# Unit and distinct distances in typical norms

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Joint work with Noga Alon and Matija Bucić.

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Distance problems in typical norms

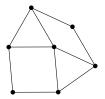
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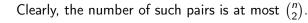
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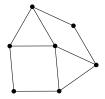




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Clearly, the number of such pairs is at most  $\binom{n}{2}$ .

The problem of estimating the answer to this problem for large n is still widely open (despite of a lot of attention for 70 years).

Denoting the maximum possible number of pairs of points with unit distance as  $U_{\|.\|_2}(n)$ , the best known bounds for large *n* are

$$u^{1+\Omega(1/\log\log n)} \le U_{\|.\|_2}(n) \le O(n^{4/3}).$$

#### Erdős' Unit Distance Problem for general norms

Given a norm  $\|.\|$  on  $\mathbb{R}^2$ , what is the maximum possible number of pairs of points at unit distance according to  $\|.\|$  among a set of *n* points in  $\mathbb{R}^2$ ?

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If the boundary of the unit ball of  $\|.\|$  contains a straight line segment, then it is not hard to show that  $U_{\|.\|}(n) \ge \Omega(n^2)$ .

On the other hand, if the norm  $\|.\|$  is strictly convex (i.e. the unit ball of  $\|.\|$  is strictly convex), then like in the Euclidean case one has

$$U_{\parallel \cdot \parallel}(n) \leq O(n^{4/3}).$$

 $U_{\|.\|}(n)$  is the maximum possible number of unit distances according to  $\|.\|$  among a set of *n* points in  $\mathbb{R}^2$  (where  $\|.\|$  is a given norm on  $\mathbb{R}^2$ ).

If the norm  $\|.\|$  is strictly convex (i.e. if its unit ball  $\{x \in \mathbb{R}^2 \mid ||x|| \le 1\}$  is strictly convex), then

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For every norm  $\|.\|$  on  $\mathbb{R}^2$ , one has the lower bound

$$U_{\|.\|}(n) \geq (1/2 - o(1)) \cdot n \cdot \log_2 n.$$

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This can be shown by considering affine-linear images of hypercubes in  $\mathbb{R}^2$ , where every edge is mapped to a unit vector according to ||.||.



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For *most* norms  $\|.\|$  on  $\mathbb{R}^2$ , we have

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Here, "most" is meant in a Baire Categoric sense (i.e. it means for all norms outside some meagre set). There is still a  $\log_2 \log_2 n$  factor gap:

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How big is  $U_{\|.\|}(n)$  for most norms  $\|.\|$  on  $\mathbb{R}^2$  (for large n)?

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We improve Matoušek's upper bound for  $U_{\|.\|}(n)$  for most norms  $\|.\|$  on  $\mathbb{R}^2$  by removing the  $\log_2 \log_2 n$  factor.

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This shows that the general lower bound

$$U_{\|.\|}(n) \ge (1/2 - o(1)) \cdot n \cdot \log_2 n$$

is tight up to constant factors for most norms on  $\mathbb{R}^2$ .

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One still has

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We disprove this conjecture in every dimension  $d \ge 3$  (which also answers the second question).

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Unfortunately, the first theorem does not give explicit examples of norms  $\|.\|$  on  $\mathbb{R}^d$  satisfying the upper bound for  $U_{\|.\|}(n)$ .

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For *n* points in  $\mathbb{R}^2$ , what is the minimum possible number of distinct distances occurring among the pairs of points? What about for *n* points in  $\mathbb{R}^d$ ?

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# Problem What happens for other norms ||.|| on ℝ<sup>d</sup>? Lisa Sauermann (MIT) Distance problems in typical norms March 29, 2023 10 / 22

 $D_{\|.\|}(n)$  is the minimum possible number of distinct distances according to  $\|.\|$  among a set of *n* points in  $\mathbb{R}^d$  (where  $\|.\|$  is a given norm on  $\mathbb{R}^d$ ).

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We disprove this conjecture in a strong form, showing that for most norms  $\|.\|$  on  $\mathbb{R}^d$ , the function  $D_{\|.\|}(n)$  is not only linear in n but in fact asymptotically equals n.

 $D_{\|\cdot\|}(n)$  is the minimum possible number of distinct distances according to  $\|\cdot\|$  among a set of *n* points in  $\mathbb{R}^d$  (where  $\|\cdot\|$  is a given norm on  $\mathbb{R}^d$ ).

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 $D_{\parallel,\parallel}(n) \geq (1-o(1)) \cdot n.$ 

Again, we unfortunately do not get explicit examples of such norms.

A norm  $\|.\|$  on  $\mathbb{R}^d$  is characterized by its unit ball

$$B_{\|.\|} = \{x \in \mathbb{R}^d \mid \|x\| \le 1\},\$$

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The set of such convex bodies can be endowed with the Hausdorff metric, where the distance of B and B' is given by

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This gives a metric (and hence a topology) on the set of all norms on  $\mathbb{R}^d$ 

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With "most norms on  $\mathbb{R}^{d}$ " we mean "all norms outside some meagre set".

#### Theorem (Alon, Bucić, S., 2023+)

For any fixed  $d \ge 2$ , for most norms  $\|.\|$  on  $\mathbb{R}^d$ , we have $U_{\|.\|}(n) \le \frac{d}{2} \cdot n \cdot \log_2 n.$ 

# **Proof Approach**

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The overall approach for the proof is very similar for both of the theorems above, so we focus on the first theorem (whose proof is a bit simpler).

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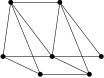
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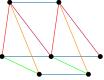
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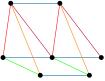


Let  $u_1, \ldots, u_k \in \mathbb{R}^d$  be the vectors with  $||u_1|| = \cdots = ||u_k|| = 1$  occurring as unit distance vectors among pairs of points in S.

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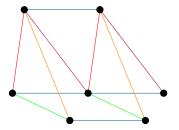
We show that there must be some subset of the vectors  $u_1, \ldots, u_k$  with many linear dependencies.

Lisa Sauermann (MIT)

March 29, 2023

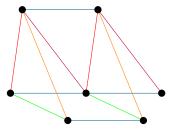
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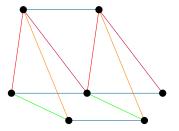
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We show that there is a subset  $I \subseteq \{1, ..., k\}$  such that we have  $u_j \in \text{span}_{\mathbb{Q}}\{u_i \mid i \in I\}$  for at least  $d \cdot |I| + 1$  indices  $j \in \{1, ..., k\}$ .

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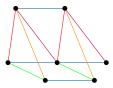
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This is a special property of the norm  $\|.\|$ , and we show that most norms cannot have this property (the norms with this property are a meagre set).

Let  $u_1, \ldots, u_k \in \mathbb{R}^d$  be non-zero vectors and let  $S \subseteq \mathbb{R}^d$  be a set of n points such that there are more than  $(d/2) \cdot n \cdot \log_2 n$  pairs  $\{x, y\} \subseteq S$  with  $x - y \in \{\pm u_1, \ldots, \pm u_k\}$ .

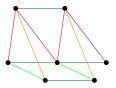
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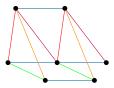


Proof sketch:

Suppose that there is no such subset  $I \subseteq \{1, \ldots, k\}$ .

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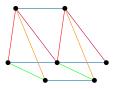


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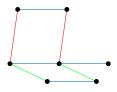
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Now consider one of these linearly independent subsets accounting for more than  $(1/2) \cdot n \cdot \log_2 n$  pairs  $\{x, y\} \subseteq S$  with  $x - y \in \{\pm u_1, \dots, \pm u_k\}$ .

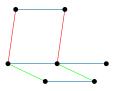
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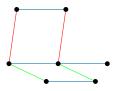


Proof Sketch:

The graph with vertex set S and edges for  $\{x, y\} \subseteq S$  with  $x - y \in \{\pm u_1, \ldots, \pm u_k\}$  can be viewed as a subgraph of the k-dimensional grid graph  $\mathbb{Z}^k$ .

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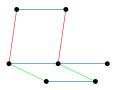
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Alternatively, one can also just prove this fact with an inductive argument,  $\alpha_{0,0}$ 

It remains to prove the following:

#### Lemma (Alon, Bucić, S., 2023+)

For any fixed  $d \ge 2$ , for most norms  $\|.\|$  on  $\mathbb{R}^d$ , there do not exist distinct vectors  $u_1, \ldots, u_{d\ell+1} \in \mathbb{R}^d$  for any  $\ell \ge 1$  with  $\|u_1\| = \cdots = \|u_{d\ell+1}\| = 1$  such that  $u_j \in \operatorname{span}_{\mathbb{Q}} \{u_1, \ldots, u_\ell\}$  for  $j = 1, \ldots, d\ell + 1$ .

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For any  $\ell \geq 1$ , any rational  $\eta > 0$ , and any coefficients  $a_{ji} \in \mathbb{Q}$ , we consider the set of norms  $\|.\|$  on  $\mathbb{R}^d$  for which there are vectors  $u_1, \ldots, u_{d\ell+1} \in \mathbb{R}^d$ with  $\|u_1\| = \cdots = \|u_{d\ell+1}\| = 1$  and  $u_j = \sum_{i=1}^{\ell} a_{ji}u_i$  for  $j = 1, \ldots, d\ell + 1$ , such that the angles between the lines span $(u_i)$  are all at least  $\eta$ .

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It remains to prove the following:

### Lemma (Alon, Bucić, S., 2023+)

For any fixed  $d \ge 2$ , for most norms  $\|.\|$  on  $\mathbb{R}^d$ , there do not exist distinct vectors  $u_1, \ldots, u_{d\ell+1} \in \mathbb{R}^d$  for any  $\ell \ge 1$  with  $\|u_1\| = \cdots = \|u_{d\ell+1}\| = 1$  such that  $u_j \in \operatorname{span}_{\mathbb{Q}}\{u_1, \ldots, u_\ell\}$  for  $j = 1, \ldots, d\ell + 1$ .

We need to show that the set of norms  $\|.\|$  for which such vectors  $u_1, \ldots, u_{d\ell+1}$  exist, is a meagre set (i.e. it is a countable union of nowhere dense subsets).

For any  $\ell \geq 1$ , any rational  $\eta > 0$ , and any coefficients  $a_{ji} \in \mathbb{Q}$ , we consider the set of norms  $\|.\|$  on  $\mathbb{R}^d$  for which there are vectors  $u_1, \ldots, u_{d\ell+1} \in \mathbb{R}^d$ with  $\|u_1\| = \cdots = \|u_{d\ell+1}\| = 1$  and  $u_j = \sum_{i=1}^{\ell} a_{ji}u_i$  for  $j = 1, \ldots, d\ell + 1$ , such that the angles between the lines span $(u_i)$  are all at least  $\eta$ .

We want to show that this set of norms is always nowhere dense.

Lisa Sauermann (MIT)

We consider the set of norms  $\|.\|$  on  $\mathbb{R}^d$  for which there are vectors  $u_1, \ldots, u_{d\ell+1} \in \mathbb{R}^d$  with  $\|u_1\| = \cdots = \|u_{d\ell+1}\| = 1$  and  $u_j = \sum_{i=1}^{\ell} a_{ji}u_i$  for  $j = 1, \ldots, d\ell + 1$ , such that the angles between the lines span $(u_j)$  are all at least  $\eta$ . Our goal is showing that this set is nowhere dense.

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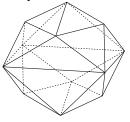
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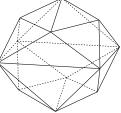
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Since  $u_j = \sum_{i=1}^{\ell} a_{ji} u_i$  for  $j = 1, ..., d\ell + 1$ , we get a linear equation which is very close to satisfied for the constant terms for the constraints of  $\underline{B}$ .

We then defined B to be a polytope obtained from  $B_1$  by shifting the facets slightly in a suitable way.

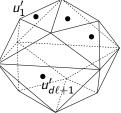


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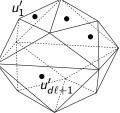
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If  $\|.\|$  is a norm in this set, and the unit ball of  $\|.\|$  is very close to B (in Hausdorff metric), there must be points  $u'_1, \ldots, u'_{d\ell+1}$  on distinct facets of B such that a certain linear equation is very close to being satisfied for the constant terms for the constraints of B.

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But we can choose the polytope B so that this cannot happen.

# Thank you very much for your attention!

