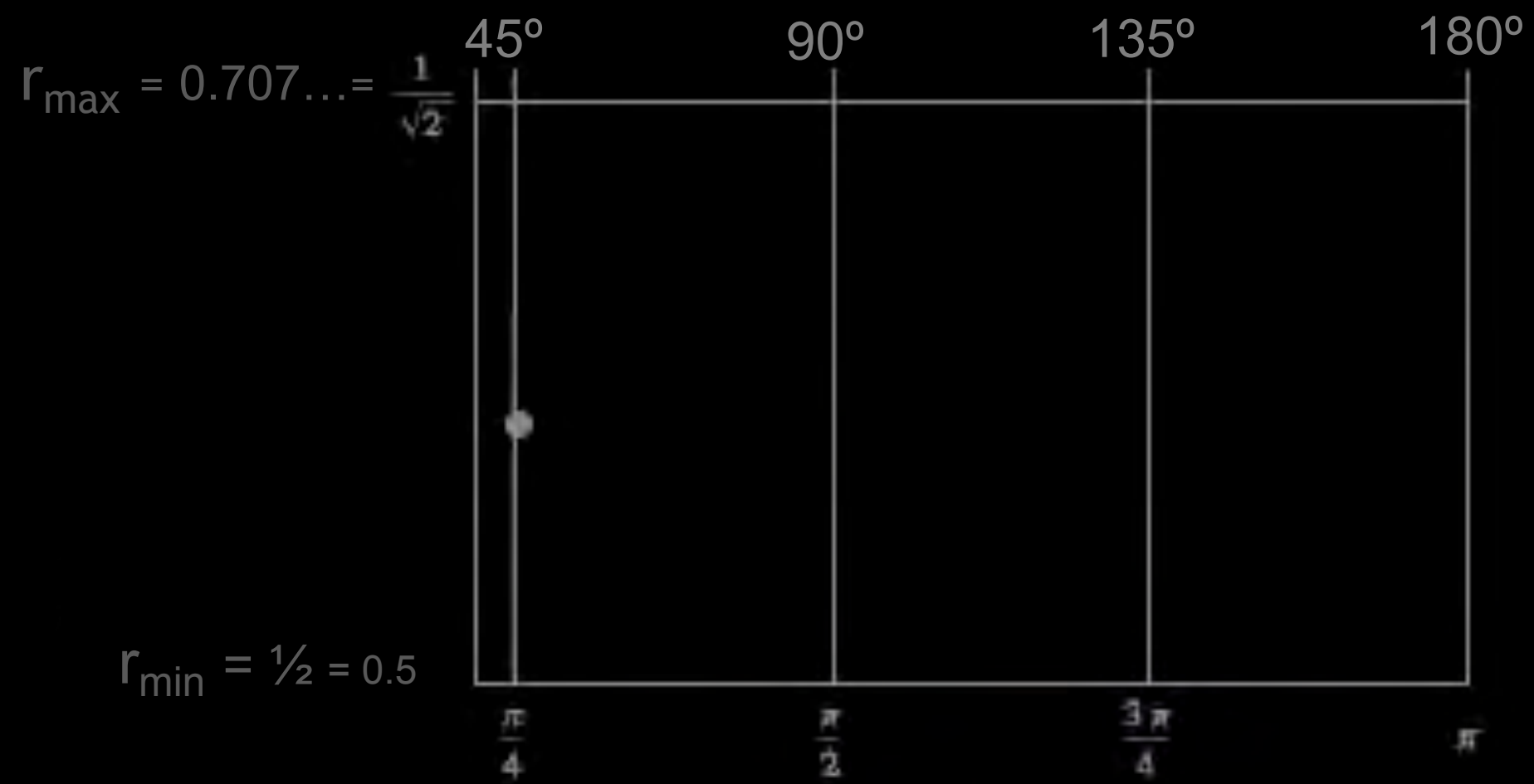


<http://faculty.plattsburgh.edu/don.west/trees/index.htm>

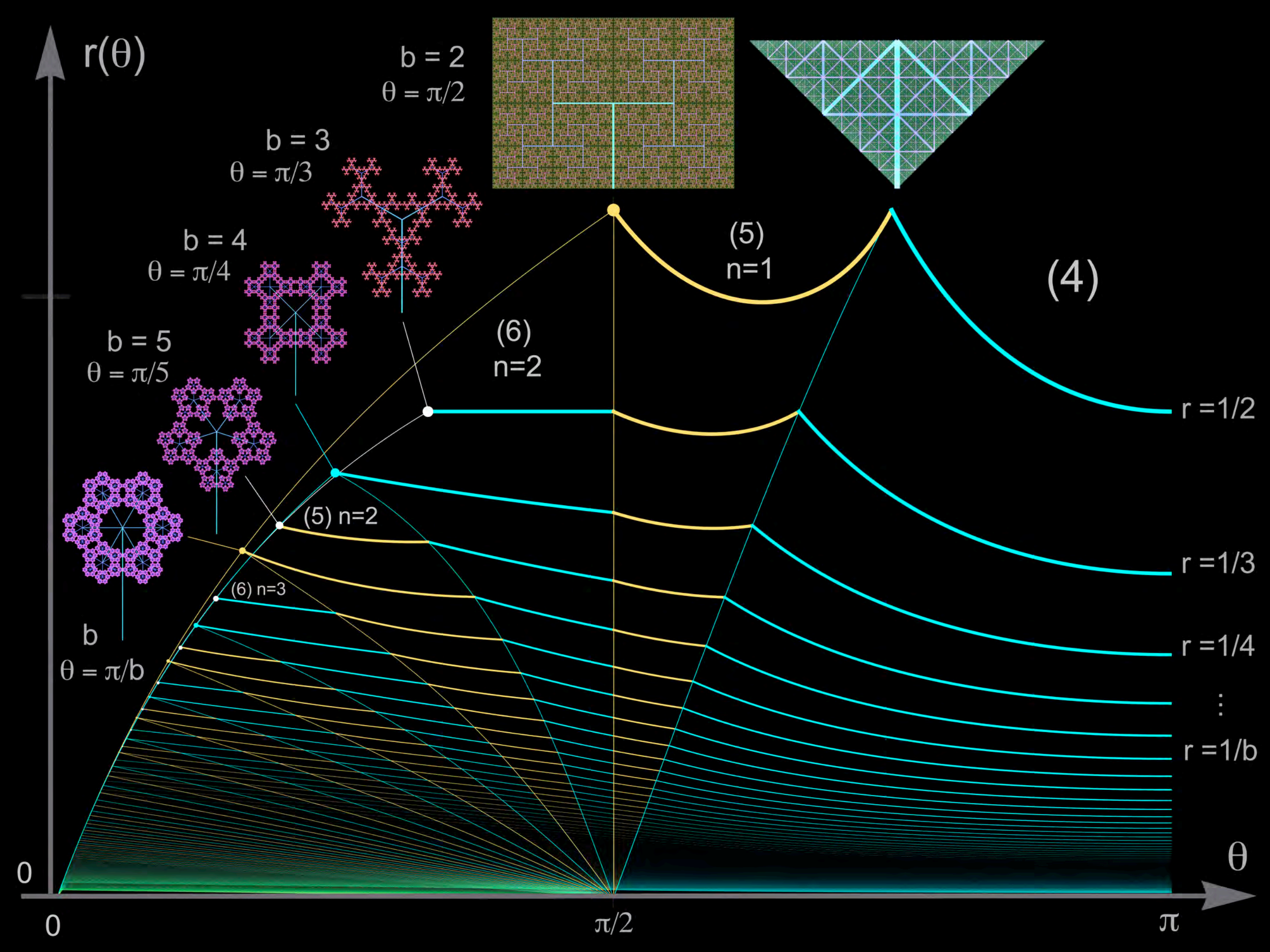
Tara D. Taylor  
 "Computational Topology and Fractal Trees",  
 PhD Thesis, Dalhousie University, Canada (2005)

Tara D. Taylor "TOPOLOGICAL BAR-CODES OF FRACTALS:  
 A NEW CHARACTERIZATION OF SYMMETRIC BINARY FRACTAL  
 TREES" CONVEX AND FRACTAL GEOMETRY, VOLUME 84, (2009)

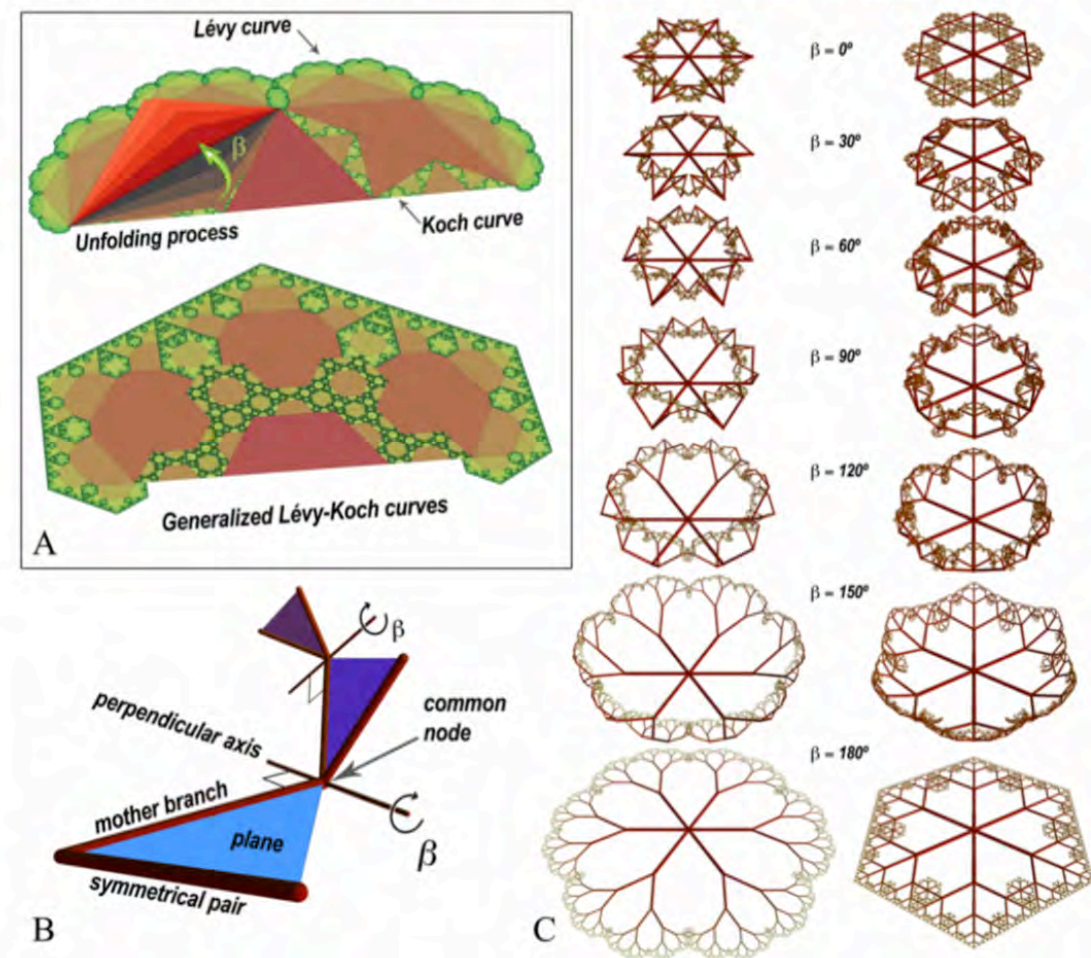
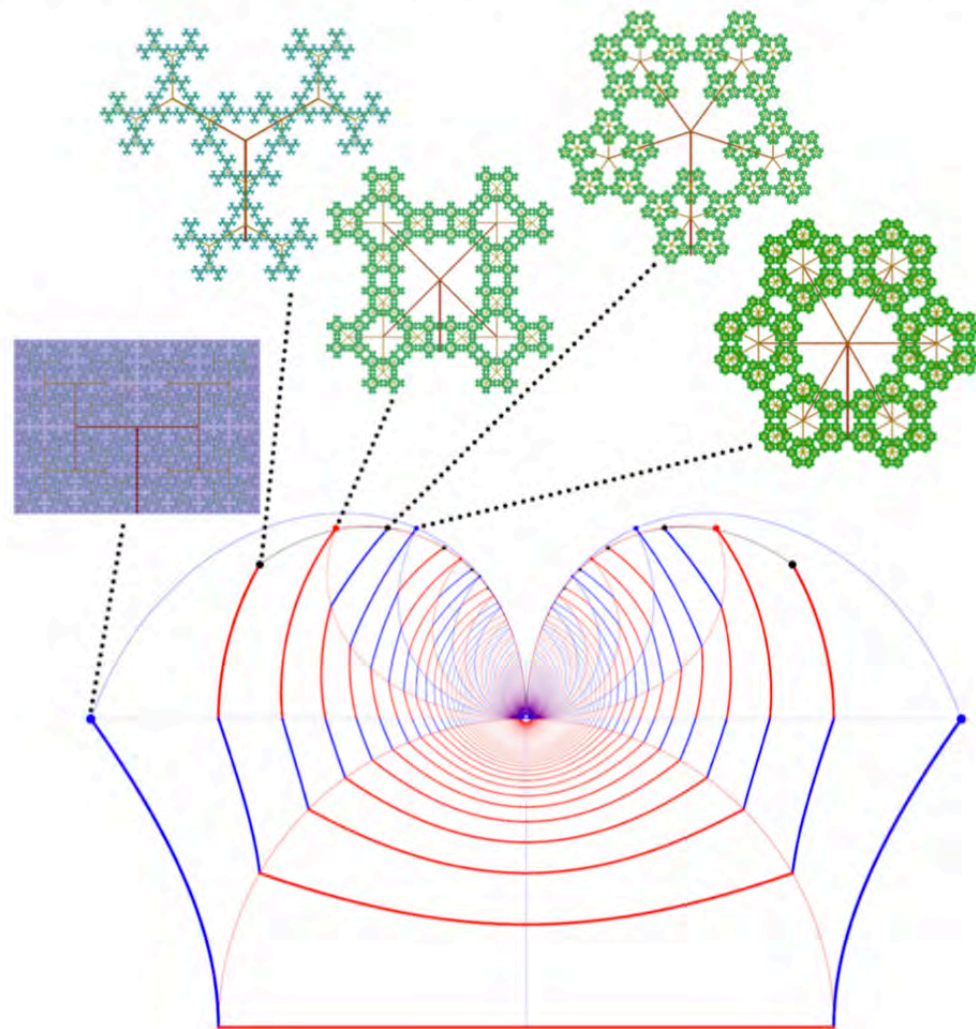




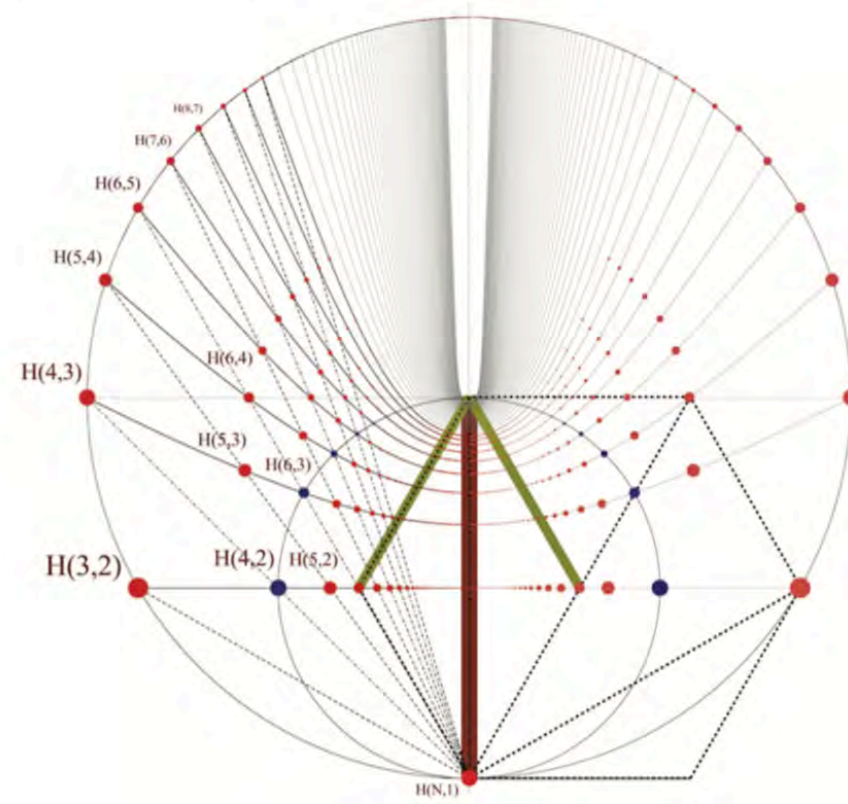
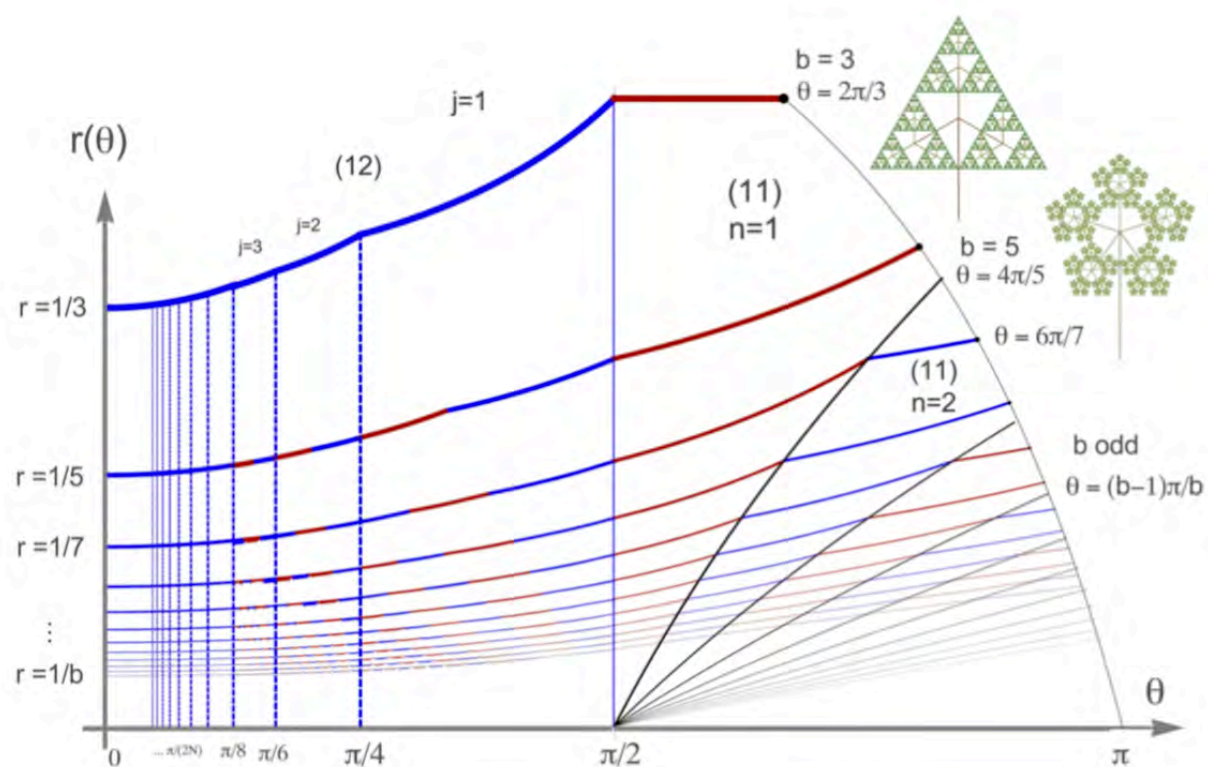








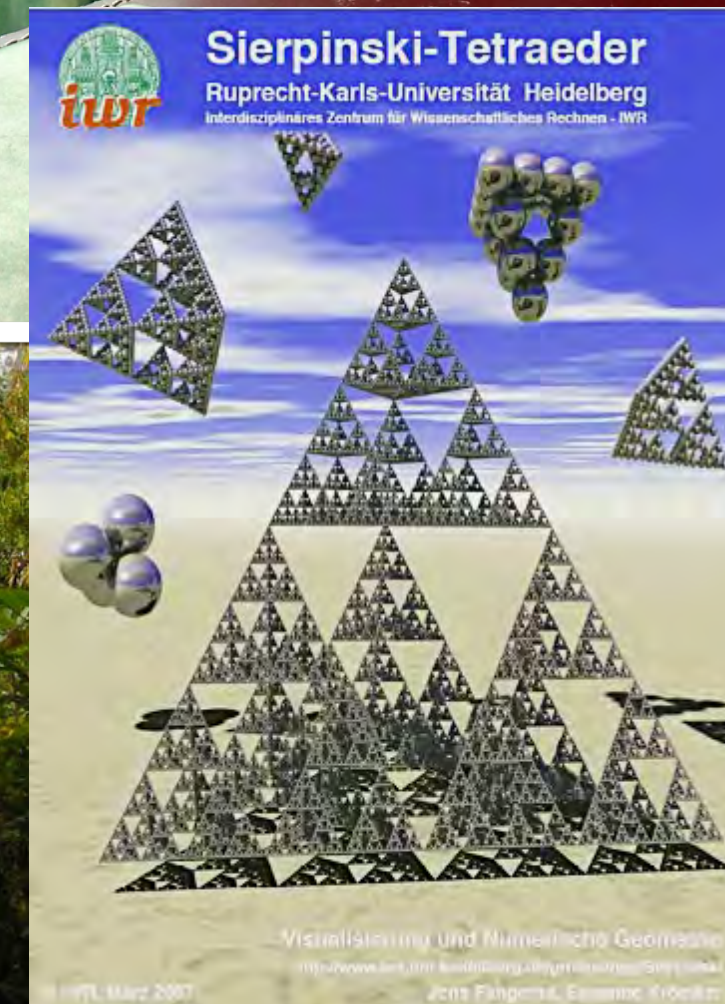
**Figure 3:** A: Lévy curve constructed by unfolding a Koch curve, and the example of a new generalization associated to higher-order harmonic trees  $H(N, b > 2)$ . B: schematic diagram showing the branch planes and the axes of rotation. C: image sequences of the unfolding process applied to  $H(6, 2)$  (left) and  $H(6, 3)$  (right).







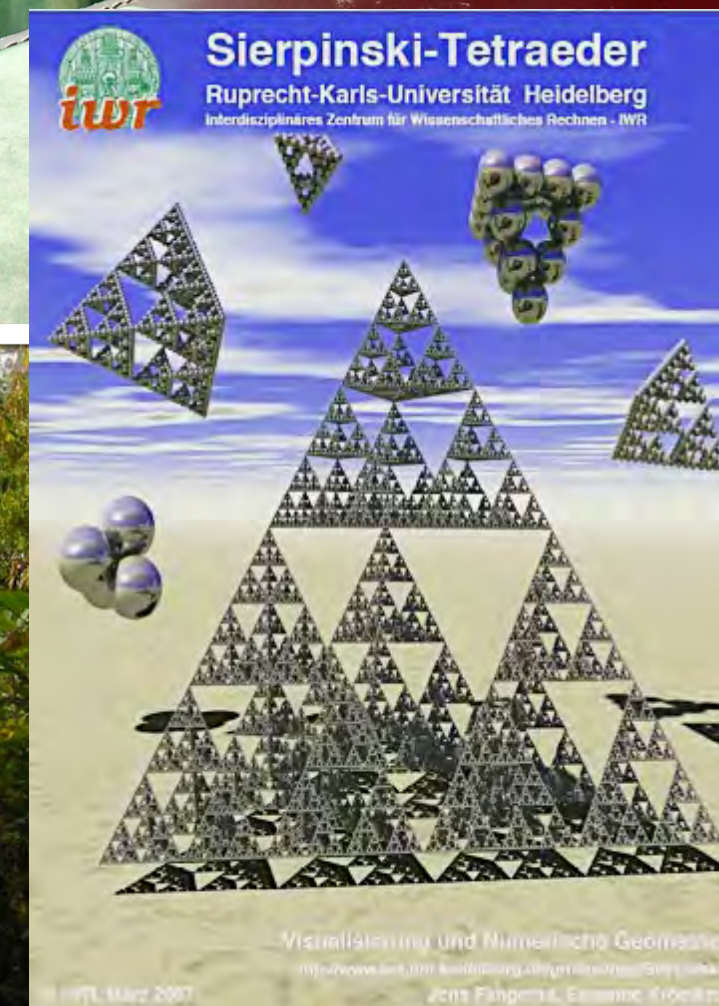
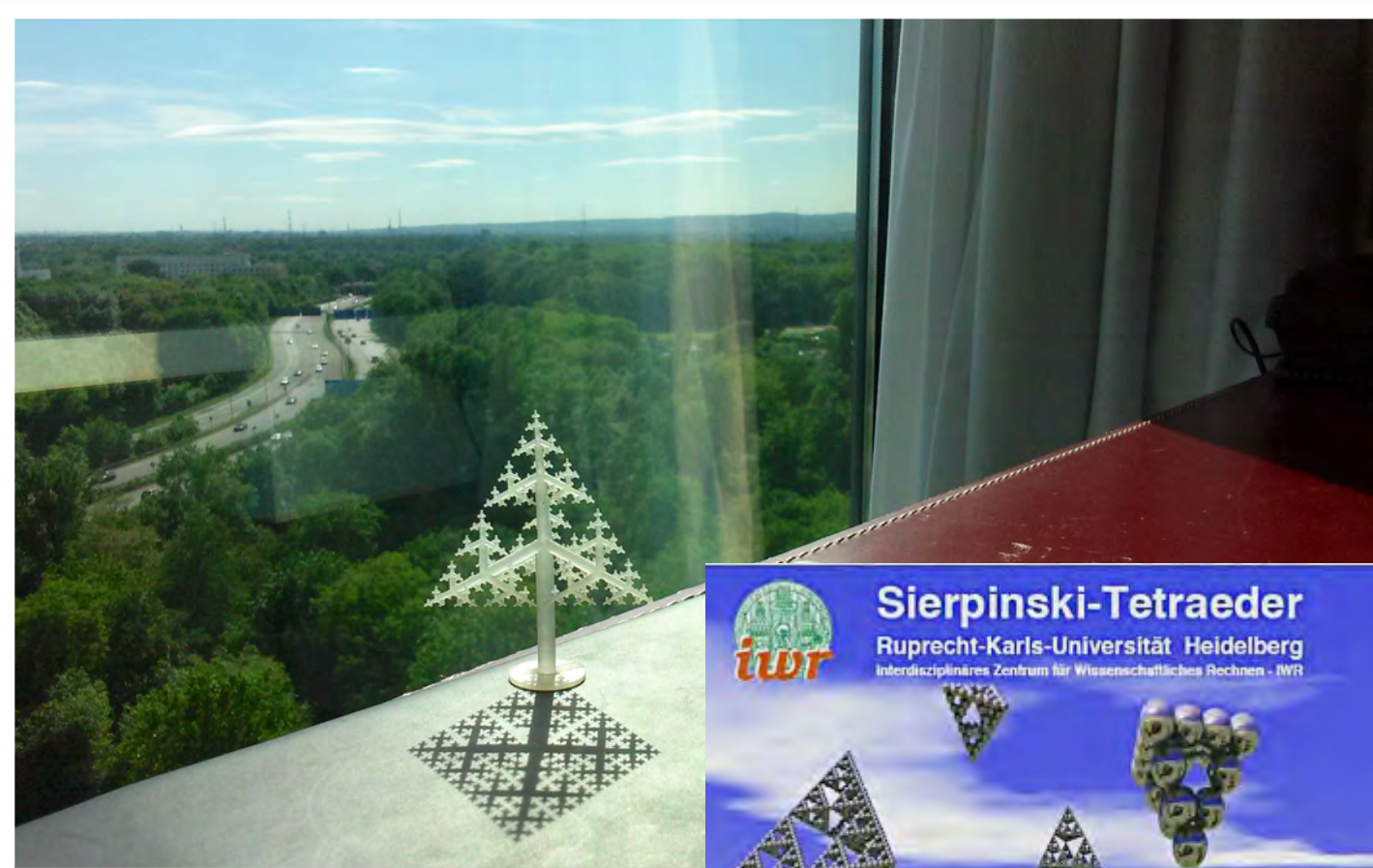




Dr. Susanne Krömker

Tara D. Taylor  
 "Computational Topology and Fractal Trees",  
 PhD Thesis, Dalhousie University, Canada (2005)





Fractal Trees

ENTRANCE THE FRACTAL FOREST EXPLORATORIUM GALLERY REFERENCES ABOUT THE AUTHOR

[All](#)
[Spiral Trees](#)
[Binary Fractal Trees](#)
[Ternary Fractal Trees](#)
[Symmetric Trees Map](#)
[Dragon Trees Map](#)
[External Tools](#)

Spiral Trees Explorer

Any regular polygon or star polygon can be found moving the branch locator around this region of the forest with branch ratio 1.

Explore Region »

Binary Trees Explorer

Mad Scientist's Tree Bender was the initial tool with which the author started risking his own life into the wild. Now it's your turn.

Explore Region »

Ternary Trees Explorer

This is a field of fern-like fractals emerging from a ternary region of the forest. Notice that the symmetric branches are given by a single locator.

Explore Region »

Symmetric Trees Map

Explore the 2D region where symmetric binary trees naturally grow up. Walk along the smooth trails of this Mandelbrot Set and see what happens.

Explore Map »

Dragon Trees Map

Explore the 2D dragons' land and try to self-avoid their flames by moving around the rocky coastline of this Mandelbrot Set. This two maps have a common tree. Could you find it?

Explore Map »

External Tools

Explore 1D, 2D and 3D Sierpiński Trees. Or install a 3D Fractal Explorer such as Fragmentarium to freely move around the 3-dimensional region of the forest.

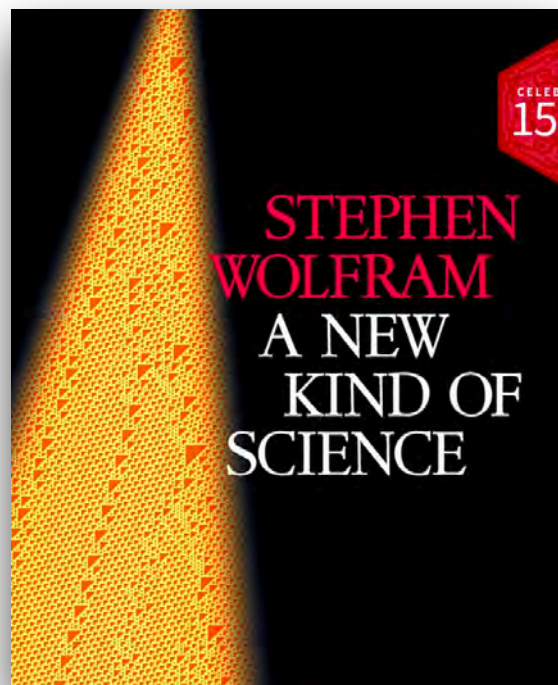
Instructions »



Dr. Susanne Krömker

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 “Computational Topology and Fractal Trees”,  
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WOLFRAM Demonstrations Project

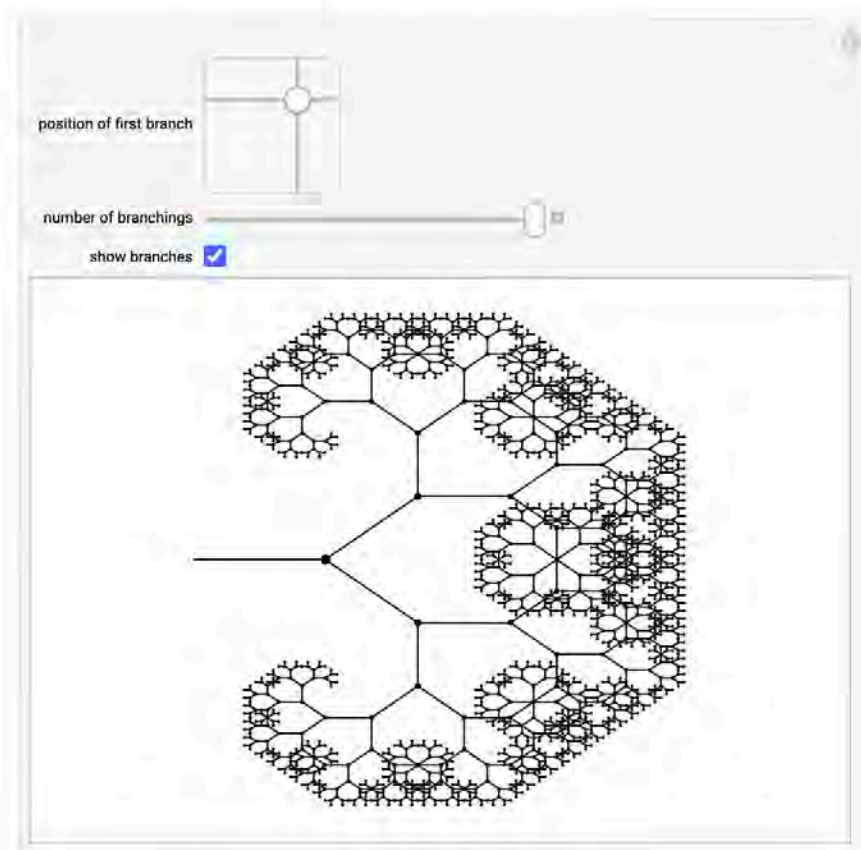
[blog.wolfram.com/author/michael-trott/](http://blog.wolfram.com/author/michael-trott/)



Chris Carlson [christophercarlson.com](http://christophercarlson.com)



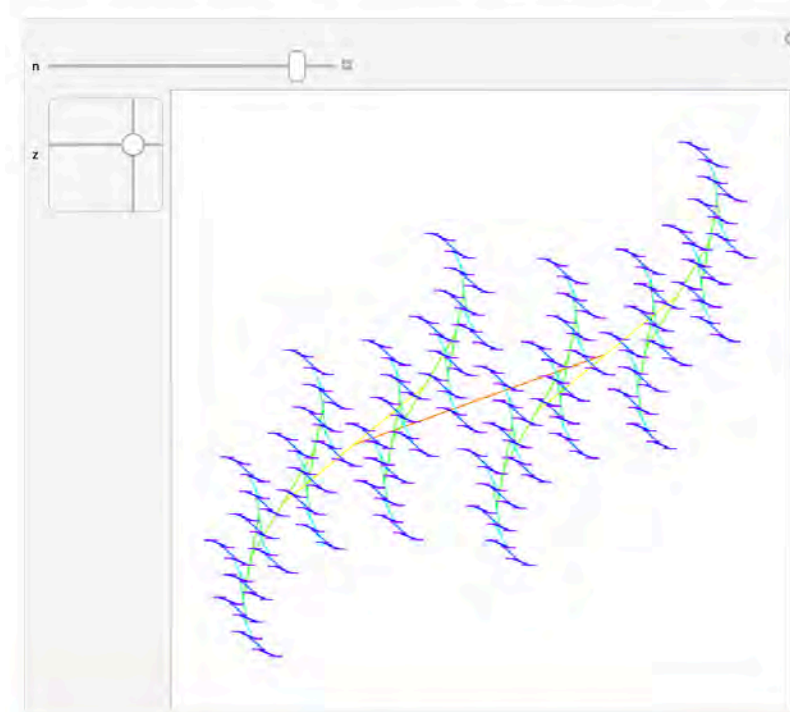
## Limits of Tree Branching



Stephen Wolfram (2011), "Limits of Tree Branching"  
Wolfram Demonstrations Project.  
[demonstrations.wolfram.com/LimitsOfTreeBranching/](http://demonstrations.wolfram.com/LimitsOfTreeBranching/)

## Michael Trott (1959-2025)

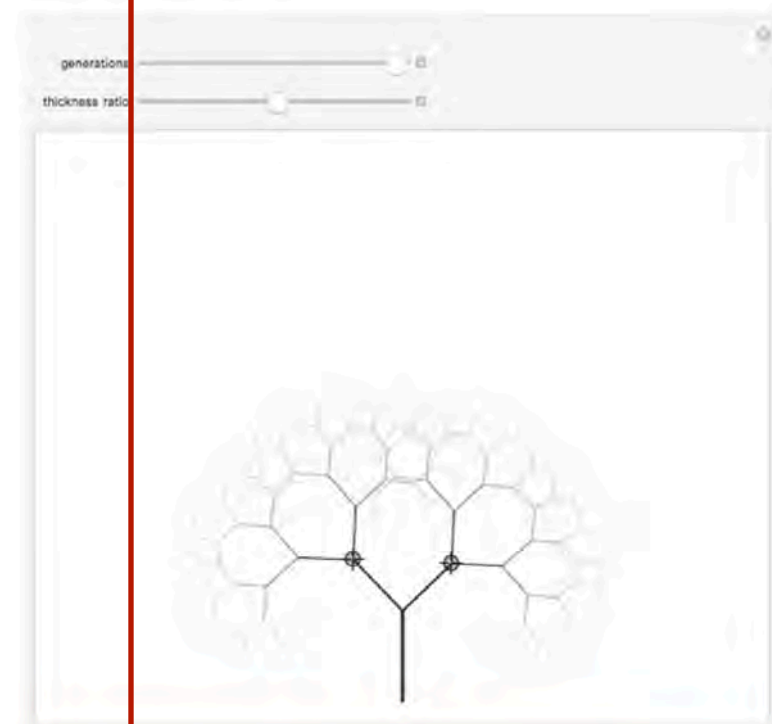
All Possible Sums and Differences of Powers



Michael Trott (2007), "All Possible Sums and Differences of Powers"  
Wolfram Demonstrations Project.  
[demonstrations.wolfram.com/AllPossibleSumsAndDifferencesOfPowers/](http://demonstrations.wolfram.com/AllPossibleSumsAndDifferencesOfPowers/)

## Theodore Gray

Tree Bender



Theodore Gray (2007), "Tree Bender"  
Wolfram Demonstrations Project.  
[demonstrations.wolfram.com/TreeBender/](http://demonstrations.wolfram.com/TreeBender/)

Thierry Bousch. Connexité locale et par chemins holderiens pour les systemes itérés de fonctions. *preprint*, 1993.

Danny Calegari, Sarah Koch, and Alden Walker. Roots, Schottky semigroups, and a proof of Bandt's conjecture. *Ergodic Theory and Dynamical Systems*, pages 1–69, 2016.

Michael F Barnsley and Andrew N Harrington. A Mandelbrot set for pairs of linear maps. *Physica D: Nonlinear Phenomena*, 15(3):421–432, 1985.

John Baez. The beauty of roots. Available at: [ucr.edu/baez/roots](http://ucr.edu/baez/roots), 2009.

Christoph Bandt. On the Mandelbrot set for pairs of linear maps. *Nonlinearity*, 15(4):1127, 2002.

Boris Solomyak and Hui Xu. On the Mandelbrot set for a pair of linear maps and complex Bernoulli convolutions. *Nonlinearity*, 16(5):1733, 2003.

Christoph Bandt and Nguyen Viet Hung. Fractal n-gons and their Mandelbrot sets. *Nonlinearity*, 21(11):2653, 2008.

Yutaro Himeki and Yutaka Ishii. M4 is regular-closed. *Ergodic Theory and Dynamical Systems*, pages 1–8, 2018.

MF Barnsley and DP Hardin. A Mandelbrot set whose boundary is piecewise smooth. *Transactions of the American Mathematical Society*, pages 641–659, 1989.

Stephen Wolfram. Implications for Everyday Systems *A New Kind of Science*. Wolfram Media Champaign, 2002. <http://www.wolframscience.com/nks/notes-8-6-parameter-space-sets/>

Benoit B Mandelbrot and Michael Frame. The canopy and shortest path in a self-contacting fractal tree. *The Mathematical Intelligencer*, 21(2):18–27, 1999.

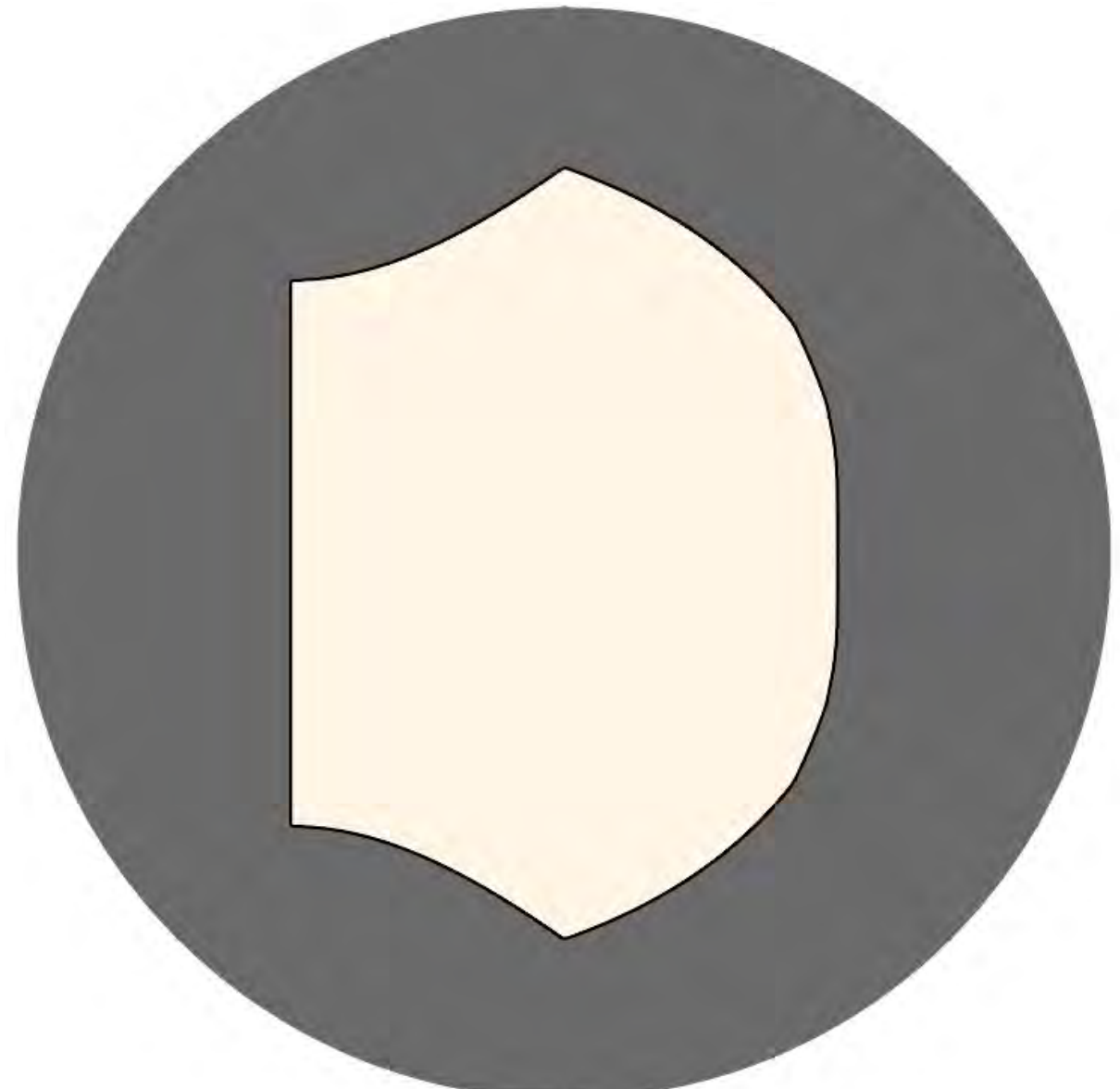
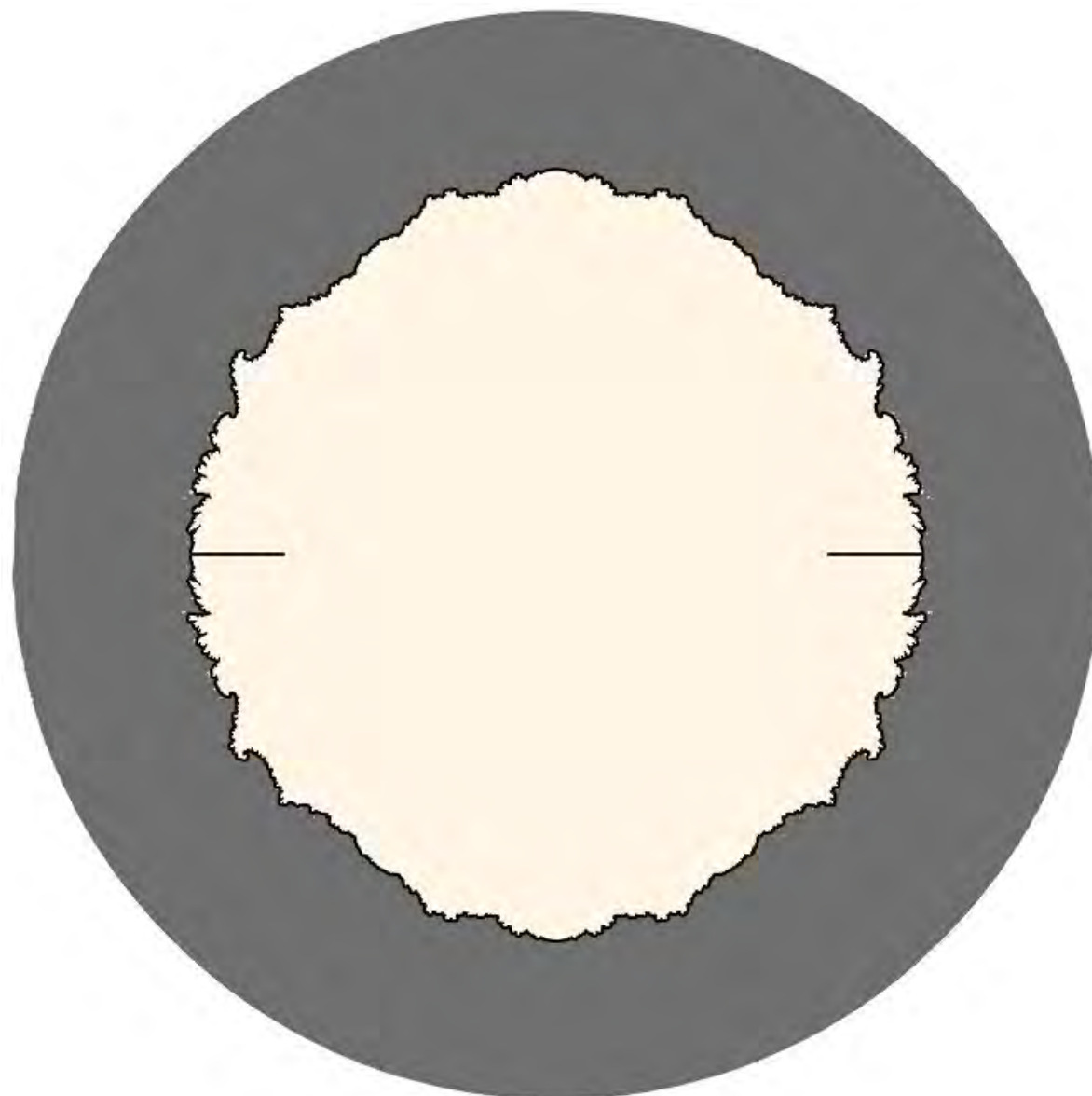
Tara D Taylor. *Computational topology and fractal trees*. PhD thesis, Dalhousie University, 2005.

Tara D Taylor. Homeomorphism classes of self-contacting symmetric binary fractal trees. *Fractals*, 15(01):9–25, 2007.

Thibaut Deheuvels. Sobolev extension property for tree-shaped domains with self-contacting fractal boundary. *Annali della Scuola Normale Superiore di Pisa, Classe di Scienze*, 15(special):209–247, 2016.

Dušan Pagon. Self-similar planar fractals based on branching trees and bushes. *Progress of Theoretical Physics Supplement*, 150:176–187, 2003.

Bernat Espigule. Generalized self-contacting symmetric fractal trees. *Journal Symmetry*, 24(1-4):320–338, 2013.





## Perron number distribution



60



18

A *Perron number* is a real algebraic integer  $\lambda$  that is larger than the absolute value of any of its Galois conjugates. The Perron-Frobenius theorem says that any non-negative integer matrix  $M$  such that some power of  $M$  is strictly positive has a unique positive eigenvector whose eigenvalue is a Perron number. Doug Lind proved the converse: given a Perron number  $\lambda$ , there exists such a matrix, perhaps in dimension much higher than the degree of  $\lambda$ . Perron numbers come up frequently in many places, especially in dynamical systems.

My question:

What is the limiting distribution of Galois conjugates of Perron numbers  $\lambda$  in some bounded interval, as the degree goes to infinity?

share cite improve this question

edited Jan 11 '11 at 4:04

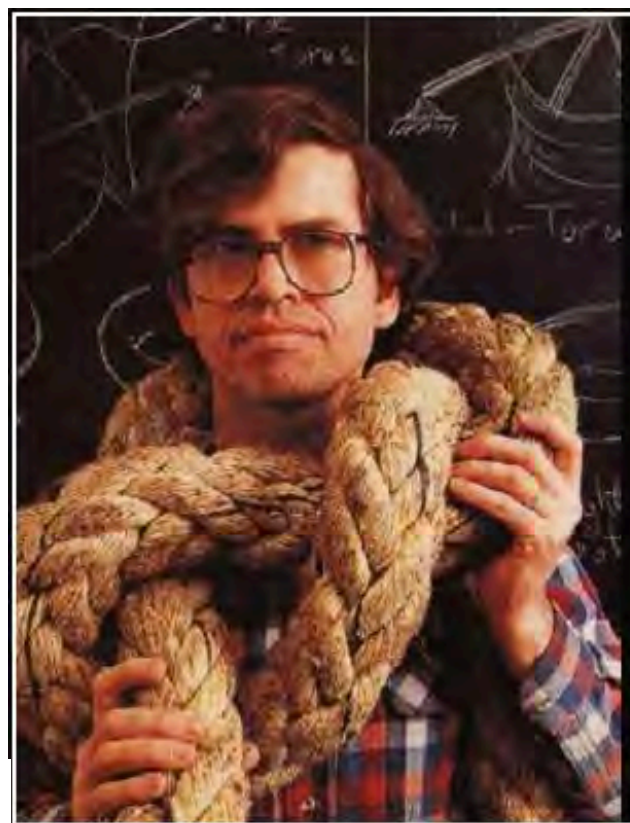
asked Jan 11 '11 at 3:50



Bill Thurston

21.4k • 11 • 82 • 113

- 2 Should be related to the distribution at [math.ucr.edu/home/baez/roots](http://math.ucr.edu/home/baez/roots) ; there are references at that link, I think. – Qiaochu Yuan Jan 11 '11 at 4:20
- 2 @Qiaochu Yuan: Thanks for bringing it up. I actually intended to check out and point to those references, until my question got too long. I was trying to take a slice of things in a way that eliminates the fractal distribution of roots of polynomials with bounded coefficients. My motivation for this question originated in trying understand topological entropy for postcritically finite iterated polynomials, where a Mandelbrot-like distribution comes up that is very related to those exhibited by Baez (and others). – Bill Thurston Jan 11 '11 at 4:41



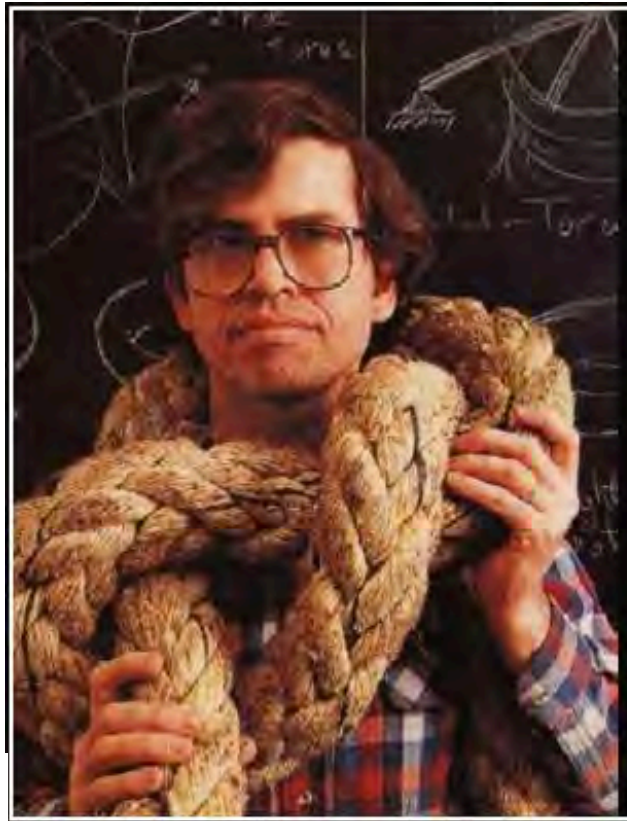
Bill Thurston  
(1946 - 2012)



Thurston's last paper

## ENTROPY IN DIMENSION ONE

[arxiv.org/abs/1402.2008](https://arxiv.org/abs/1402.2008)



Bill Thurston  
(1946 - 2012)



Bill Thurston presenting  $M$ ,  $M_0$ , and the Thurston Set, Jackfest 2011

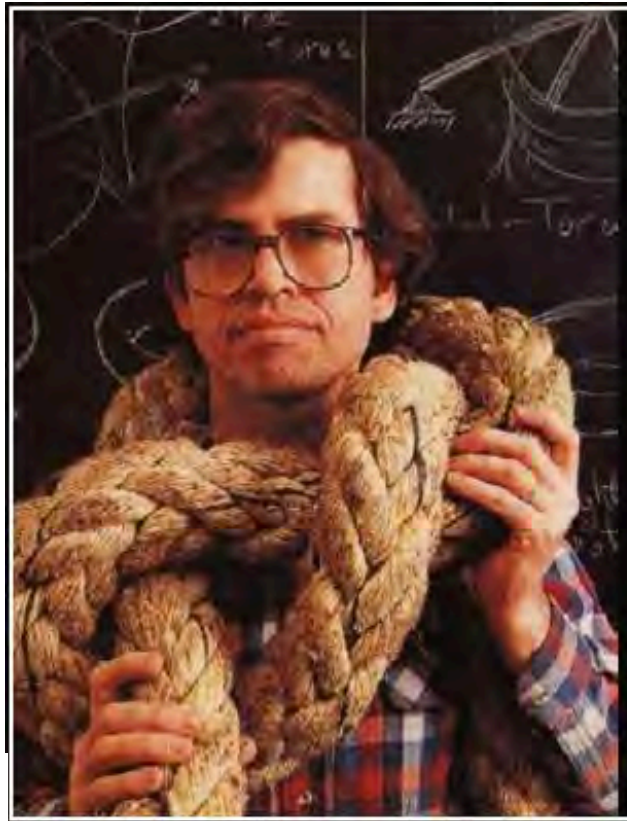
[Structure of Entropy: The hidden dimensions](#)



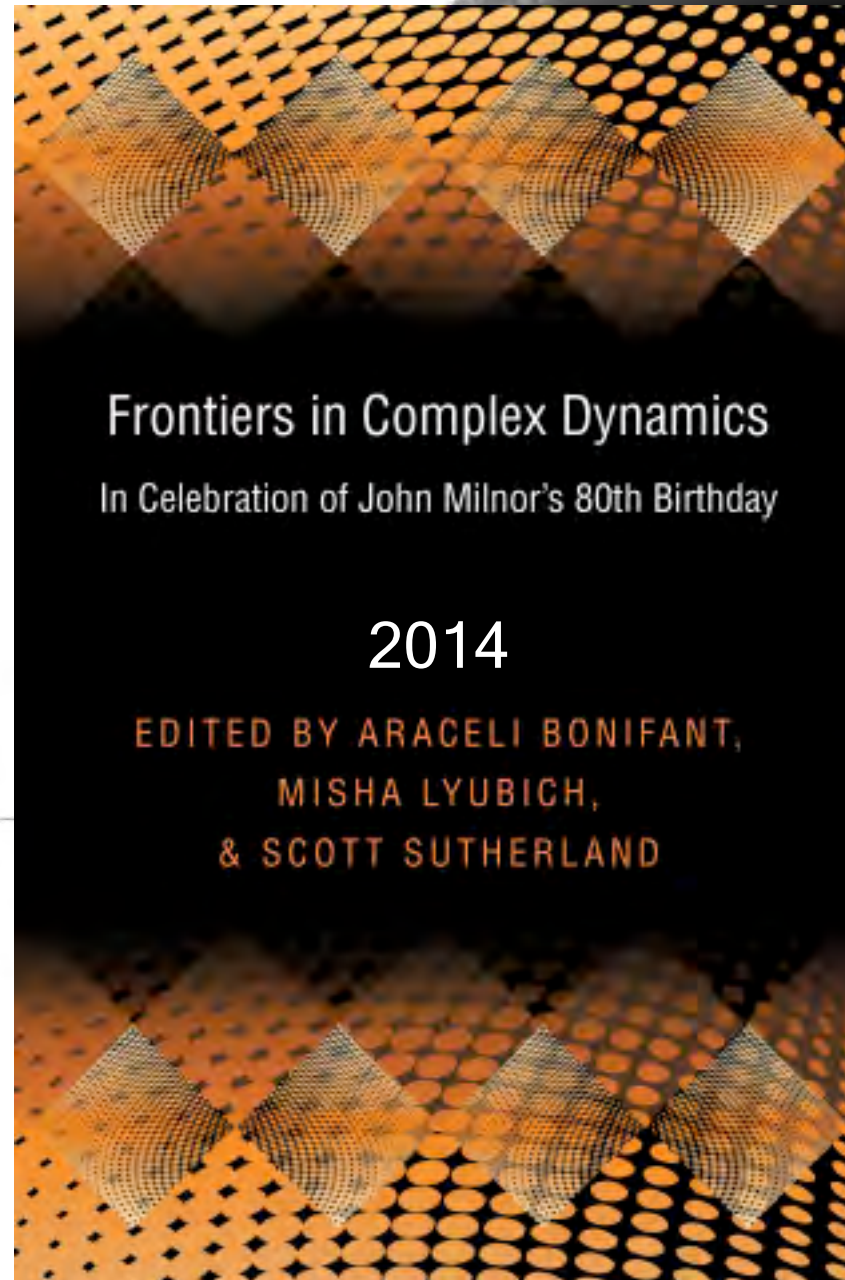
Thurston's last paper

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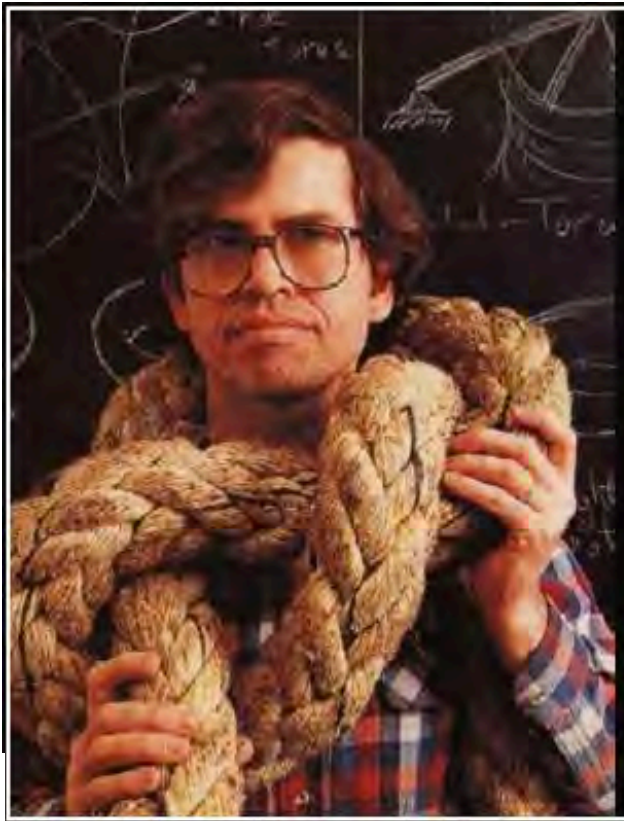
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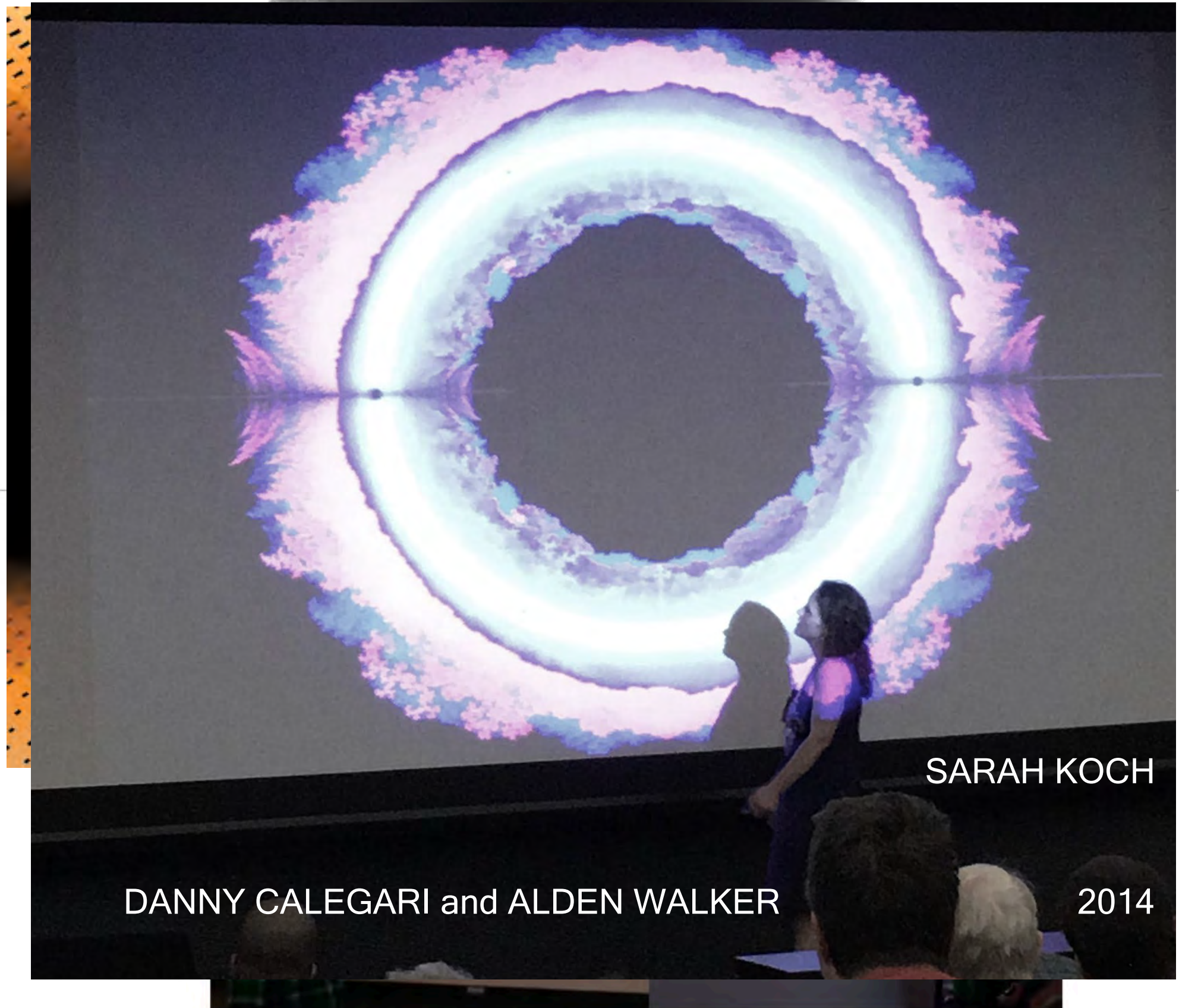
Thurston's last paper

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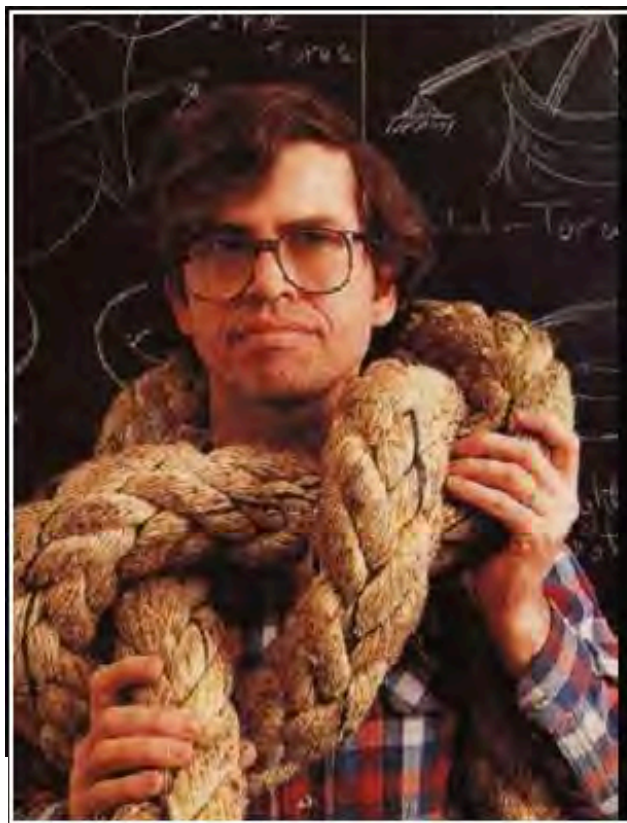
[Structure of Entropy: The hidden dimensions](#)



Thurston's last paper

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[arxiv.org/abs/1402.2008](https://arxiv.org/abs/1402.2008)



Bill Thurston  
(1946 - 2012)

A large, colorful fractal image of a Mandelbrot set, with a smaller inset showing a website titled "Fractal Trees". The website has a dark background and features several sections: "Spiral Trees Explorer", "Binary Trees Explorer", "Ternary Trees Explorer", "Symmetric Trees Map", "Dragon Trees Map", and "External Tools". Each section contains a small image and a brief description. The year "2013" is displayed in the center of the website inset. The background of the slide is a dark, textured image of a forest at night.

Fractal Trees

ENTRANCE THE FRACTAL FOREST EXPLORATORIUM GALLERY REFERENCES ABOUT THE AUTHOR

All | Spiral Trees | Binary Fractal Trees | Ternary Fractal Trees | Symmetric Trees Map | Dragon Trees Map | External Tools |

**Spiral Trees Explorer**

Any regular polygon or star polygon can be found moving the branch locator around this region of the forest with branch ratio 1.

Explore Region »

**Binary Trees Explorer**

Mad Scientist's Tree Bender was the initial tool with which the author started risking his own life into the wild. Now it's your turn.

Explore Region »

**Ternary Trees Explorer**

This is a field of fern-like fractals emerging from a ternary region of the forest. Notice that the symmetric branches are given by a single locator.

Explore Region »

**Symmetric Trees Map**

Explore the 2D region where symmetric binary trees naturally grow up. Walk along the smooth trails of this Mandelbrot Set and see what happens.

Explore Map »

**Dragon Trees Map**

Explore the 2D dragons' land and try to self-avoid their flames by moving around the rocky coastline of this Mandelbrot Set. This two maps have a common tree. Could you find it?

Explore Map »

**External Tools**

Explore 1D, 2D and 3D Sierpiński Trees. Or install a 3D Fractal Explorer such as Fragmentarium to freely move around the 3-dimensional region of the forest.

Instructions »

2013

IWR | Universität Heidelberg | Visualization and Numerical Geometry Group (Author) Bernat Espígulà Pons | © Fractal Trees 2012 | Blue Websites

Stefano Silvestri 2017

DANNY CALEGARI and ALDEN WALKER 2014

Bill Thurston presenting  $M$ ,  $M_0$ , and the Thurston Set, Jackfest 2011

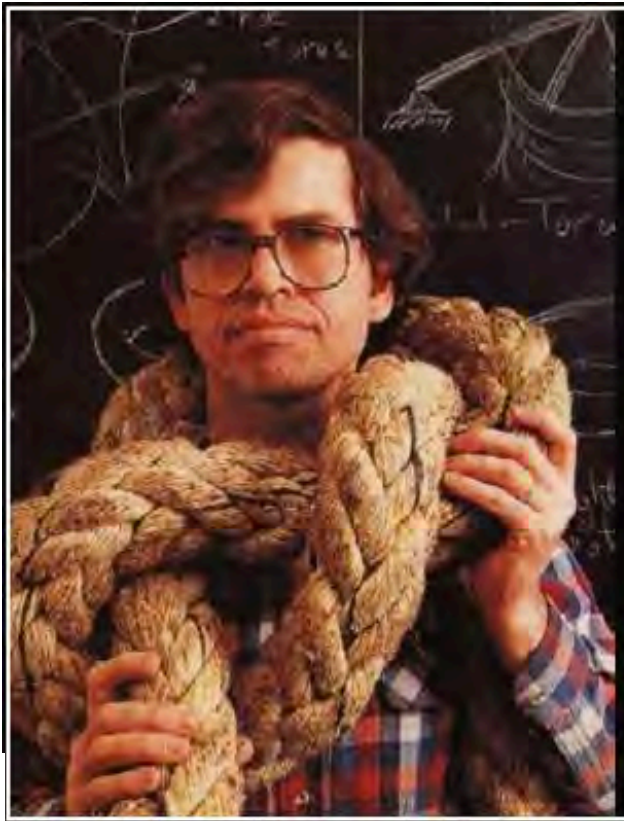
[Structure of Entropy: The hidden dimensions](#)



Thurston's last paper

## ENTROPY IN DIMENSION ONE

[arxiv.org/abs/1402.2008](https://arxiv.org/abs/1402.2008)



Bill Thurston  
(1946 - 2012)

### Holomorphic Dynamics Group, Barcelona



(Left to right: Antonio Garijo, Núria Fagella, Dan P., Anna M. Benini, Jordi Canela, Xavier Jarque, Bernat Espigulé and Robert Florido). Course 2017-18

Stefano Silvestri

2017

DANNY CALEGARI and ALDEN WALKER

2014

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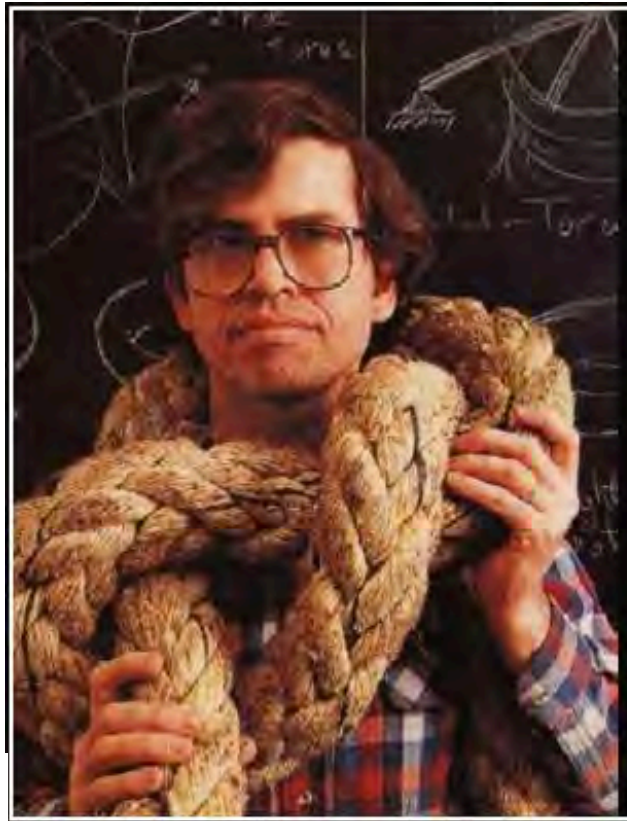
[Structure of Entropy: The hidden dimensions](#)



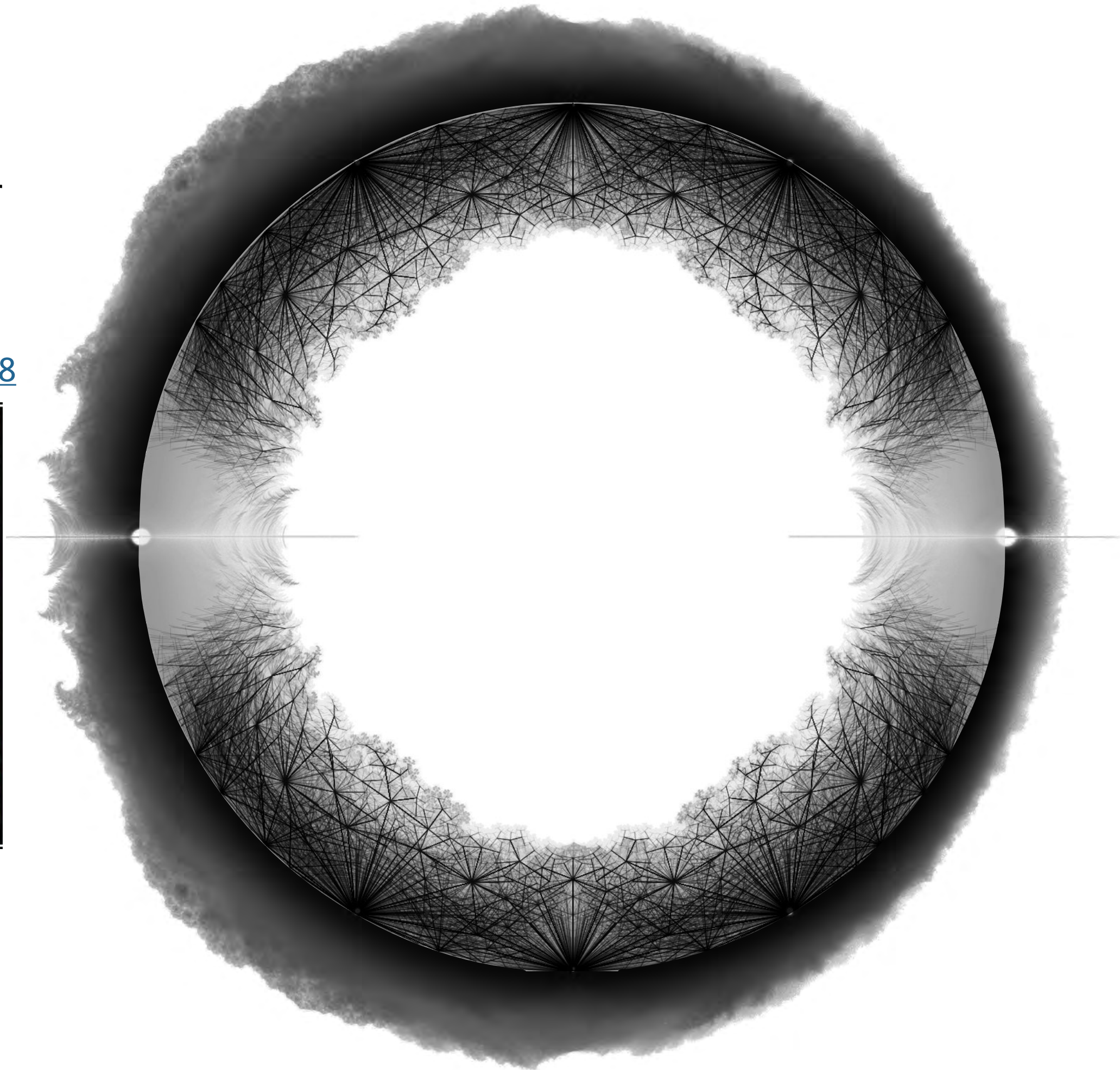
Thurston's last paper

ENTROPY IN  
DIMENSION ONE

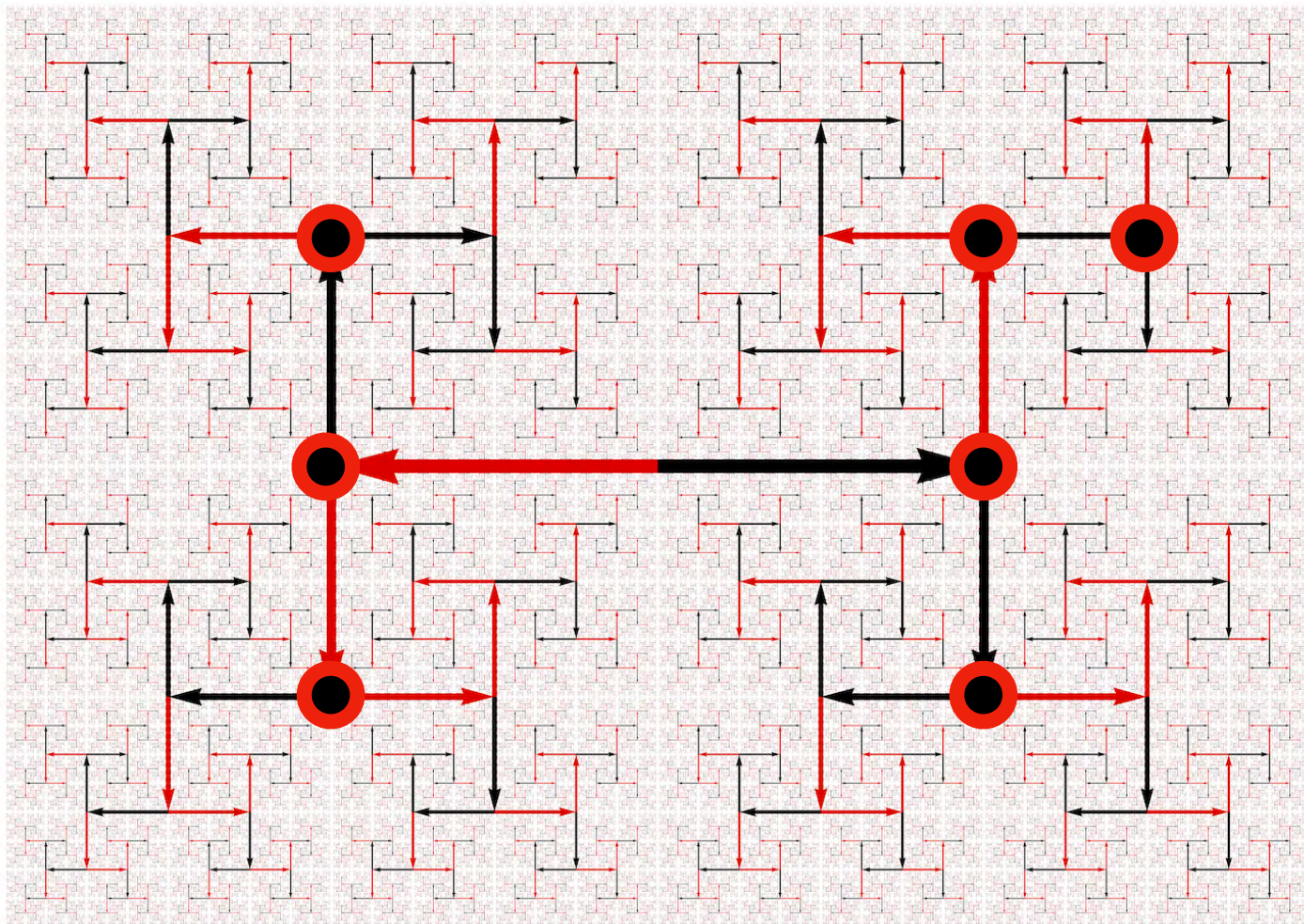
[arxiv.org/abs/1402.2008](https://arxiv.org/abs/1402.2008)



Bill Thurston  
(1946 - 2012)







$$+1 + x - x^2$$

$$-1 + x$$

$$+1 + x$$

$$-1$$

$$+1$$

$$-1 - x$$

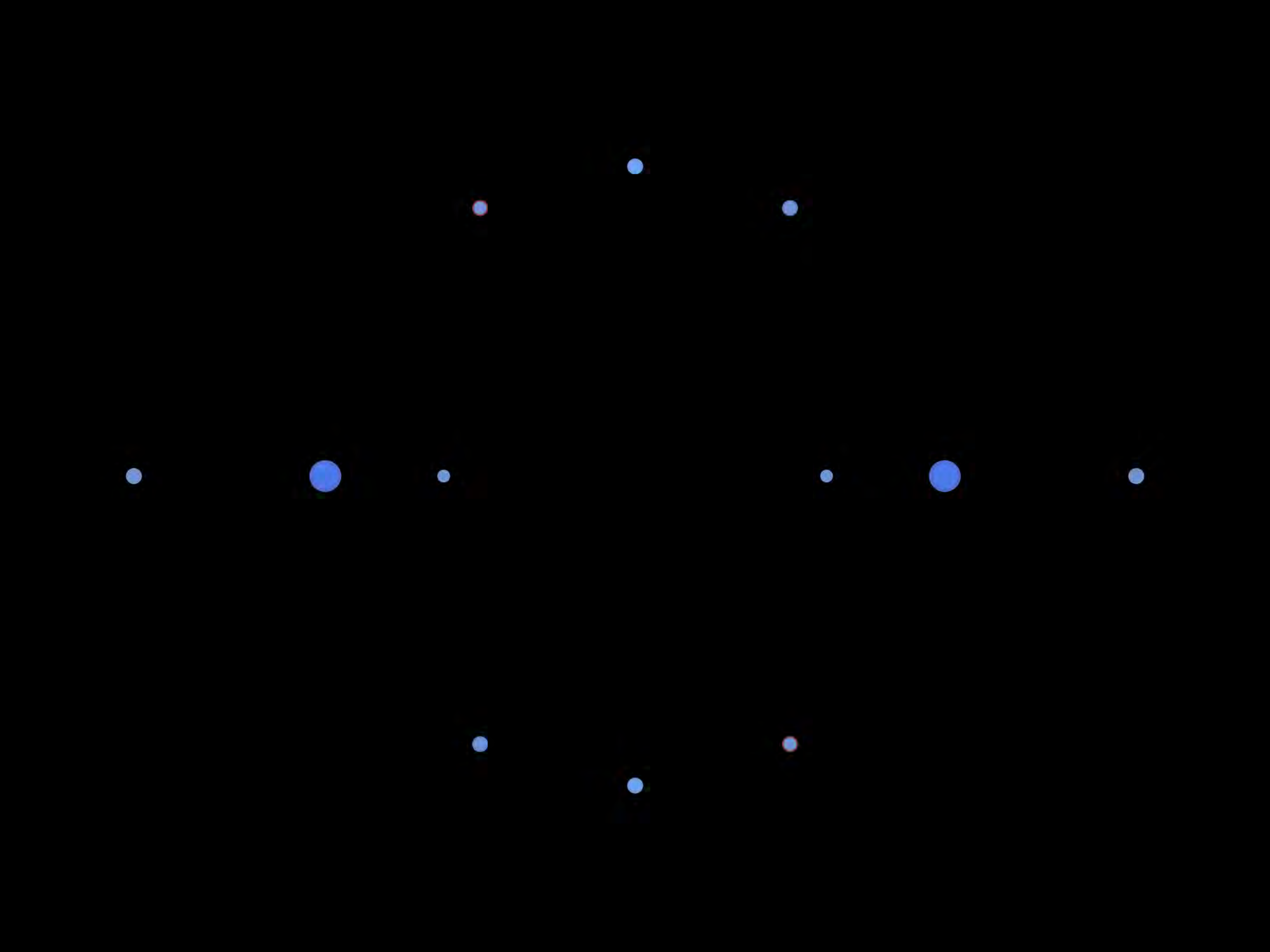
$$+1 - x$$

$$x = i/\sqrt{2}$$

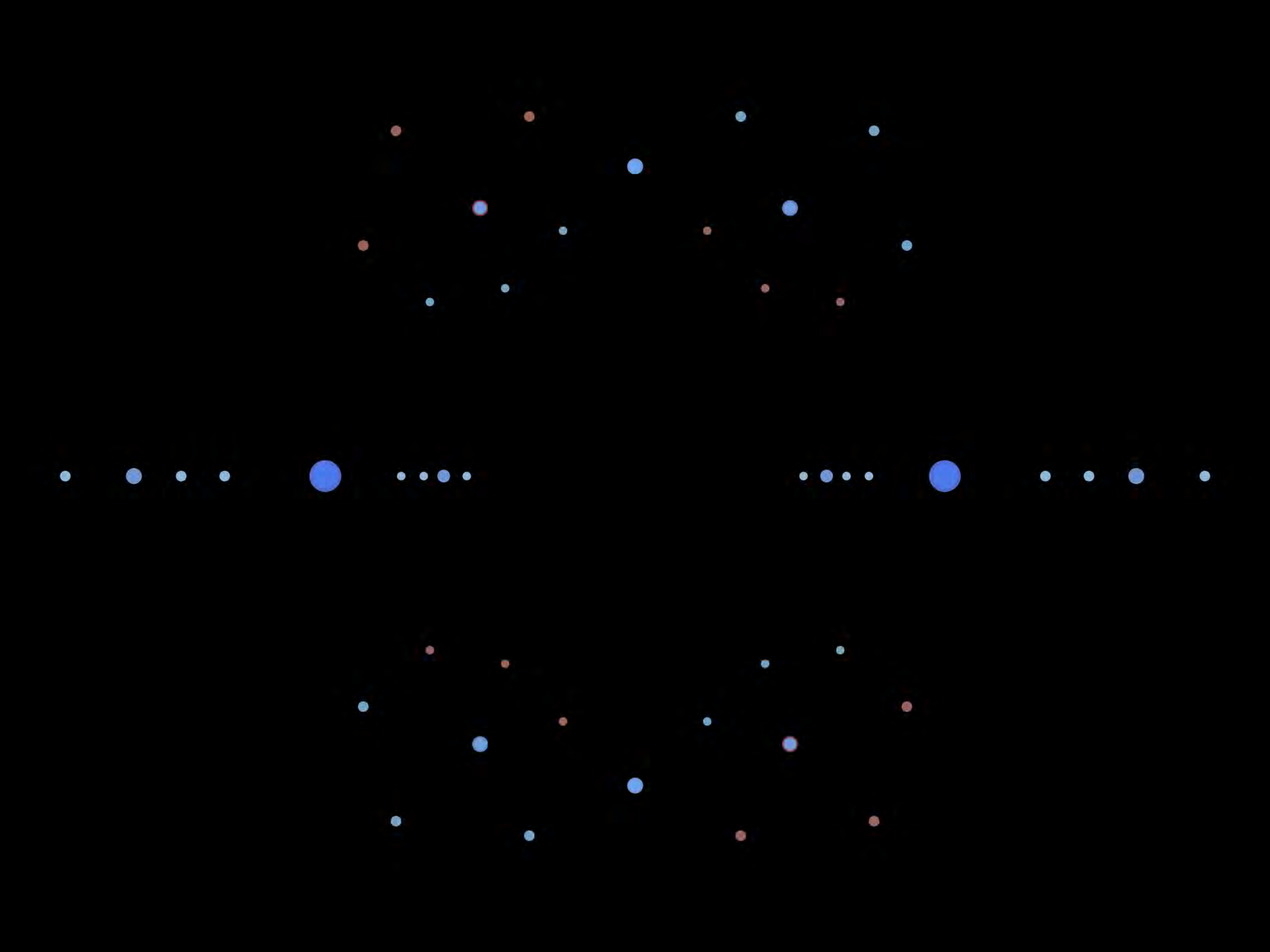




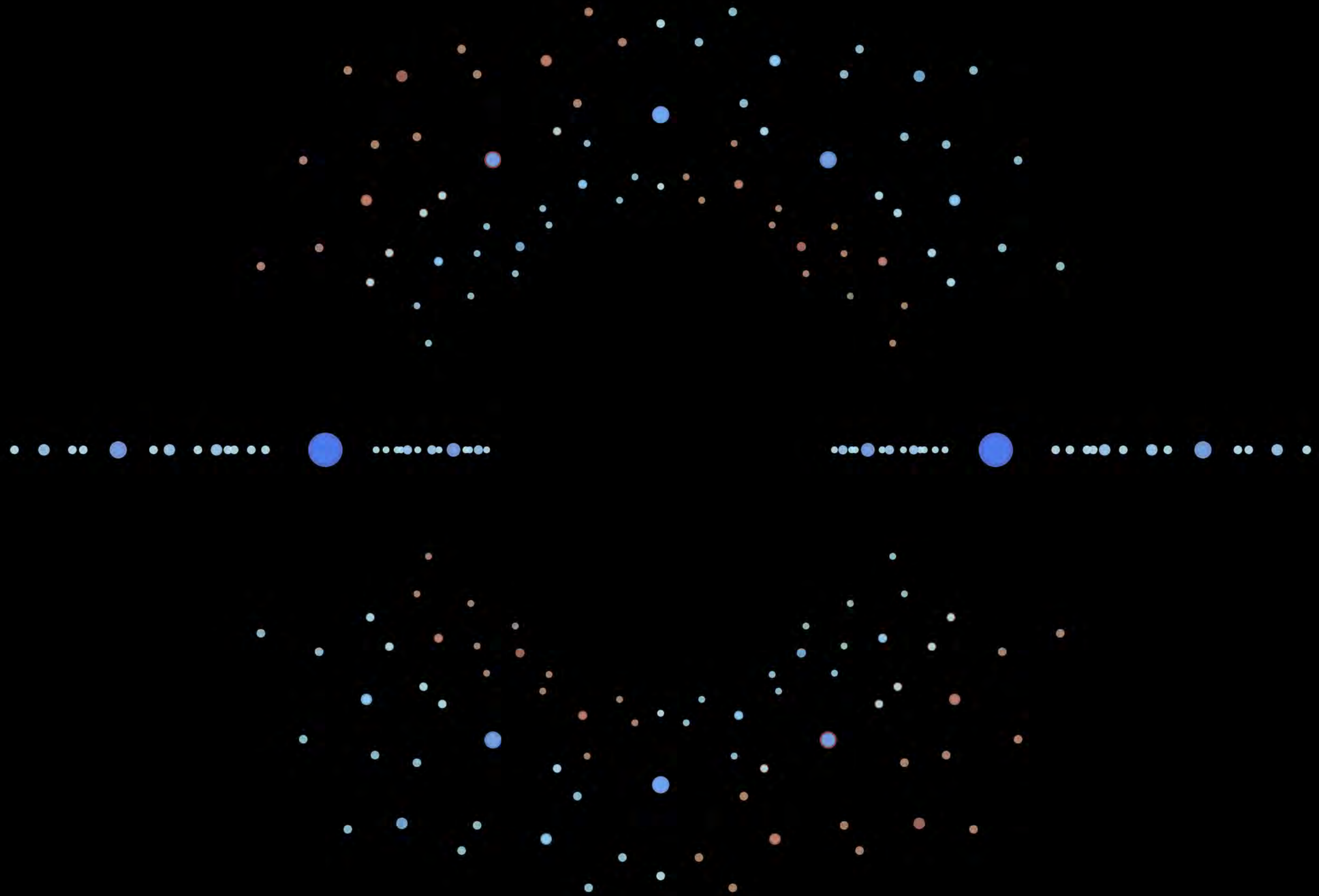




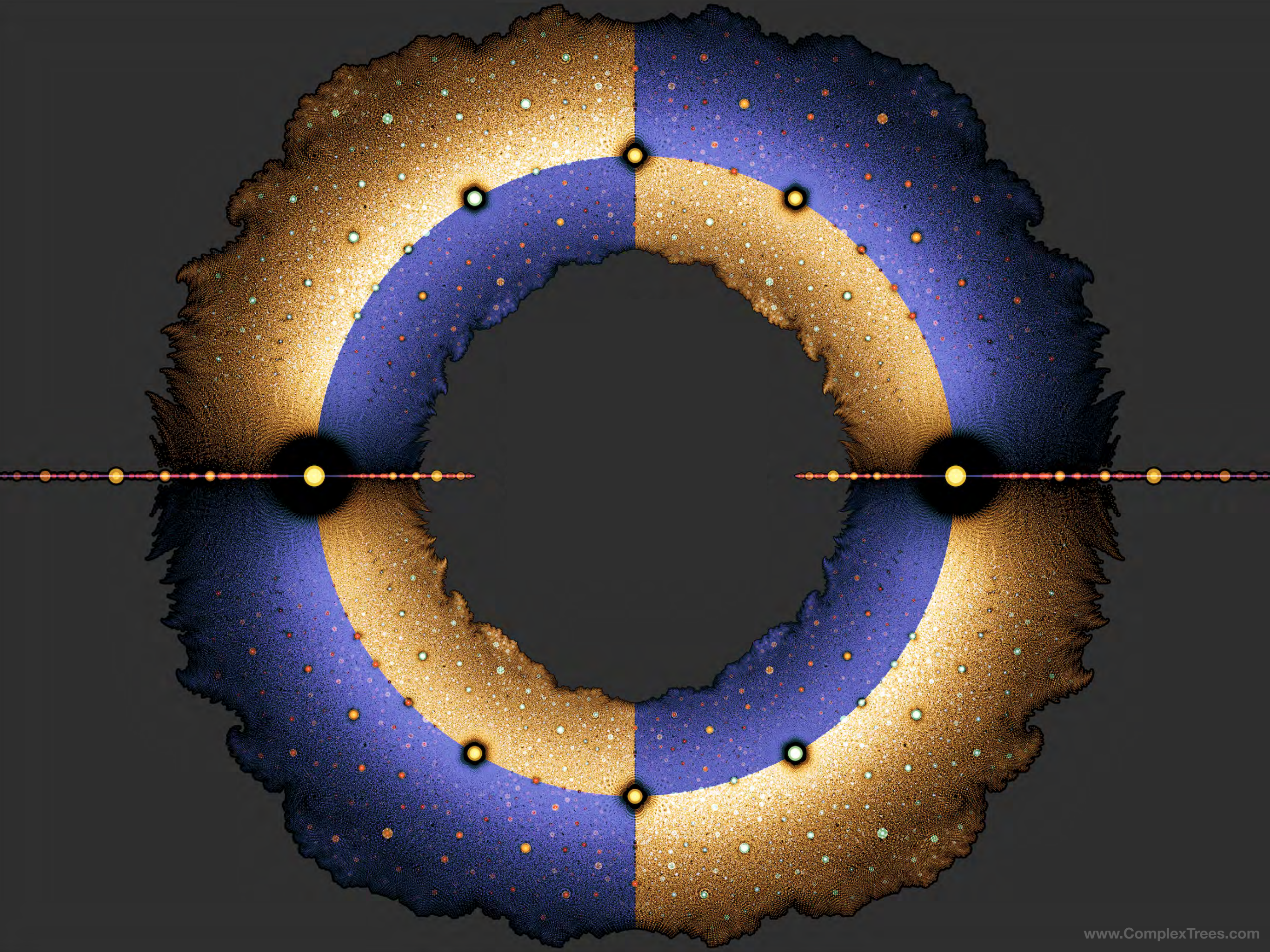




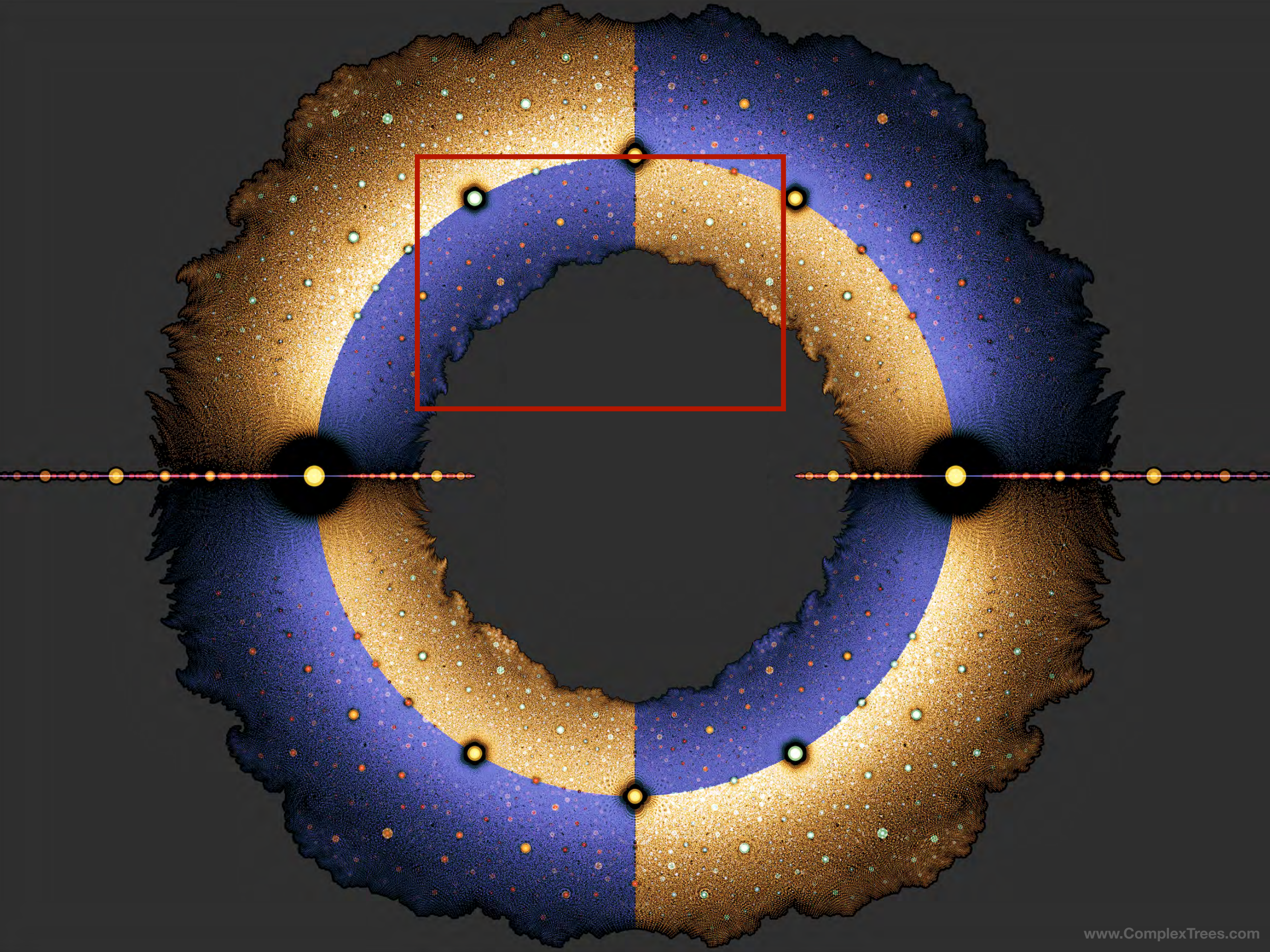




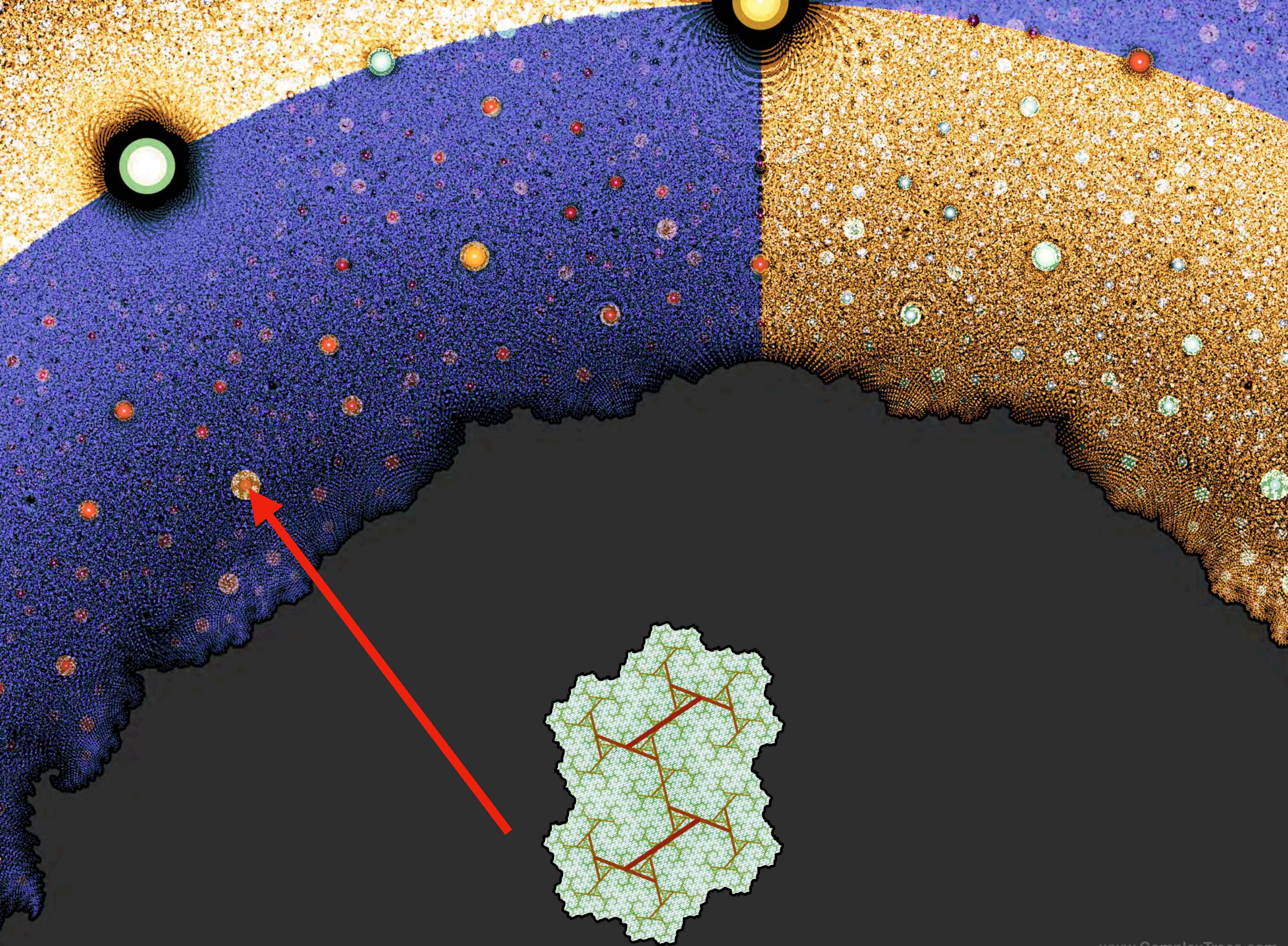




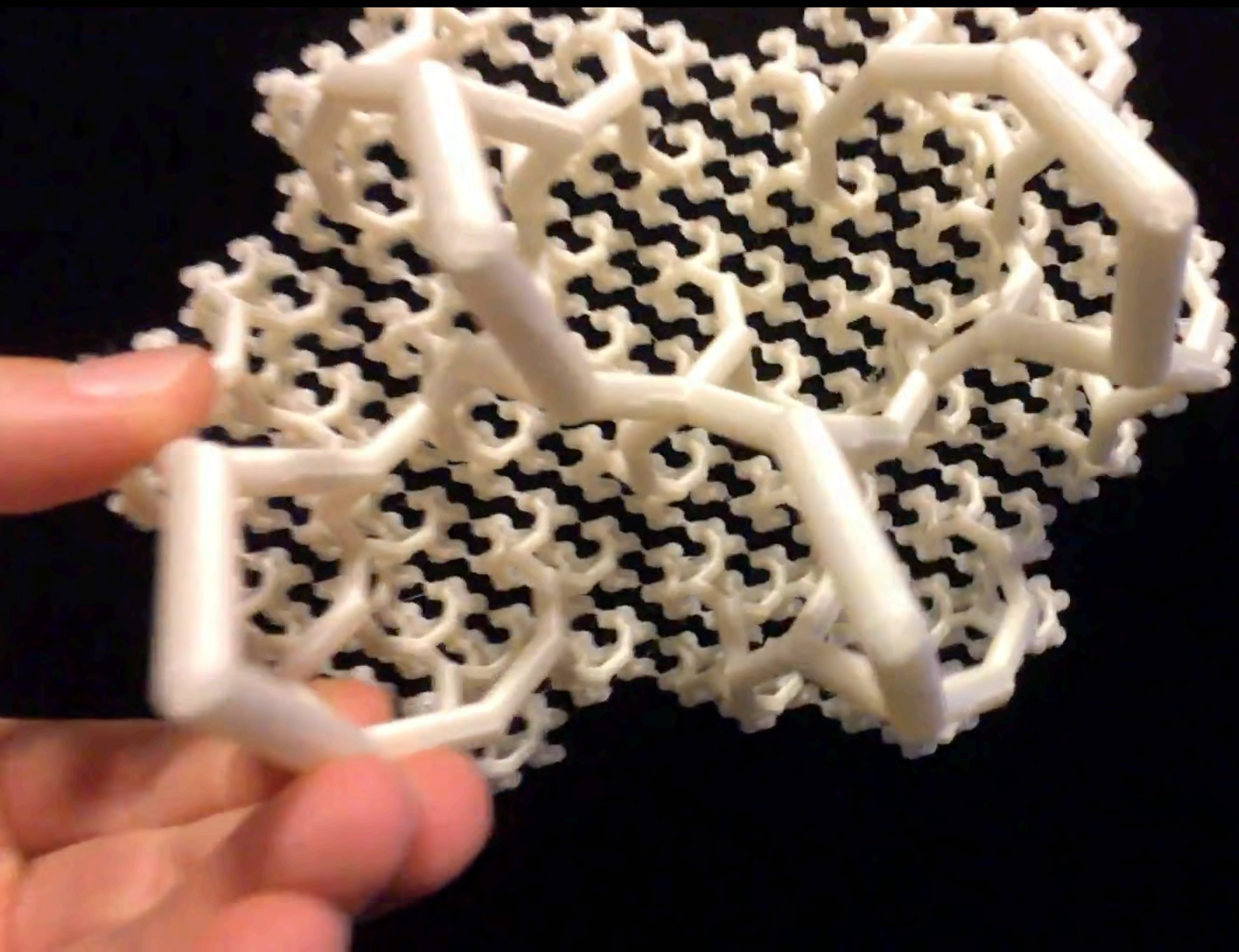








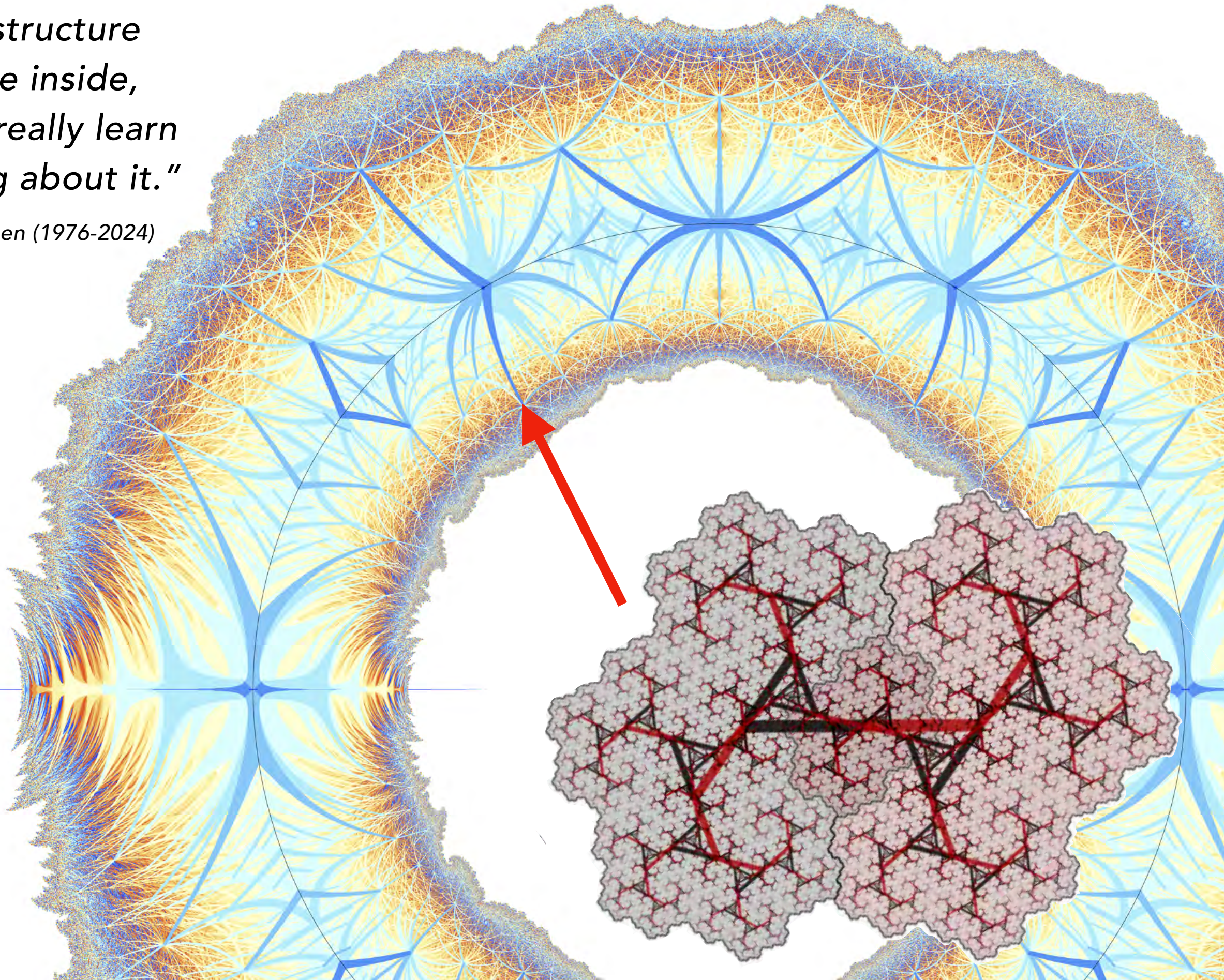






*"If you are able to  
view a structure  
from the inside,  
then you really learn  
something about it."*

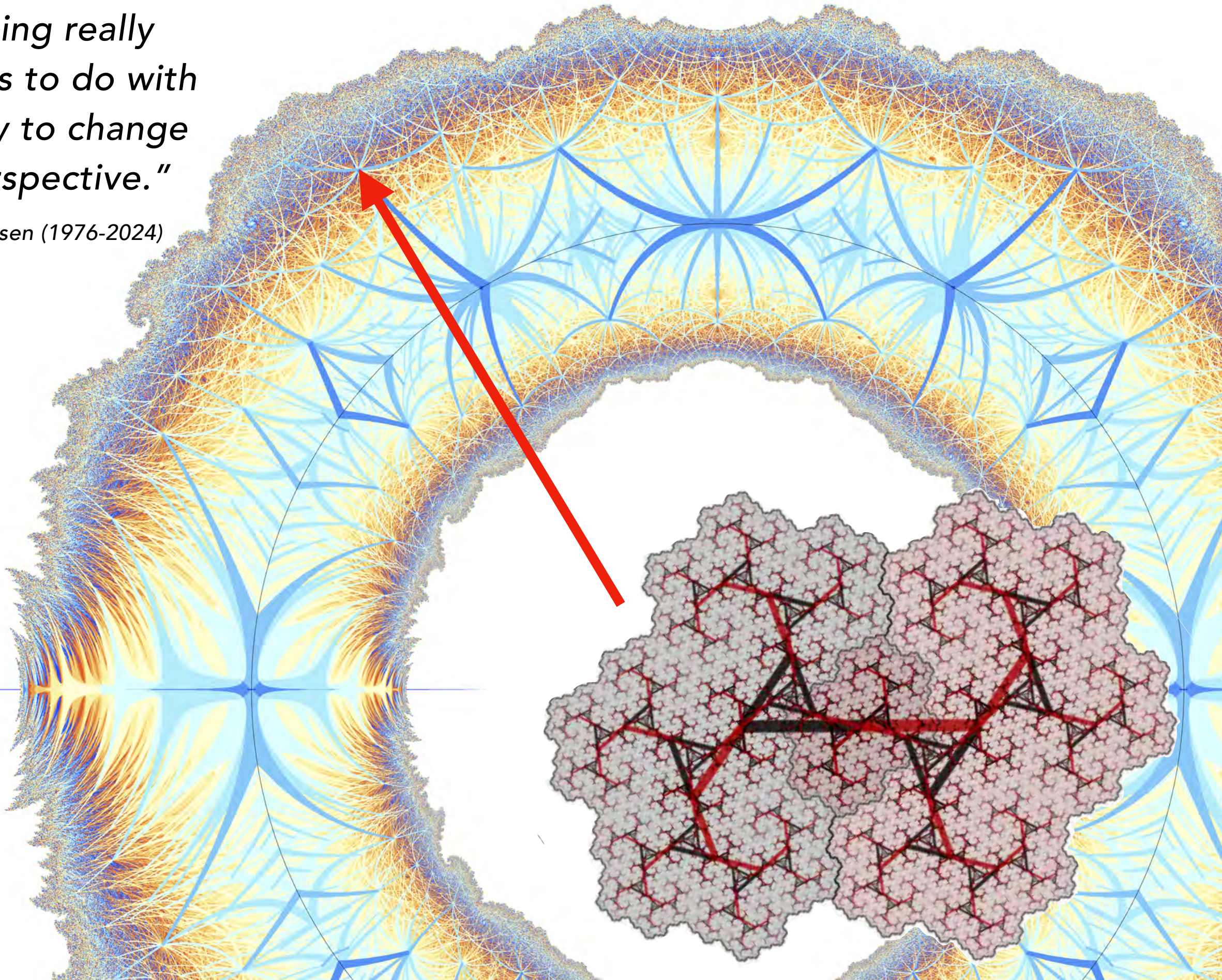
*Roger Antonsen (1976-2024)*





*"Understanding  
something really  
deeply has to do with  
the ability to change  
your perspective."*

*Roger Antonsen (1976-2024)*





### Definition (collinear digit set)

Set of  $n \geq 2$  integers evenly spaced from  $-n + 1$  to  $n - 1$ ,  
 $\mathcal{A}_n := \{-n + 1, -n + 3, \dots, n - 3, n - 1\}$ .

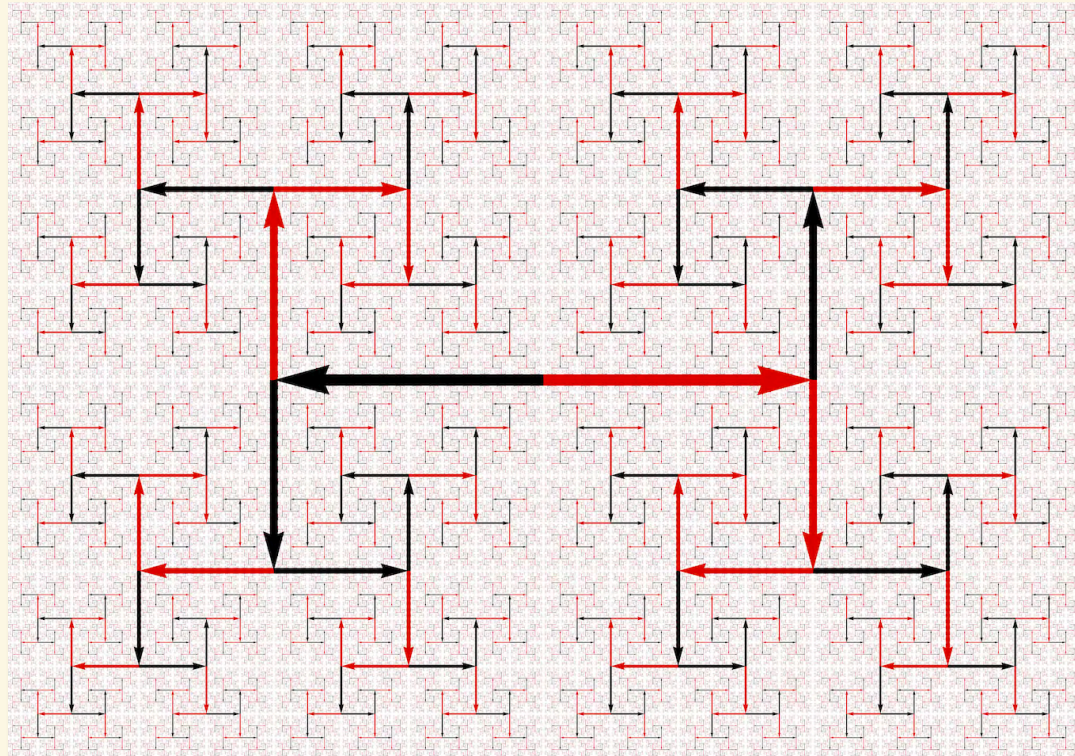
### Definition (collinear fractal)

Self-similar set parameterized by  $c^{-1} \in \mathbb{D}^*$ ,

$$\mathbf{E}(c, n) := \left\{ \sum_{k=0}^{\infty} a_k c^{-k} : a_k \in \mathcal{A}_n \right\}.$$


$$p^+(c) = +1 \pm c^{-1} \pm c^{-2} \pm \dots \pm c^{-m}$$

$$p^-(c) = -1 \pm c^{-1} \pm c^{-2} \pm \dots \pm c^{-m}$$



Joint work with my  
PhD supervisors,  
Joan Saldaña, and  
David Juher

Universitat de Girona

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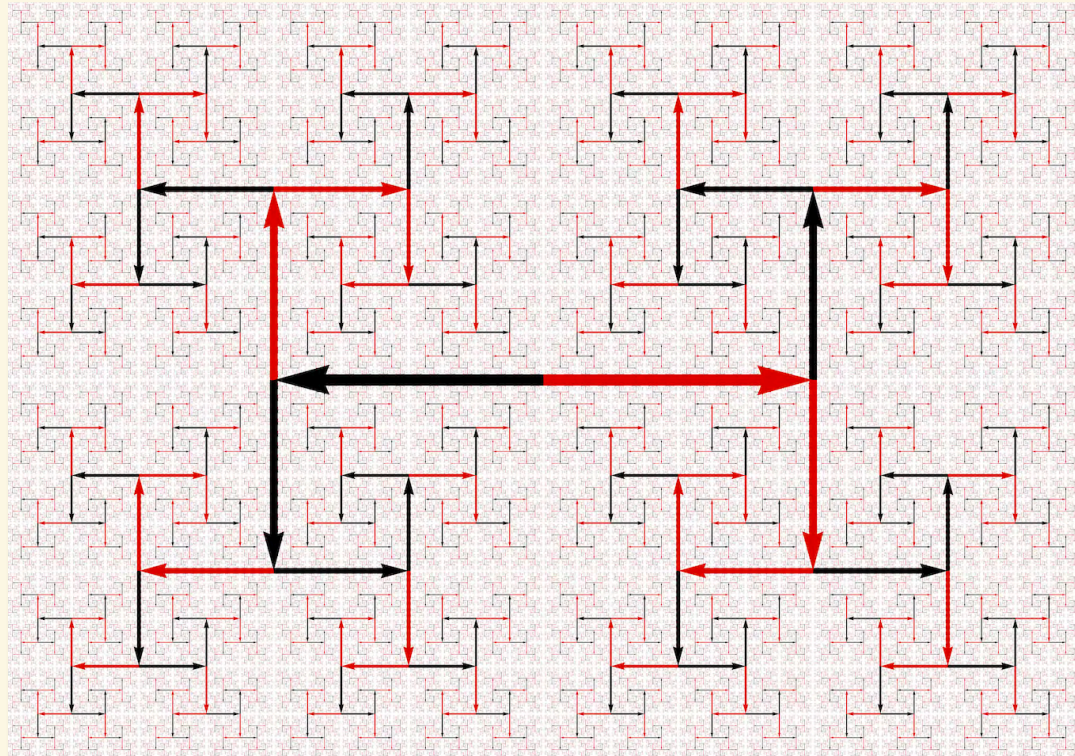
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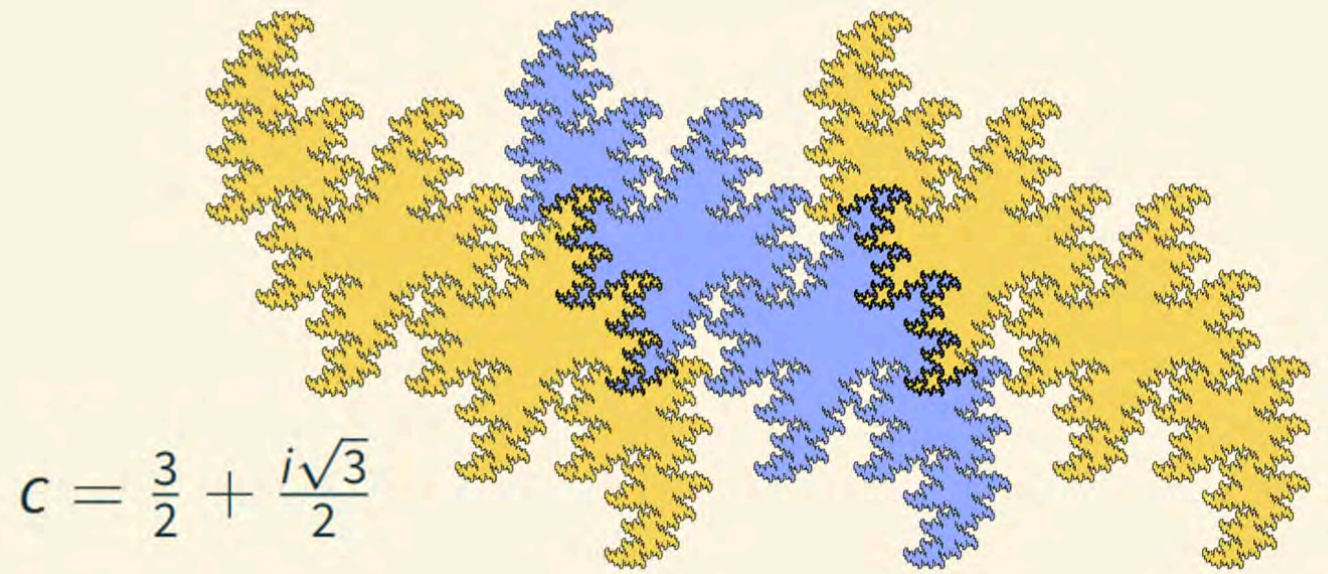
$$p^-(c) = -1 \pm c^{-1} \pm c^{-2} \pm \dots \pm c^{-m}$$



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$$c = \frac{3}{2} + \frac{i\sqrt{3}}{2}$$

Example ( $n = 3$ )

$$\begin{aligned} \mathbf{E}(c, 3) &:= \left\{ \sum_{k=0}^{\infty} a_k c^{-k} : a_k \in \{-2, 0, 2\} \right\} \\ &= \left( \frac{\mathbf{E}(c, 3)}{c} - 2 \right) \cup \left( \frac{\mathbf{E}(c, 3)}{c} \right) \cup \left( \frac{\mathbf{E}(c, 3)}{c} + 2 \right). \end{aligned}$$



## Definition (collinear digit set)

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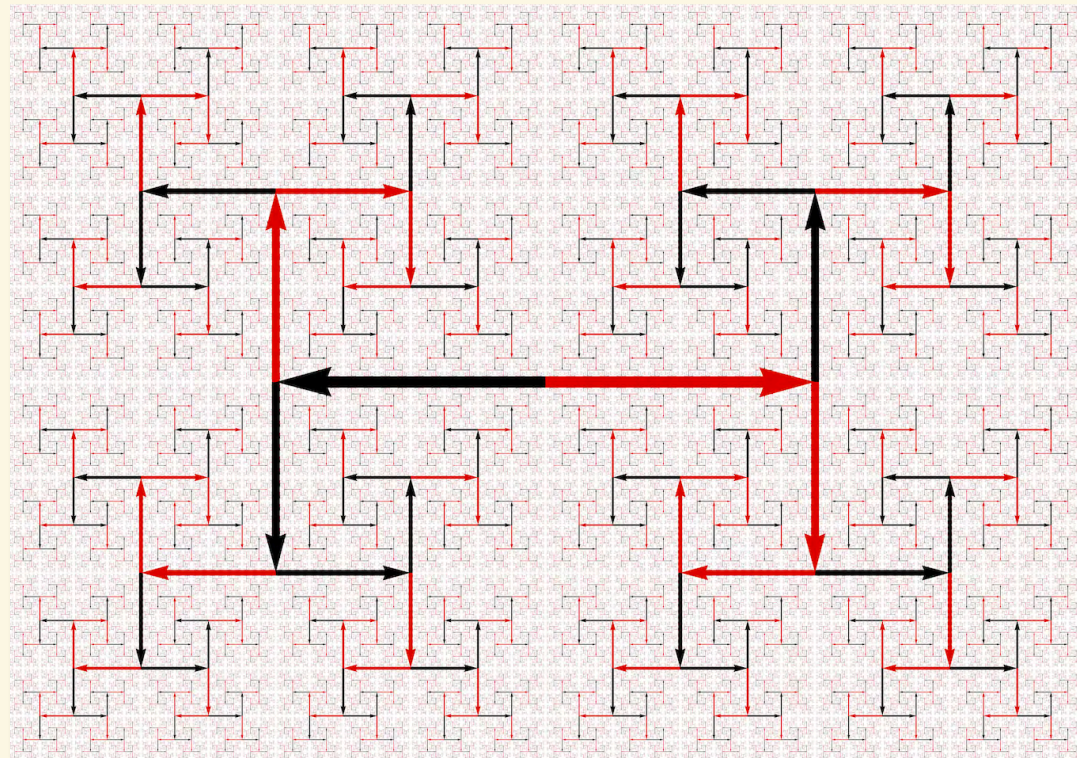
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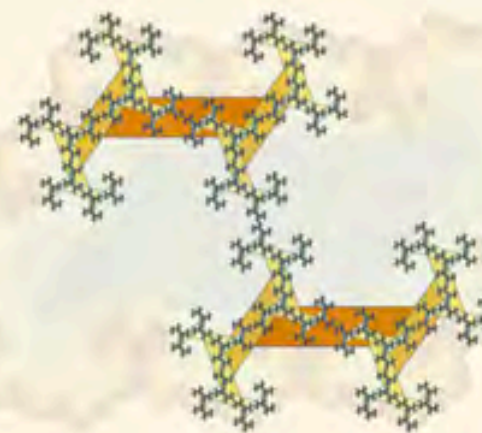
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$$\mathcal{M}_n = \left\{ c^{-1} \in \mathbb{D}^* : 2c \in \mathbf{E}(c, 2n - 1) \right\}$$

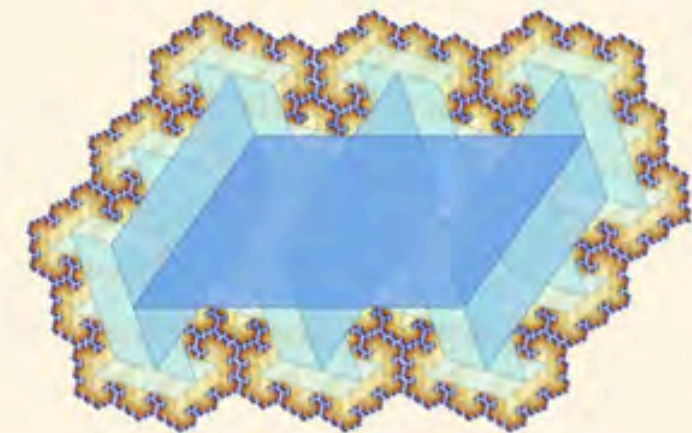
The Minkowski sum and geometric difference

$$\mathbf{E}(c, 2n - 1) = \mathbf{E}(c, n) \oplus \mathbf{E}(c, n)$$

$$= \mathbf{E}(c, n) \ominus \mathbf{E}(c, n)$$

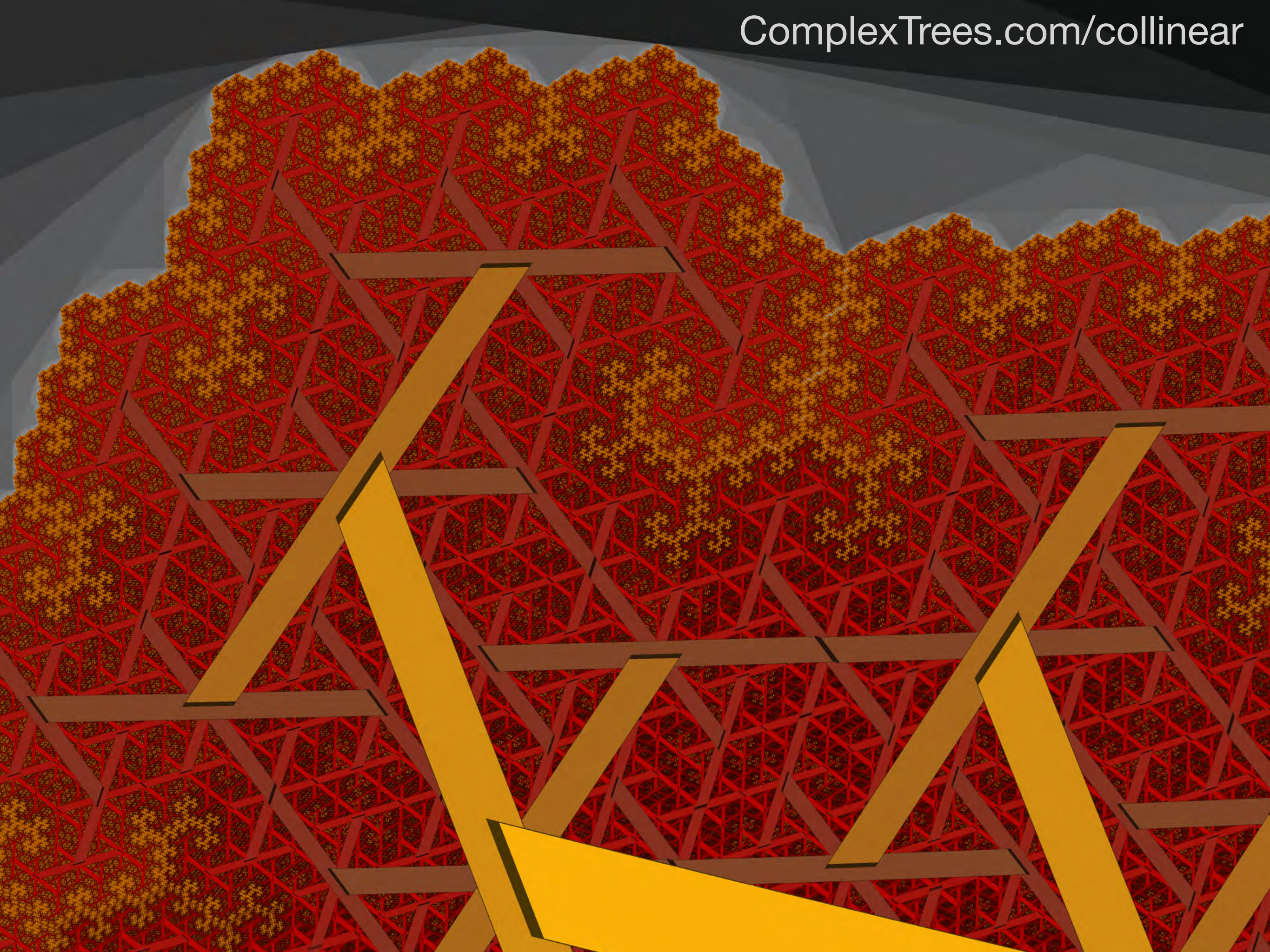


$$\mathbf{E}(c, n) \oplus \mathbf{E}(c, n)$$



$$\mathbf{E}(c, 2n - 1)$$



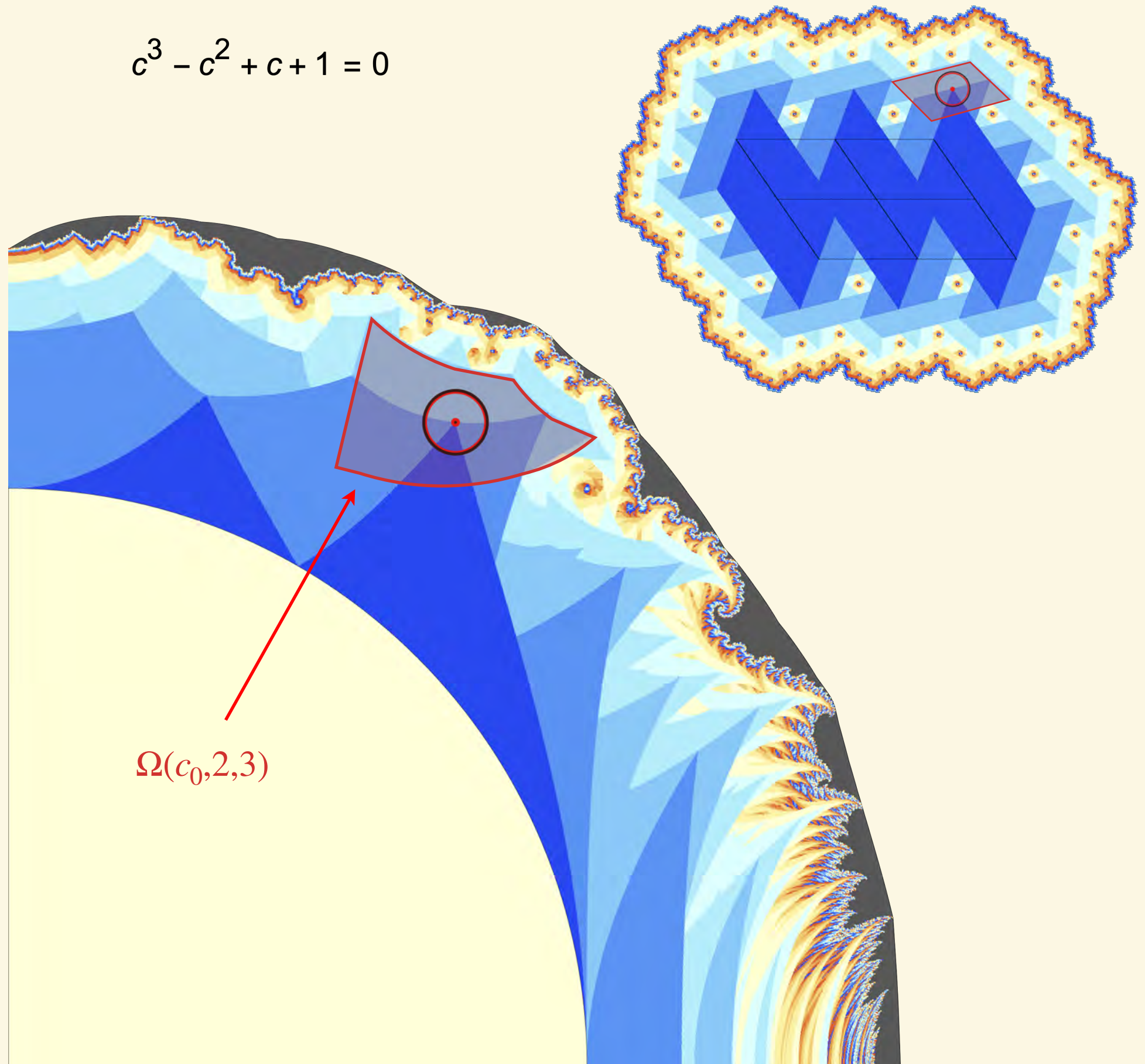




$$c_0 = 0.7718 + i 1.1151$$

$$2c_0 \in E(c, 2n - 1)$$

$$c^3 - c^2 + c + 1 = 0$$

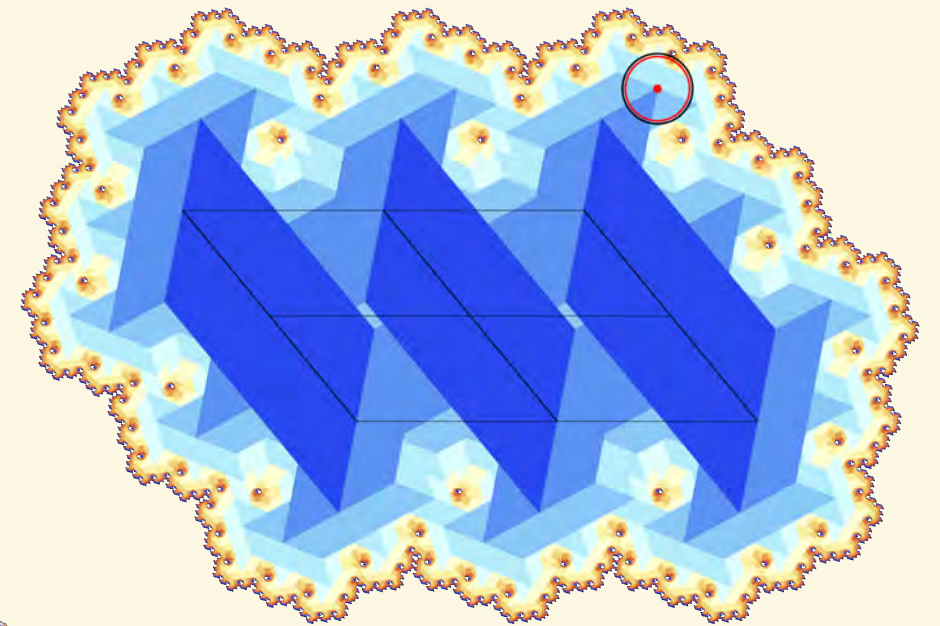
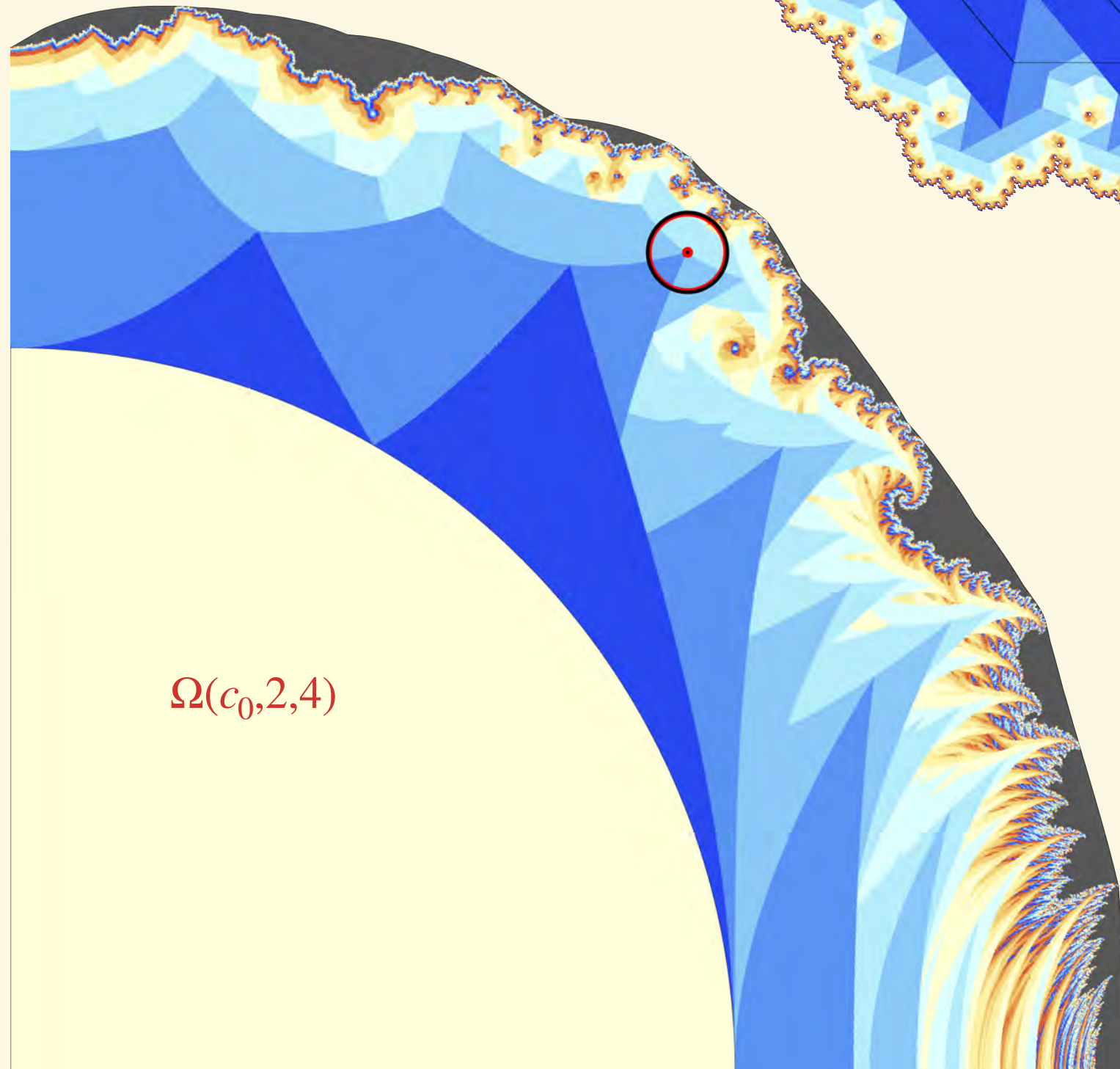




$$c_0 = 0.9334 + i 1.1325$$

$$2c_0 \in E(c, 2n - 1)$$

$$c^4 - c^3 + c^2 + c + 1 = 0$$

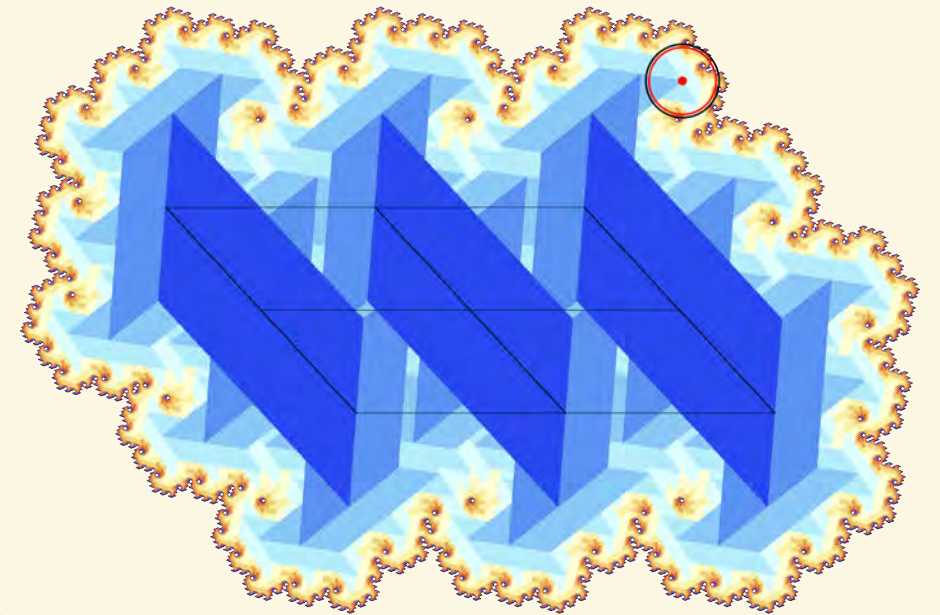
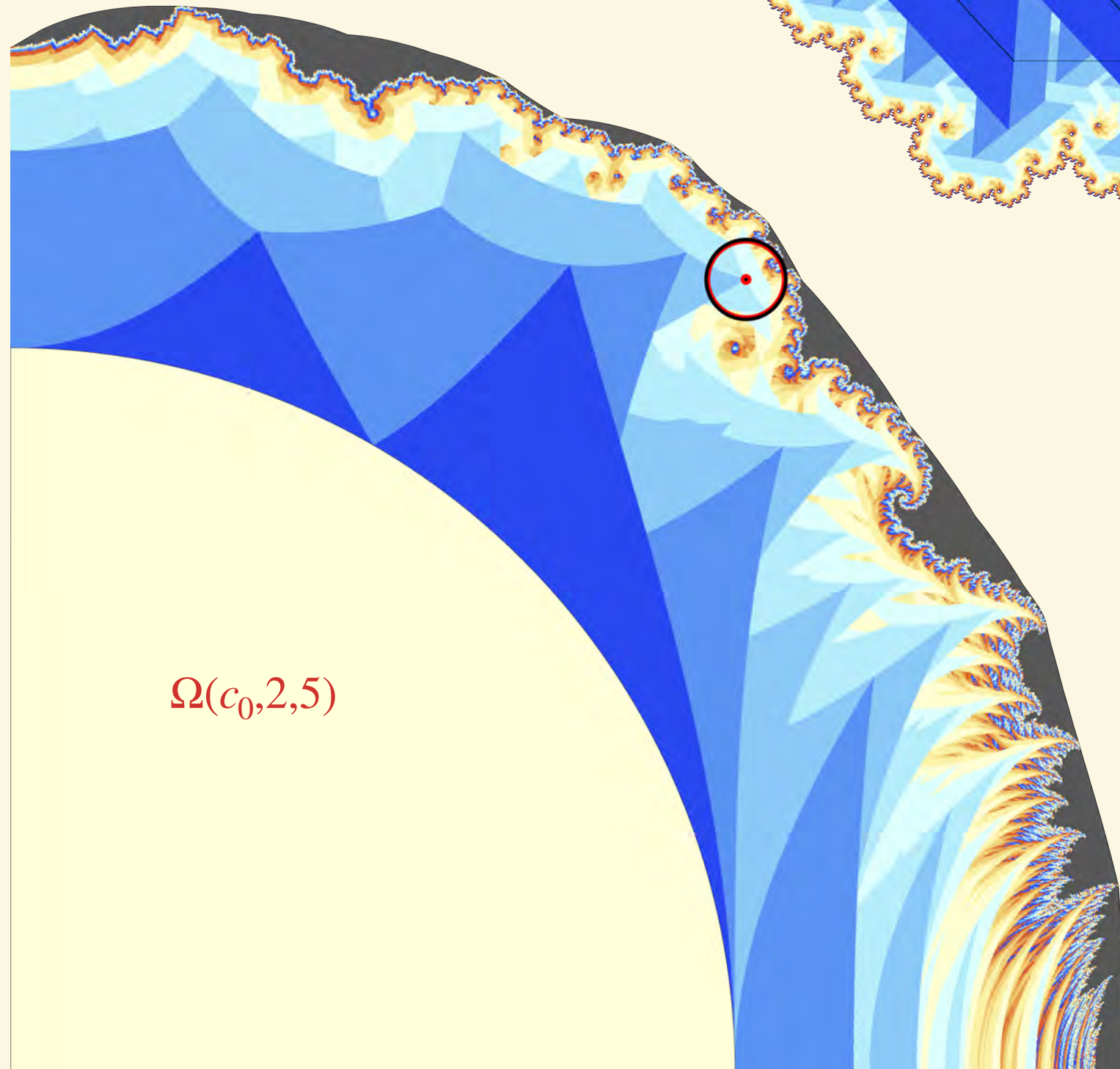




$$c_0 = 1.0141 + i 1.0951$$

$$2c_0 \in E(c, 2n - 1)$$

$$c^5 - c^4 + c^3 + c^2 + c + 1 = 0$$



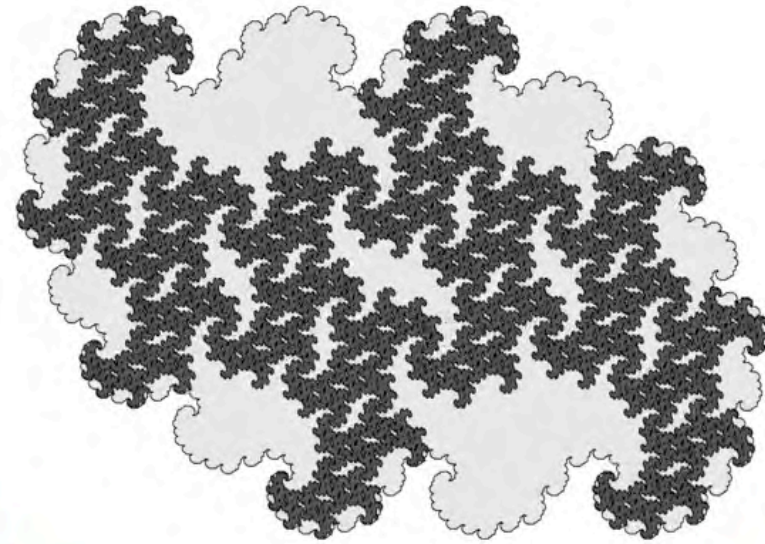


Animation: [youtu.be/11NZDHNahJs](https://youtu.be/11NZDHNahJs)

$$c = 1.2345 + i 0.7935$$

$$\text{Arg}(c) = 0.5713 = 32.73^\circ$$

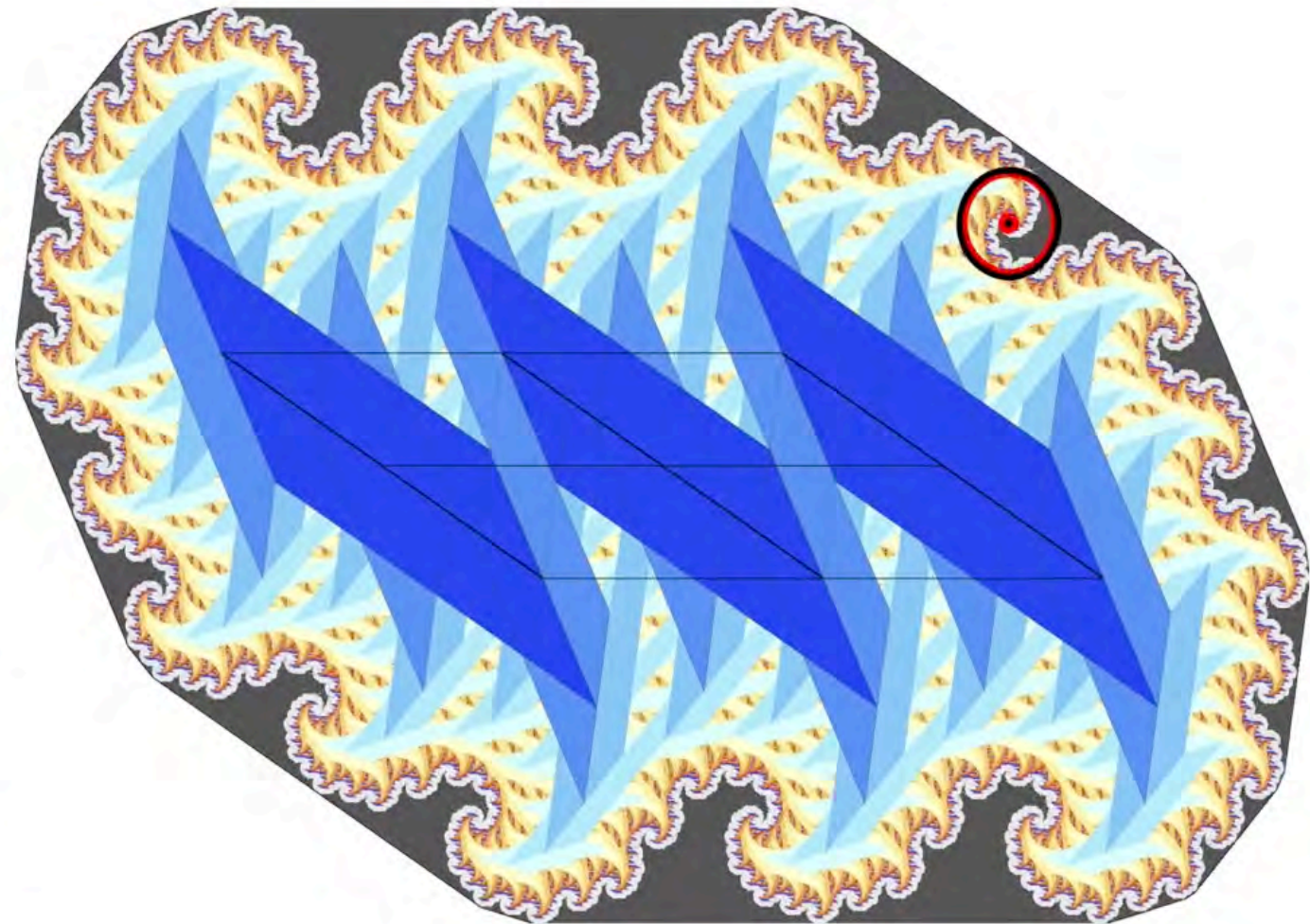
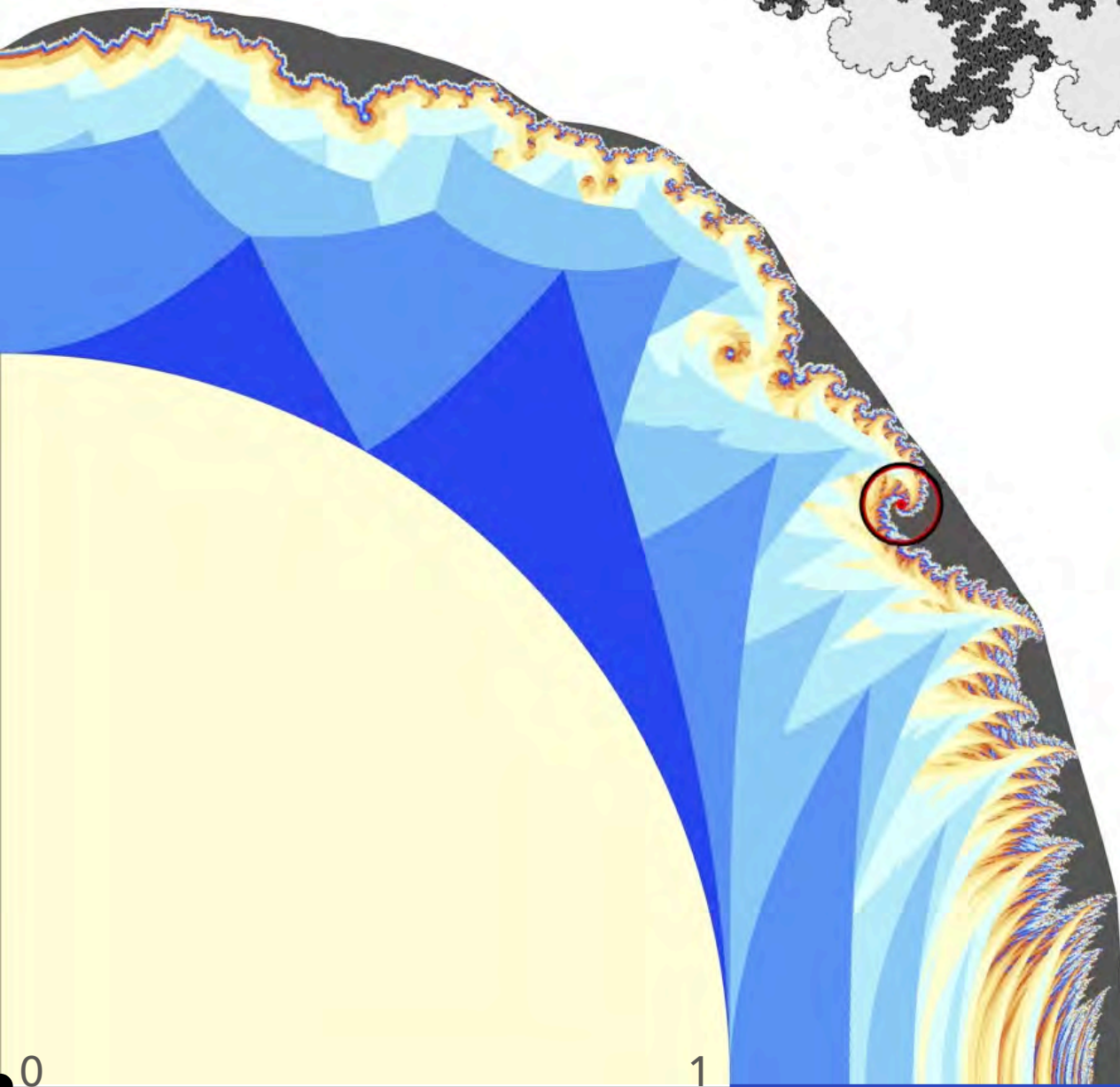
$$r = |c^{-1}| = 0.6814$$



$$\dim_H E(c, 2) = \frac{\log 2}{\log |c|} \approx 1.8071$$

*“Asymptotic self-similarity”*

$$2c \in E(c, 3)$$



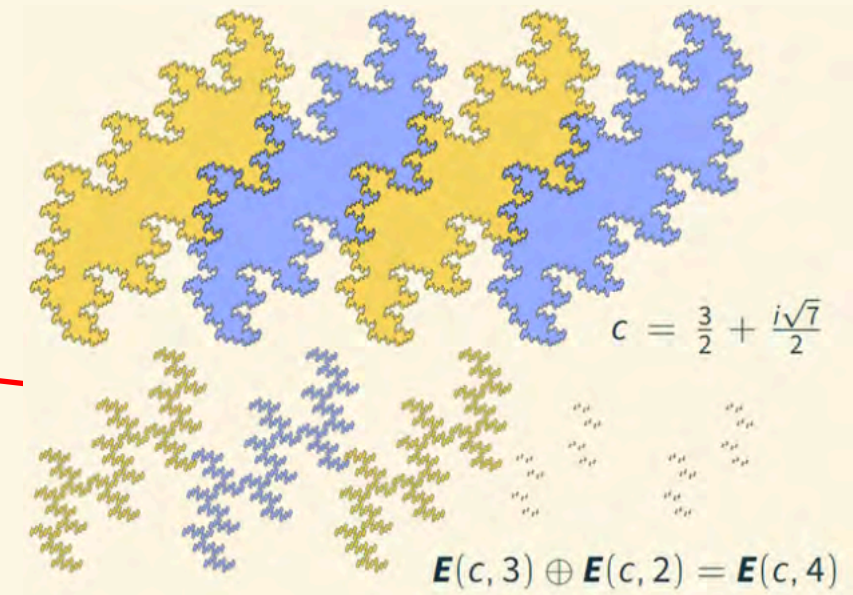
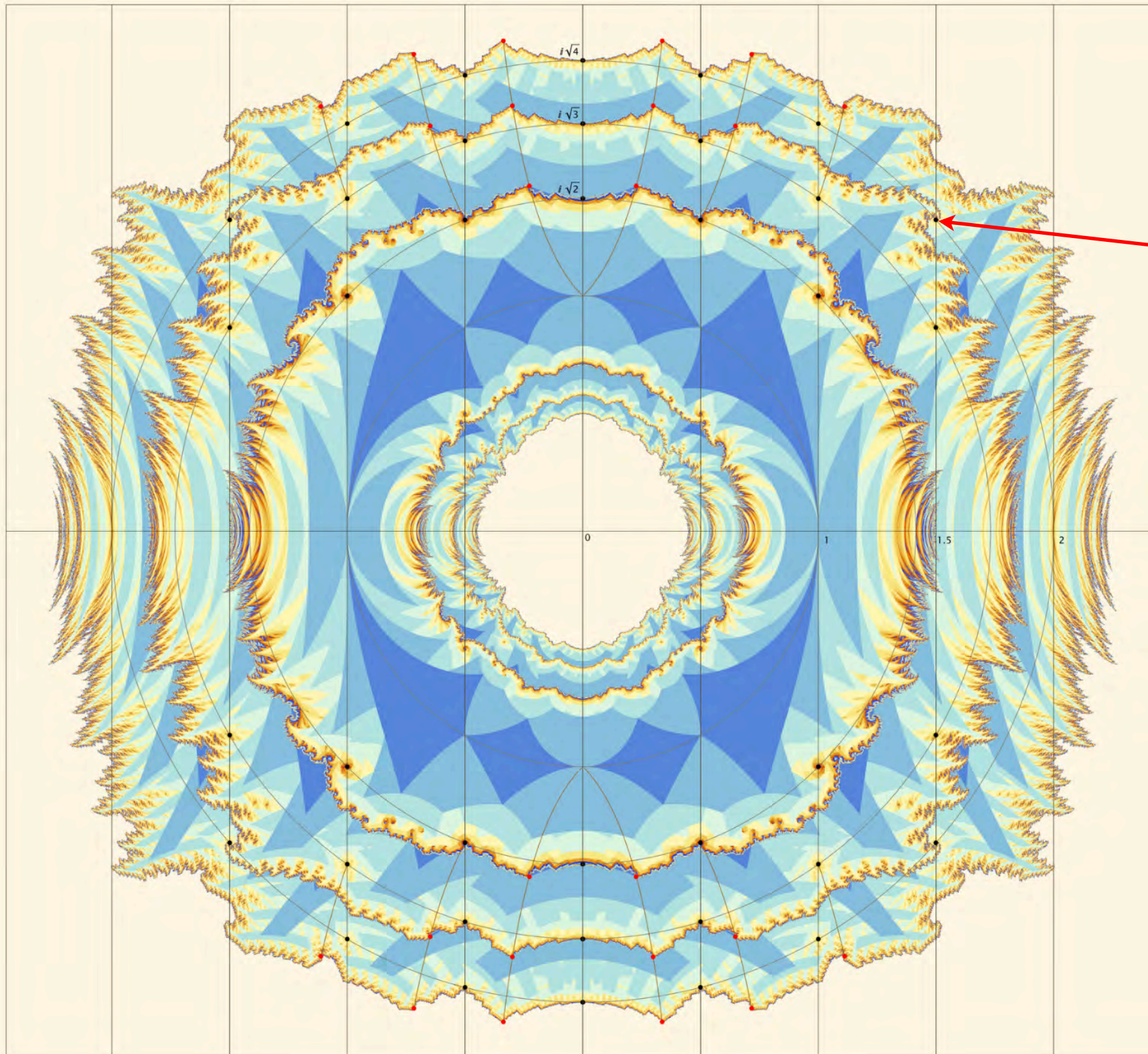
0

1

2



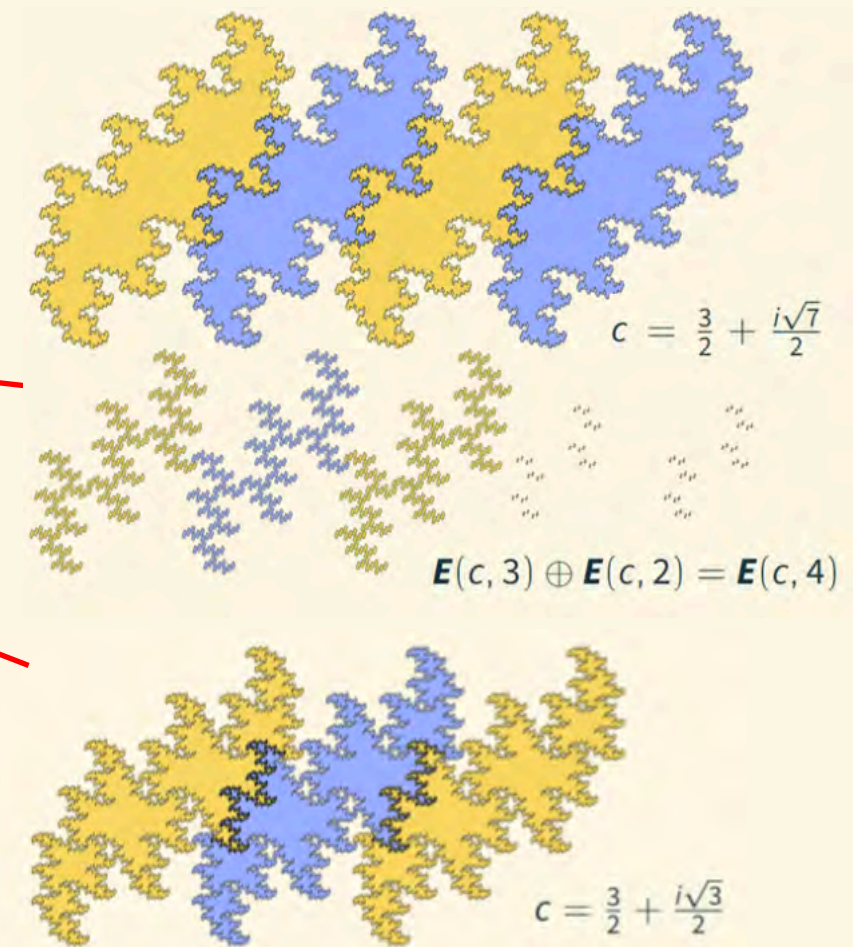
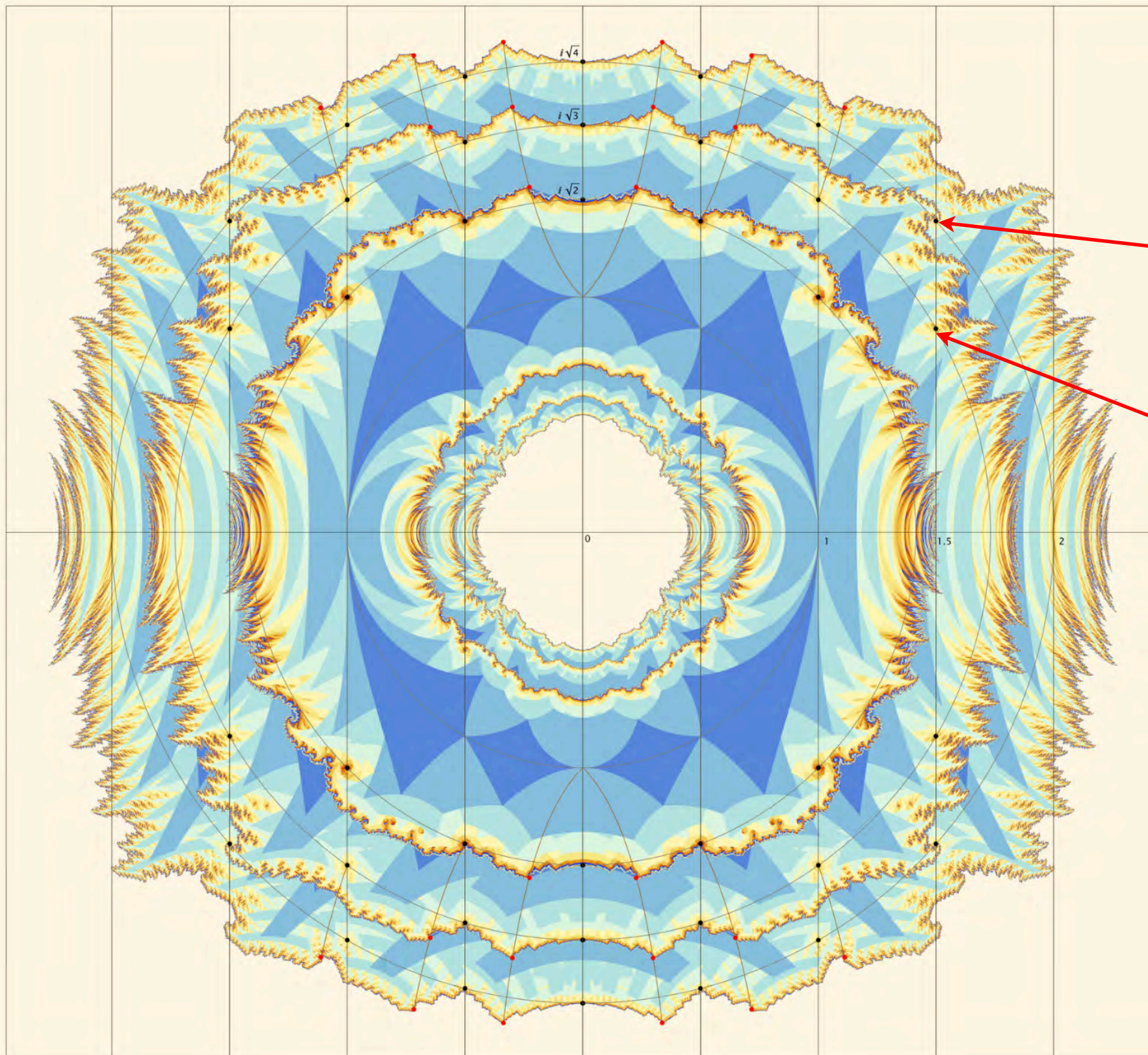
$$E(c, n+1) = E(c, n) \oplus E(c, 2)$$



$$\mathcal{M}_n \subset \mathcal{M}_{n+1}$$



$a=3$

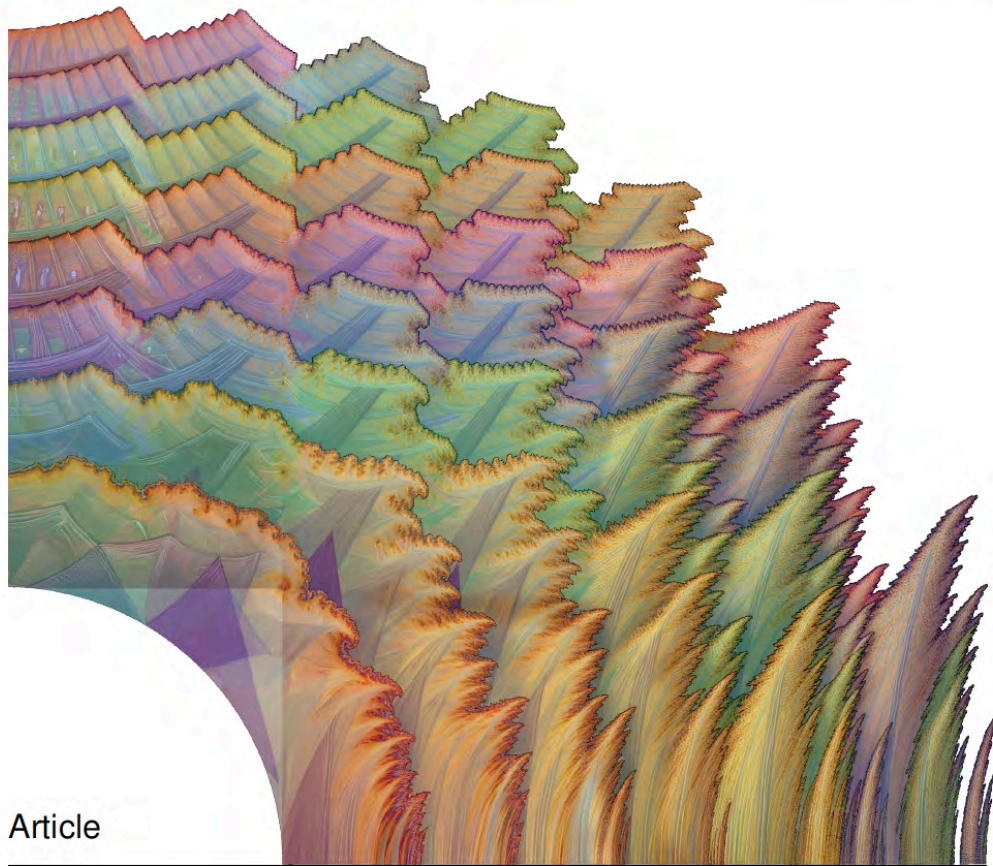


Let

$$c = \frac{a}{2} + \frac{i}{2} \sqrt{4b + a - 2 \left\lfloor \frac{a+1}{2} \right\rfloor},$$

where  $a \geq 0$  and  $b \geq 1$  are a pair of integers. If  $|c| = \sqrt{n}$  then  $E(c, n)$  is a planar self-affine tile with a collinear digit set.

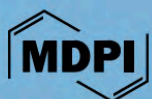




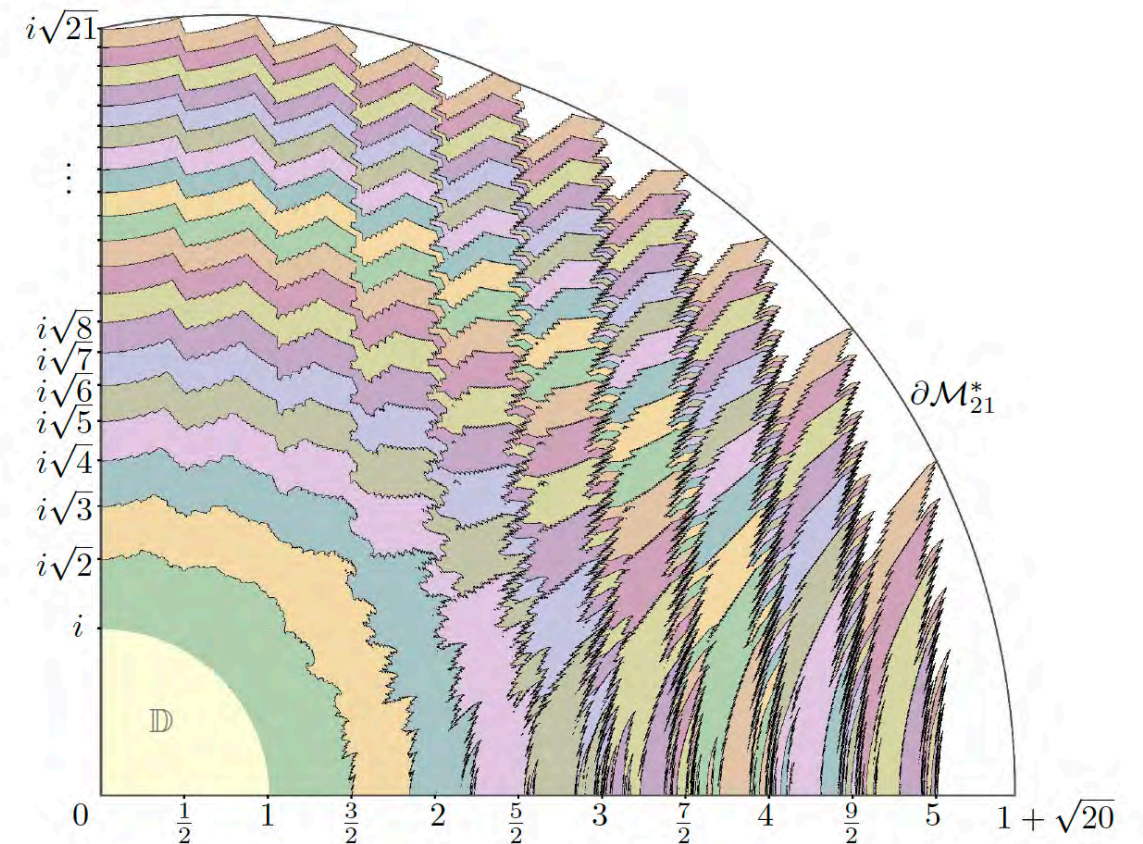
Article

# Collinear Fractals and Bandt's Conjecture

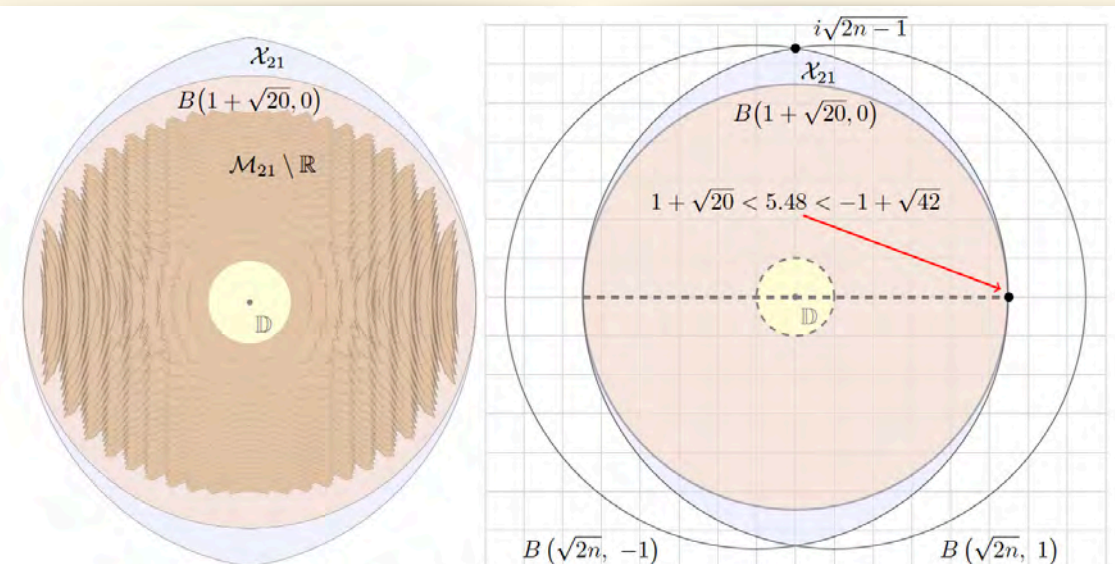
Bernat Espigule, David Juher and Joan Saldaña



<https://doi.org/10.3390/fractalfract8120725>

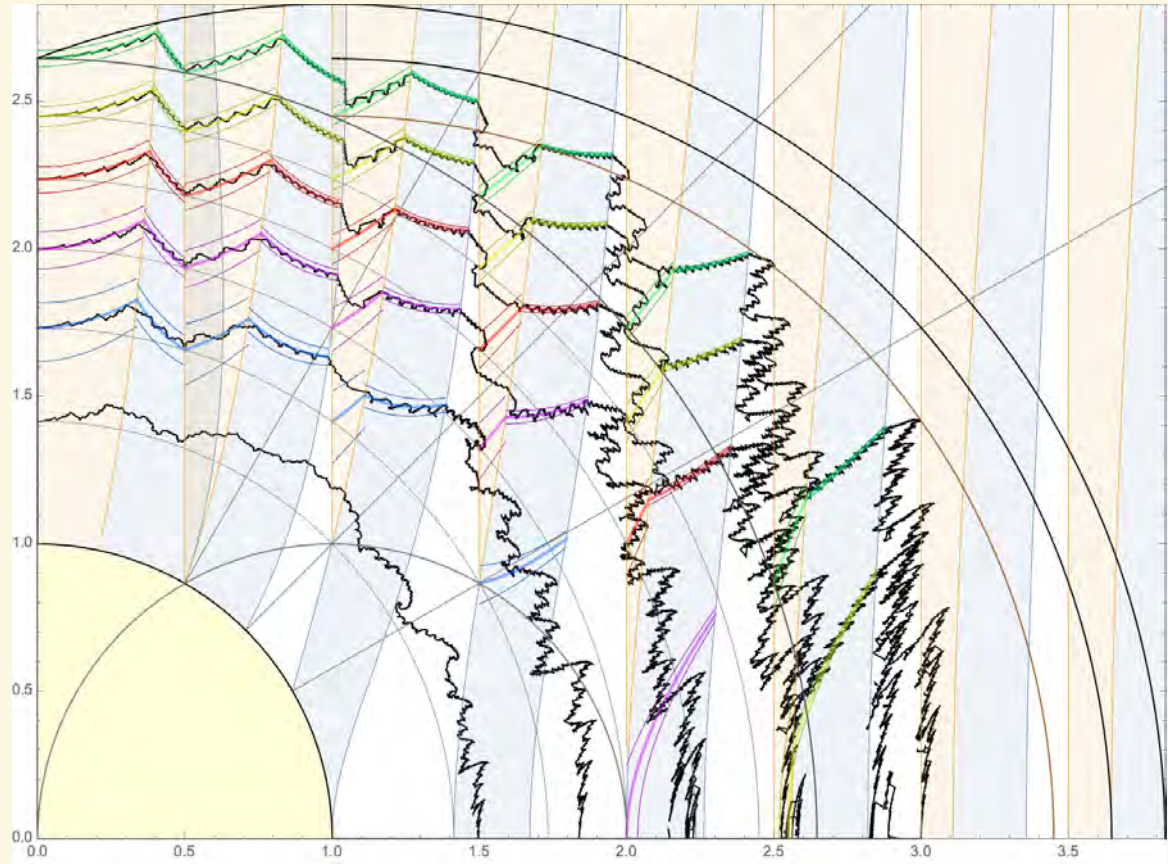
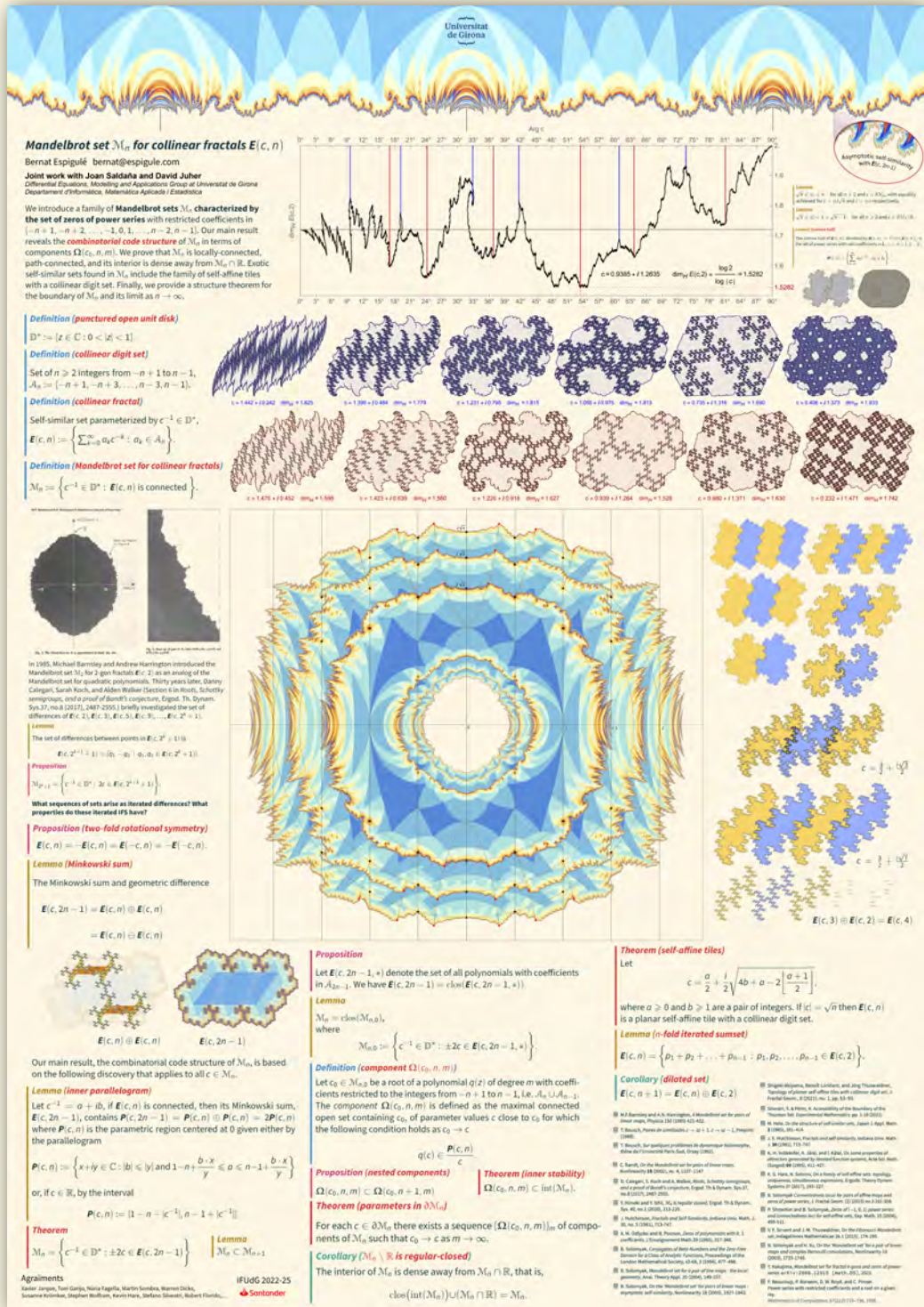


**Figure 3.** Superimposed arrangement of  $\mathcal{M}_2, \mathcal{M}_3, \dots, \mathcal{M}_{21}$  constrained within the upper-right section of the complex plane. From Proposition 3, we know that the connectedness loci are nested. The illustration suggests the existence of infinitely many holes, and that for  $n \geq 4$ , the intersection  $\partial\mathcal{M}_n \cap \partial\mathcal{M}_{n+1} \setminus \mathbb{R}$  is nonempty.



**Figure 10.** The set  $\mathcal{M}_{21} \setminus \mathbb{R}$  contained in  $\mathcal{X}_{21}$ . Since  $1 + \sqrt{20} < -1 + \sqrt{42}$ , the region  $\mathcal{X}_{21}$  contains  $B(1 + \sqrt{20}, 0) \setminus (\mathbb{R} \cup \mathbb{D})$  which in turn contains  $\mathcal{M}_{21} \setminus \mathbb{R}$  by Proposition 4.





What's next?  
 Structure  
 theorem for  
 the boundary  
 of  $M_n$

## Illustration as a Mathematical Research Technique

# Workshop 3: Integrating Research and Illustration in Number Theory

March 23 to 27, 2026 - IHP, Paris

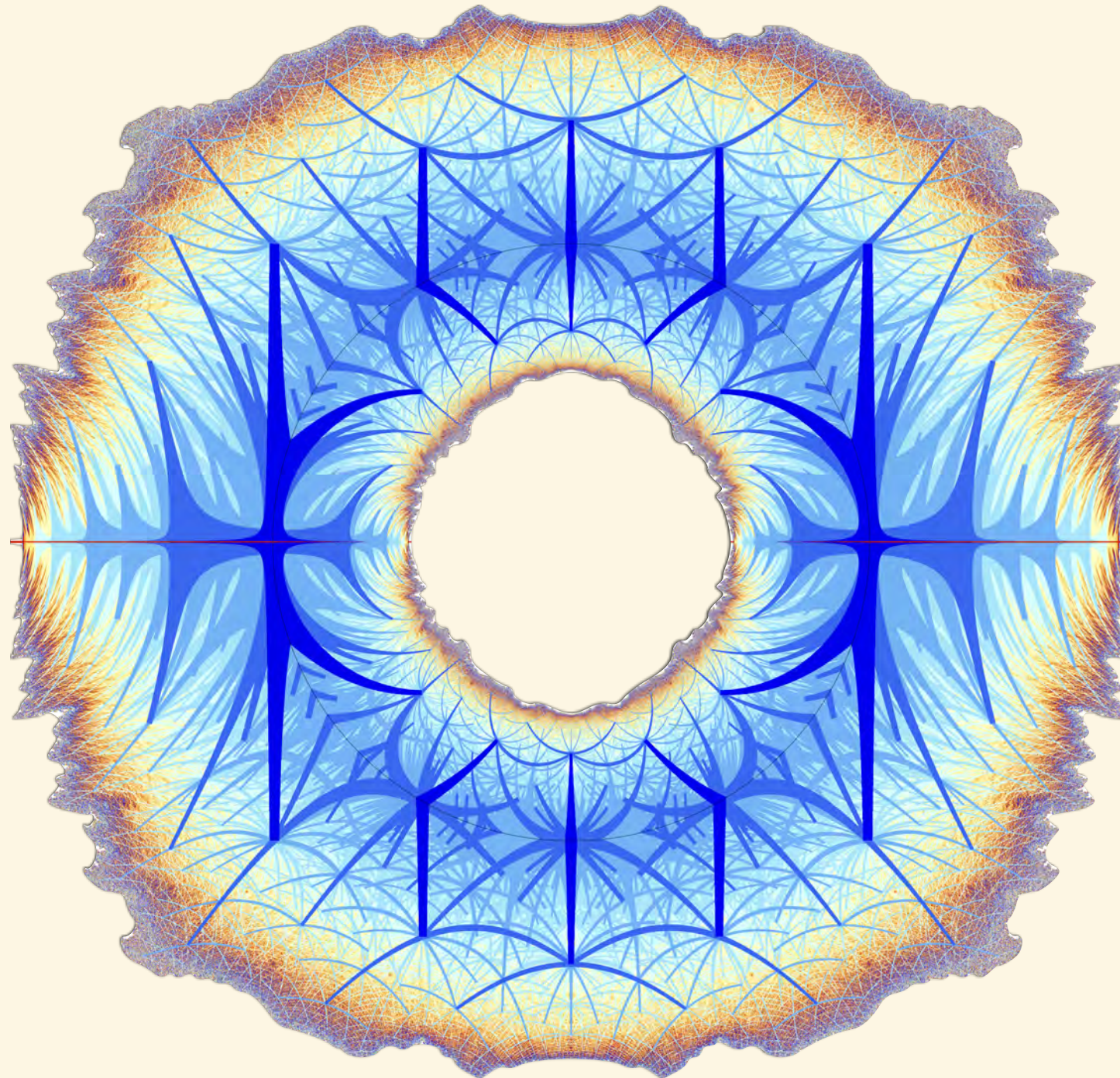
## Summary

This workshop will highlight recent research developments in algebra and number theory informed by illustration. It will also provide structured opportunities for participants to engage in new research collaborations that employ illustration. The workshop schedule will include research talks as well as time set aside to establish these collaborations.

<https://indico.math.cnrs.fr/event/13126/>



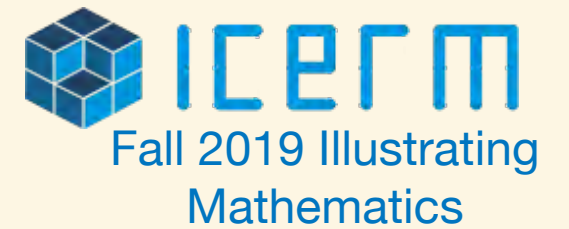
# [ComplexTrees.com/collinear](https://ComplexTrees.com/collinear)



- 1886–1971: Paul Lévy
- 1924–2010: Benoit Mandelbrot
- 1985: Michael Barnsley & Andrew Harrington (IFS M2)
- 1985: Masayoshi Hata (fractal connectivity)
- 1991: Christoph Bandt & Karsten Keller (self-similar sets)
- 1992: Thierry Bousch (connectedness in dynamics)
- 1998: Franck Beaucoup et al. (polynomial roots)
- 2002: Christoph Bandt (conjecture on  $M_2$ )
- 2004: Boris Solomyak and Xu (partial proof)
- 2006: J. Daniel Christensen (complex root plots)
- 2007: David H. Bailey et al. (experimental math tools)
- 2008: Christoph Bandt & Nguyen Hung (n-gon fractals)
- 2008: Jonathan M. Borwein et al. (polynomial studies)
- 2014: Katherine Stange (visual number theory)
- 2017: Danny Calegari et al. (proof for  $n=2$ )
- 2021: Shigeki Akiyama et al. (tiling extensions)
- 2021: Edmund Harriss et al. (algebraic starscapes)
- 2022: Gabriel Dorfsman-Hopkins & Shuchang Xu
- 2023: John C. Baez et al. (beauty of roots)
- 2024: Bernat Espigulé et al. (generalized resolutions)
- 1959–2025: Michael Trott



# *Collinear Fractals - Unveiling the Hidden Geometry of Polynomial Roots*




Thank you!

2017-2018



Joint work with my  
PhD supervisors,  
Joan Saldaña, and  
David Juher




IFUdG 2022–2024  Santander

Low dimensional dynamical  
systems: topology, geometry,  
combinatorics and bifurcations

PID2023-146424NB-I00

Bernat Espigulé

 [bernate@espigule.com](mailto:bernate@espigule.com)





One of the charms of mathematics is that simple rules can generate complex and fascinating patterns, which raise questions whose answers require profound thought.

## SHORT STORIES



Figure 1. Roots of all polynomials of degree 23 whose coefficients are  $\pm 1$ . The brightness shows the number of roots per pixel.

One of the charms of mathematics is that simple rules can generate complex and fascinating patterns, which raise

John C. Baez is a professor of mathematics at UC Riverside. His email address is [john.baez@ucr.edu](mailto:john.baez@ucr.edu).  
J. Daniel Christensen is a professor of mathematics at the University of Western Ontario. His email address is [jdc@uwo.ca](mailto:jdc@uwo.ca).  
Sam Derbyshire is a Haskell consultant at Well-Typed. His email address is [sam@well-typed.com](mailto:sam@well-typed.com).

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DOI: <https://doi.org/10.1090/noti2789>

## The Beauty of Roots

John C. Baez, J. Daniel Christensen,  
and Sam Derbyshire

questions whose answers require profound thought. For example, if we plot the roots of all polynomials of degree 23 whose coefficients are all 1 or  $-1$ , we get an astounding picture, shown in Figure 1.

More generally, define a **Littlewood polynomial** to be a polynomial  $p(z) = \sum_{i=0}^d a_i z^i$  with each coefficient  $a_i$  equal to 1 or  $-1$ . Let  $X_n$  be the set of complex numbers that are roots of some Littlewood polynomial with  $n$  nonzero terms (and thus degree  $n-1$ ). The 4-fold symmetry of Figure 1 comes from the fact that if  $z \in X_n$  so are  $-z$  and  $\bar{z}$ . The set  $X_n$  is also invariant under the map  $z \mapsto 1/z$ , since if  $z$  is the root of some Littlewood polynomial then  $1/z$  is a root of the polynomial with coefficients listed in the reverse order.

It turns out to be easier to study the set

$$X = \bigcup_{n=1}^{\infty} X_n = \{z \in \mathbb{C} \mid z \text{ is the root of some Littlewood polynomial}\}.$$

If  $n$  divides  $m$  then  $X_n \subseteq X_m$ , so  $X_n$  for a highly divisible number  $n$  can serve as an approximation to  $X$ , and this is why we drew  $X_{24}$ .

Some general properties of  $X$  are understood. It is easy to show that  $X$  is contained in the annulus  $1/2 < |z| < 2$ . On the other hand, Thierry Bousch showed [2] that the closure of  $X$  contains the annulus  $2^{-1/4} \leq |z| \leq 2^{1/4}$ . This means that the holes near roots of unity visible in the sets  $X_n$  must eventually fill in as we take the union over all

## Short Stories

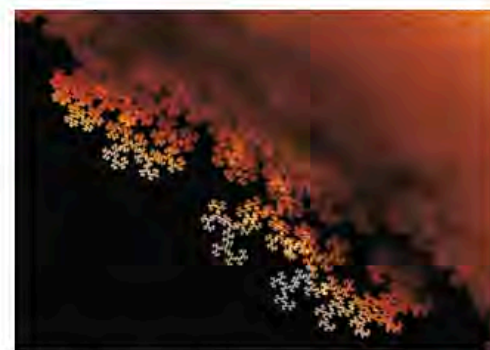


Figure 2. The region of  $X_{24}$  near the point  $z = \frac{1}{2}e^{i/8}$ .

$n$ . More surprisingly, Bousch showed in 1993 that the closure  $\bar{X}$  is connected and locally path-connected [3]. It is worth comparing the work of Odlyzko and Poonen [7], who previously showed similar result for roots of polynomials whose coefficients are all 0 or 1.

The big challenge is to understand the diverse, complicated and beautiful patterns that appear in different regions of the set  $X$ . There are websites that let you explore and zoom into this set online [4, 5, 8]. Different regions raise different questions.

For example, what is creating the fractal patterns in Figure 2 and elsewhere? An anonymous contributor suggested a fascinating line of attack which was further developed by Greg Egan [5]. Define two functions from the complex plane to itself, depending on a complex parameter  $q$ :

$$f_{+q}(z) = 1 + qz, \quad f_{-q}(z) = 1 - qz.$$

When  $|q| < 1$  these are both contraction mappings, so by a theorem of Hutchinson [6] there is a unique nonempty compact set  $D_q \subseteq \mathbb{C}$  with

$$D_q = f_{+q}(D_q) \cup f_{-q}(D_q).$$

We call this set a **dragon**, or the  **$q$ -dragon** to be specific. And it seems that for  $|q| < 1$ , the portion of the set  $X$  in a small neighborhood of the point  $q$  tends to look like a rotated version of  $D_q$ .

Figure 3 shows some examples. To precisely describe what is going on, much less prove it, would take real work. We invite the reader to try. A heuristic explanation is known, which can serve as a starting point [1, 5]. Bousch [3] has also proved this related result:

**Theorem.** For  $q \in \mathbb{C}$  with  $|q| < 1$ , we have  $q \in \bar{X}$  if and only if  $0 \in D_q$ . When this holds, the set  $D_q$  is connected.

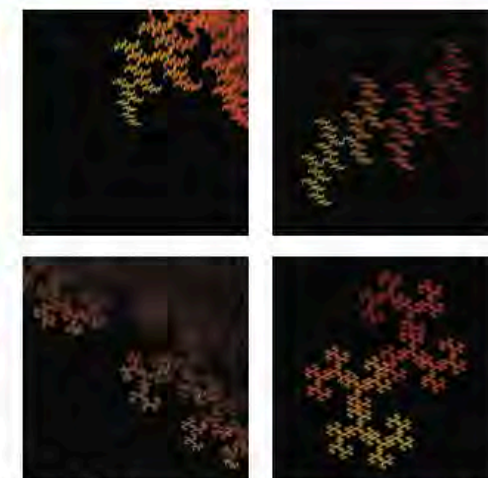
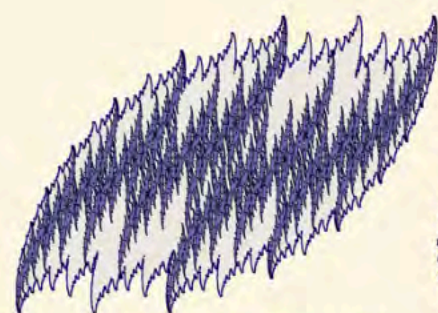
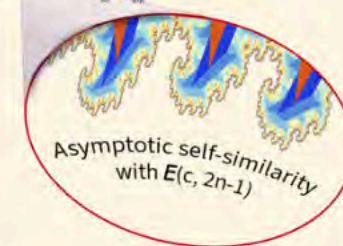
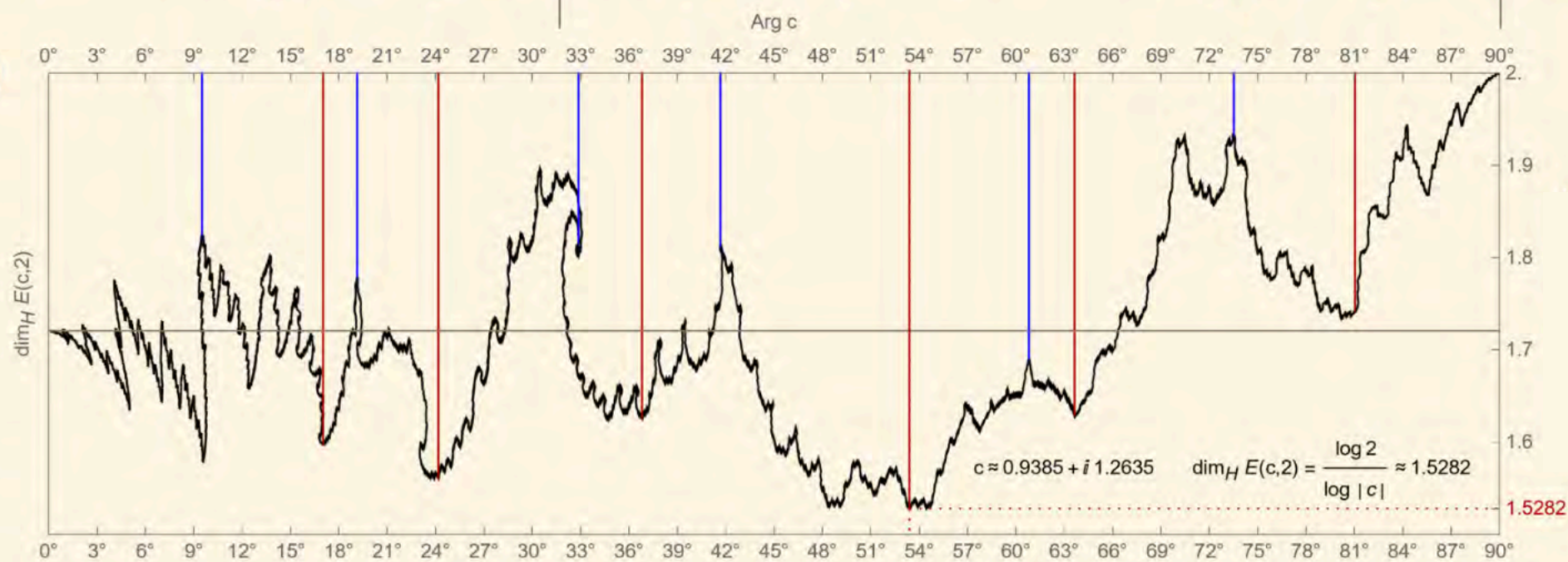
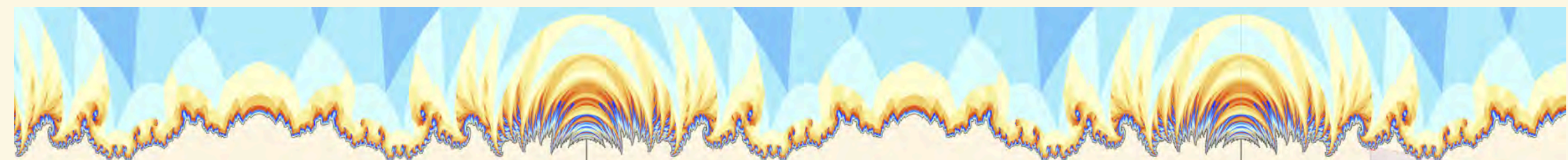


Figure 3. Top: the set  $X$  near  $q = 0.594 + 0.254i$  at left, and the set  $D_q$  at right. Bottom: the set  $X$  near  $q = 0.375453 + 0.544825i$  at left, and the set  $D_q$  at right.

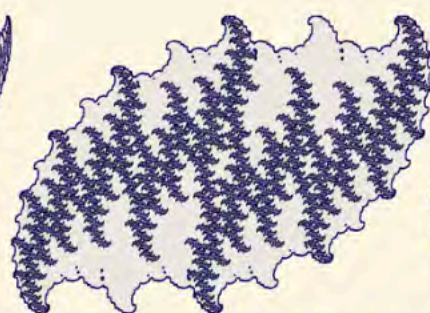
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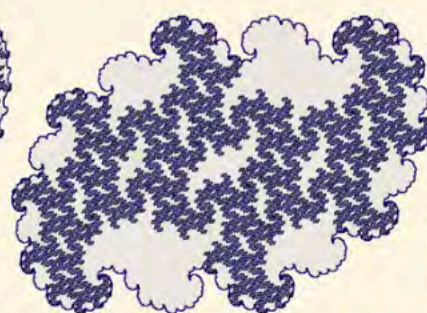




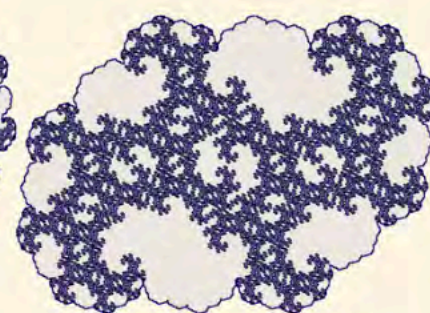
$c = 1.442 + i 0.242$      $\dim_H \approx 1.825$



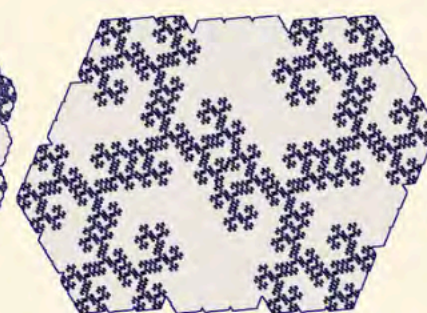
$c = 1.395 + i 0.484$      $\dim_H \approx 1.779$



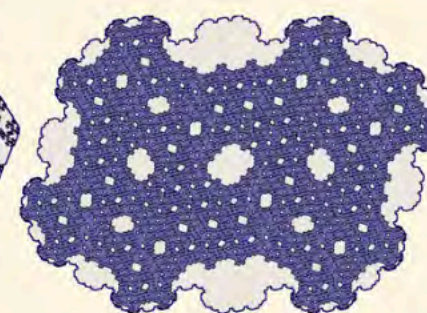
$c = 1.231 + i 0.795$      $\dim_H \approx 1.815$



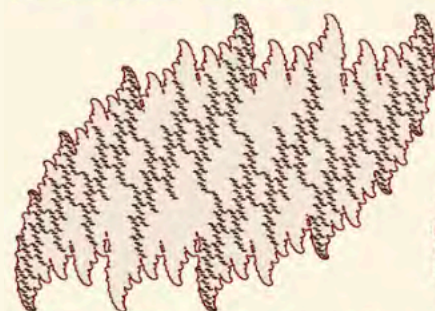
$c = 1.095 + i 0.975$      $\dim_H \approx 1.813$



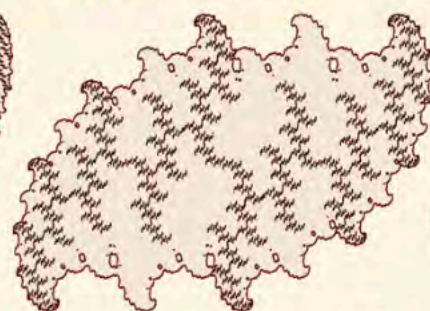
$c = 0.735 + i 1.316$      $\dim_H \approx 1.690$



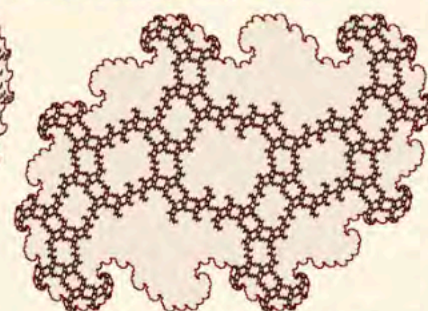
$c = 0.406 + i 1.373$      $\dim_H \approx 1.933$



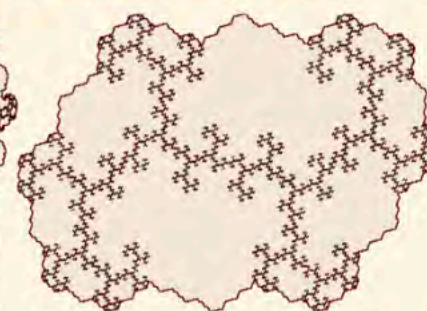
$c = 1.476 + i 0.452$      $\dim_H \approx 1.598$



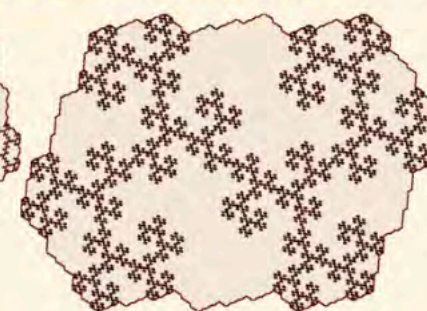
$c = 1.423 + i 0.639$      $\dim_H \approx 1.560$



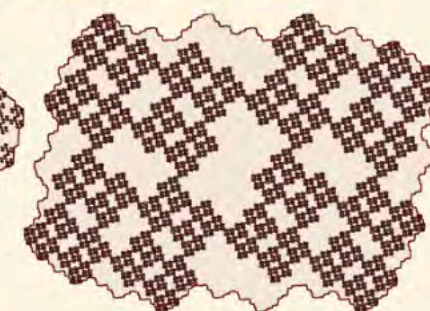
$c = 1.226 + i 0.918$      $\dim_H \approx 1.627$



$c = 0.939 + i 1.264$      $\dim_H \approx 1.528$

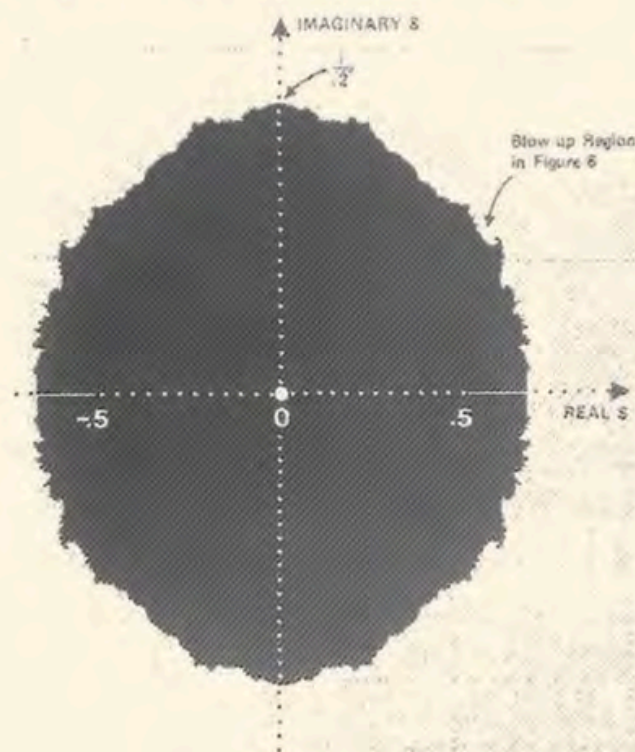


$c = 0.680 + i 1.371$      $\dim_H \approx 1.630$



$c = 0.232 + i 1.471$      $\dim_H \approx 1.742$



Fig. 5. The Mandelbrot set  $D$  is approximated in black. See text.Fig. 6. Blow up of part of  $D$ , where  $0.49 \leq \operatorname{Re} s \leq 0.55$  and  $0.35 \leq \operatorname{Im} s \leq 0.45$ .

In 1985, Michael Barnsley and Andrew Harrington introduced the Mandelbrot set  $\mathcal{M}_2$  for 2-gon fractals  $\mathbf{E}(c, 2)$  as an analog of the Mandelbrot set for quadratic polynomials. Thirty years later, Danny Calegari, Sarah Koch, and Alden Walker (Section 6 in *Roots, Schottky semigroups, and a proof of Bandt's conjecture*, Ergod. Th. Dynam. Sys. 37, no. 8 (2017), 2487-2555.) briefly investigated the set of differences of  $\mathbf{E}(c, 2)$ ,  $\mathbf{E}(c, 3)$ ,  $\mathbf{E}(c, 5)$ ,  $\mathbf{E}(c, 9)$ , ...,  $\mathbf{E}(c, 2^k + 1)$ .

### Lemma

The set of differences between points in  $\mathbf{E}(c, 2^k + 1)$  is

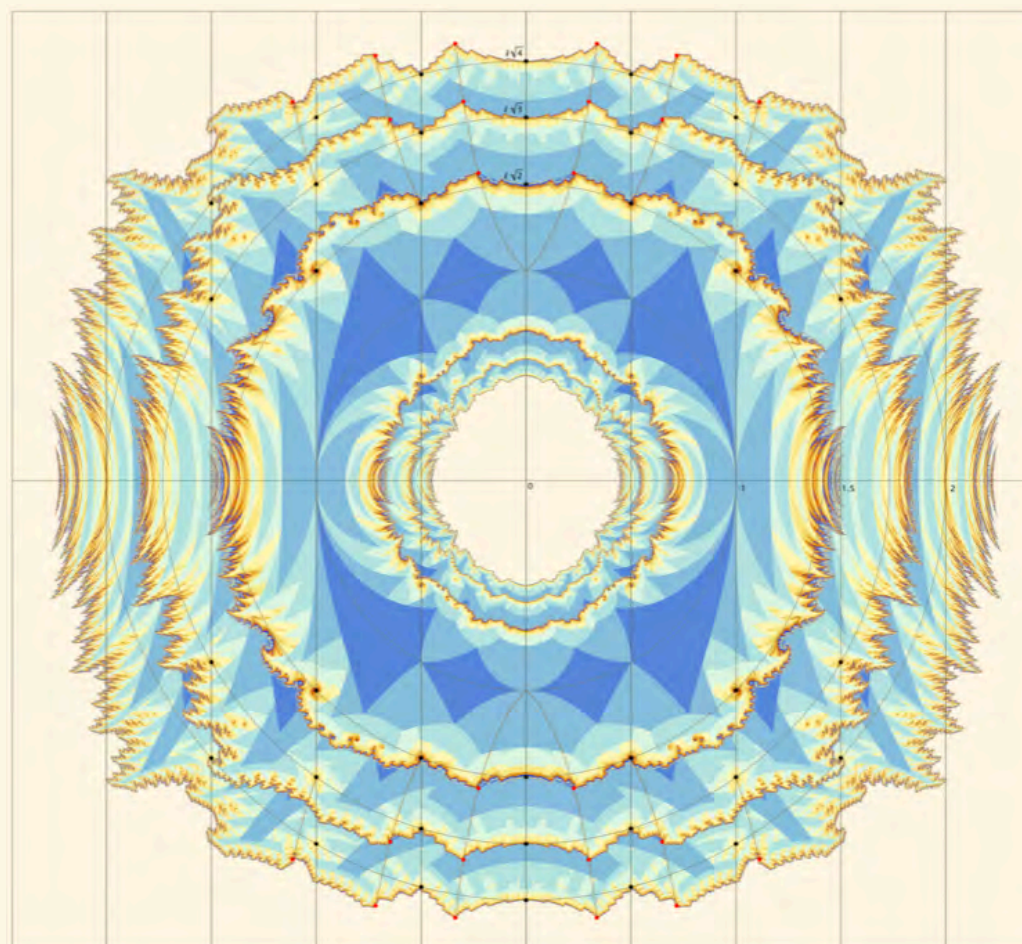
$$\mathbf{E}(c, 2^{k+1} + 1) = \{q_1 - q_2 : q_1, q_2 \in \mathbf{E}(c, 2^k + 1)\}.$$

### Proposition

$$\mathcal{M}_{2^k+1} = \left\{ c^{-1} \in \mathbb{D}^* : 2c \in \mathbf{E}(c, 2^{k+1} + 1) \right\}.$$

**What sequences of sets arise as iterated differences? What properties do these iterated IFS have?**

**-Calegari-Koch-Walker**



Our main contributions: combinatorial code structure, inner stability, components for any  $n > 1$ , collinear tiles, structure theorem for the boundary of  $\mathcal{M}_n$

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