

# Improved Methods for Finding Imaginary Quadratic Fields with High $n$ -rank

Michael J. Jacobson, Jr.



Joint work with C. Bagshaw, N. Rollick, and R. Scheidler

LuCaNT 2023, ICERM

# Quadratic Fields

Quadratic field:  $\mathbb{Q}(\sqrt{\Delta}) = \{x + y\sqrt{\Delta} \mid x, y \in \mathbb{Q}\}$

- $\Delta \equiv 0, 1 \pmod{4}$  : discriminant
- $\Delta$  or  $\Delta/4$  is square-free (fundamental discriminant)
- $\Delta < 0$  : imaginary quadratic

$Cl_{\Delta}$  : ideal class group (finite abelian)

- Elementary divisors:  $d_i$  such that  $d_{i+1} \mid d_i$  and  $Cl_{\Delta} \cong \prod C(d_i)$

$r_n(\Delta)$  :  $n$ -rank (number of elementary divisors of  $Cl_{\Delta}$  divisible by  $n$ )

# What Do We Know about the $p$ -Rank ( $p$ odd prime)?

Not much!

Cohen-Lenstra heuristics:  $\{\Delta \mid r_p(\Delta) = k\}$  has positive natural density (approx.  $1/p^{k^2}$ ) for all  $k \geq 0$

- seems true (extensive data), not proved for a single pair  $(p, k)$ .

Some infinite families known for small  $(p, k)$ :

- for all  $n$  and  $k = 1$  (Nagell 1922)
- for all  $n$  and  $k = 2$  (Yamamoto 1970)
- $p = 3$  and  $k = 3, 4$  (Craig 1977)
- $p = 5$  and  $k = 2, 3$  (Mestre 1992)

# Largest Known $p$ -ranks

**Question:** Is the  $p$ -rank unbounded?

- no known examples with  $r_p(\Delta) > 6$

$r_3(\Delta) \leq 6$  Llorente and Quer 1987 (using Diaz y Diaz 1978)

$r_5(\Delta) \leq 4$  Schoof 1983 (using Mestre 1983)

$r_7(\Delta) \leq 3$  Solderitsch 1977

$r_{11}(\Delta) \leq 3$  Lépévost 1993

$r_{13}(\Delta) \leq 3$  Ramachandran, J., Williams 2006

$r_{17}(\Delta) \leq 3$  Mosunov, J. 2016

$r_{19}(\Delta) \leq 3$  Ramachandran, J., Williams 2006

# Our Results

## Goal:

- construct imaginary quadratic fields with “large”  $p$ -rank
- as small discriminants as possible

## Results:

- improvements to Diaz y Diaz’s algorithm, generalization to odd  $n > 3$
- smallest known example with  $r_5(\Delta) = 4$
- first example with  $r_7(\Delta) = 4$

Yamamoto 1970:  $r_n(\Delta) \geq 2$ 

Suppose  $\mathfrak{m}^n$  is principal ( $\mathfrak{m}$  has order  $n$  in  $Cl_\Delta$ ), i.e.

$$\mathfrak{m}^n = \left( \frac{y + z\sqrt{\Delta}}{2} \right), \quad \text{for } n \in \mathbb{N}, y, z \in \mathbb{Z}$$

Taking norms (assuming  $N(\mathfrak{m}) = m$ ):

$$4m^n = y^2 + z^2|\Delta| \tag{1}$$

**Idea:** find *two* solutions with the *same*  $\Delta$  and prove that

- both solutions correspond to ideal classes of order **exactly**  $n$
- these classes are independent

## Search Method (generalized and simplified Diaz y Diaz)

Want to search for integers  $m_1, y_1, m_2, y_2$  such that

$$4m_1^n - y_1^2 = (\lambda_1^2)z^2|\Delta|$$

$$4m_2^n - y_2^2 = (\lambda_2^2)z^2|\Delta|$$

Fix  $\lambda_1, \lambda_2$ . For all  $m_1, m_2$  such that  $1 < m_2 < m_1 \leq B$ :

- Rearrange and equate:  $4\lambda_2^2 m_1^n - 4\lambda_1^2 m_2^n = (\lambda_2 y_1)^2 - (\lambda_1 y_2)^2$
- Factor  $4\lambda_2^2 m_1^n - 4\lambda_1^2 m_2^n = ab$
- Using  $ab = \left(\frac{a+b}{2}\right)^2 - \left(\frac{a-b}{2}\right)^2$ , set  $y_1 = \frac{a+b}{2\lambda_2}$ ,  $y_2 = \frac{a-b}{2\lambda_1}$
- If  $y_1, y_2 \in \mathbb{Z}$ , obtain  $\Delta$  from  $y_1^2 - 4m_1^n = (\lambda_1 z)^2|\Delta|$  if it is  $< 0$

Note: Diaz y Diaz parameterizes  $m_2 = m_1 + t$  with  $1 \leq m_1 < m_2 < m_1^{p/2}$

# Improvements

Yields two solutions to (1). To test  $r_n(\Delta) \geq 2$  :

- Check order  $n$ : need  $c_i = \gcd(m_i, \lambda_i z) \mid \Delta$  and  $c_i$  squarefree
- Check independence: eg. if  $n$  is prime, need
  - $m_1 < \sqrt{|\Delta|/4}$ ,  $m_2^{(n-1)/2} < \sqrt{|\Delta|/4}$ , and  $m_1 \nmid m_2^{(n-1)/2}$

Improvements:

- ① Independence requires  $m_2 < |\Delta|^{1/(n-1)}$ , too restrictive for  $n > 3$ 
  - compute ideals of norm  $m_1$  and  $m_2$  (extension of Kuroda 1964)
  - compute subgroup they generate
- ② Sieve  $f(m_1, m_2) = 4\lambda_2^2 m_1^n - 4\lambda_1^2 m_2^n$  instead of factoring each value.



## Performance in Practice

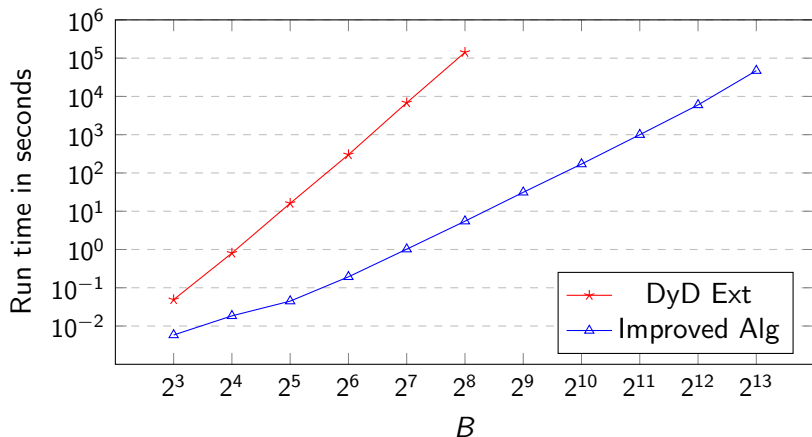


Figure: Run times for various upper bounds on  $m_1$ , for  $p = 5$

## Performance in Practice

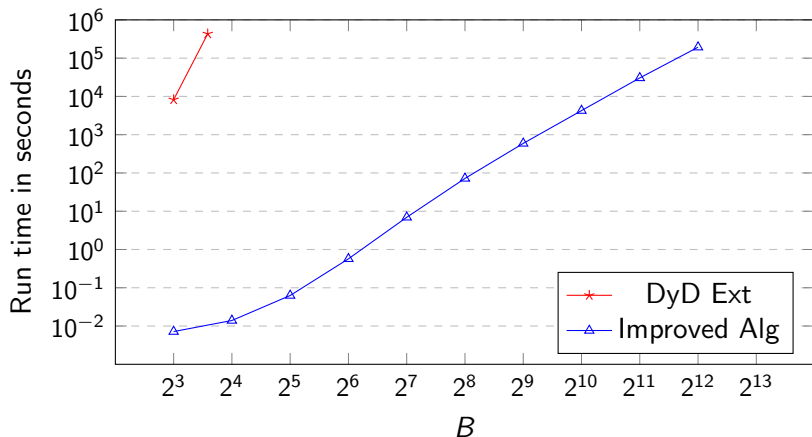


Figure: Run times for various upper bounds on  $m_1$ , for  $p = 11$

# Search Statistics

239 cores (2x Intel Xeon Gold 6148 CPU, 2.40GHz)

Prime	$B$	$\#\Delta$ found	Search $t$ (days)	$\#Cl_\Delta$ computed	$Cl_\Delta$ $t$ (days)
3	196608	20609841975	197.53	20609841975	1233.77
5	65536	1331448842	1452.29	1331448842	2842.37
7	40960	402708300	1689.29	297354233	3346.00
11	8192	13236853	1258.75	10342190	3346.00
13	5632	5013641	1419.18	2522501	3346.00

Observations:

- fewer examples found for larger  $p$  (as expected)
- most time is spent computing class groups

## Results

$p$	$r_p(\Delta)$	Smallest Known	Smallest Found	# $\Delta$ Found
3	2	-3299	-3299	19465189858
3	3	-3321607	-3321607	1138191130
3	4	-653329427	-653329427	6454019
3	5	-5393946914743	-5393946914743	6968
5	2	-11199	-11199	1318152618
5	3	-11203620	-11203620	13291706
<b>5</b>	<b>4</b>	-258559351511807	<b>-1264381632596</b>	4518
7	2	-63499	-149519	296341915
7	3	-501510767	-16974157711	1012251
<b>7</b>	<b>4</b>	?	<b>-469874684955252968120</b>	<b>67</b>

$r_7(\Delta) = 4$  example:

$$Cl_{\Delta} \cong C(340830) \times C(14) \times C(14) \times C(14) \times C(2) \times C(2) \times C(2)$$

# Future Work

Fast heuristic filter for large  $p$ -ranks

- Llorente and Quer 1987: use connection between 3-rank and elliptic curve rank, Birch Swinerton-Dyer to estimate rank
- Can we do this for  $p > 3$ ?

Compare/combine with geometric methods (eg. Gillibert, Levin 2018)?

Adapt Belabas 2004 (tabulation of  $\Delta$  with  $r_3(\Delta) > 1$ ) for  $p > 3$ ?

New ideas!?