

Computing nonsurjective primes associated to Galois representations of genus 2 curves

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The mod- ℓ Galois representation of a curve over \mathbb{Q}

- Let
 - C/\mathbb{Q} be a smooth, projective, geometrically integral curve of genus g ,
 - $A = \text{Jac}(C)$.
- For each prime ℓ , there is the *mod- ℓ Galois representation*

$$\rho_{A,\ell} : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{Aut}(A[\ell]) \cong \text{GSp}_{2g}(\mathbb{F}_\ell)$$

Question

For what ℓ is $\rho_{A,\ell}$ surjective?

Serre's Open Image Theorem addresses this question almost entirely

Theorem (Serre, 2000)

If A is typical, i.e. $\text{End}(A_{\overline{\mathbb{Q}}}) = \mathbb{Z}$, and if $\dim A$ is 2, 6, or odd, then $\rho_{A,\ell}$ is surjective for all but finitely many ℓ .

Problem

Given a typical genus 2 curve C/\mathbb{Q} , can we compute the finitely many nonsurjective primes ℓ ?

- The analogous problem for elliptic curves with an algorithm implemented in Sage.
- Sutherland (2015) devised an algorithm to compute $\text{Im } \rho_{E,\ell}$.

We have an algorithm to find exactly the nonsurjective ℓ .

- “Part 1 algorithm (Dieulefait, 2002 + small improvements)”:
 - Given C/\mathbb{Q} , generate a finite list $\text{PossiblyNonsurjectivePrimes}(C)$ that provably contains all nonsurjective primes ℓ
- “Part 2 algorithm”:
 - Given C/\mathbb{Q} and $B > 0$, obtain a sublist $\text{LikelyNonsurjectivePrimes}(C; B)$.
 - If B is big enough, then this sublist consists precisely of the nonsurjective primes.

A quick example of these ideas

Running our code on [8450.a.8450.1](#) from LMFDB:

$$y^2 + (x + 1)y = x^5 + x^4 - 9x^3 - 5x^2 + 21x$$

Part 1 gives us

2, 3, 5, 7, 13

as the only possibly non-surjective primes.

Running Part 2 by sampling Frob_p for all $p < 1,000$

Conclusions	Frobenius witnesses for each maximal subgroup
Not surjective at 2	[3, 7, 7, 0, 3]
Surjective at 3	[11, 11, 29, 11, 11, 11]
Surjective at 5	[7, 3, 11, 3, 3, 3, 3, 11, 3]
Surjective at 7	[29, 29, 3, 11]
Not surjective at 13	[0, 0, 3]

The two parts share overarching ideas

- 1 Use Mitchell's 1914 classification of maximal (proper) subgroups of $\mathrm{PSp}_4(\mathbb{F}_\ell)$
- 2 Sample characteristic polynomials $P_p(t)$ of Frobenius elements $\mathrm{Frob}_p \in \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ acting on $A[\ell]$.

Remark

- *We classify maximal subgroups of $\mathrm{GSp}_4(\mathbb{F}_\ell)$ with surjective similitude character.*
- *$\rho_{A,\ell}$ is surjective $\Leftrightarrow \mathrm{Im} \rho_{A,\ell}$ is not contained in one of these maximal subgroups.*
- *$P_p(t)$ can be computed by counting $C(\mathbb{F}_p)$ and $C(\mathbb{F}_{p^2})$.*

$\mathrm{GSp}_4(\mathbb{F}_\ell)$ has a few types of maximal subgroups

Write (V, ω) for the 4-dimensional symplectic bilinear form space.

- Reducible maximal subgroups
 - Stabilizer of a 1-dimensional isotropic subspace for ω
 - Stabilizer of a 2-dimensional isotropic subspace for ω
- Irreducible subgroups; normalizer of stabilizer subgroup of V_1 and V_2 where $V = V_1 \oplus V_2$, $\dim V_i = 2$, and V_1 and V_2 are jointly defined over \mathbb{F}_ℓ and either
 - V_i are both nondegenerate for ω or
 - V_i are both isotropic for ω
- Stabilizer of a twisted cubic
- Exceptional maximal subgroups

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 - V_i are both nondegenerate for ω or
 - V_i are both isotropic for ω
- ~~Stabilizer of a twisted cubic (unless $\ell \leq 7$ or ℓ is not semistable)~~
- ~~Exceptional maximal subgroups (unless $\ell \leq 7$ or ℓ is not semistable)~~

One subalgorithm for part 1 uses modularity.

Suppose that ℓ is nonsurjective good prime by virtue of:

- $\rho_{A,\ell}^{\text{semisimplification}} \cong_{\mathbb{F}_\ell} \pi_1 \oplus \pi_2$ where
- $\dim(\pi_i) = 2$, $\det(\pi_i) = \text{cyc}_\ell$.

By Khare-Wintenberger's theorem (2006, 2009), previously Serre's conjecture, there exist modular forms f_i such that $\pi_i \cong \rho_{f_i,\ell}$.

- In fact, $f_i \in S_2^{\text{new}}(\Gamma_0(N_i))$.
- Thus, for any good prime $p \neq \ell N$,

$$P_p(t) \equiv (t^2 - a_p(f_1)t + p)(t^2 - a_p(f_2)t + p) \pmod{\ell}.$$
$$\ell \mid \text{Res}(P_p(t), t^2 - a_p(f_i)t + p)$$

Part 2 removes surjective primes by sampling Frob_p

- $\rho_{A,\ell}$ is not surjective $\Leftrightarrow \text{Im } \rho_{A,\ell}$ is contained in some maximal subgroup.
- There are criteria for $P_p(t)$ to satisfy for $\text{Im } \rho_{A,\ell}$ to be contained in the various types/conjugacy classes of maximal subgroups of $\text{GSp}_4(\mathbb{F}_\ell)$.
- Given C and $B > 0$, and for each ℓ , we sample $P_p(t)$ for $p < B$ and remove ℓ if all of these criteria are violated for some (combination of) $P_p(t)$.

Remark

$\rho_{A,2}$ is surjective if and only if the Galois group of the splitting field of the discriminant of C is $\text{Sym}(6)$.

The general criteria is purely group theoretic

Proposition

Let $\ell > 7$ be a prime and let $G \subseteq \mathrm{GSp}_4(\mathbb{F}_\ell)$. Then, $G = \mathrm{GSp}_4(\mathbb{F}_\ell)$ if and only if there exist $X, Y \in G$ such that

- *charpoly(X) is irreducible*
- *trace(Y) $\neq 0$ and charpoly(Y) has a linear factor with multiplicity one.*

Part 2 gives exactly the nonsurjective ℓ if enough Frobenii are considered

Theorem

Given a typical genus 2 curve C/\mathbb{Q} , if B is sufficiently large, then $\text{LikelyNonsurjectivePrimes}(C, B)$ consists exactly of the nonsurjective primes of A .

Question

What is “sufficiently large” here?

Chebotarev bounds give sufficiently large B

Theorem

Let q be the largest non-surjective prime for C . Assuming GRH, any B such that

$$B \geq (4 [(2q^{11} - 1) \log \text{rad}(2qN_A) + 22q^{11} \log(2q)] + 5q^{11} + 5)^2$$

is “sufficiently large”.

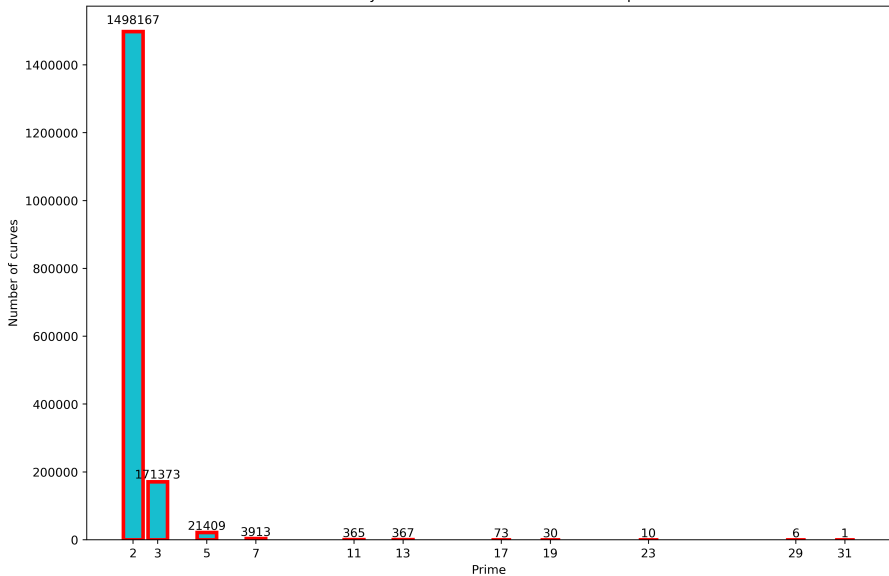
Theorem

Assuming that $\rho_{A,\ell}$ is surjective, there is an effective bound B_0 such that, for any $B > B_0$, if we sample $P_p(t)$ for n primes $p \in [B, 2B]$ uniformly and independently at random, then the Part 2 algorithm fails to remove ℓ with a probability $< 3 \cdot \left(\frac{9}{10}\right)^n$.

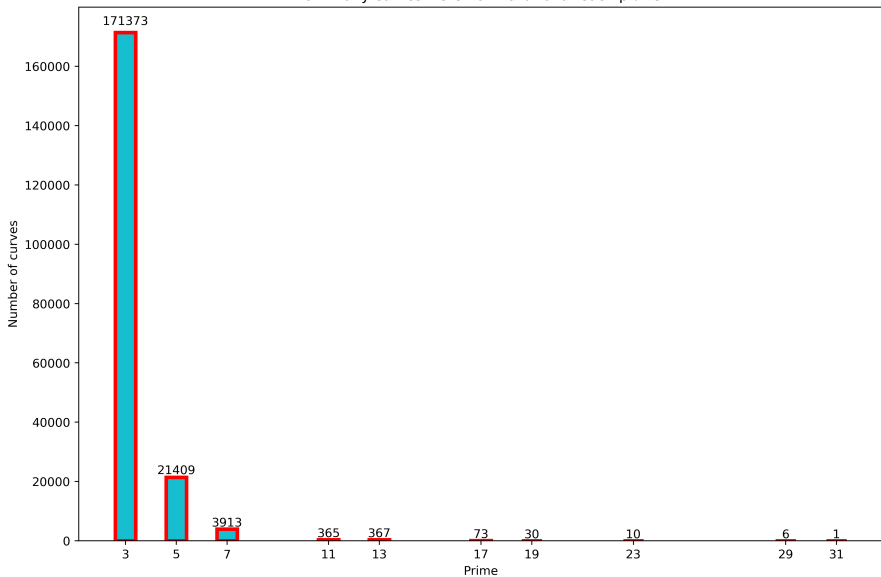
We ran our algorithm on 1,743,737 typical genus 2 curves

- All of conductor bounded by 2^{20} .
- The curves are being prepared for addition into the LMFDB.
- Let $B = 1,000$ in the part 2 algorithm.
- Took about 35 hours on MIT's Lovelace computer.

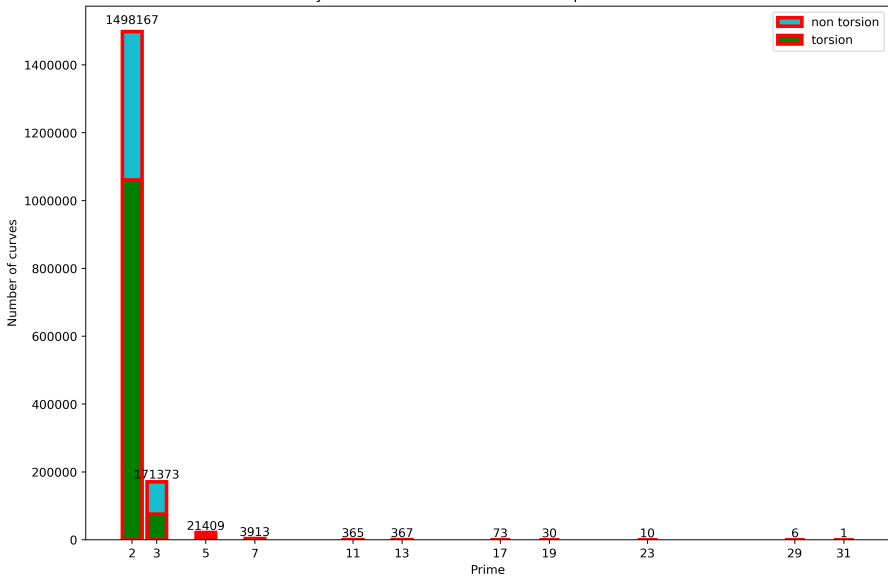
How many curves were nonmaximal at each prime?



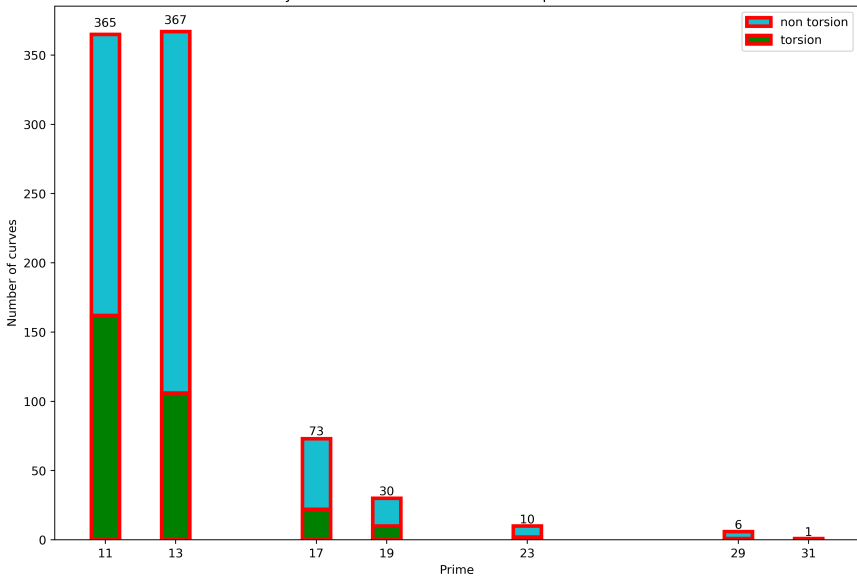
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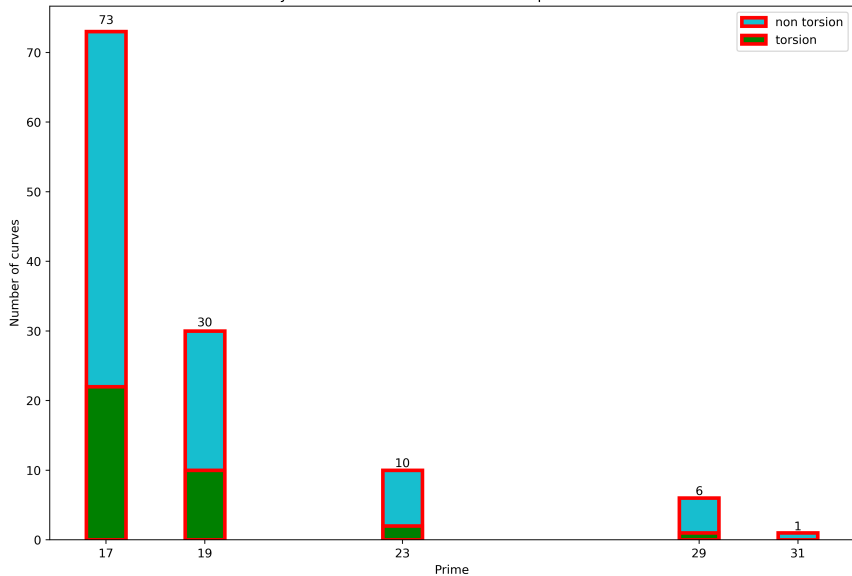
How many curves were nonmaximal at each prime due to torsion?



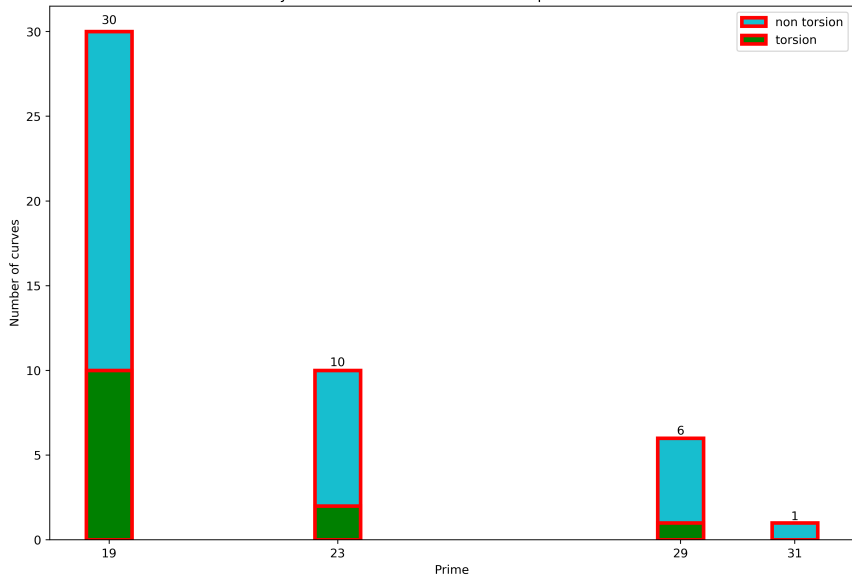
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Find our computational results on the LMFDB!

- We had also run our code all 63,107 typical genus 2 curves in the LMFDB
- <https://www.lmfdb.org/>
- <https://www.lmfdb.org/Genus2Curve/Q/439587/d/439587/1>





Further questions

- Can we compute $\text{Im } \rho_{A,\ell}$ when ℓ is not surjective?
- $\dim(A) > 2$?
- Other number fields?
- Bounds on the index of Galois image?

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