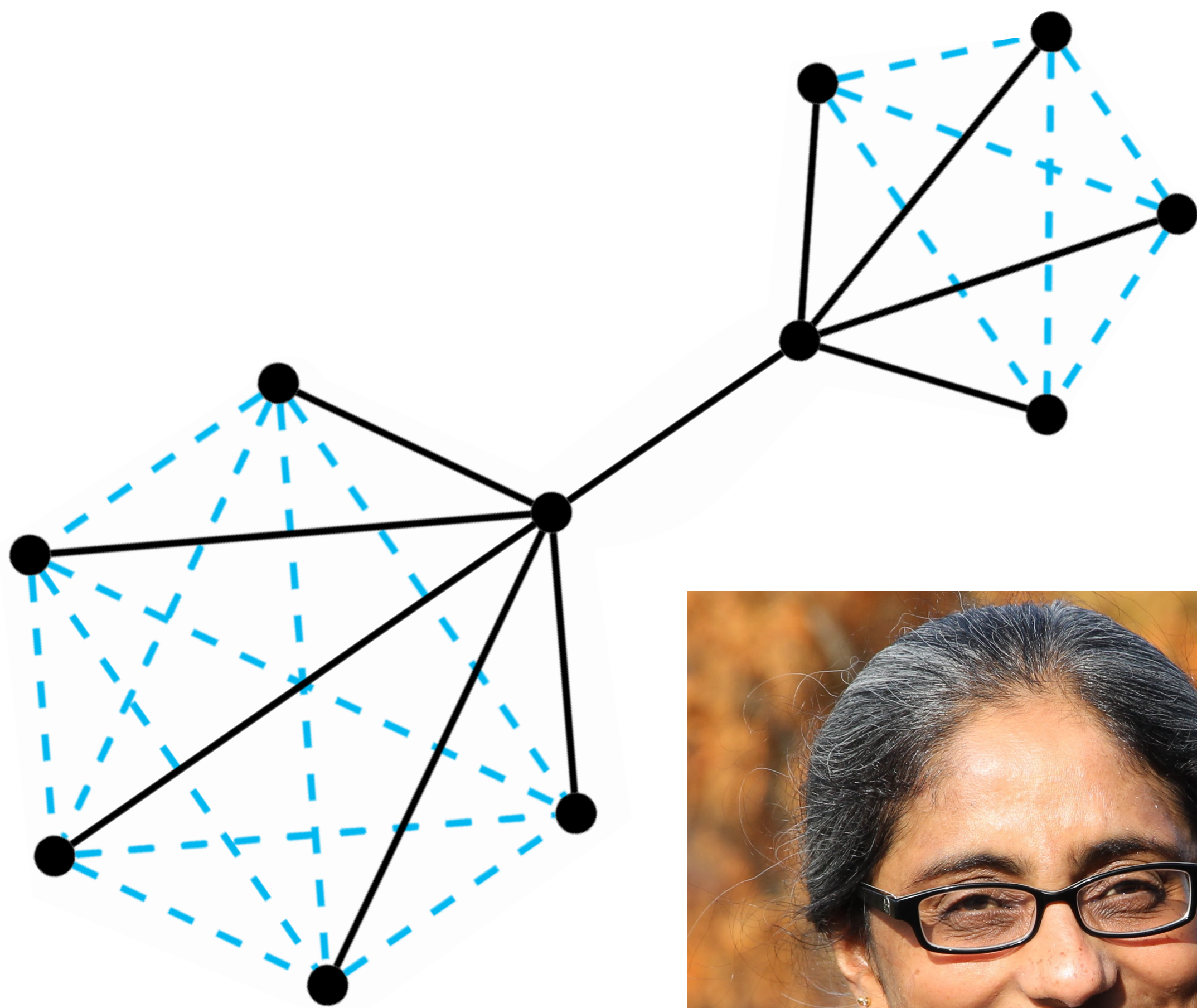


Spectrahedral Geometry of Graph Sparsifiers



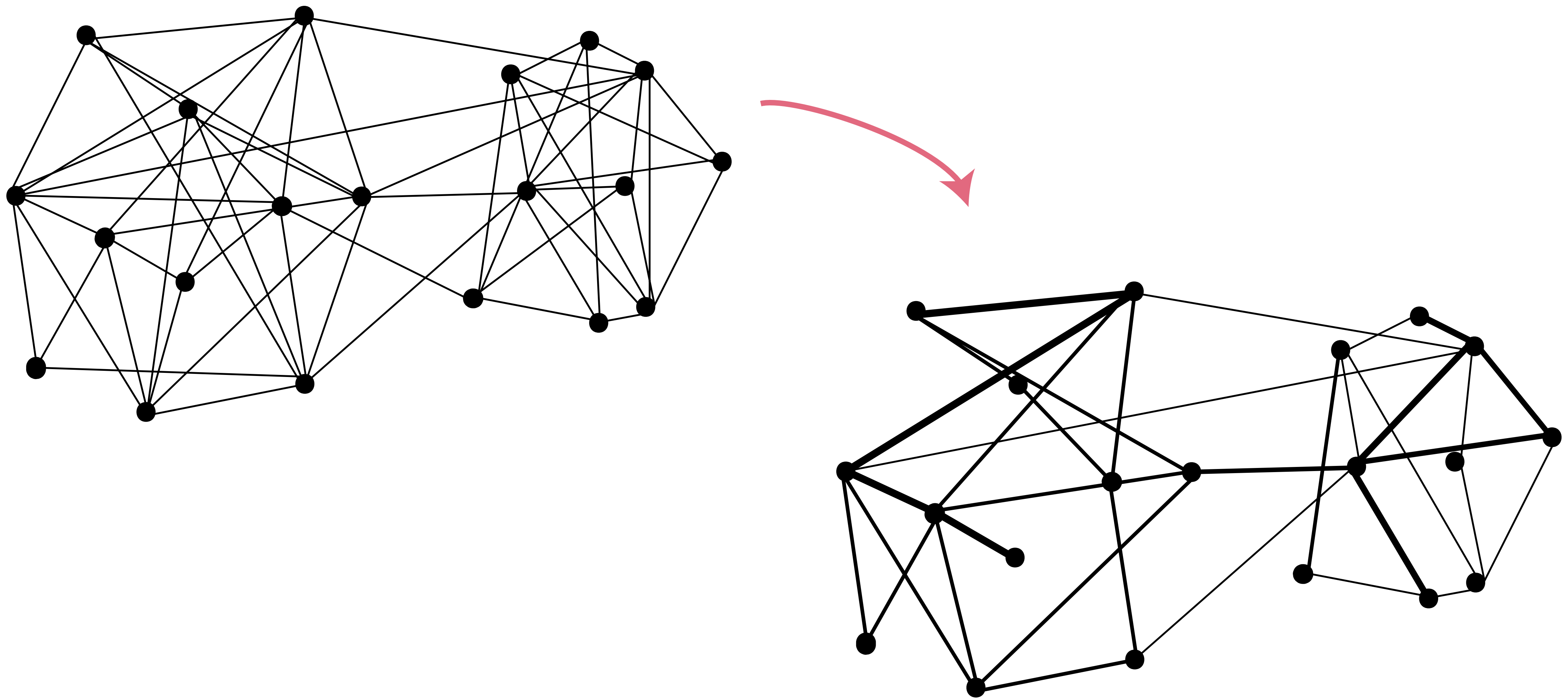
Catherine Babecki
California Institute of Technology
Joint with Stefan Steinerberger
and Rekha Thomas

What is graph sparsification?

Given a (weighted) graph $G = (V, E, w)$, find a graph $\tilde{G} = (V, \tilde{E}, \tilde{w})$ which captures the 'essence' of G but has *much* fewer edges.

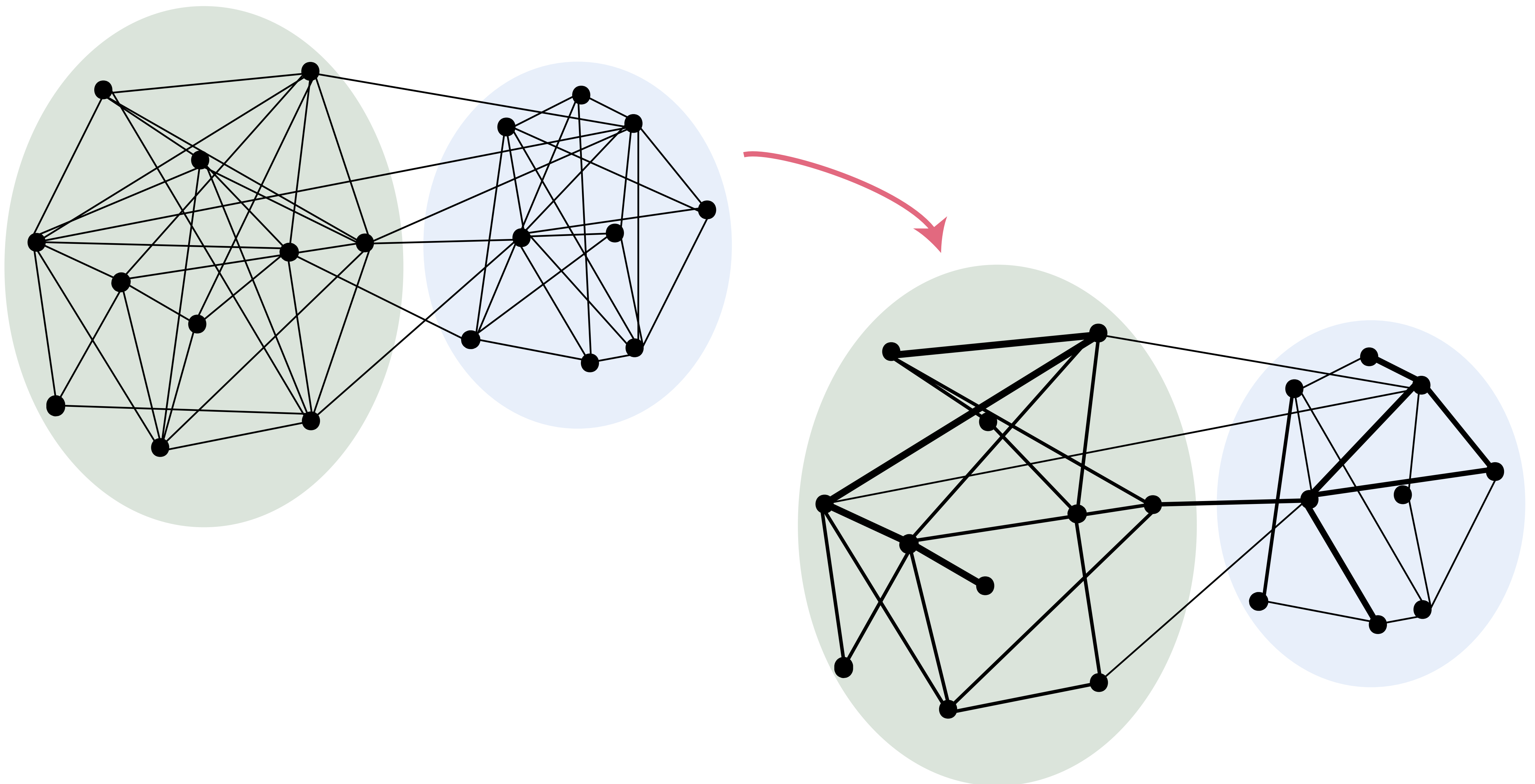
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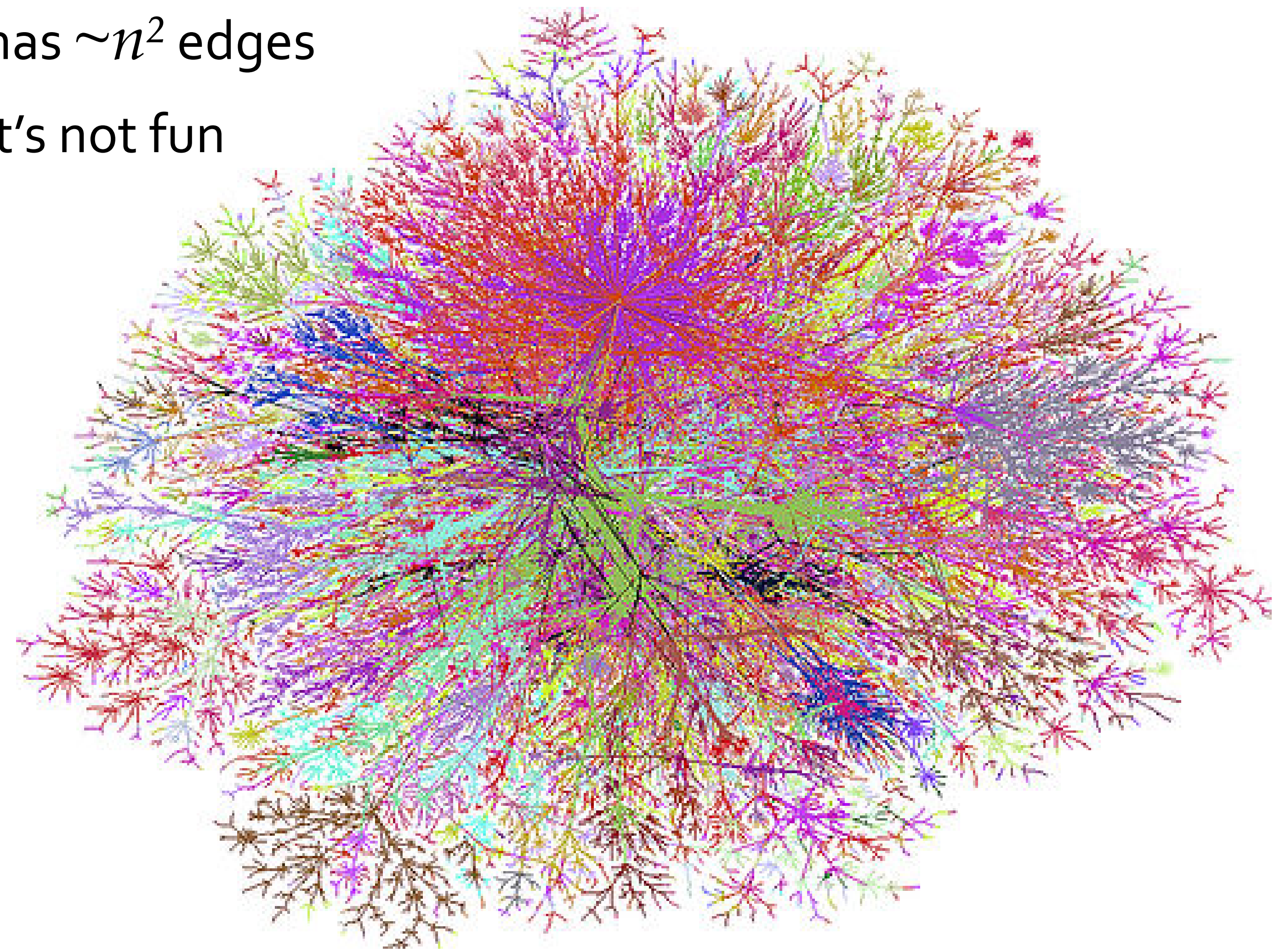
Why bother?

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Data is too dang big!!

A dense graph has $\sim n^2$ edges

If n is huge, that's not fun



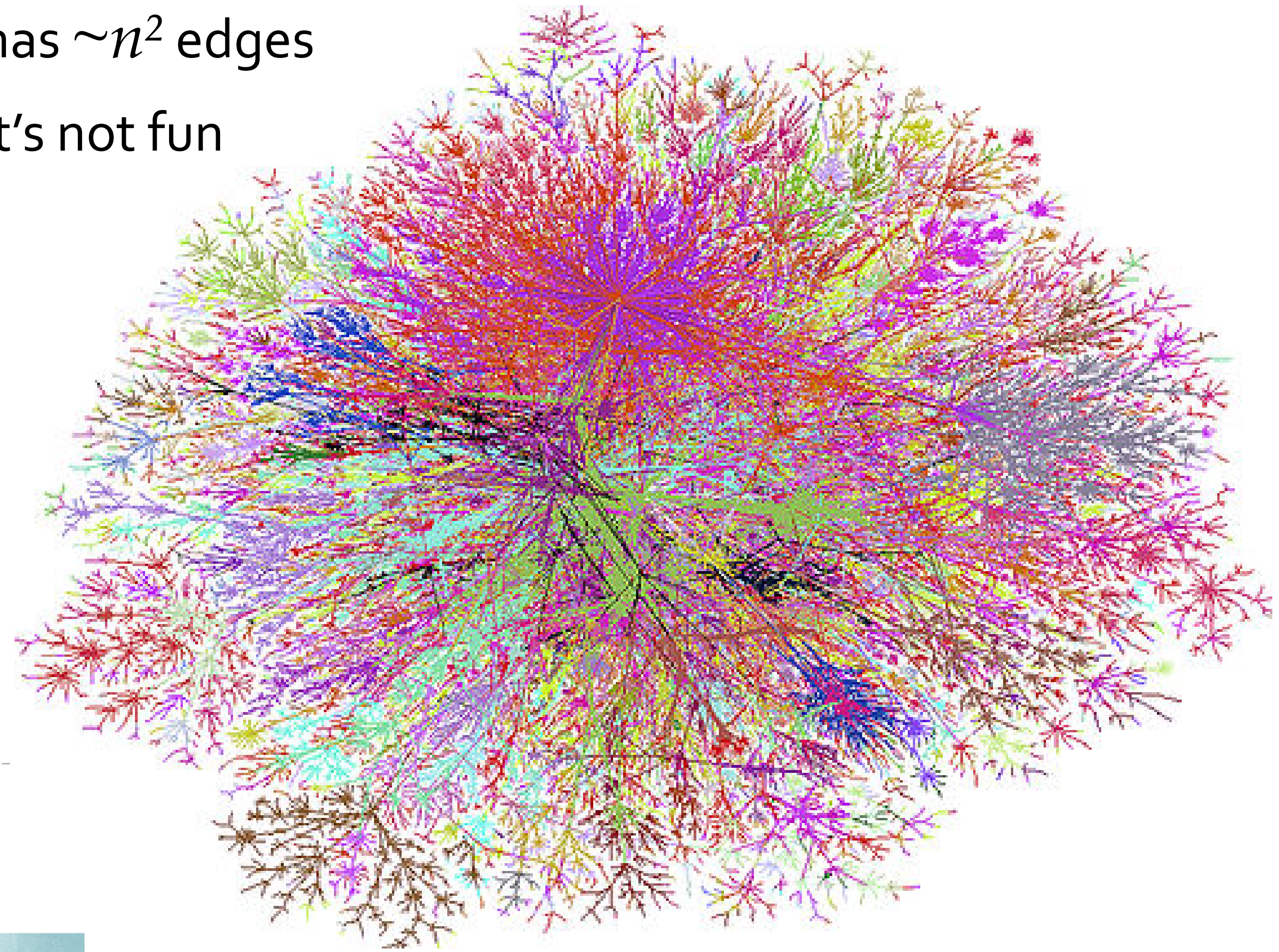
"Internet map 2004." From Math Insight. http://mathinsight.org/image/internet_map_jurvetson_2004

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Erica Klarreich

Contributing
Correspondent

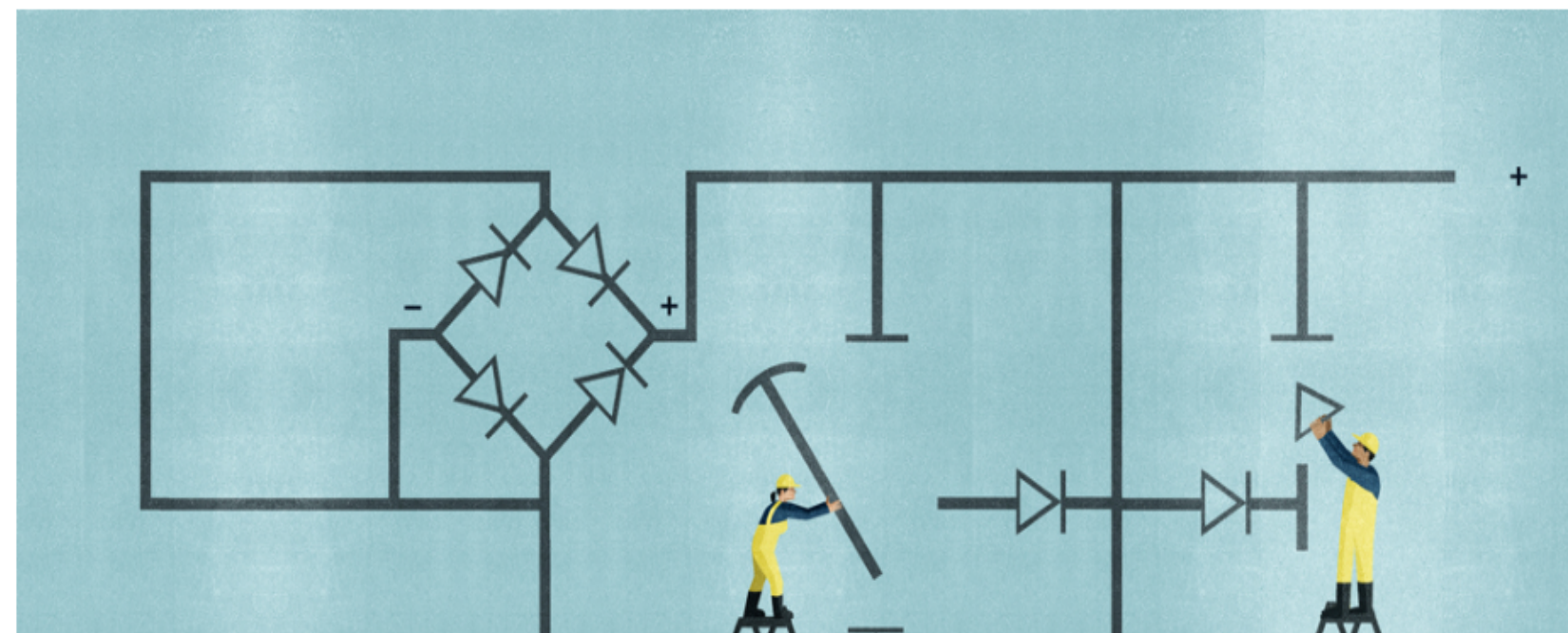
November 24, 2015

MATHEMATICS

'Outsiders' Crack 50-Year-Old Math Problem

Three computer scientists have solved a problem central to a dozen far-flung mathematical fields.

20 |



Research is nonlinear

VIEW PDF/PRINT MODE

- computer science
- mathematics networks
- physics polynomials
- quantum physics
- traveling salesperson problem

All topics

'classical' graph sparsification

What is a graph Laplacian?

Definition: The Laplacian L of a graph $G = ([n], E, w)$ is the matrix $L = D - A$, where

$A \in \mathbb{R}^{n \times n}$ is the weighted adjacency matrix $A_{ij} = w(ij)$ if $ij \in E$,

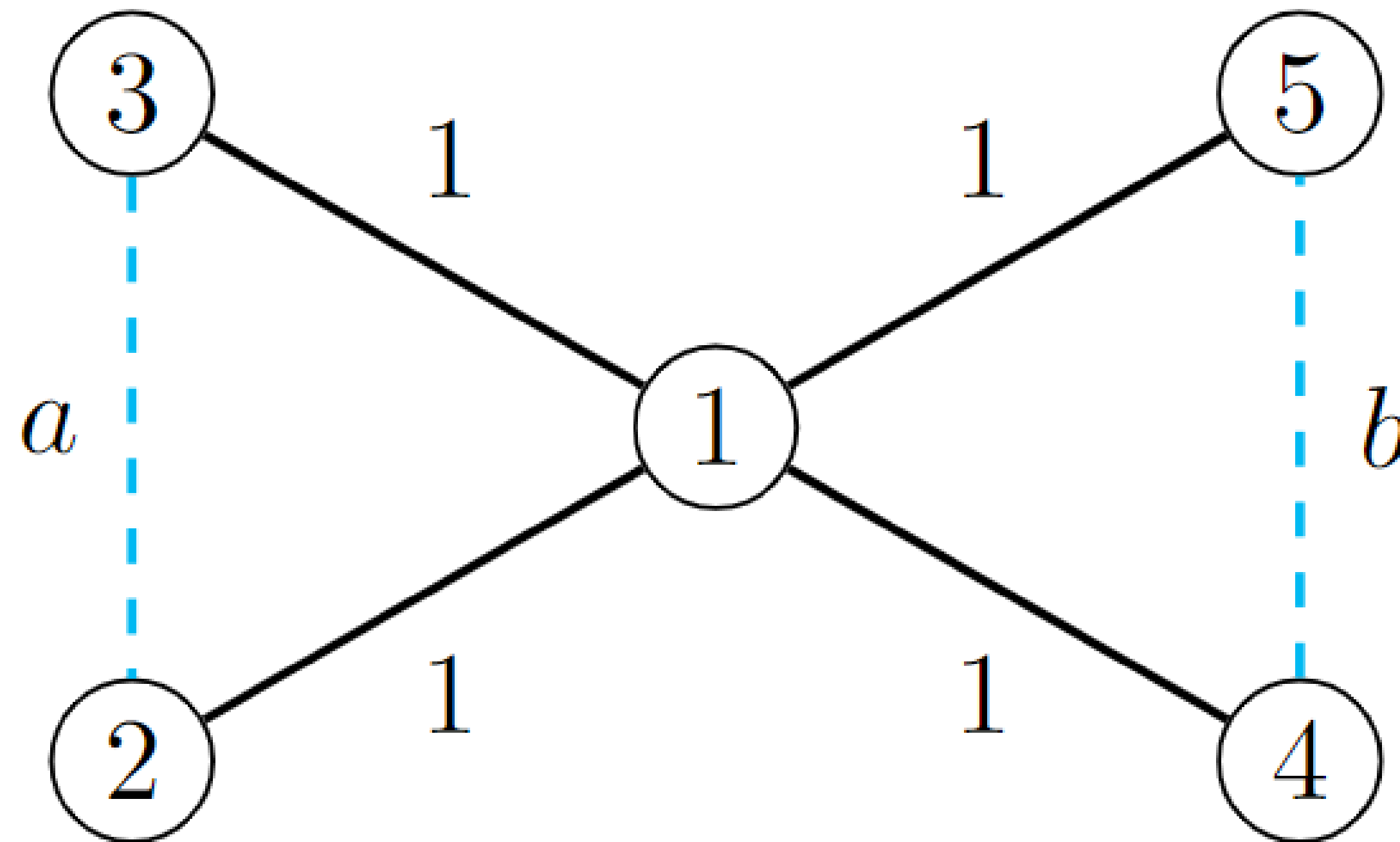
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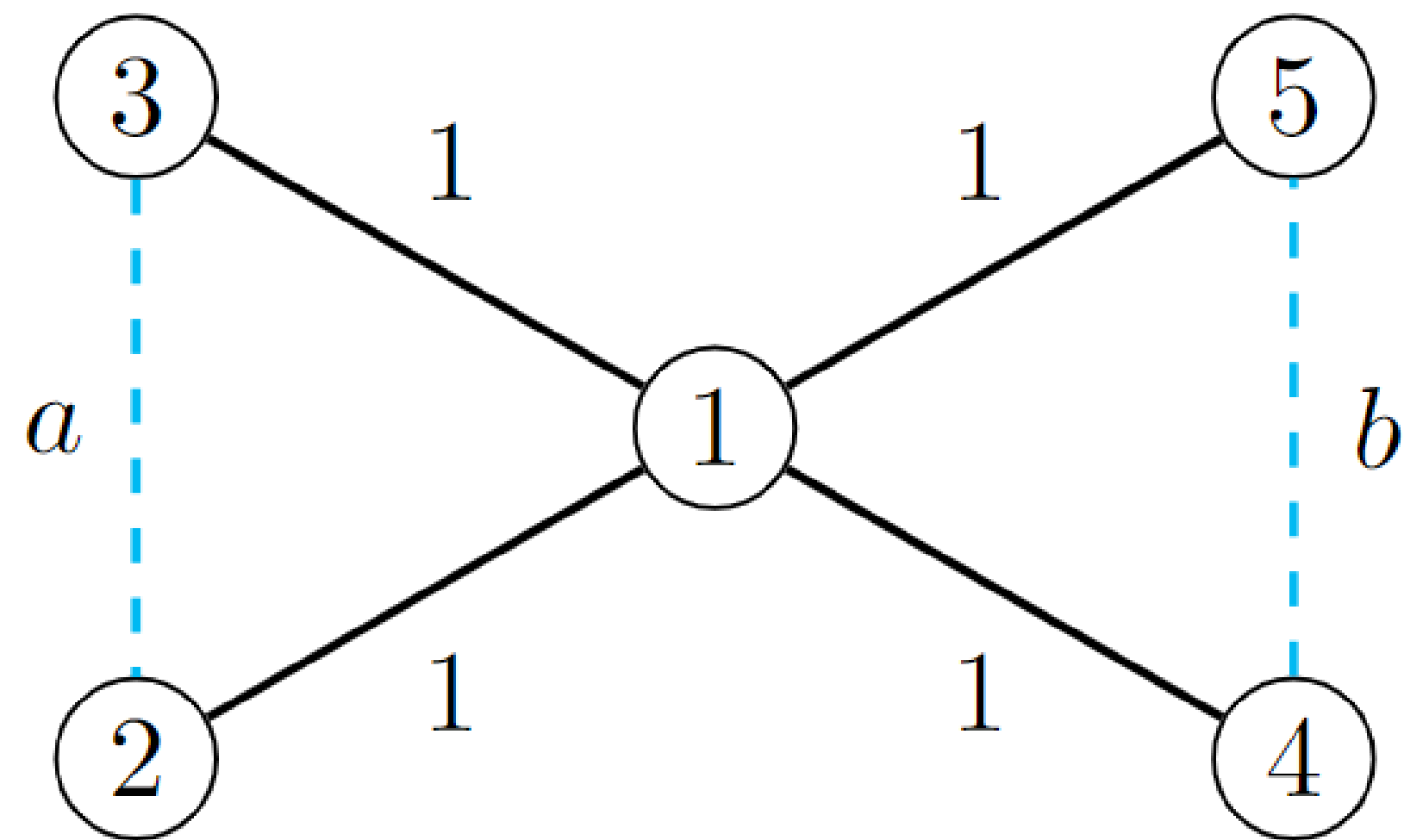


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$$L = \begin{bmatrix} 4 & -1 & -1 & -1 & -1 \\ - & 1+a & -a & 0 & 0 \\ - & - & 1+a & 0 & 0 \\ - & - & - & 1+b & -b \\ - & - & - & - & 1+b \end{bmatrix}$$

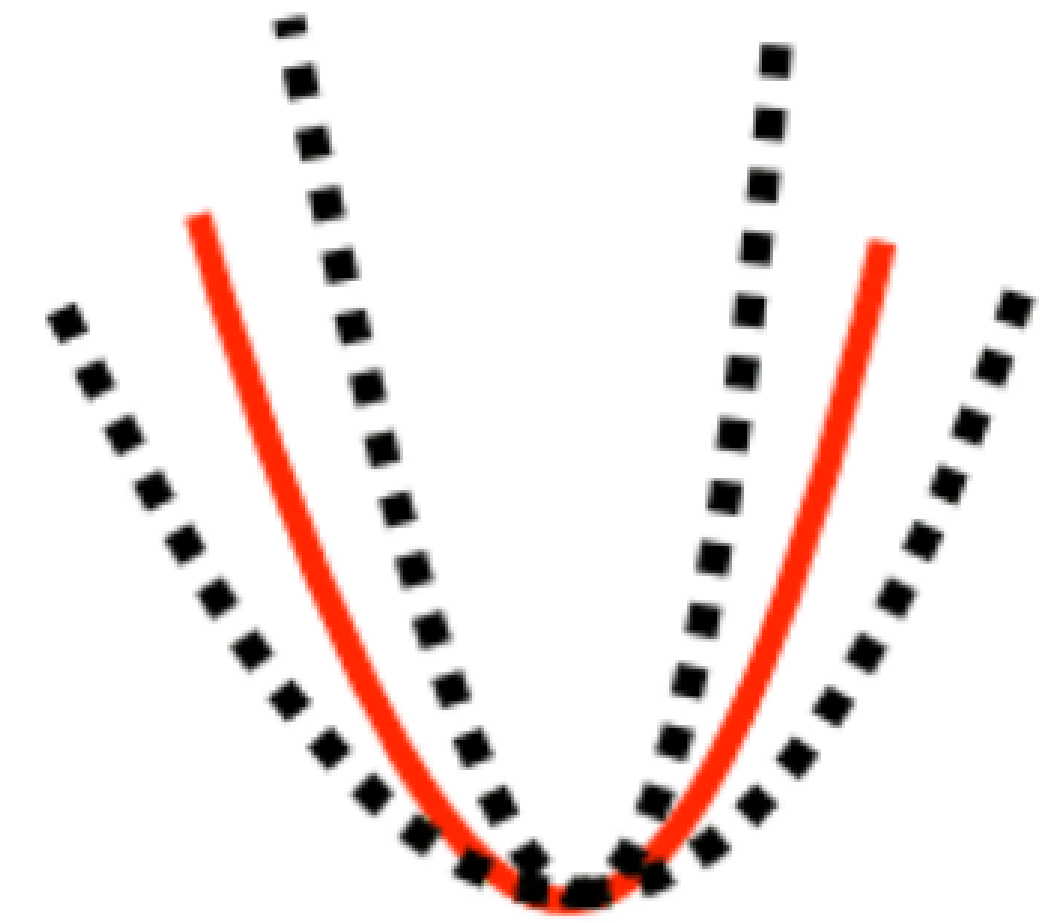
Definition: The quadratic form induced by L is

$$Q_G(x) = x^\top Lx = \sum_{ij \in E} w(ij)(x_i - x_j)^2$$

Spectral Sparsification

Definition: A graph $\tilde{G} = (V, \tilde{E}, \tilde{w})$ is an ε -sparsifier of $G = (V, E, w)$ if for all $x \in \mathbb{R}^n$

$$(1 - \varepsilon)Q_G(x) \leq Q_{\tilde{G}}(x) \leq (1 + \varepsilon)Q_G(x)$$



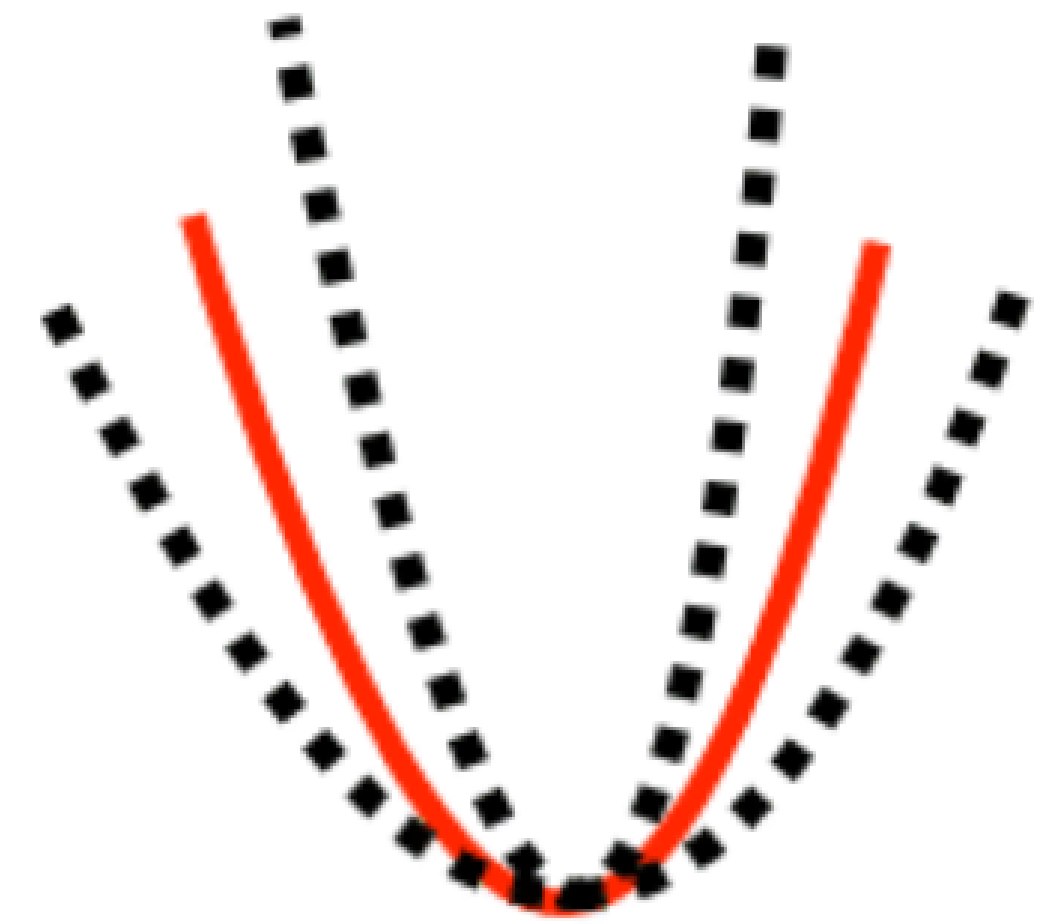
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G and \tilde{G} have similar:

- eigenvalues
- cuts and clustering
- effective resistances



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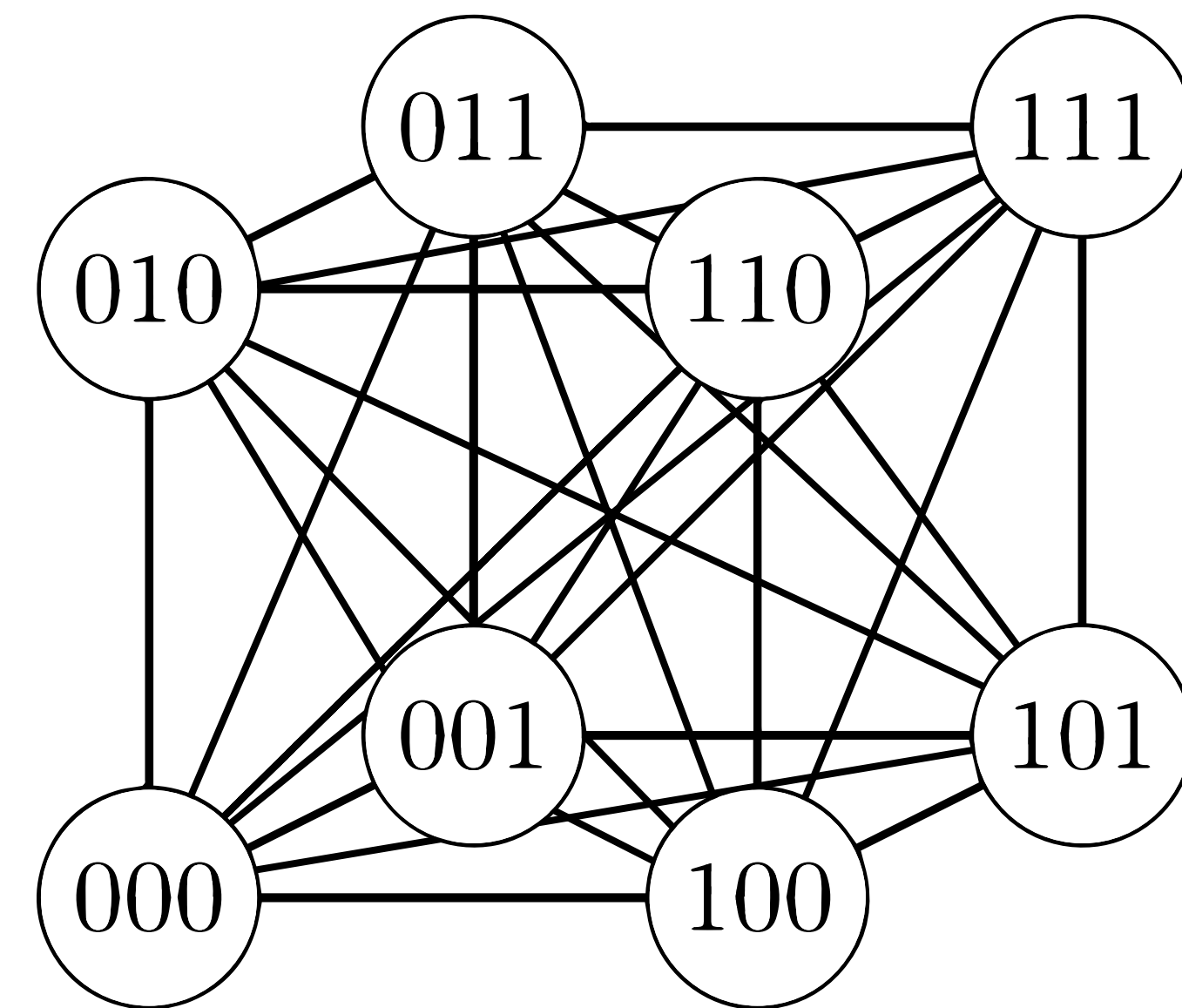
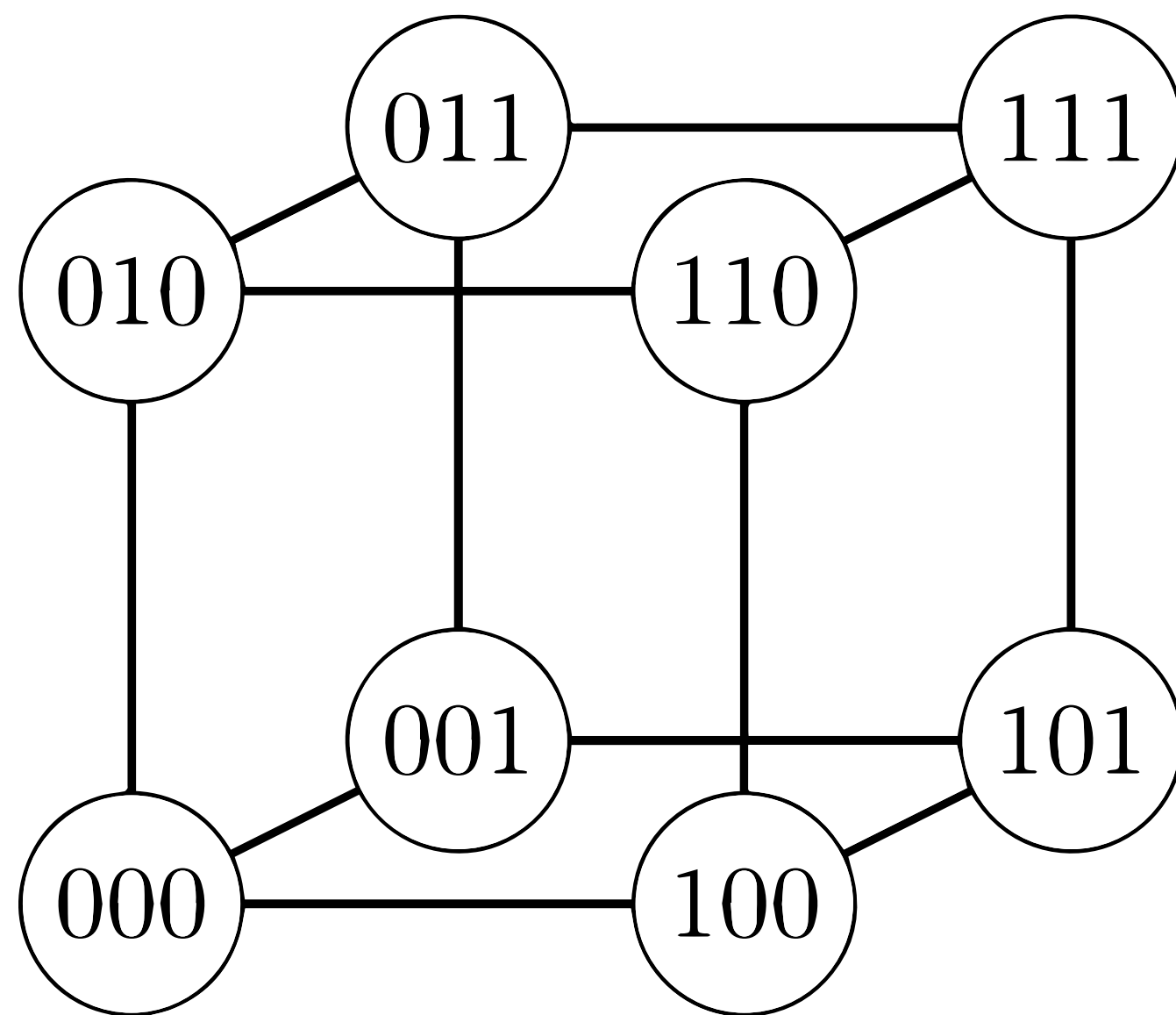
- eigenvalues
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- effective resistances

Theorem: Every graph has a near-optimal spectral sparsifier with $O(|V|)$ edges.

(2010's) Batson, Lee, Marcus, Peng, Spielman, Srivastava, Teng, Trevisan...

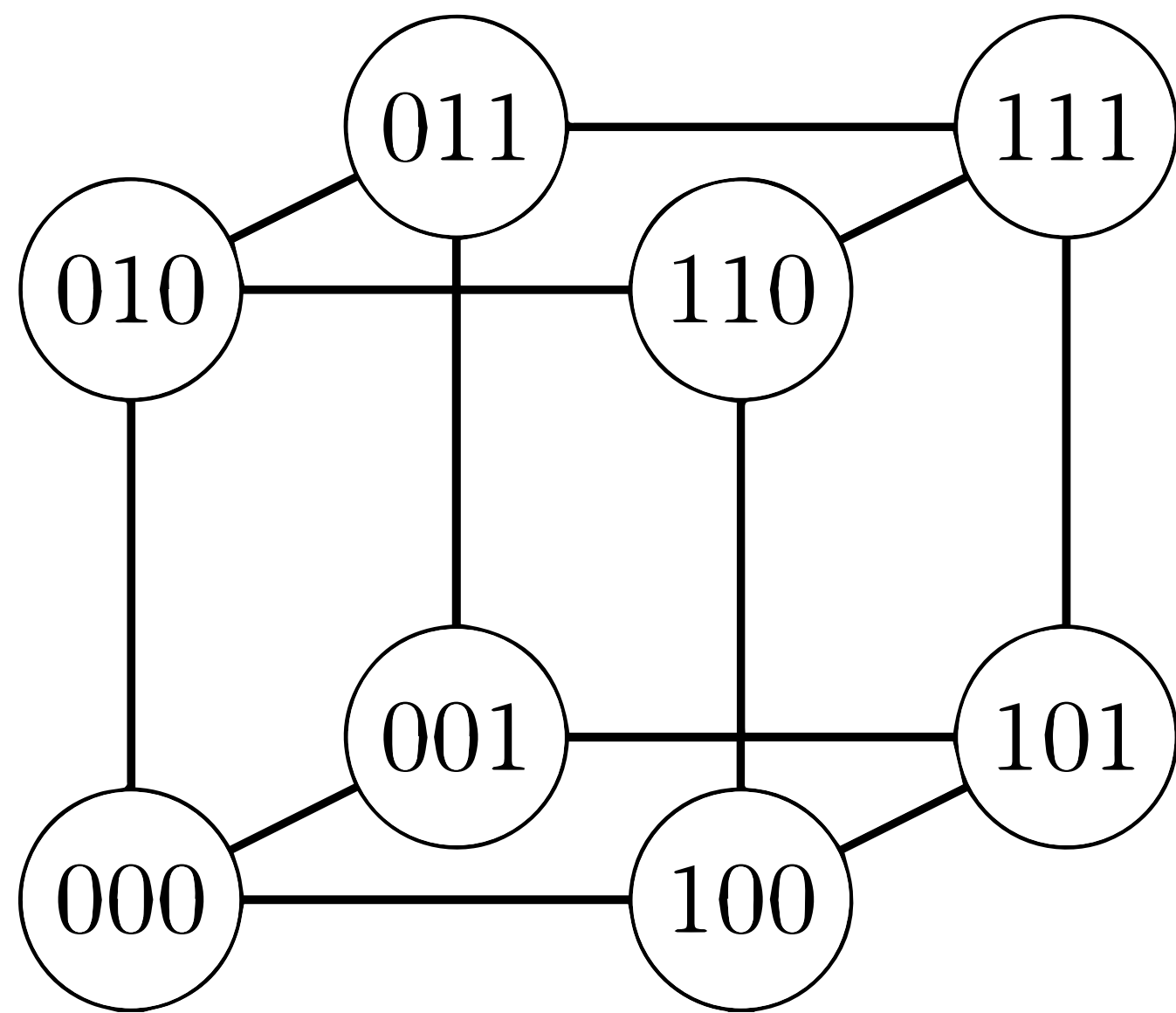
Spectral Sparsification - an example

A rescaled d -dimensional cube is a \sqrt{d} -sparsifier of K_{2^d}



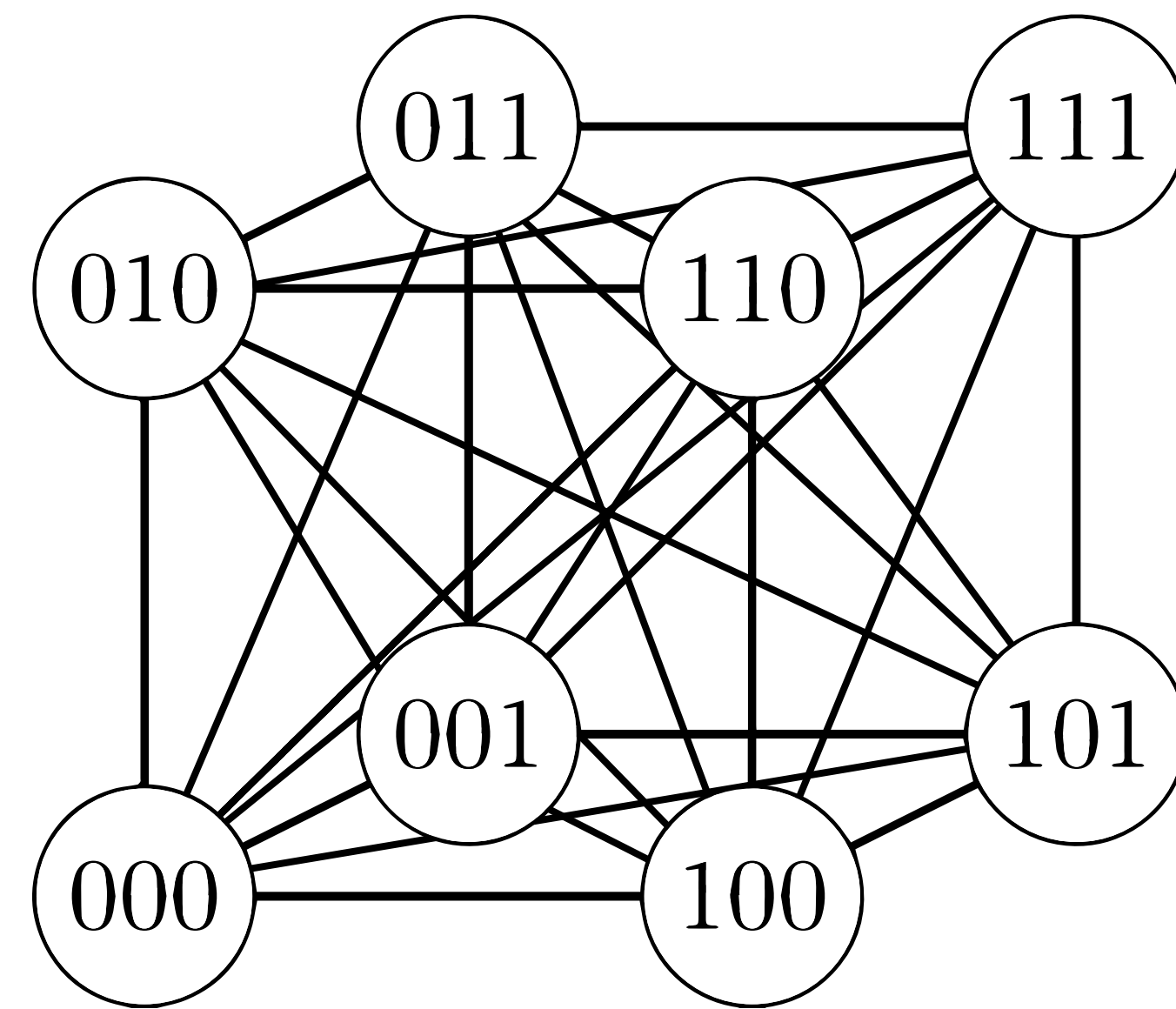
Spectral Sparsification - an example

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d -cube spectrum

$$(2i) \binom{d}{i} \text{ for } i = 0, \dots, d$$



K_n spectrum

$$0^{(1)}, n^{(n-1)}$$

The Laplacian spectrum encodes graph structure

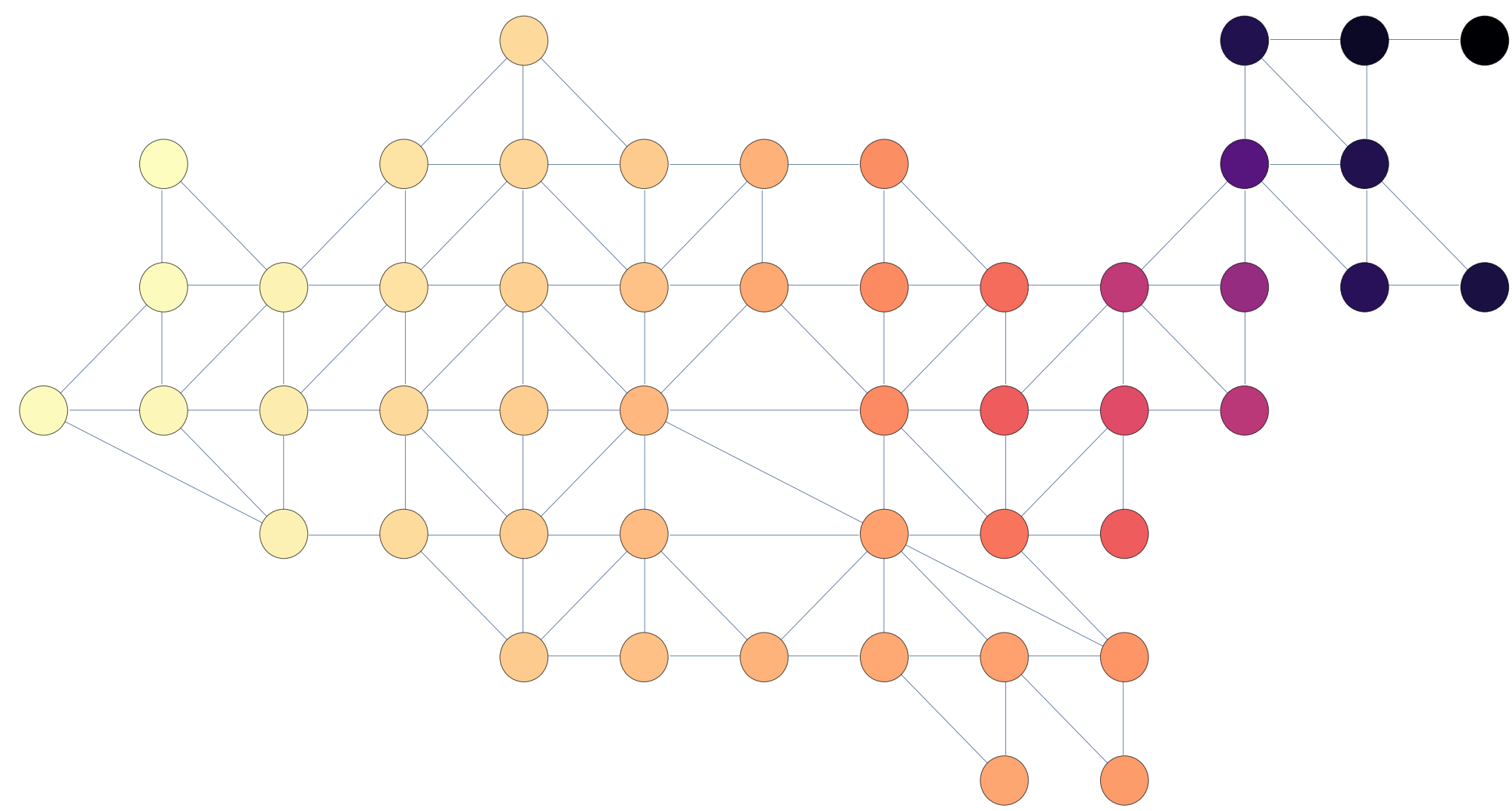
(Low Frequency) eigenvalues and eigenvectors of L :

- connected components
- clustering
- mixing time of random walks
- sparsest cut
- spectral drawings

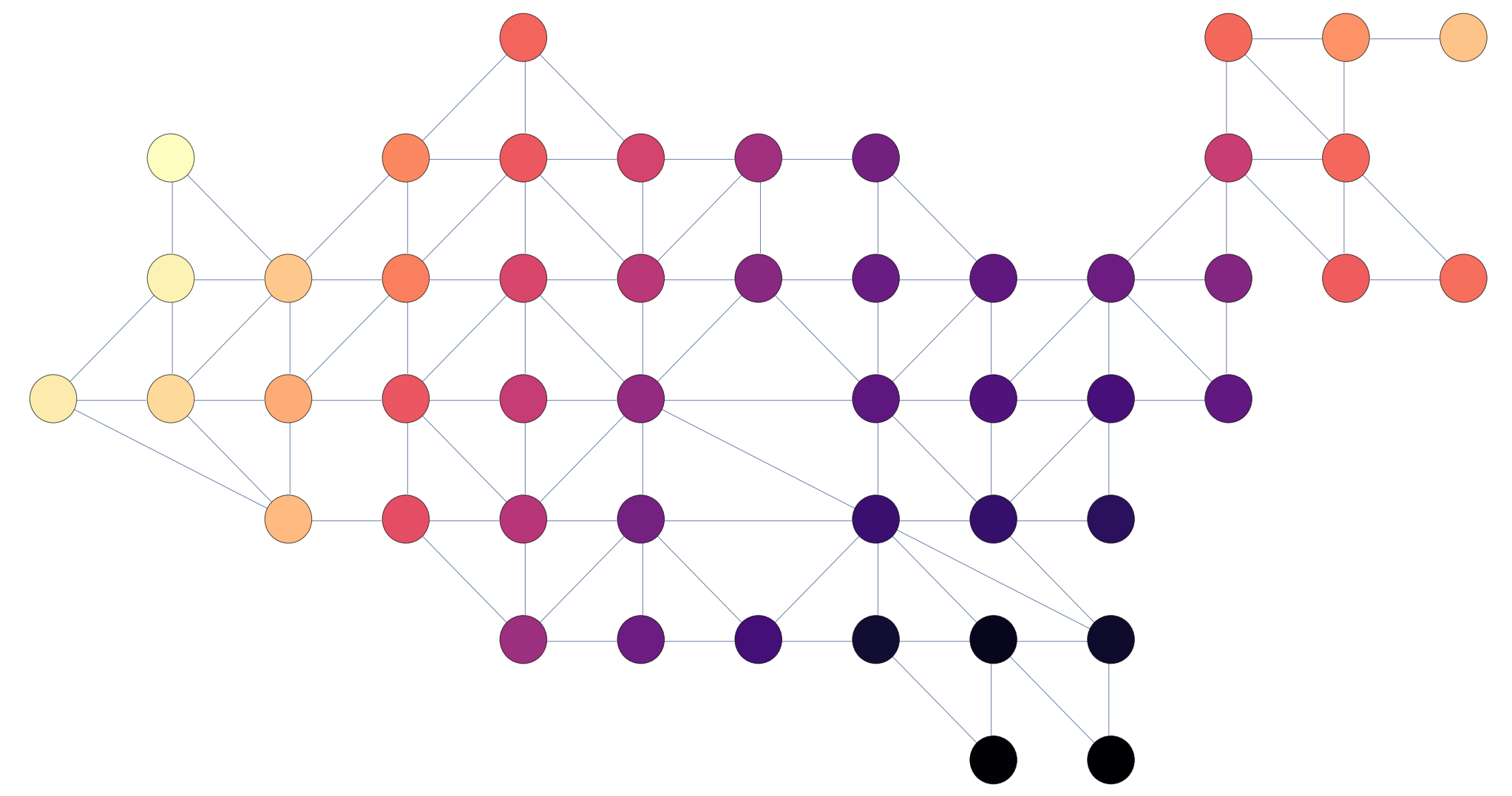
$$0 = \lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n$$

$$L\varphi_i = \lambda_i\varphi_i$$

Low frequency eigenvectors

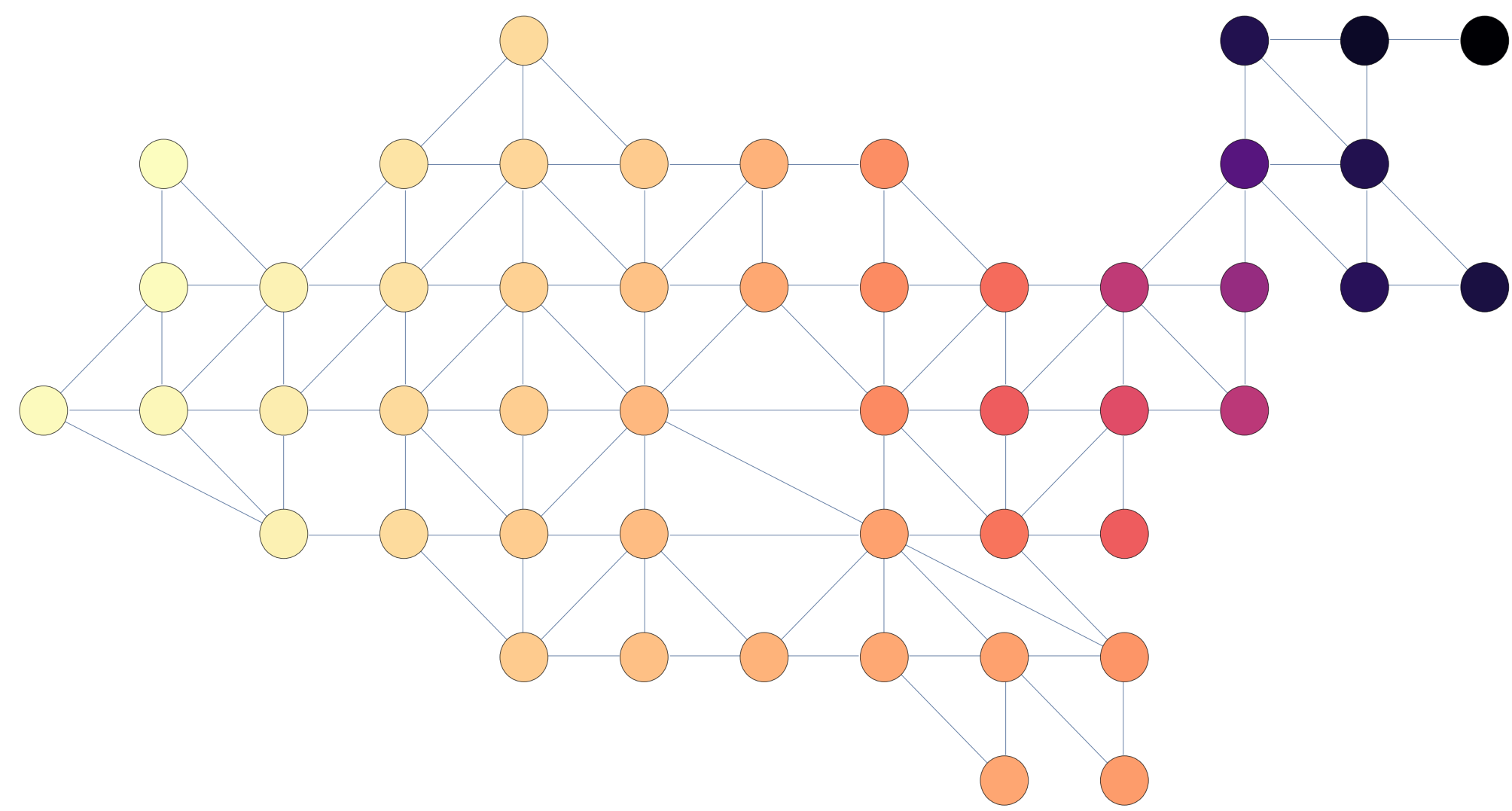


The first eigenvector

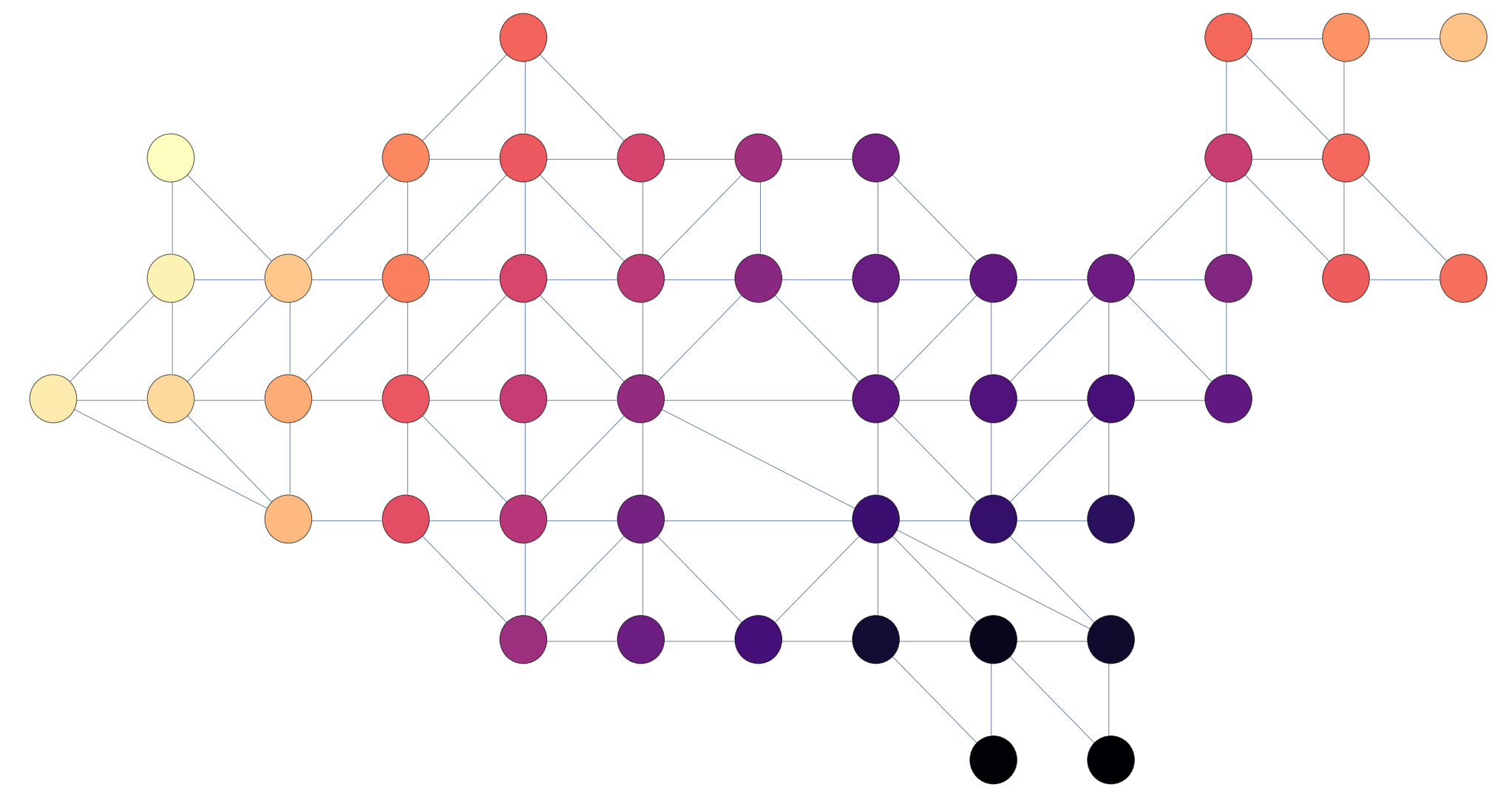


The second eigenvector

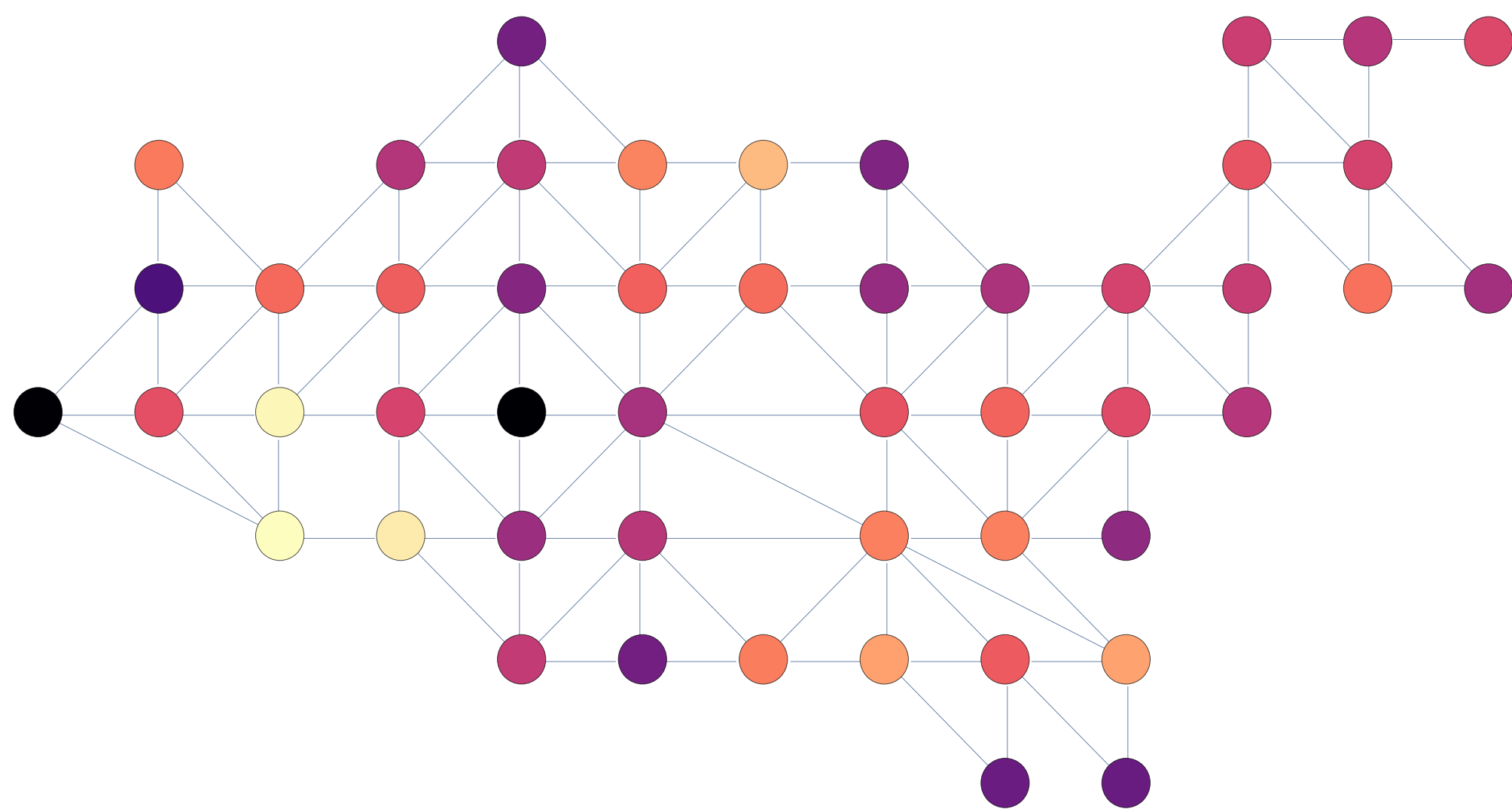
Low frequency eigenvectors



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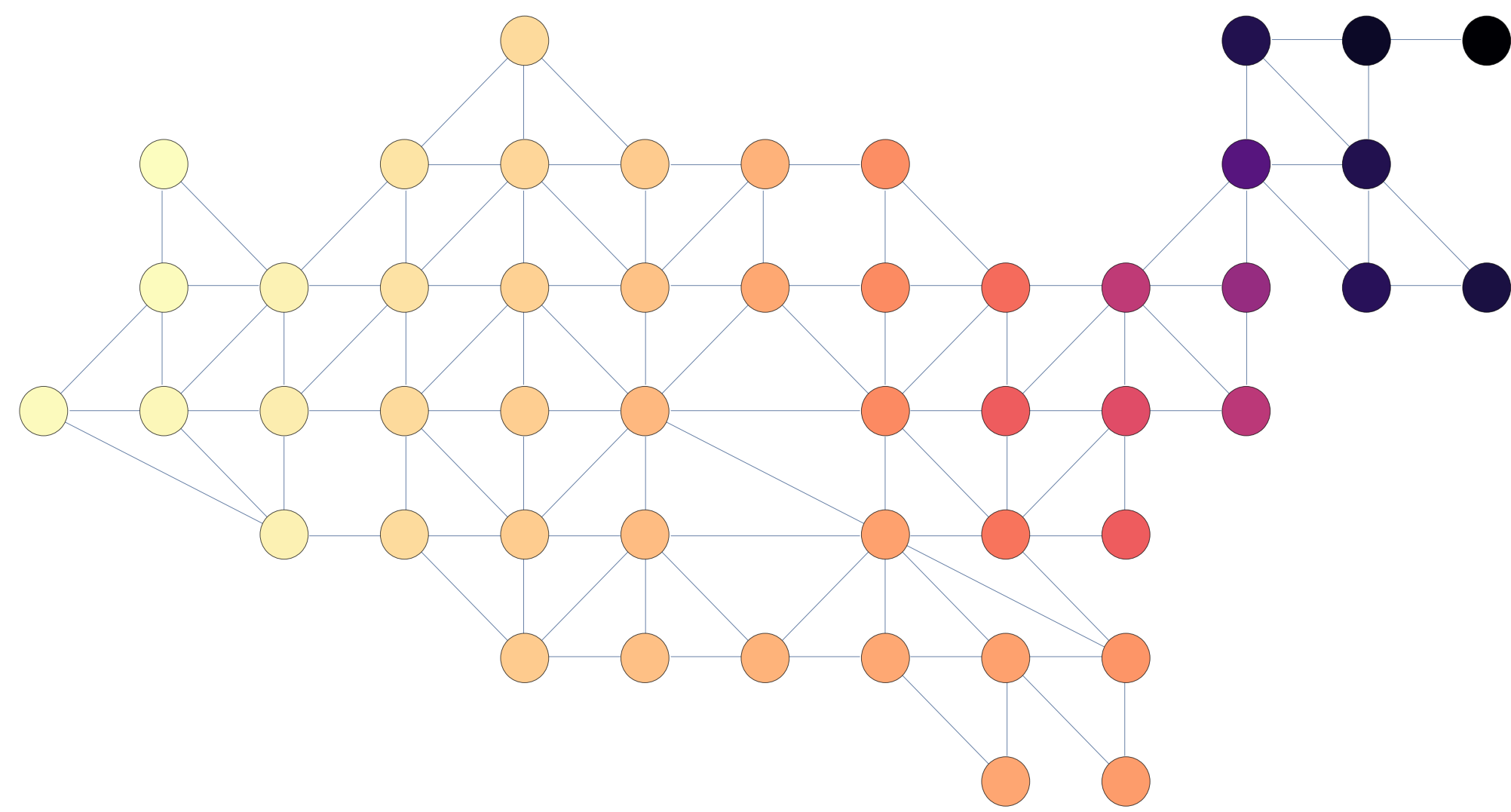


The second eigenvector

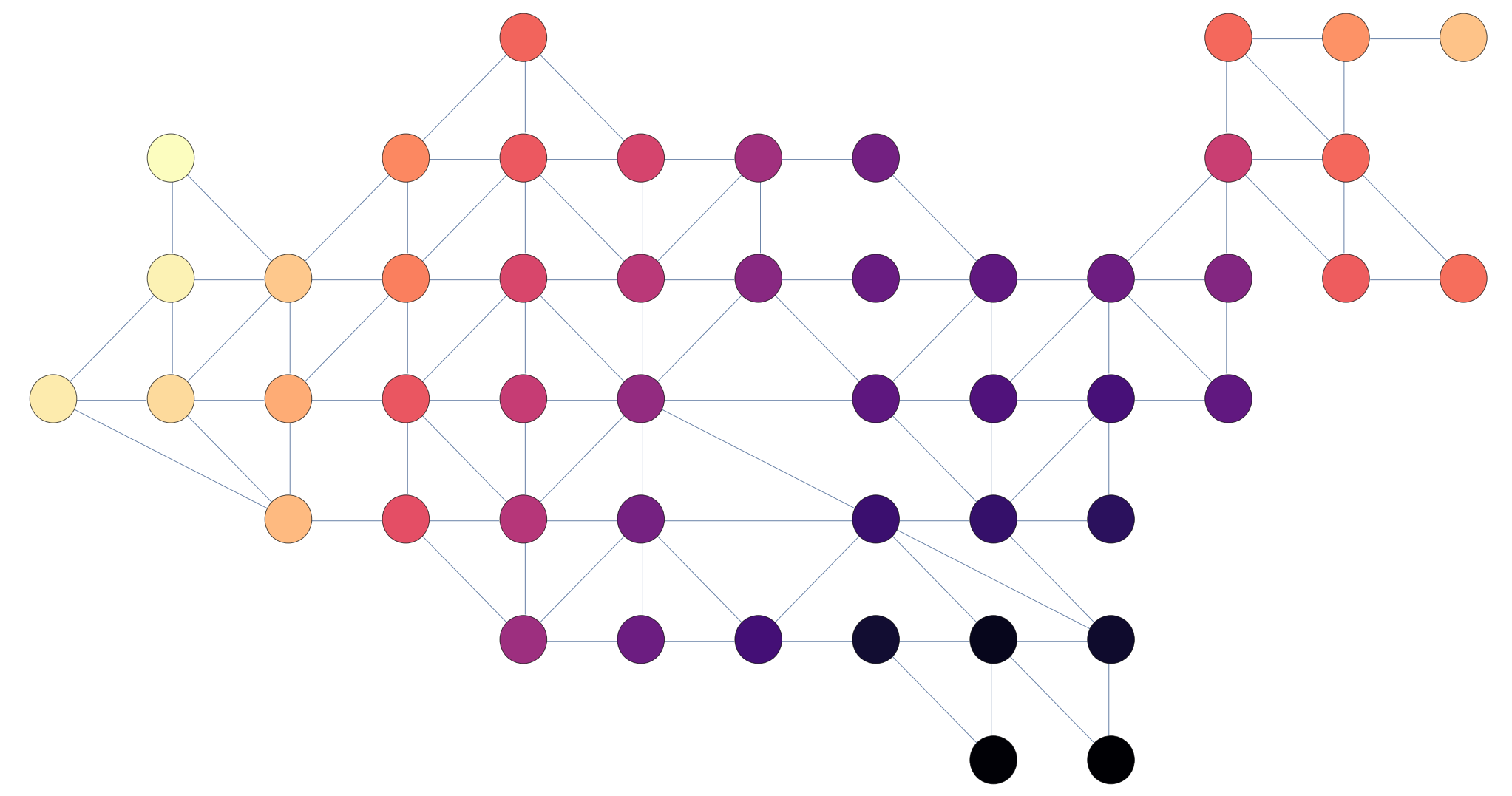


The 18th eigenvector

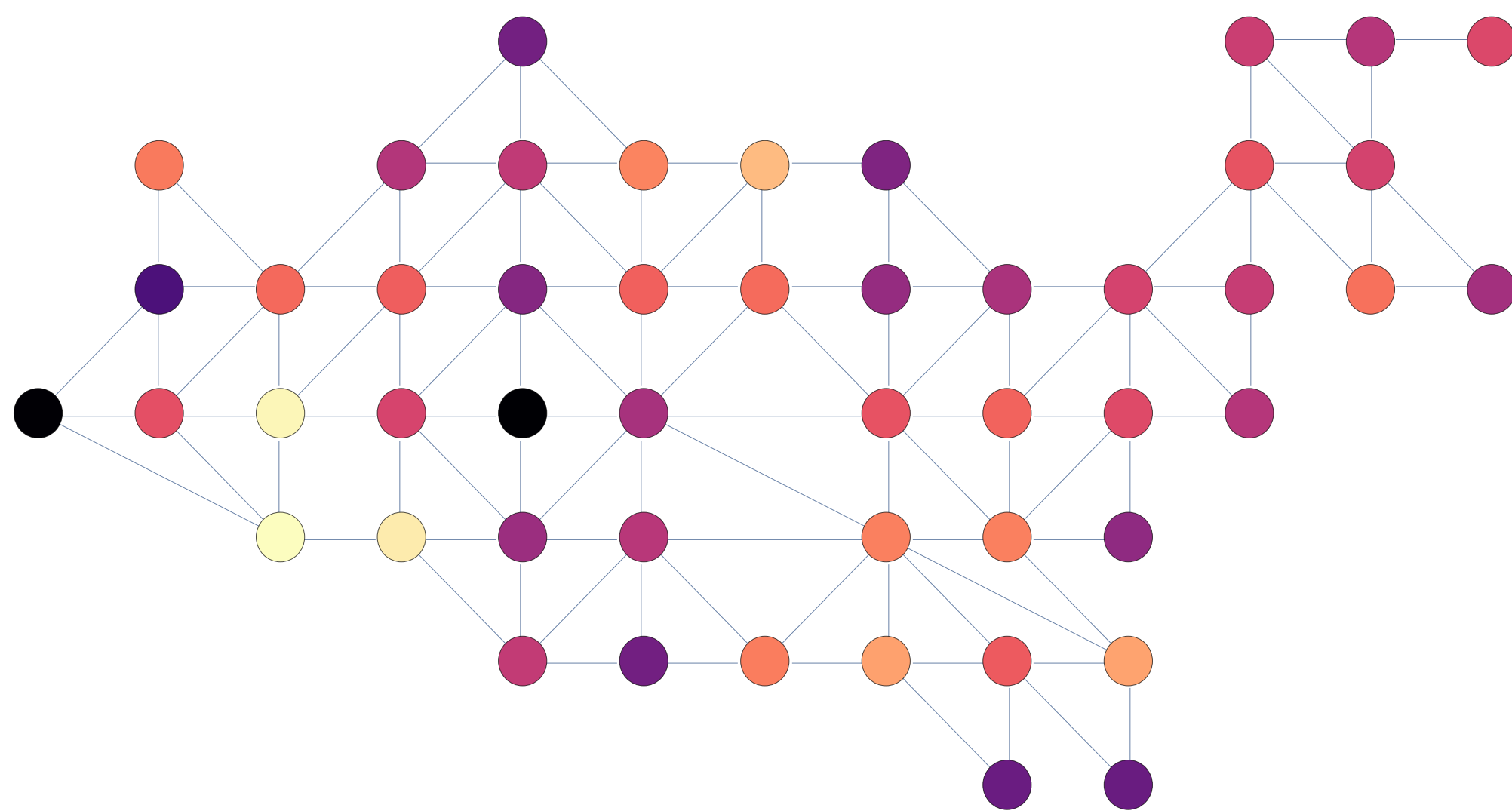
Low frequency eigenvectors



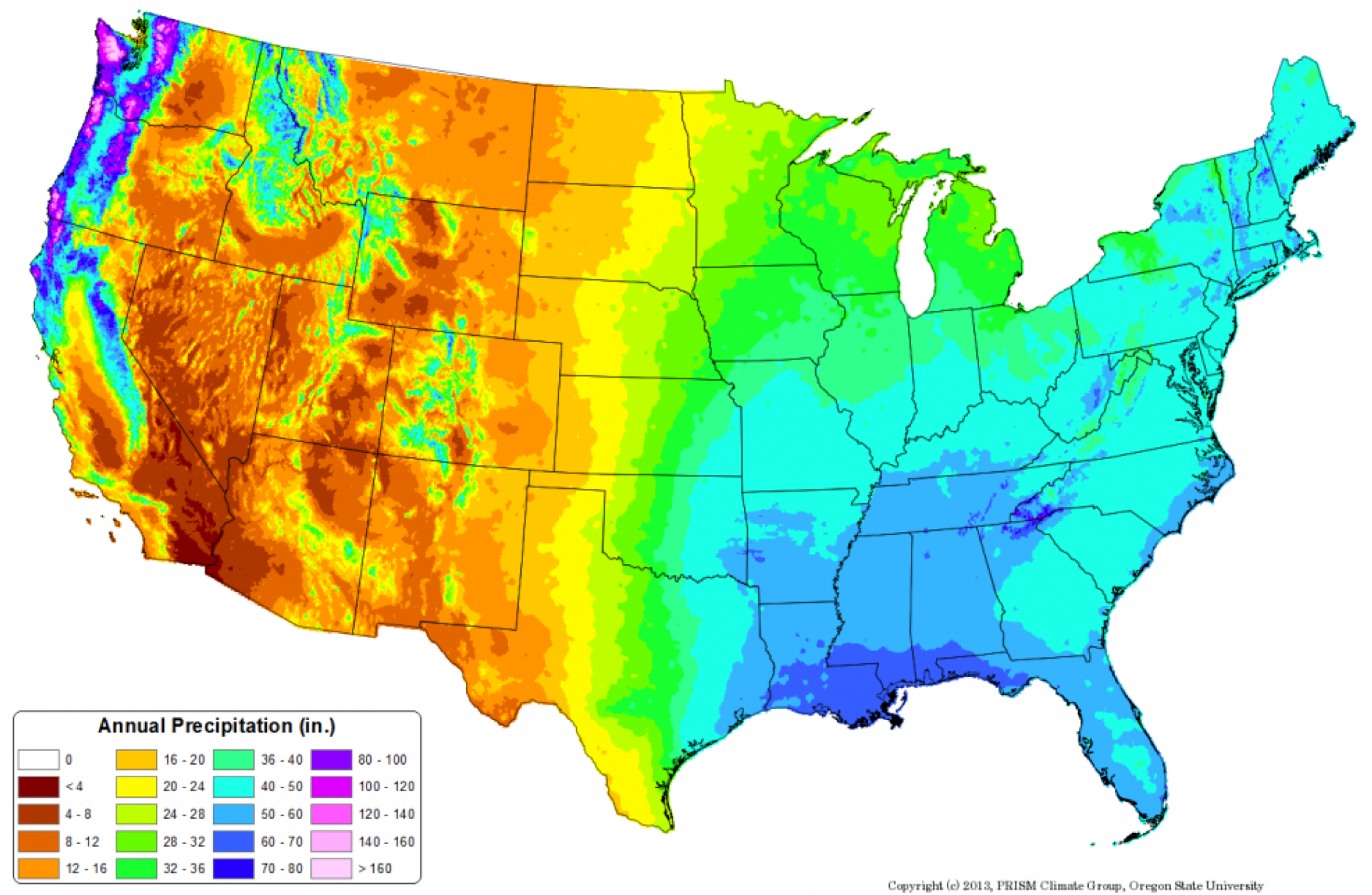
The first eigenvector



The second eigenvector



The 18th eigenvector



Average annual precipitation, 1981-2010

Spectral Graph Theory Heuristic. The low-frequency eigenvalues (and eigenvectors) of L_G capture the global structure of G .

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Spectral Sparsification Heuristic. A sparsification of G should preserve the spectrum of L .

k-sparsifiers

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Definition: A subgraph $\tilde{G} = (V, \tilde{E}, \tilde{w})$ is *k-isospectral* to $G = (V, E, w)$ if they share the same first k eigenvalues and eigenvectors.

k-sparsifiers

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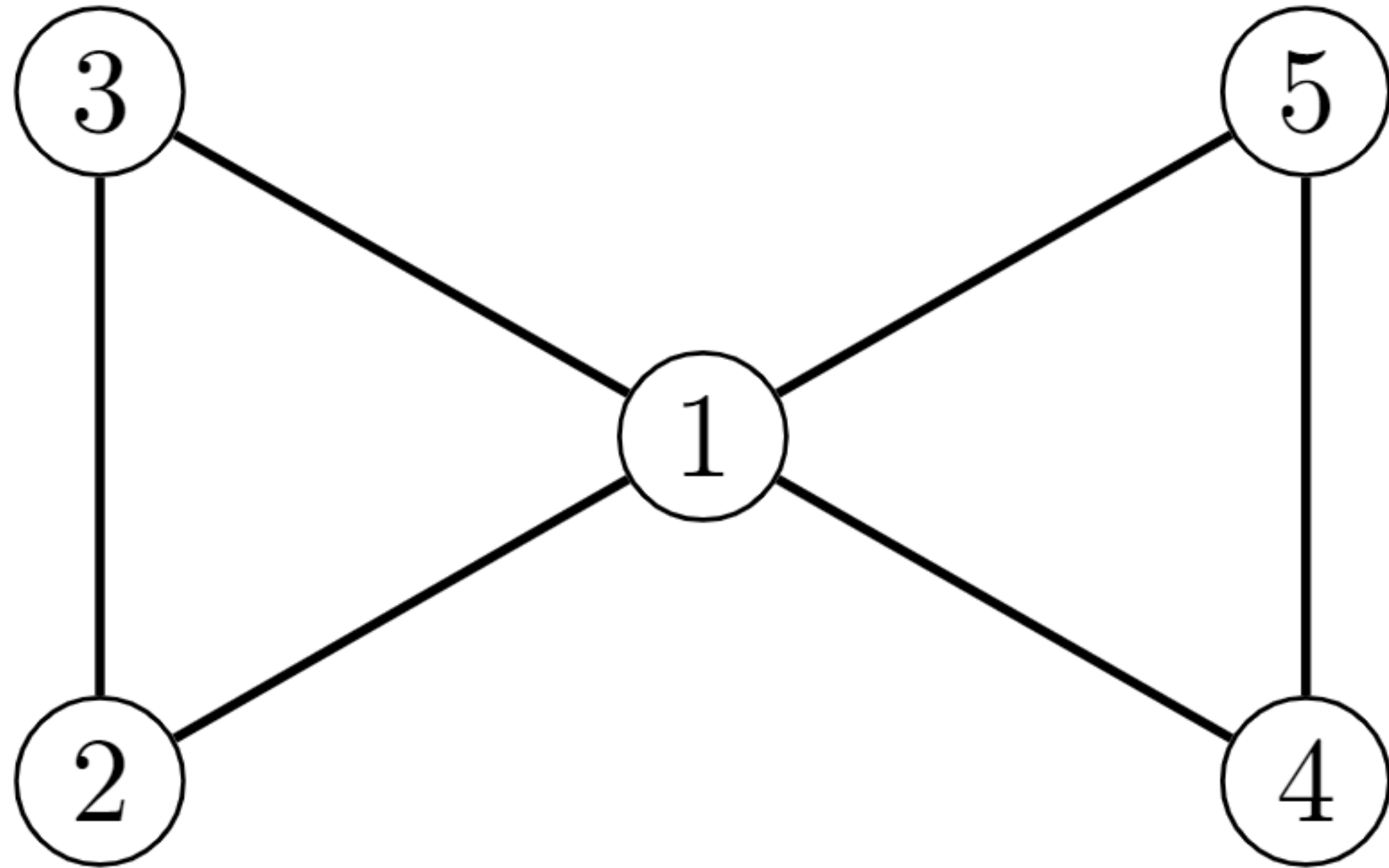
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$$\tilde{E} \subsetneq E$$

A bare-hands example



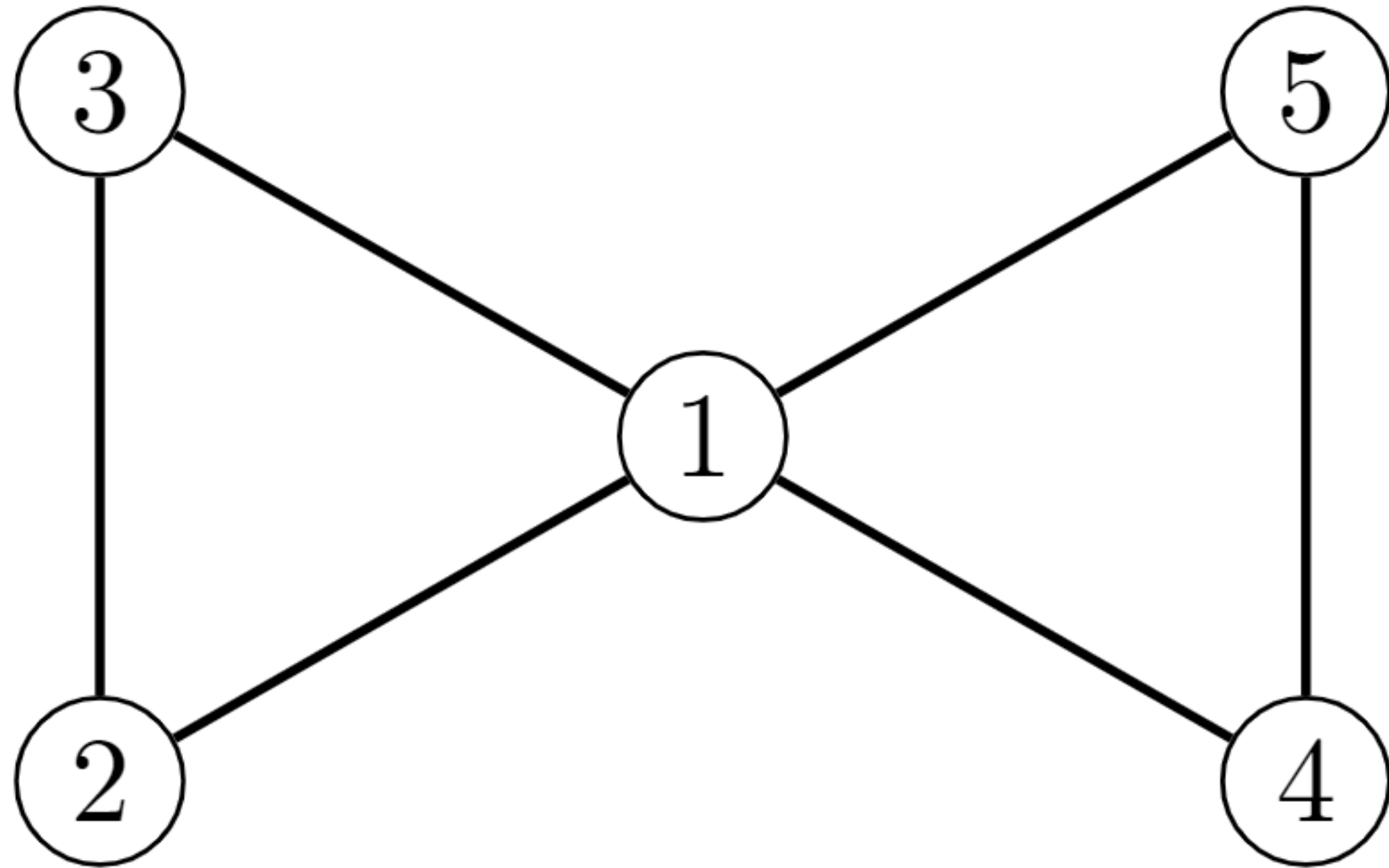
eigenvalues: $0, 1, 3, 3, 5,$

first 2 eigenvectors:

$$\varphi_1 = \frac{1}{\sqrt{5}}(1, 1, 1, 1, 1)$$

$$\varphi_2 = \frac{1}{2}(0, -1, -1, 1, 1).$$

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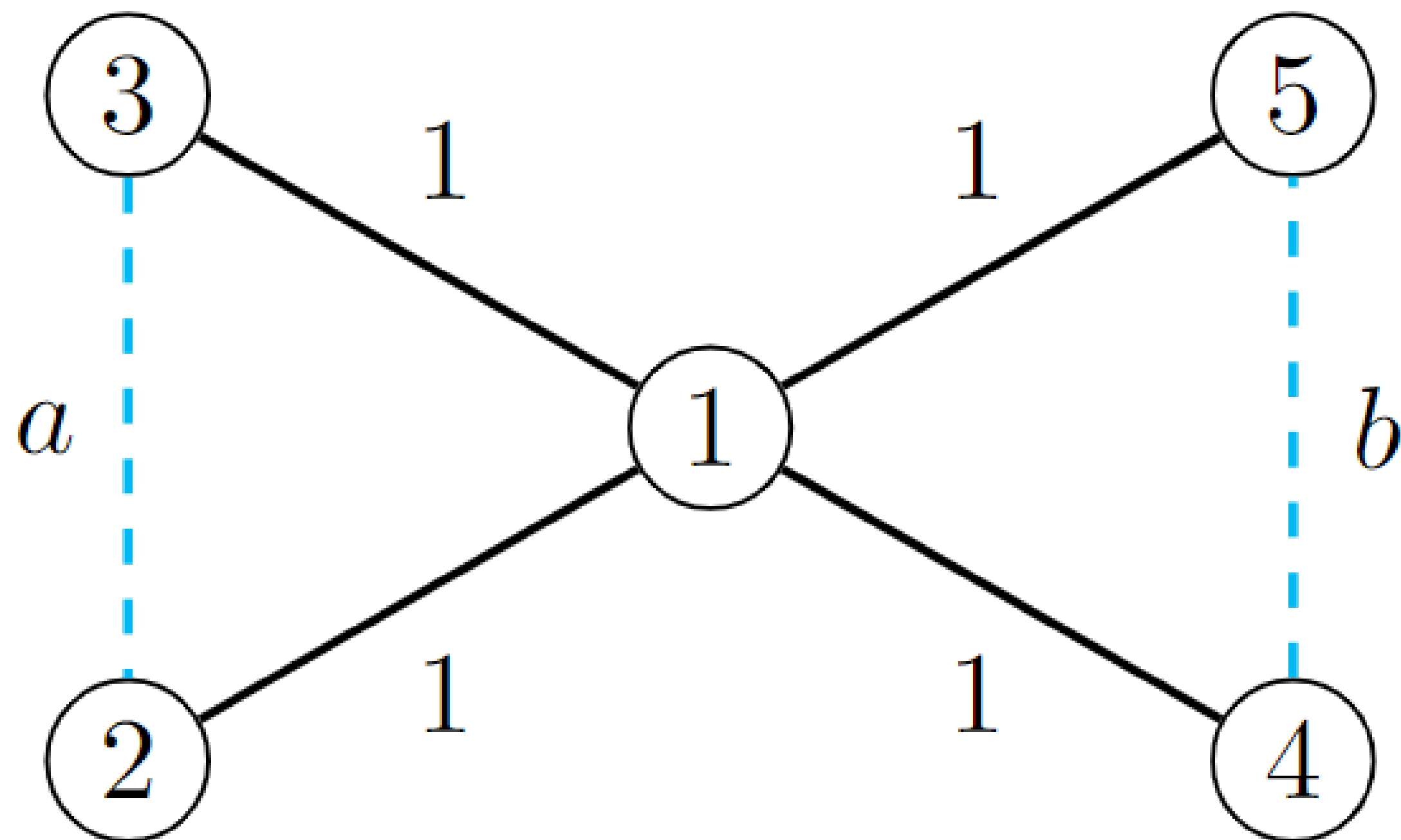
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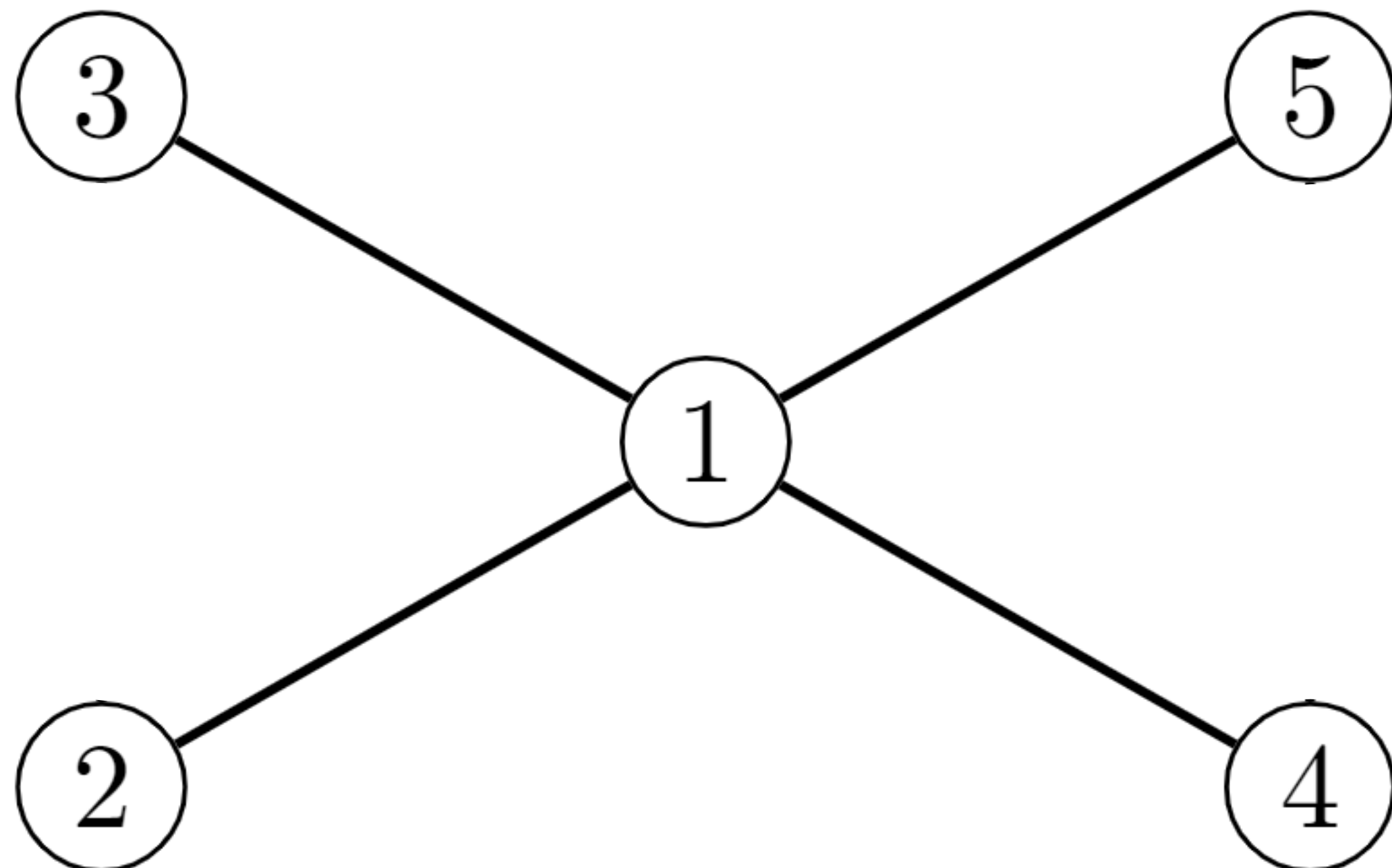
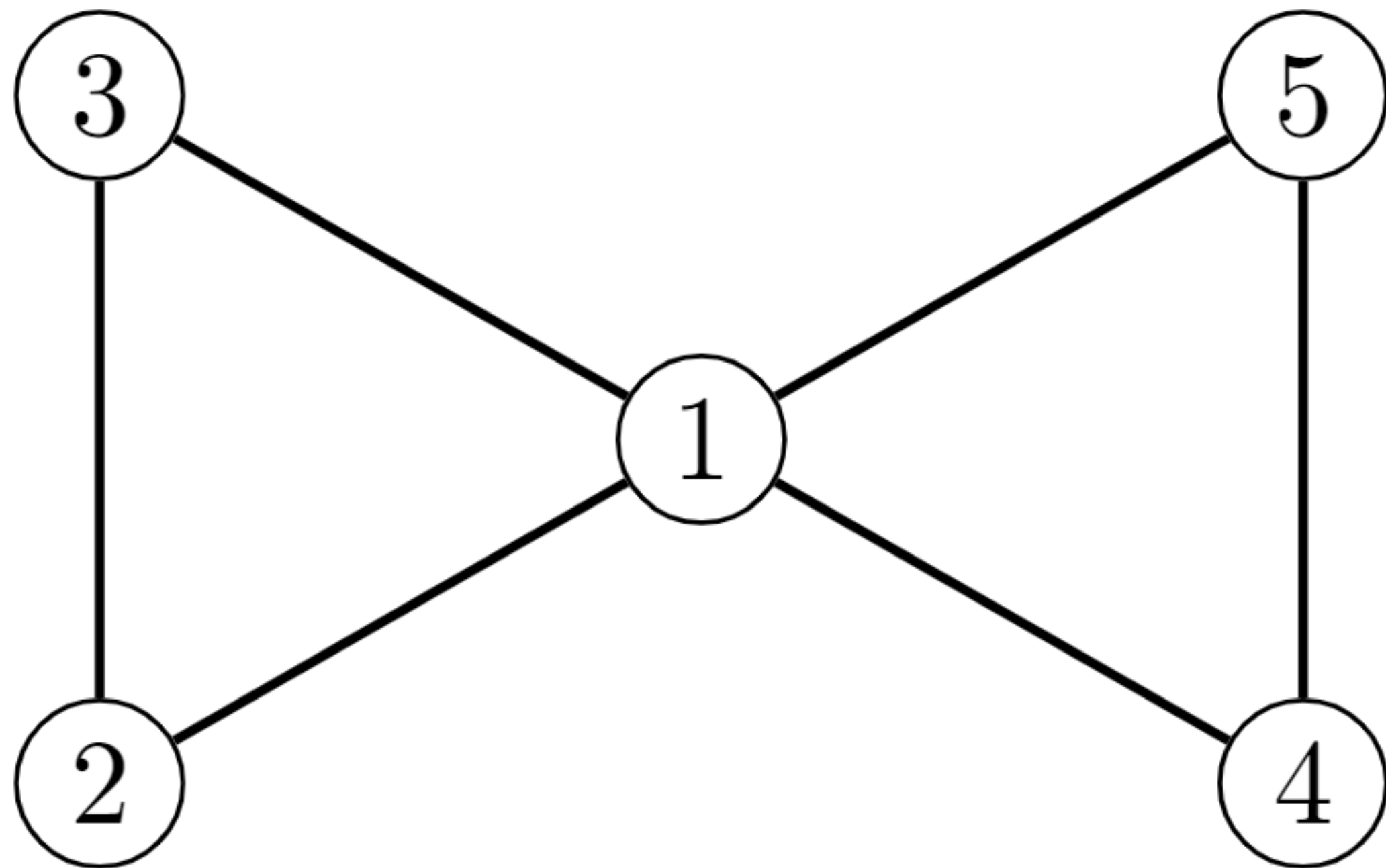
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$$\{\text{2-isospectral graphs}\} = \left\{ L = \begin{bmatrix} 4 & -1 & -1 & -1 & -1 \\ - & 1+a & -a & 0 & 0 \\ - & - & 1+a & 0 & 0 \\ - & - & - & 1+b & -b \\ - & - & - & - & 1+b \end{bmatrix} : a, b \geq 0 \right\}$$



A bare-hands example



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$$a = b = 0$$

eigenvalues: 0, 1, 5, 6, 11

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Main Structure Theorem

Theorem 3.1. Let $G = ([n], E, w)$ be a connected, weighted graph with eigenpairs $(0, \varphi_1), (\lambda_2, \varphi_2), \dots, (\lambda_n, \varphi_n)$ where $0 = \lambda_1 < \lambda_2 \leq \dots \leq \lambda_n$ and $\{\varphi_i\}_{i=1}^n$ are orthonormal. Fix $2 \leq k \leq n$ and define the matrices

$$\Phi_k = [\varphi_1 \ \cdots \ \varphi_k] \in \mathbb{R}^{n \times k}, \quad \Phi_{>k} = [\varphi_{k+1} \ \cdots \ \varphi_n] \in \mathbb{R}^{n \times (n-k)},$$

$$\Lambda_k = \text{diag}(0, \lambda_2, \dots, \lambda_k) \in \mathbb{R}^{k \times k}.$$

Then the set of Laplacians of all k -isospectral subgraphs of G is

$$\text{Sp}_G(k) = \left\{ L = \underbrace{\Phi_k \Lambda_k \Phi_k^\top + \lambda_k \Phi_{>k} \Phi_{>k}^\top}_F + \Phi_{>k} Y \Phi_{>k}^\top : \begin{array}{l} Y \in \mathcal{S}_+^{n-k} \\ L_{st} \leq 0 \ \forall (s, t) \in E \\ L_{st} = 0 \ \forall s \neq t, (s, t) \notin E \end{array} \right\}.$$

B., Steinerberger, Thomas '23

Main Structure Theorem

split the eigenbasis of L into the first k and last $n-k$ eigenvectors

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fix the first k eigenpairs

force the last $n-k$ eigenpairs to be at least as large

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allow anything in the high frequencies

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Main Structure Theorem

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fix the first k eigenpairs

allow anything in the high frequencies

no new edges

force the last $n-k$ eigenpairs to be at least as large

positive edge weights

Main Structure Theorem (proof)

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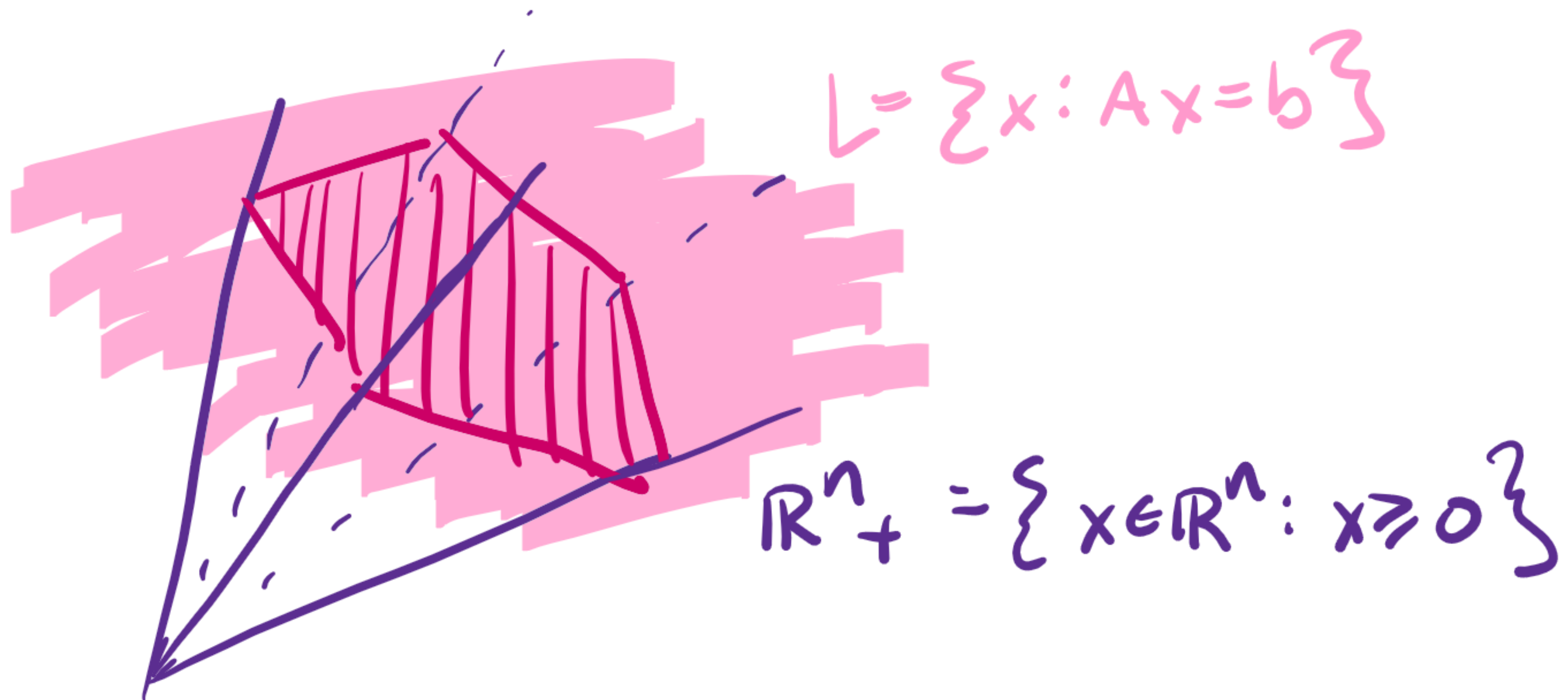
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Spectrahedra

Polyhedron = cone of nonnegative vectors intersected with an affine space
= feasible set of a linear program



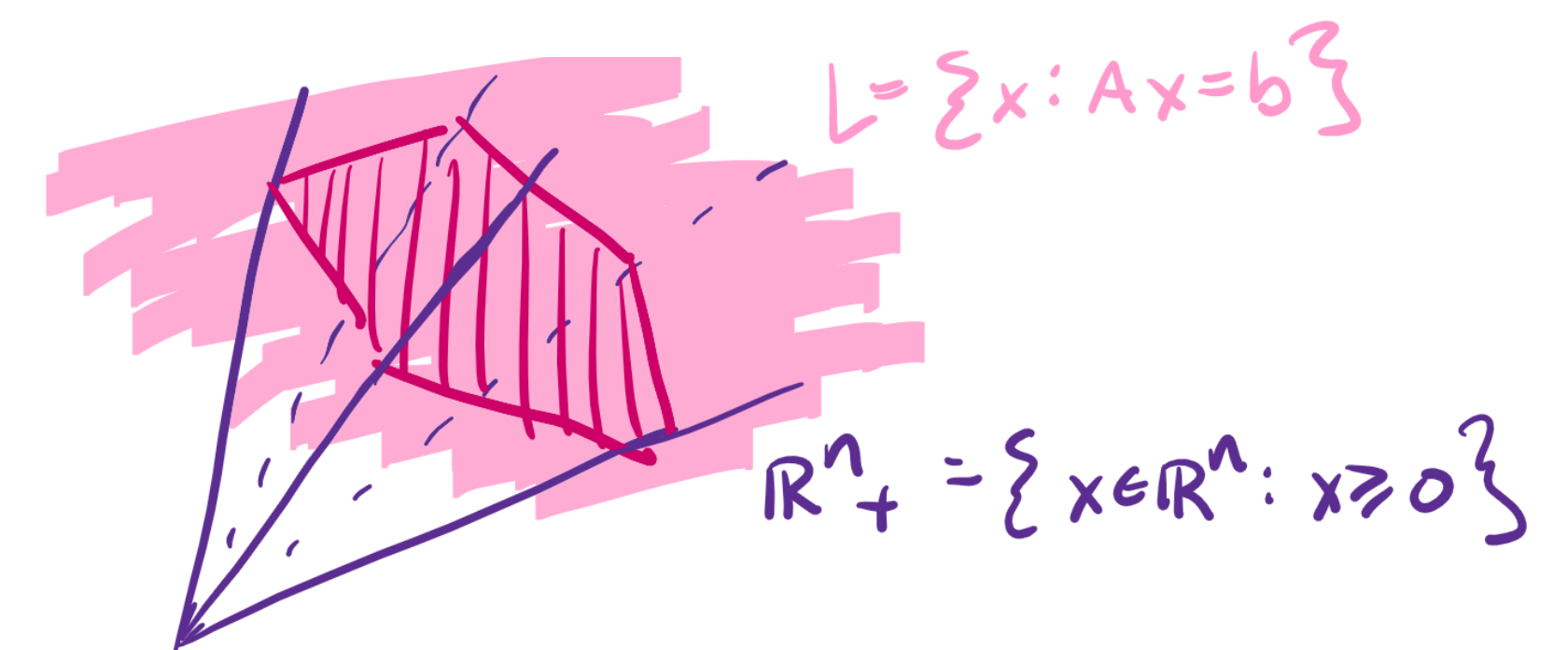
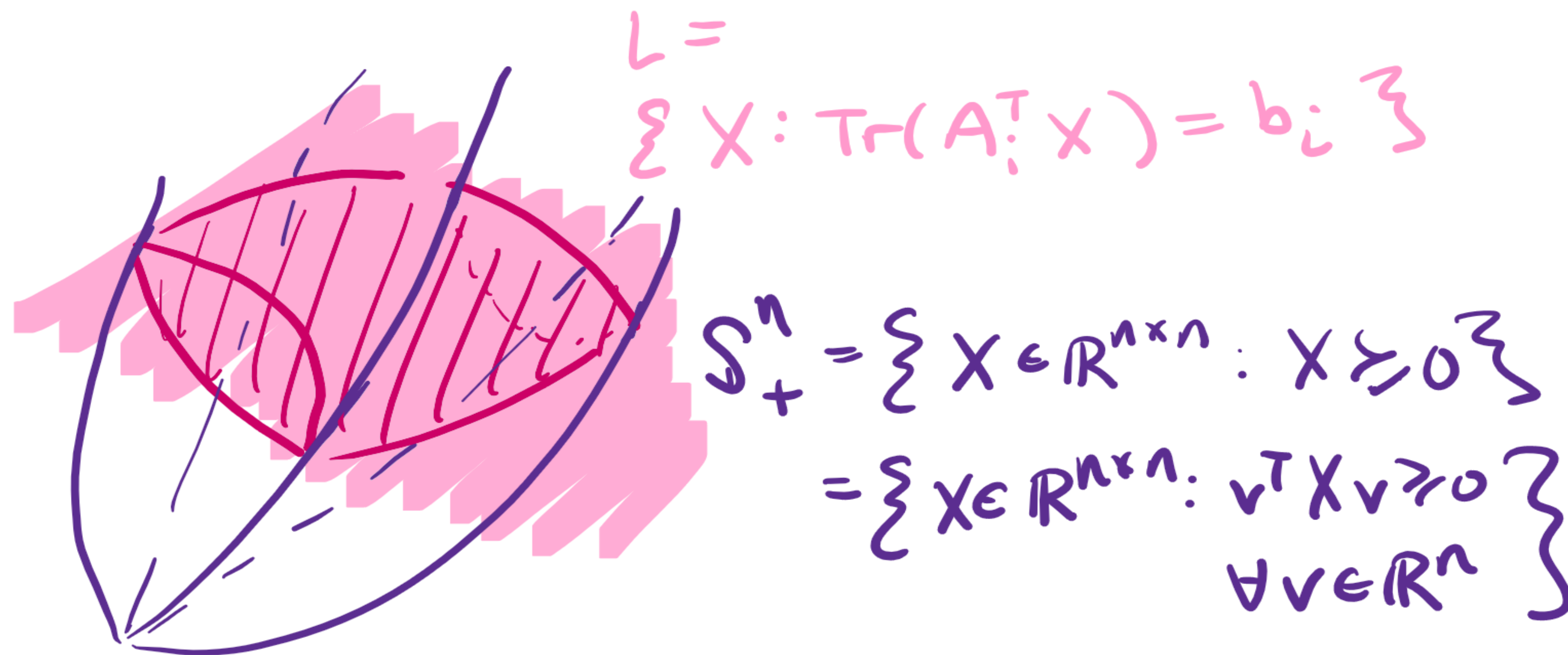
Spectrahedra

Polyhedron = cone of nonnegative vectors intersected with an affine space

= feasible set of a linear program

Spectrahedron = cone of positive semidefinite matrices intersected with an affine space

= feasible set of a semi-definite program



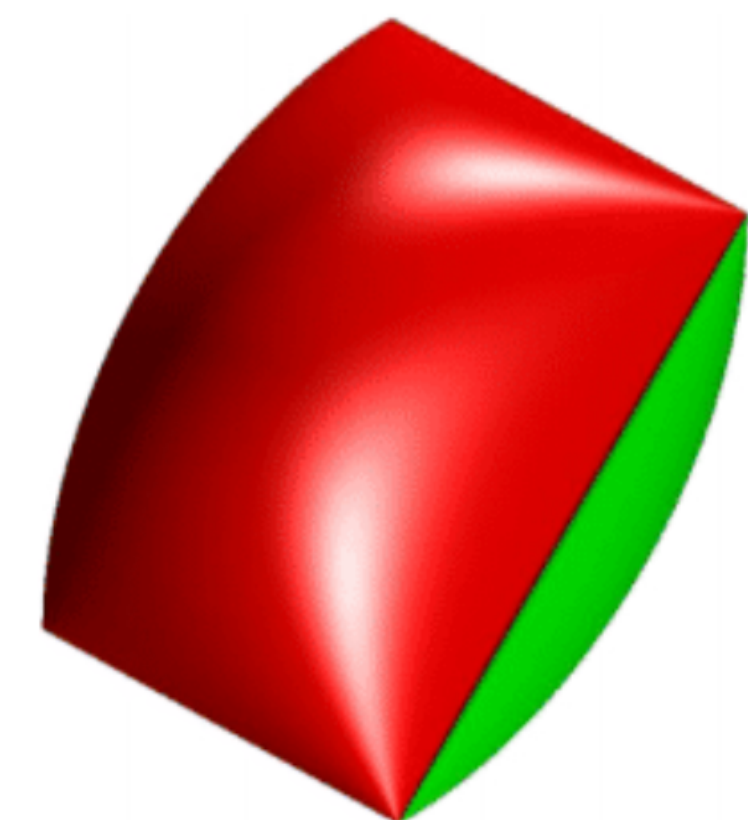
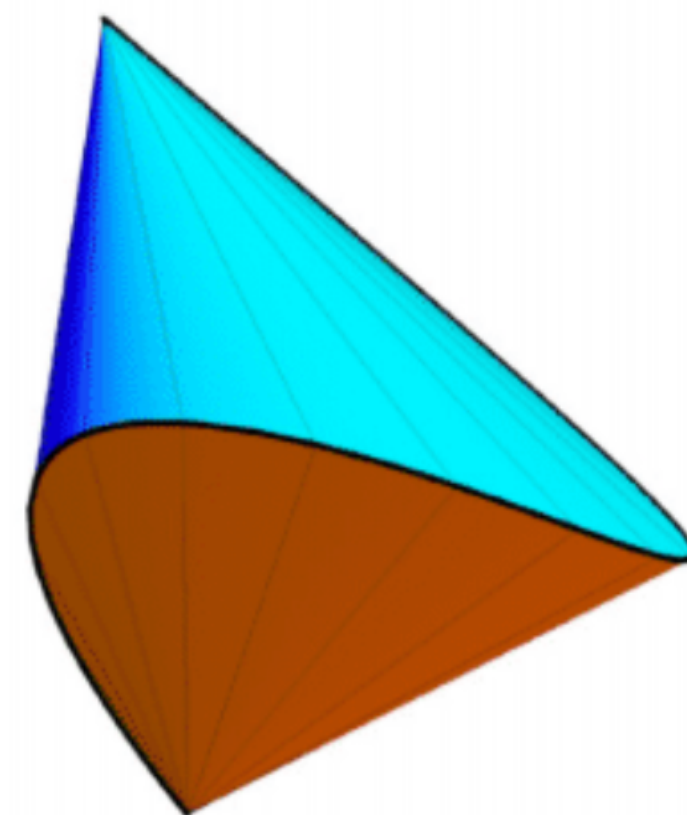
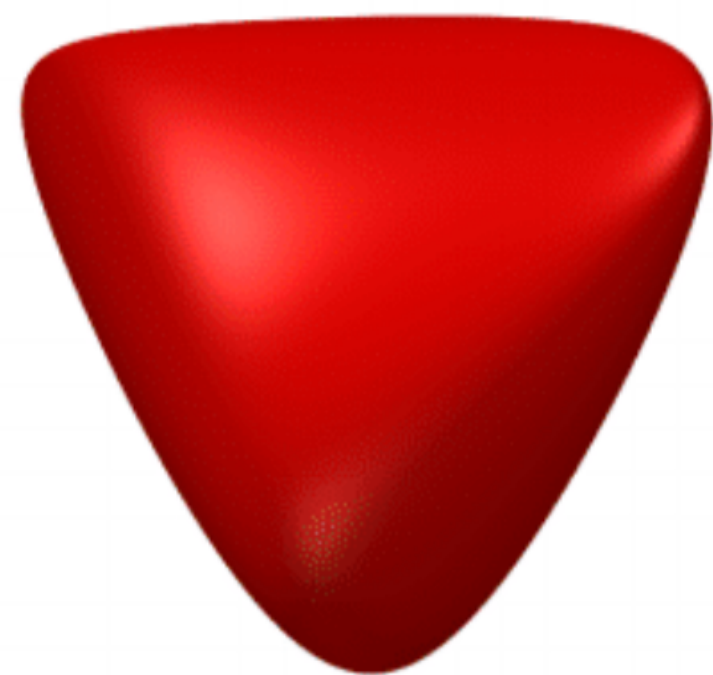
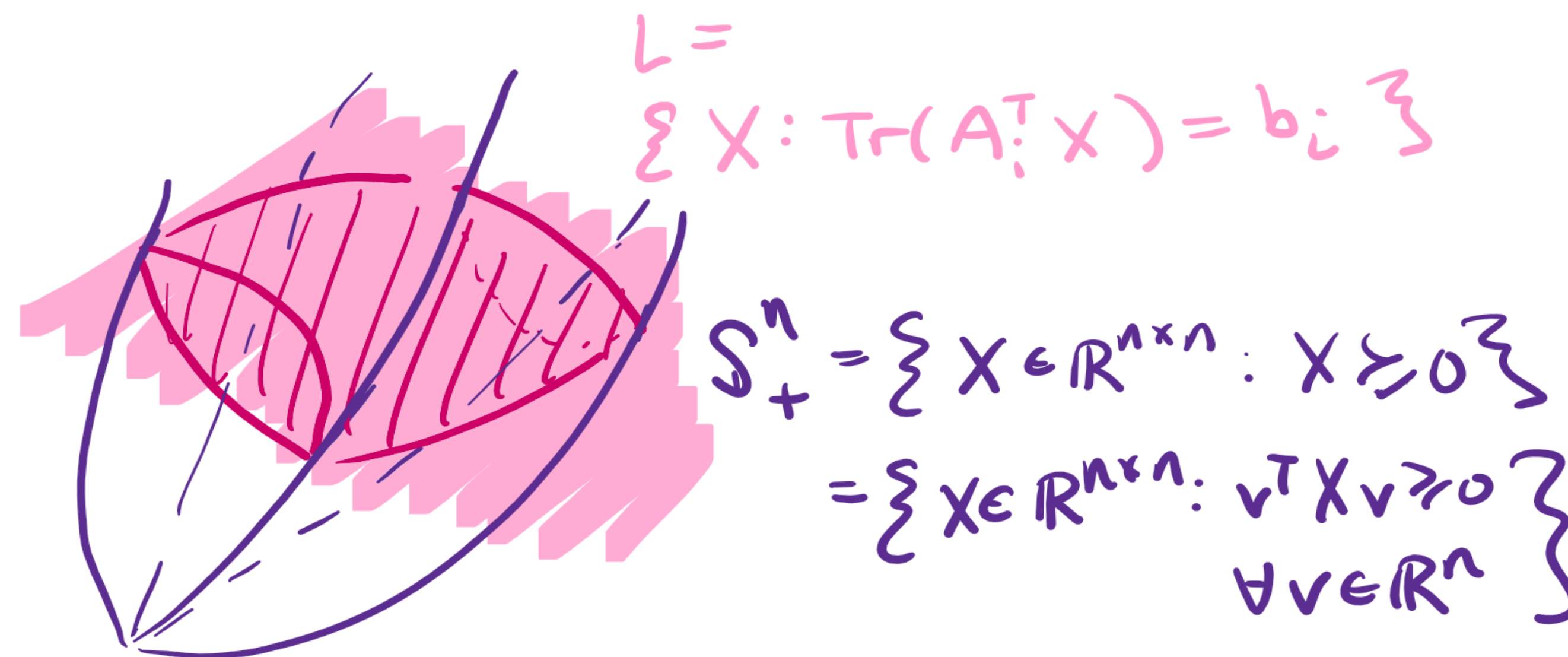
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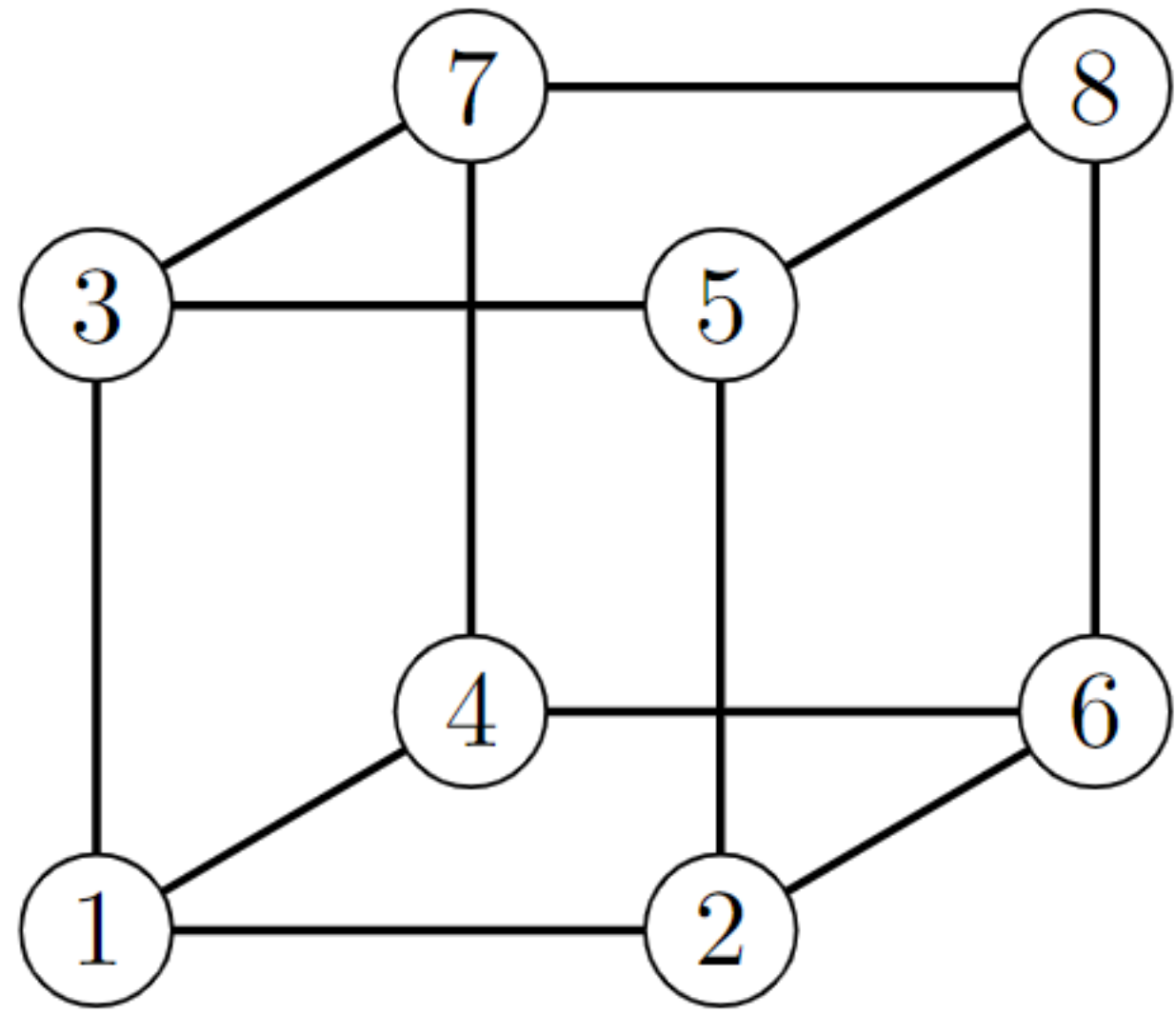
Spectrahedron = cone of positive semidefinite matrices intersected with an affine space

= feasible set of a semi-definite program



Q: Why not just preserve the quadratic form?

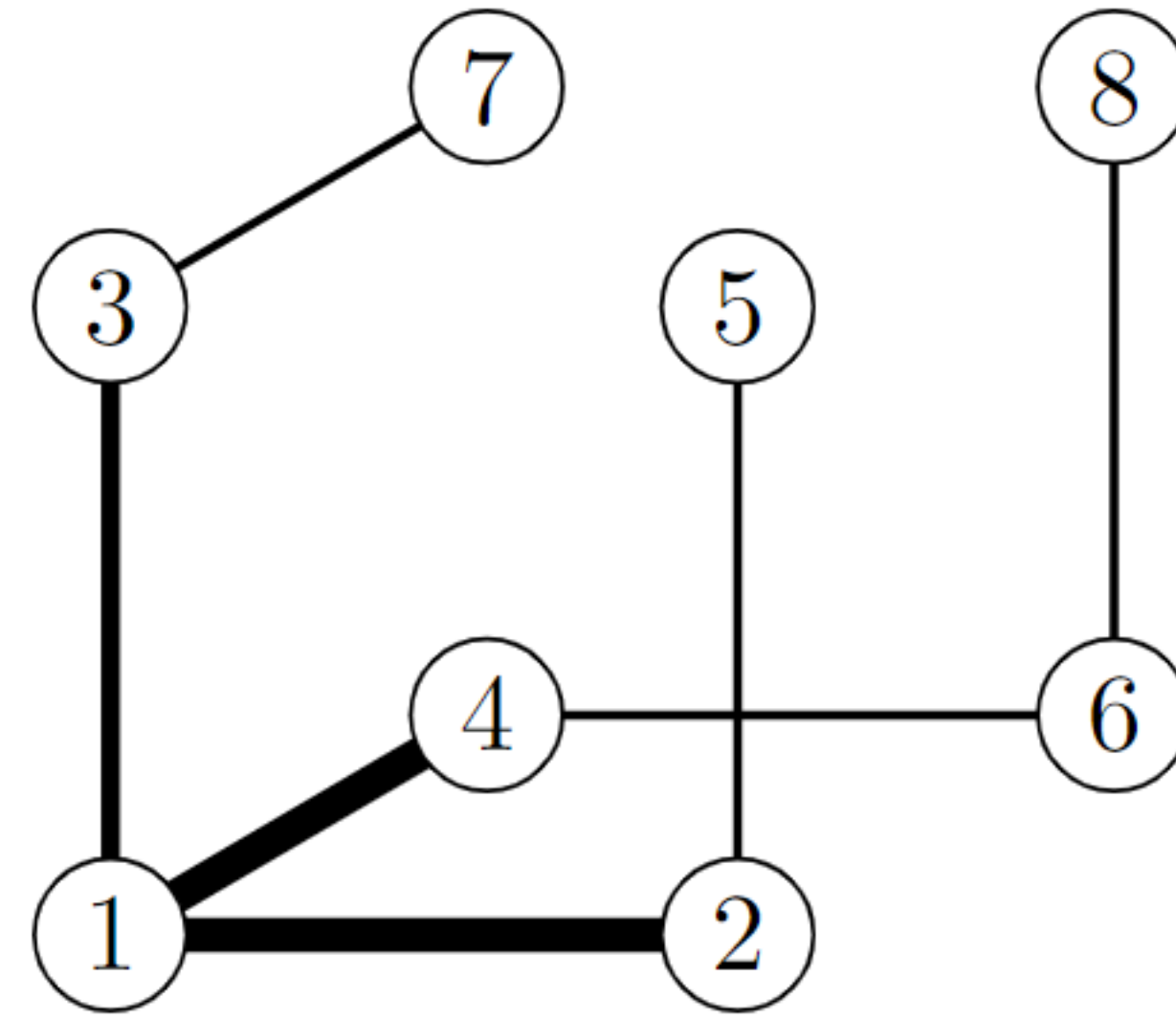
A: We tried that, and got absolute garbage



3-cube

eigenvalues:

$$0^{(1)}, 2^{(3)}, 4^{(3)}, 6^{(1)}$$



"4-sparsifier"

eigenvalues:

$$0, 0.3677, 0.6383, 1.3889, \\ 2.4974, 3.6368, 4.3896, 11.0814$$

Sparsity Structure

polyhedron $P_G(k) := \left\{ (y_{ij}) \in \mathbf{R}^{\binom{n-k+1}{2}} : L_{st} \leq 0 \forall (s, t) \in E \right\}$

spectrahedron $S_G(k) := \left\{ (y_{ij}) \in \mathbf{R}^{\binom{n-k+1}{2}} : \begin{array}{l} L_{st} = 0 \forall (s, t) \notin E, s \neq t, \\ Y \succeq 0 \end{array} \right\}$

$$\text{Sp}_G(k) = P_G(k) \cap S_G(k) \quad \text{convex}$$

$$\text{Sp}_G(k) \subseteq \text{Sp}_G(k-1) \quad \text{nested}$$

sparsity patterns \leftrightarrow faces of $P_G(k)$ in $\text{Sp}_G(k)$

An Example

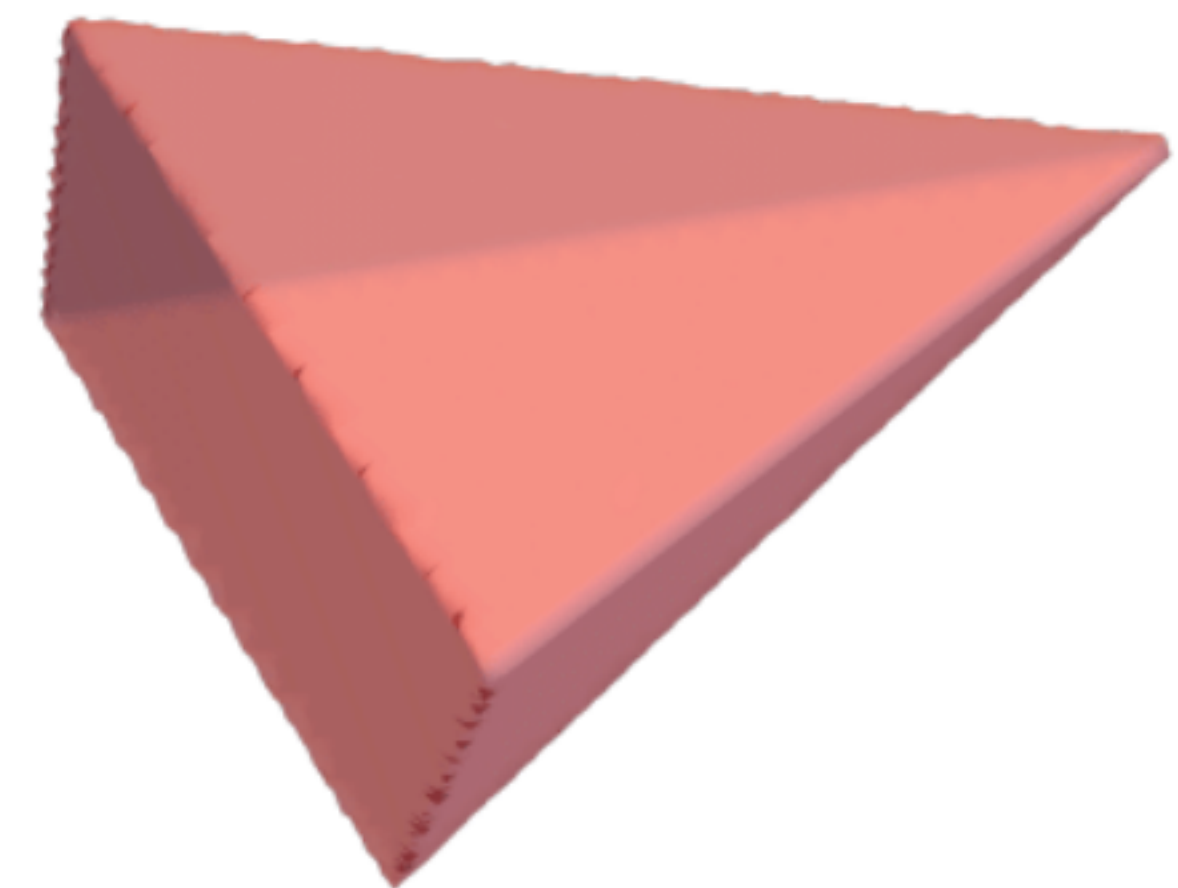
K_5 $k = 3$
preserve $(0, \phi_1), (5, \phi_2), (5, \phi_3)$

$$\left(0, \phi_1 = \frac{1}{\sqrt{5}} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}, \left(5, \phi_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \phi_3 = \frac{1}{\sqrt{2}} \begin{bmatrix} 0 \\ 0 \\ 1 \\ -1 \\ 0 \end{bmatrix}, \phi_4 = \frac{1}{2} \begin{bmatrix} 1 \\ 1 \\ -1 \\ -1 \\ 0 \end{bmatrix}, \phi_5 = \frac{1}{2\sqrt{5}} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ -4 \end{bmatrix} \right)$$

An Example

 K_5 $k = 3$ preserve $(0, \phi_1), (5, \phi_2), (5, \phi_3)$

$$\begin{array}{lll} 5a + b + 2\sqrt{5}c & \leq 20 & (1, 2) \\ 5a + b - 2\sqrt{5}c & \leq 20 & (3, 4) \\ -5a + b & \leq 20 & (1, 3), (1, 4), (2, 3), (2, 4) \\ -b - \sqrt{5}c & \leq 5 & (1, 5), (2, 5) \\ -b + \sqrt{5}c & \leq 5 & (3, 5), (4, 5) \end{array}$$

 $P_{K_5}(3)$ 

An Example

K_5

$k = 3$

preserve $(0, \phi_1), (5, \phi_2), (5, \phi_3)$

$$5a + b + 2\sqrt{5}c \leq 20 \quad (1, 2)$$

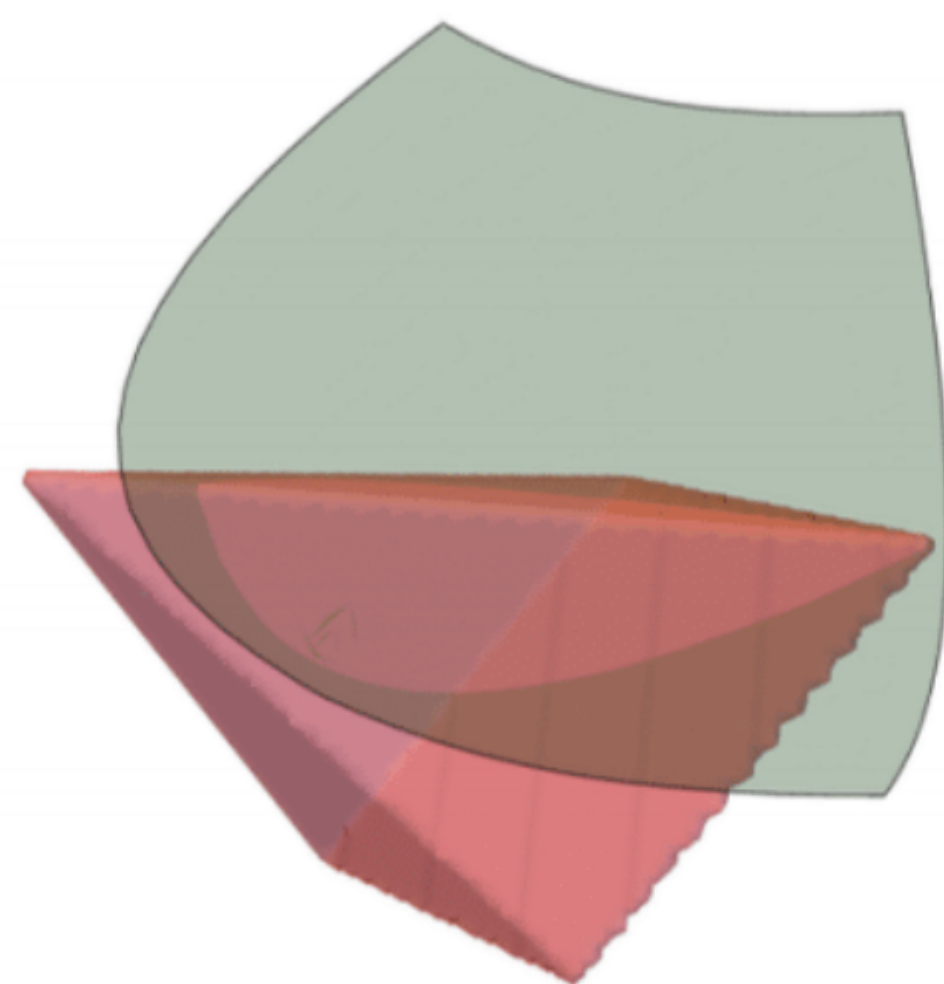
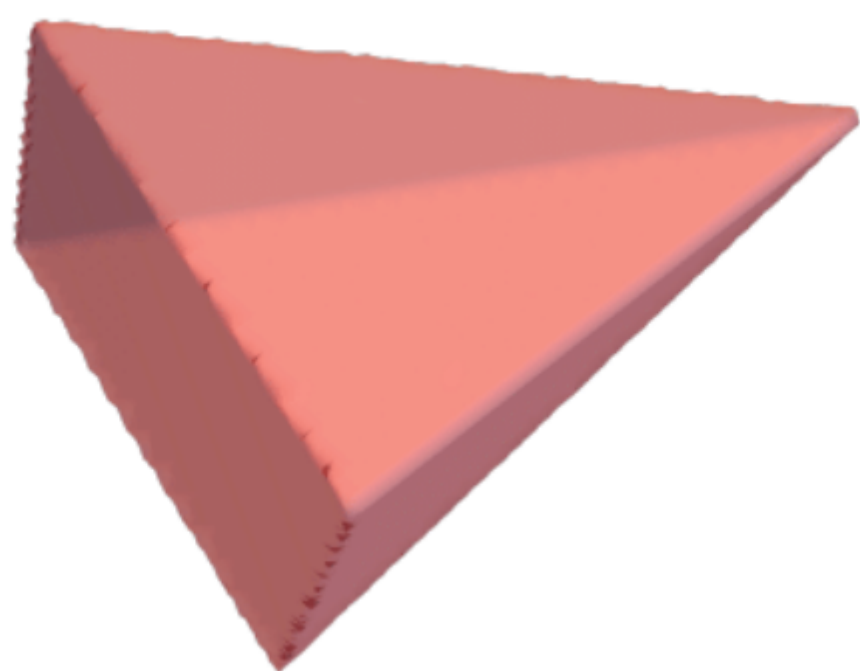
$$5a + b - 2\sqrt{5}c \leq 20 \quad (3, 4)$$

$$-5a + b \leq 20 \quad (1, 3), (1, 4), (2, 3), (2, 4)$$

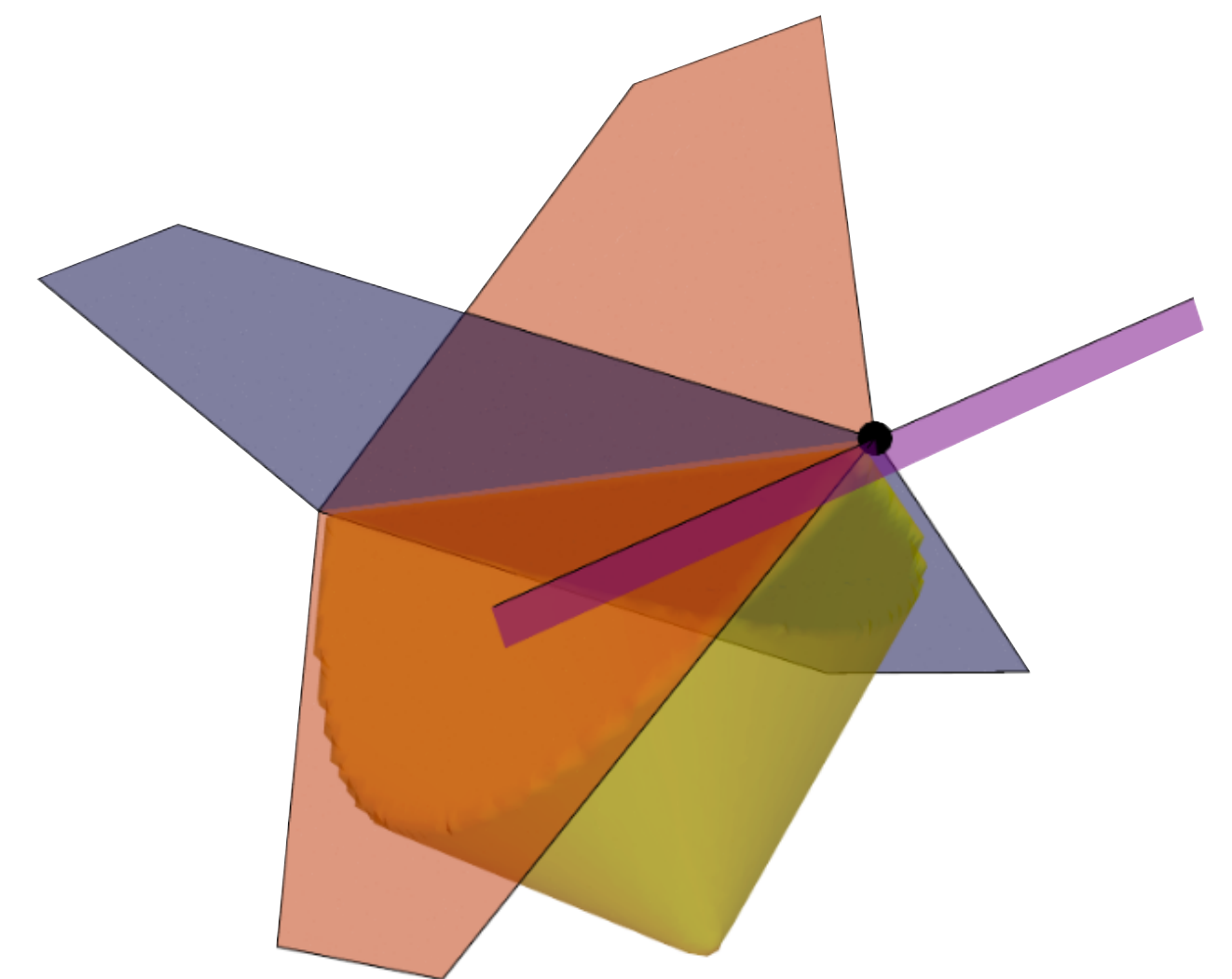
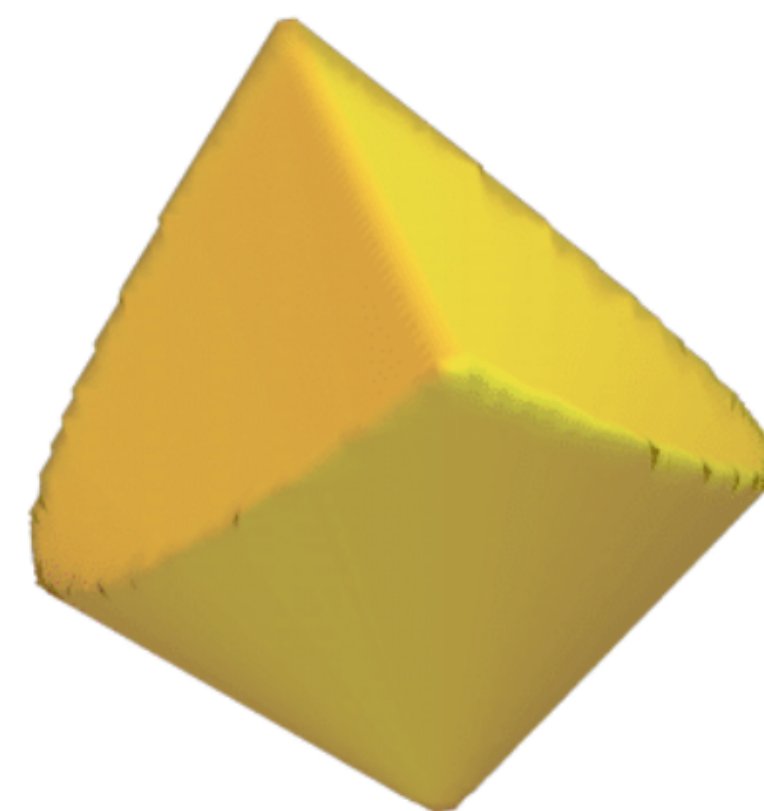
$$-b - \sqrt{5}c \leq 5 \quad (1, 5), (2, 5)$$

$$-b + \sqrt{5}c \leq 5 \quad (3, 5), (4, 5)$$

$P_{K_5}(3)$



$Sp_{K_5}(3)$



An Example

K_5

$k = 3$

preserve $(0, \phi_1), (5, \phi_2), (5, \phi_3)$

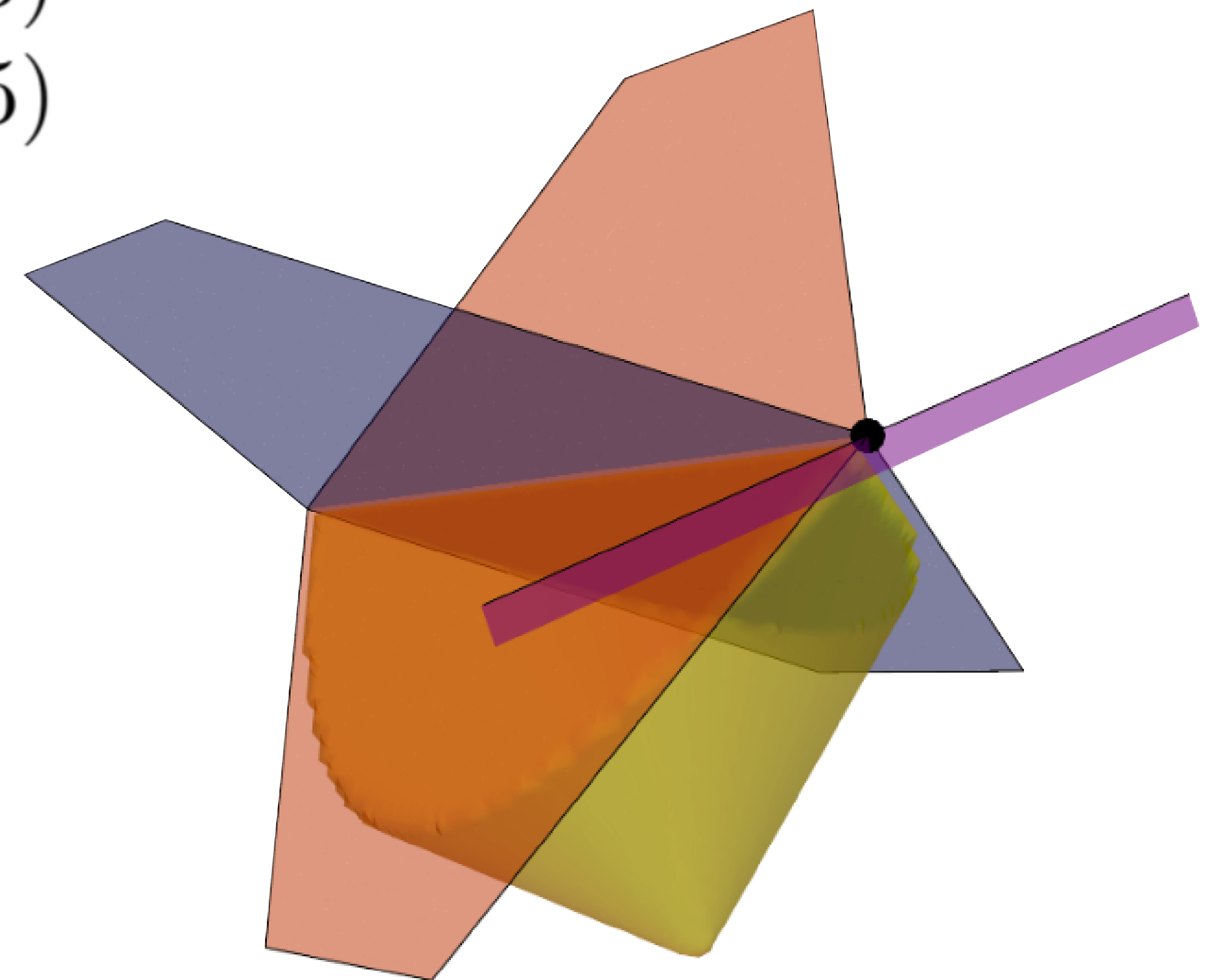
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$$-b - \sqrt{5}c \leq 5 \quad (1, 5), (2, 5)$$

$$-b + \sqrt{5}c \leq 5 \quad (3, 5), (4, 5)$$



An Example

$$K_5 \quad k = 4$$

Does the choice of basis matter?

$$\left(0, \phi_1 = \frac{1}{\sqrt{5}} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}, \left(5, \phi_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \phi_3 = \frac{1}{\sqrt{2}} \begin{bmatrix} 0 \\ 0 \\ 1 \\ -1 \\ 0 \end{bmatrix}, \phi_4 = \frac{1}{2} \begin{bmatrix} 1 \\ 1 \\ -1 \\ -1 \\ 0 \end{bmatrix}, \phi_5 = \frac{1}{2\sqrt{5}} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ -4 \end{bmatrix} \right) \right)$$

An Example

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$$\Phi_{>4} = \phi_5$$

$$\text{Sp}_4(K_5) = [0, 20]$$

Spanning tree rooted at 5

$$\Phi_{>4} = \phi_4$$

$$\text{Sp}_4(K_5) = [0, 4]$$

Missing (1,2), (3,4)

$$\Phi_{>4} = \phi_2$$

$$\text{Sp}_4(K_5) = [0, \infty]$$

No sparsifiers

Thm: There is a choice of eigenbasis so that K_n has a spanning tree sparsifier for all $k < n$

An Example

$$K_5 \quad k = 4$$

Does the choice of basis matter?

$$\left(\left(0, \phi_1 = \frac{1}{\sqrt{5}} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} \right), \left(5, \phi_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \phi_3 = \frac{1}{\sqrt{2}} \begin{bmatrix} 0 \\ 0 \\ 1 \\ -1 \\ 0 \end{bmatrix}, \phi_4 = \frac{1}{2} \begin{bmatrix} 1 \\ 1 \\ -1 \\ -1 \\ 0 \end{bmatrix}, \phi_5 = \frac{1}{2\sqrt{5}} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ -4 \end{bmatrix} \right)$$

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Missing (1,2), (3,4)

$$\Phi_{>4} = \phi_2$$

$$\text{Sp}_4(K_5) = [0, \infty]$$

No sparsifiers

Thm: If you preserve whole eigenspaces, $\text{Sp}_G(k)$ is independent of the choice of basis

The Linear Algebra Heuristic

B., Steinerberger, Thomas '23

Principle. *If $G = ([n], E, w)$ is a 'generic' graph and*

$$|E| \leq \binom{n}{2} - \binom{n-k+1}{2}$$

then, generically, the only k -isospectral subgraph of G is G itself.

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The Linear Algebra Heuristic

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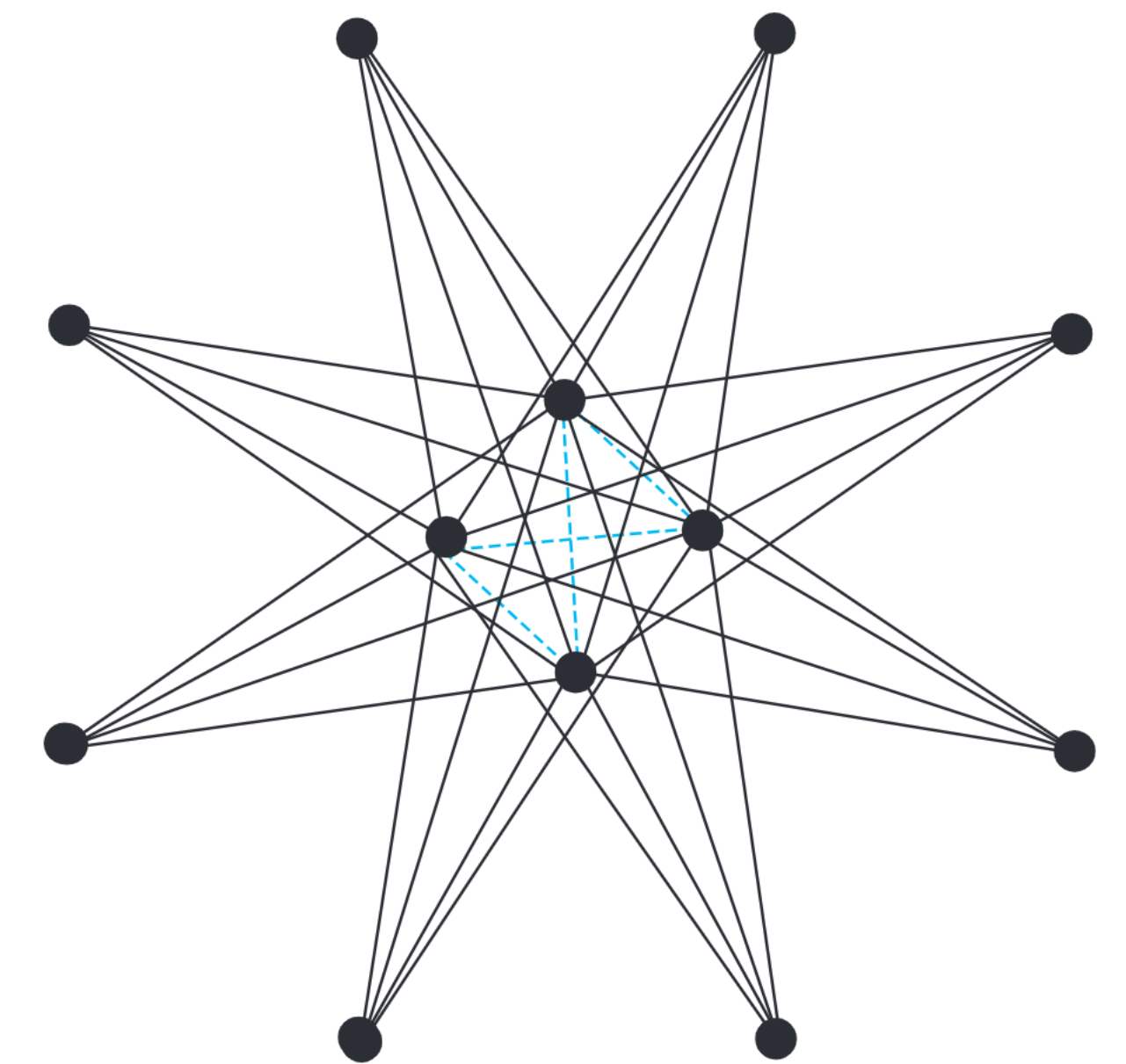
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8-sparsifier, but the largest k for which the heuristic holds is 5

The Linear Algebra Heuristic

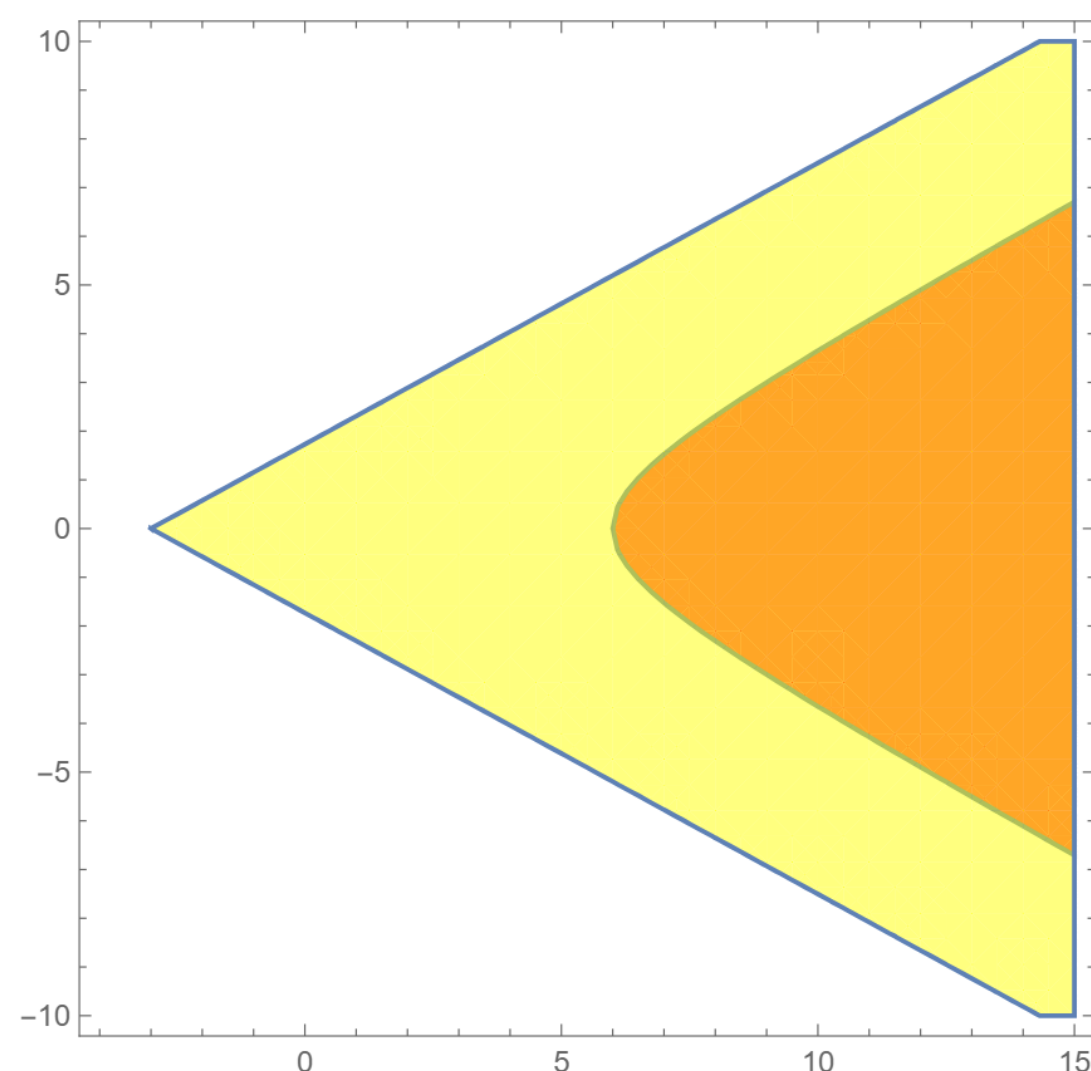
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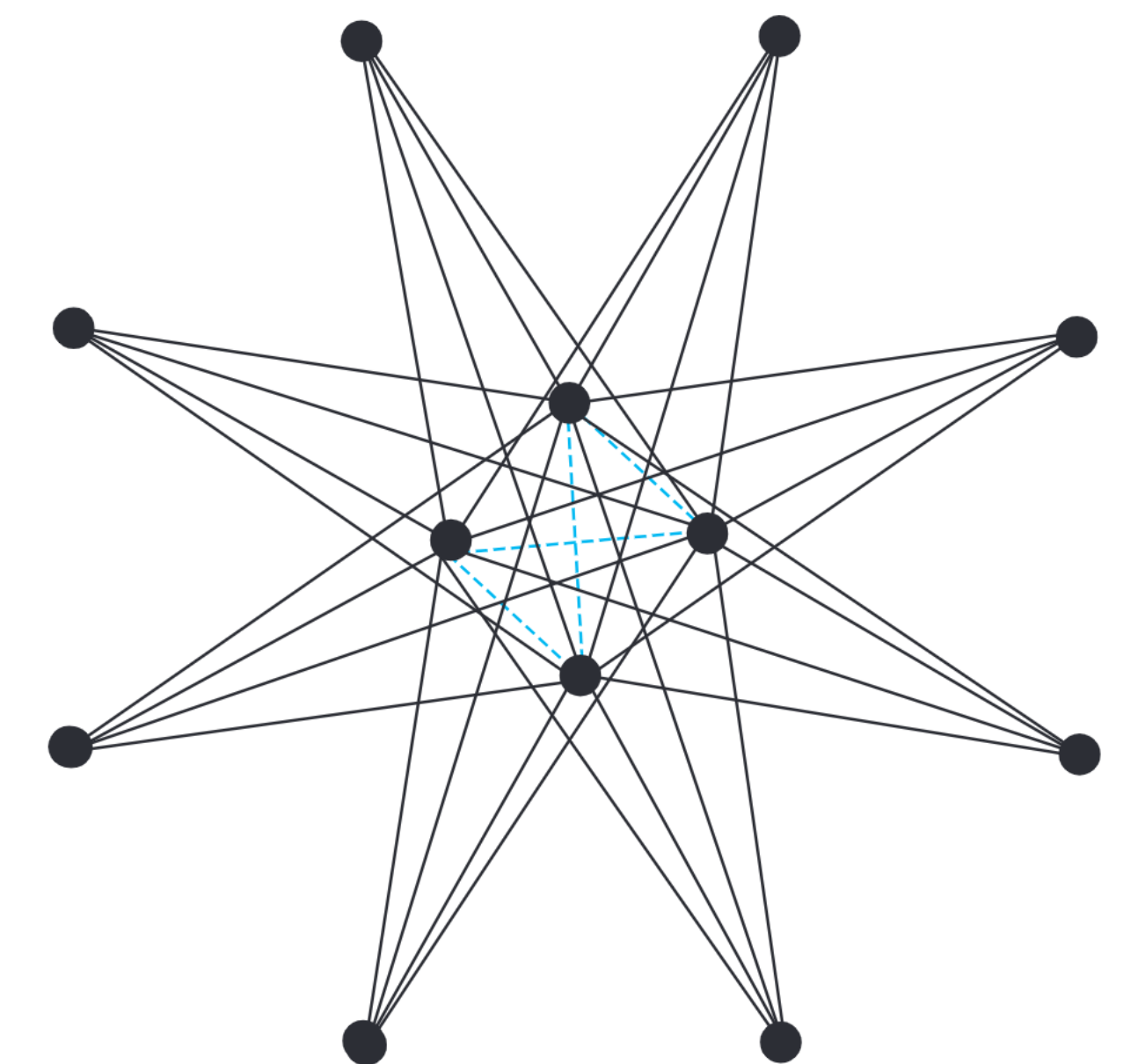
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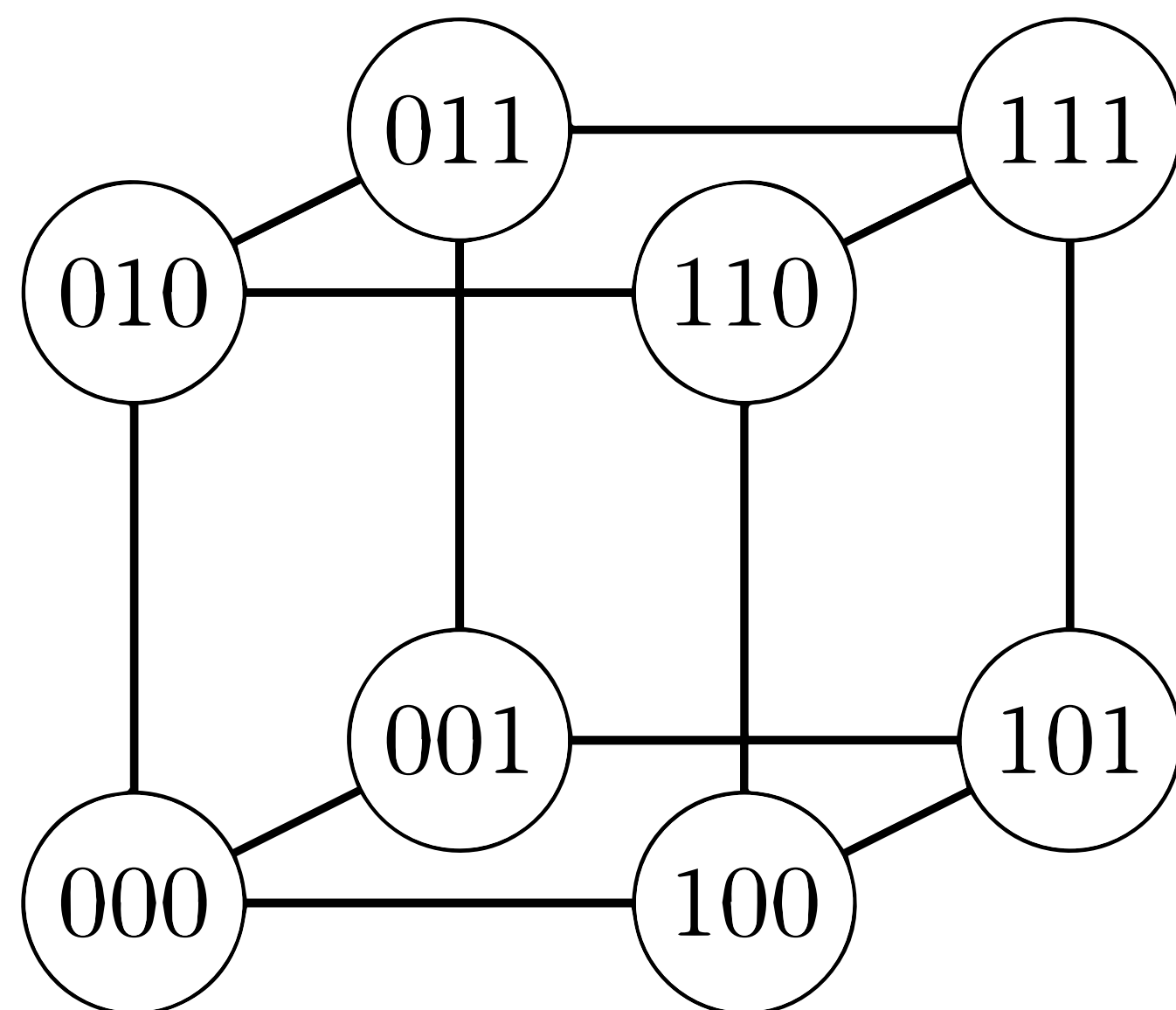
a family of graphs has few
edges but wont sparsify



8-sparsifier, but the largest k for
which the heuristic holds is 5

“The cube is already perfect just the way it is”

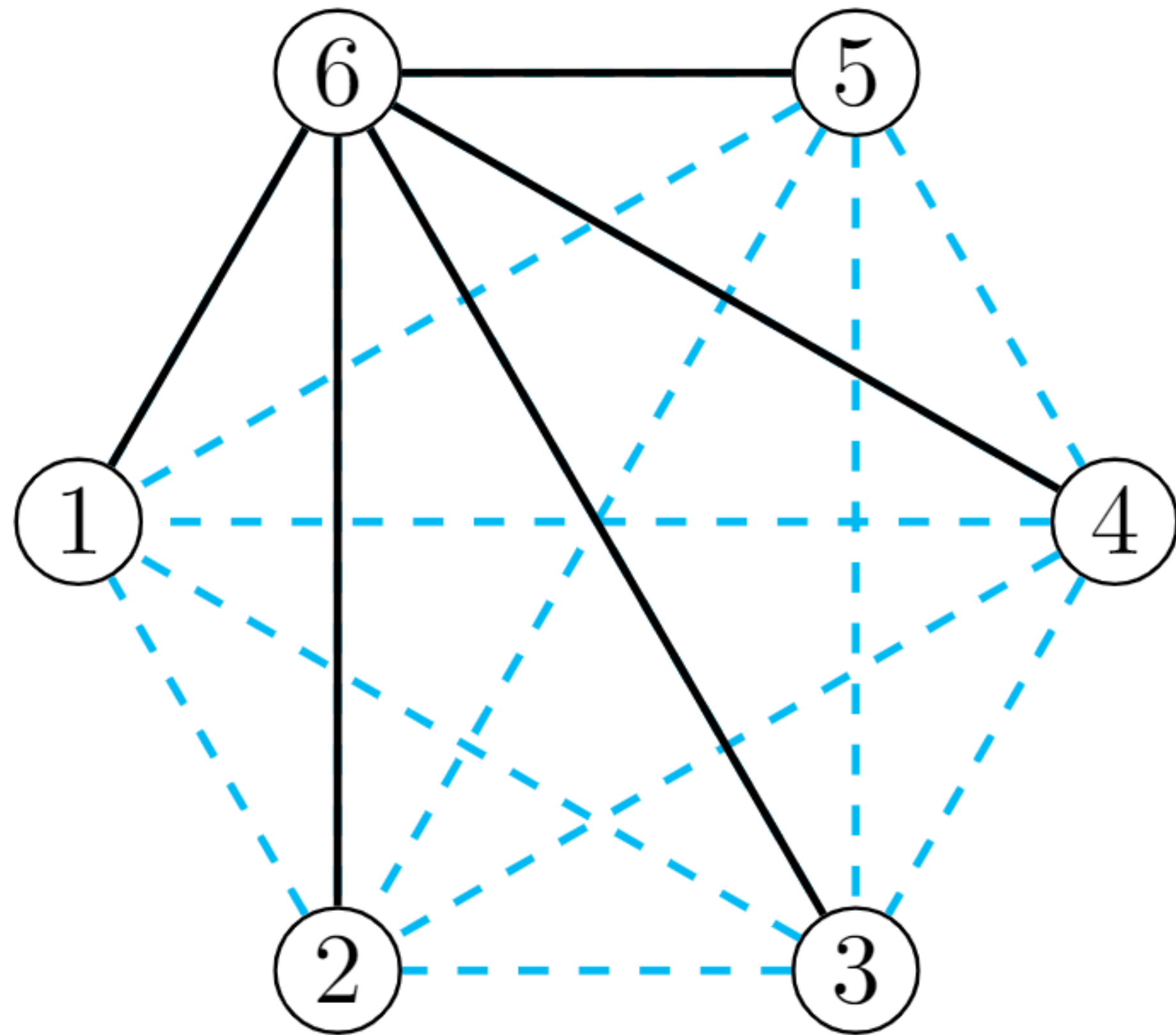
Theorem 4.5. *There is no $(d + 1)$ -sparsifier of the d -cube graph*



d -cube spectrum
 $(2i) \binom{d}{i}$ for $i = 0, \dots, d$

Families

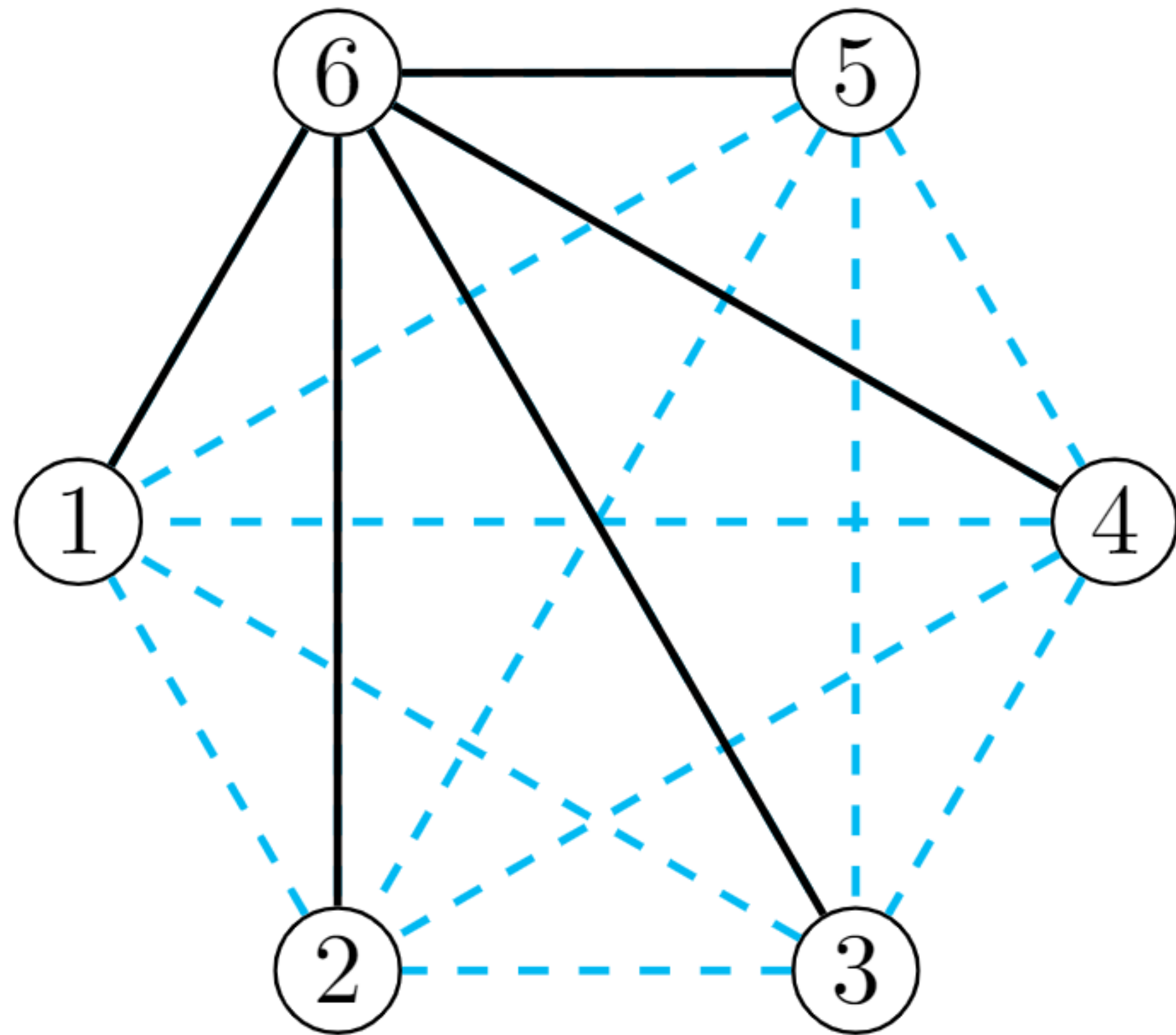
These families of graphs have spanning tree sparsifiers for the following values of k



$$K_n : k = n - 1$$

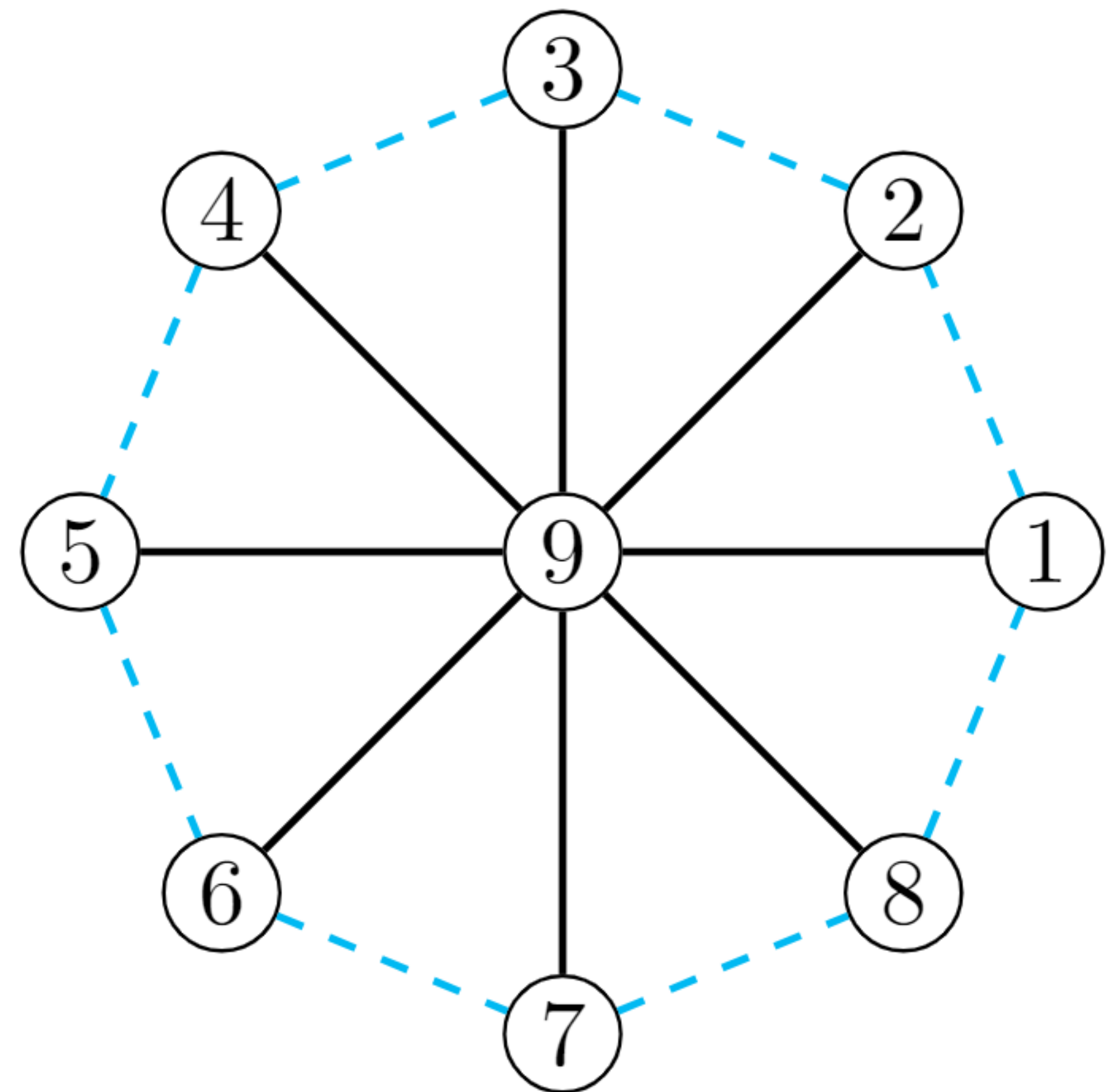
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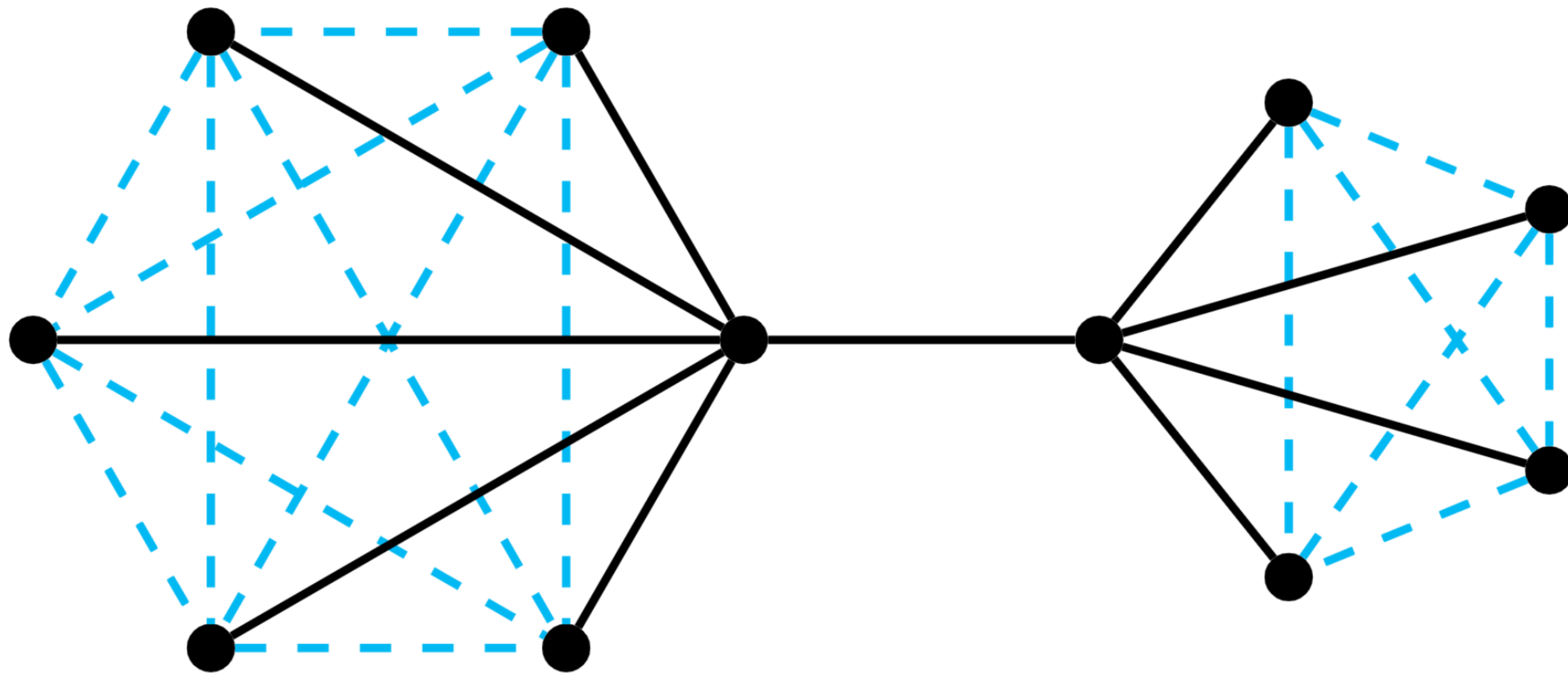
$$K_n : k = n - 1$$

$$W_n : k = 3$$



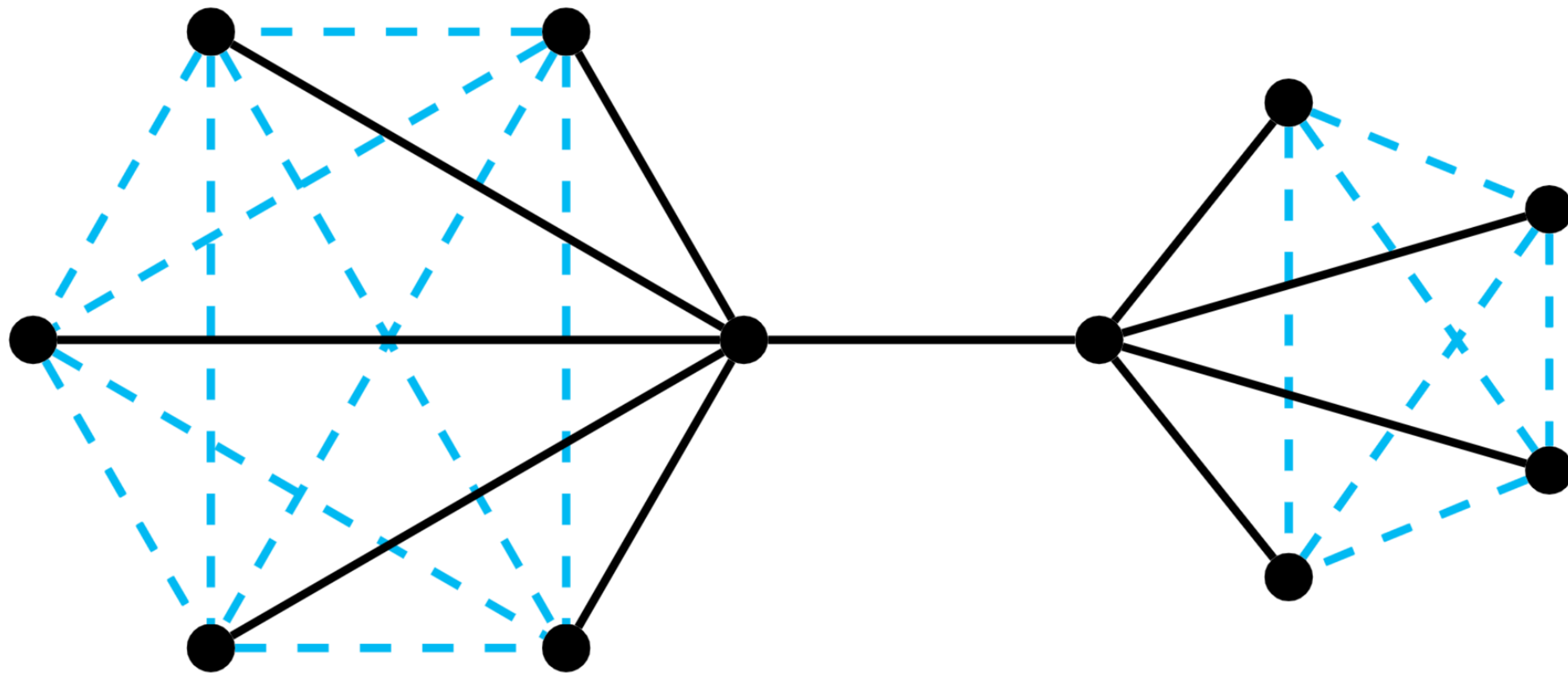
B., Steinerberger, Thomas '23

Families



$$B_{n,n} : k = 2$$

Families

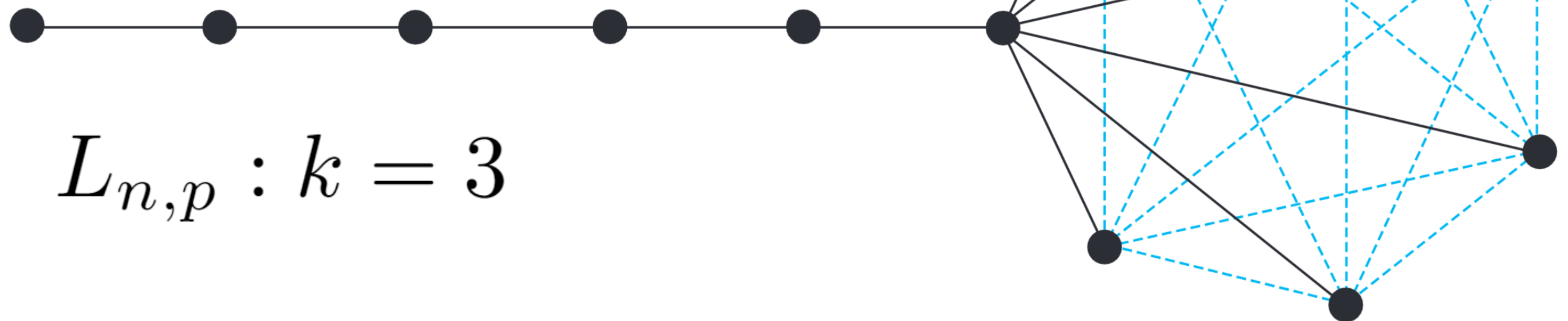


$$B_{n,m} : k = 2$$

Theorem 5.3. Let $G = ([n], E, w)$ be a connected, weighted graph and let $T = ([n], E_T, w|_{E_T})$ be a spanning tree of G . Let $k \in [n]$ be arbitrary and let $\varphi_1, \dots, \varphi_k$ be eigenvectors corresponding to the k smallest eigenvalues of the spanning tree T . Suppose that for all $(u, v) \in E$ either

$$(u, v) \in E_T \quad \text{or} \quad \varphi_i(u) = \varphi_i(v) \quad \text{for all } 1 \leq i \leq k,$$

then the spanning tree T is a k -sparsifier of G with respect to $\{\varphi_1, \dots, \varphi_k\}$.



$$L_{n,p} : k = 3$$

B., Steinerberger, Thomas '23

Recap!

A new model of graph sparsification! motivated by things like...

Cheeger's Inequality!

HEAT DIFFUSION

Structural results, including...

spectrahedral geometry

well-posed-ness

some graphs shouldn't sparsify (the cube 🥰)

A linear algebra heuristic...

that's not true but often useful

Explicit families of examples

that verify our construction is behaving sensibly



Thank you!