

# Isogeny classes of typical, principally polarized abelian surfaces over $\mathbb{Q}$

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# Isogenies

## Definition

An **isogeny** between two abelian varieties over  $\mathbb{Q}$  is a morphism  $\varphi: A \rightarrow B$  such that  $\# \ker \varphi < \infty$ .

Isogenies are obtained by taking quotients by finite subgroups defined over  $\mathbb{Q}$ . Being isogenous is an **equivalence relation**.

## Theorem (Faltings)

The isogeny class of  $A$  over  $\mathbb{Q}$  is finite.

Two abelian varieties in the same isogeny class share many properties, including

- dimension
- Mordell–Weil rank  $\text{rk}_{\mathbb{Z}} A(\mathbb{Q})$
- $L$ -function
- endomorphism algebra  $\text{End}(A) \otimes \mathbb{Q}$

## Theorem (Faltings)

The isogeny class of  $A$  over  $\mathbb{Q}$  is finite.

Can construct (finite, connected) **isogeny graphs**:

- vertices: abelian varieties in an isogeny class,
- edges: indecomposable isogenies and labelled by degree.

## Questions

- What are the possible isogeny graphs when  $\dim(A)$  is fixed?
- Can we compute the isogeny graph of a given abelian variety  $A$ ?

# Elliptic curves over the rationals

We can explore isogeny graphs of elliptic curves over  $\mathbb{Q}$  at the [LMFDB](#).

- Ignoring degrees, we find 10 non-isomorphic graphs:

| Size     | 1                    | 2                    | 3                    | 4  | 6   | 8   |
|----------|----------------------|----------------------|----------------------|--|---|---|
| Examples | <a href="#">37.a</a> | <a href="#">26.b</a> | <a href="#">11.a</a> | <a href="#">27.a</a> , <a href="#">20.a</a> , <a href="#">17.a</a> | <a href="#">14.a</a> , <a href="#">21.a</a> | <a href="#">15.a</a> , <a href="#">30.a</a> |

- All edge labels, i.e. degrees of indecomposable isogenies, are prime.
- Not all primes  $\ell$  appear as isogeny degrees: only

$$\ell \in \{2, \dots, 19, 37, 43, 67, 163\}.$$

## Lemma

Any isogeny  $\varphi: E \rightarrow E'$  can be factored as  $E \xrightarrow{[n]} E \xrightarrow{\varphi_1} E_1 \xrightarrow{\varphi_2} \dots \xrightarrow{\varphi_n} E_n = E'$ , where  $\deg(\varphi_i) = \ell_i$  are primes and  $\varphi_i$  are defined over  $\mathbb{Q}$ .

# Elliptic curves over the rationals

## Theorem (Mazur)

If  $\varphi: E \rightarrow E'$  defined over  $\mathbb{Q}$  has prime degree  $\ell$ , then  $\ell \in \{2, \dots, 19, 37, 43, 67, 163\}$ .

## Theorem (Kenku)

Any isogeny class of elliptic curves over  $\mathbb{Q}$  has size at most 8.

## Chiloyan, Lozano-Robledo 2021

Complete classification of possible labelled isogeny graphs.

The LMFDB contains examples for all of these graphs.

# Higher dimensions?

## Algorithmic problem

Given an abelian surface  $A$  (i.e.  $g = 2$ ) over  $\mathbb{Q}$ , compute its isogeny class.

In this work, we add two additional assumptions:

- $A$  is **principally polarized**, i.e. equipped with  $A \simeq A^\vee$ . True for ECs and Jacobians.
- $A$  is **typical**, i.e.  $\text{End}(A_{\overline{\mathbb{Q}}}) = \mathbb{Z}$ .

Then  $A$  is the Jacobian of genus 2 curves over  $\mathbb{Q}$ :

$$y^2 = f(x), \quad \deg(f) = 5 \text{ or } 6 \text{ and } f \text{ has distinct roots.}$$

The **LMFDB** contains genus 2 curves with small discriminants, grouped by isogeny class of their Jacobians, but these isogeny classes are currently not complete.

# Algorithmic approach

## Algorithmic problem

Given an abelian variety  $A$  over  $\mathbb{Q}$ , compute its isogeny class.

**For an elliptic curve  $E/\mathbb{Q}$ :**

1. Search for  $\ell$ -isogenies  $E \rightarrow E'$  for each  $\ell$  in Mazur's list. This is a finite problem.
2. Reapply on  $E'$  as needed.

**In general:**

1. Classify the possible isogeny types. (E.g., “prime degree” for elliptic curves.)
2. Compute a finite number of possible degrees. We now face a finite problem.
3. Search for all isogenies of a given type and degree.
4. Reapply as needed.

# Classification of isogenies

Let  $A$  be typical, principally polarized abelian surface.

## Proposition

The isogeny class of  $A$  can be enumerated using isogenies  $\varphi$  of the following types:

1. **1-step**:  $K := \ker(\varphi)$  is a maximal isotropic subgroup of  $A[\ell]$ , so  $K \simeq (\mathbb{Z}/\ell\mathbb{Z})^2$ ,
2. **2-step**:  $K$  is a maximal isotropic subgroup of  $A[\ell^2]$  and  $K \simeq (\mathbb{Z}/\ell\mathbb{Z})^2 \times \mathbb{Z}/\ell^2\mathbb{Z}$ .

These isogenies are of degree  $\ell^2$  and  $\ell^4$  respectively. Here “isotropic” means: isotropic w.r.t. the Weil pairing on  $A[\ell]$  or  $A[\ell^2]$ , so that the quotient abelian surface  $A/K$  is still principally polarized.

We need to know which primes  $\ell$  can arise. However no analogue of Mazur’s isogeny theorem is known for  $g > 1$ .



# Dieulefait's algorithm

## Serre's open image theorem

If  $A$  is a **typical** abelian surface, then  $A[\ell]$  has a nontrivial subgroup defined over  $\mathbb{Q}$  only for finitely many primes  $\ell$ .

This is good: if  $\varphi$  is a 1-step isogeny, then  $A[\ell]$  contains a 2-dimensional subspace defined over  $\mathbb{Q}$ . If  $\varphi$  is 2-step, then  $A[\ell]$  contains a 1-dimensional subspace over  $\mathbb{Q}$ .

## Algorithm (Dieulefait, 2002)

**Input:** Genus 2 curve  $C$  such that  $A = \text{Jac}(C)$

**Output:** Finite set of primes  $\ell$  containing those for which  $A[\ell]$  has nontrivial subgroups defined over  $\mathbb{Q}$ .

Example where the only possibilities are isogenies of degree  $31^2$ :

$$C: y^2 + (x + 1)y = x^5 + 23x^4 - 48x^3 + 85x^2 - 69x + 45.$$

# Analytic isogenies

The only reasonable algorithm to actually find isogenies is to use **analytic methods**, i.e.  $\mathbb{Q} \leftrightarrow \mathbb{C}$ .

We have  $A(\mathbb{C}) = \mathbb{C}^2 / (\mathbb{Z}^2 + \tau\mathbb{Z}^2)$  for some **period matrix**  $\tau \in \mathbb{H}_2$ : this means  $\tau$  is a  $2 \times 2$  complex, symmetric matrix such that  $\text{Im}(\tau)$  is positive definite.  $\mathbb{H}_2$  carries an action of  $\text{GSp}_4(\mathbb{R})^+$ , analogous to the “usual” action of  $\text{GL}_2^+(\mathbb{R})$  on  $\mathbb{H}_1$ .

## Lemma

There are explicit sets  $S_1(\ell)$  and  $S_2(\ell) \subset \text{GSp}_4(\mathbb{Q})^+$  such that for  $i = 1, 2$ ,

$$\{\text{ab. surfaces } i\text{-step } \ell\text{-isogenous to } \mathbb{C}^2 / (\mathbb{Z}^2 + \tau\mathbb{Z}^2)\} = \{\mathbb{C}^2 / (\mathbb{Z}^2 + \gamma\tau\mathbb{Z}^2)\}_{\gamma \in S_i(\ell)}.$$

We need to decide when  $\gamma\tau \in \mathbb{H}_2$  is attached to an abelian surface **defined over  $\mathbb{Q}$** , and if so, reconstruct the associated genus 2 curve.

# Finding isogenous curves

## Task

Decide which  $\gamma\tau$ , for  $\gamma \in S_1(\ell)$  or  $S_2(\ell)$ , are period matrices of  $\text{Jac}(C)$  for some genus 2 curve  $C/\mathbb{Q}$ .

## Problem

Modular polynomials are of size  $\mathcal{O}(\ell^{15+\epsilon})$ , which is too big! ( $\gg 29$  GB for  $\ell = 7$ )

1. Evaluate **Siegel modular forms** at  $\gamma\tau$ . This yields  $\mathbb{C}$ -valued **invariants** of the curve  $C$ . (Think: the  $j$ -invariant of elliptic curves is also an analytic function.) Call these invariants  $N(j, \gamma)$  for  $j \in \{4, 6, 10, 12\}$ .
2. If  $C$  is defined over  $\mathbb{Q}$ , then  $N(j, \gamma)$  is a rational number, and even an **integer** if properly constructed. We can certify this with **interval arithmetic**.
3. Given these invariants in  $\mathbb{Z}$ , reconstruct an equation for  $C$  by “standard methods” (Mestre’s algorithm, computing the correct twist.)

## Example, continued

Let  $\ell = 31$ ,  $i = 1$  and

$$C: y^2 + (x + 1)y = x^5 + 23x^4 - 48x^3 + 85x^2 - 69x + 45.$$

Working at 300 bits of precision, there is only one  $\gamma_0 \in S_1(\ell)$  such that the invariants  $N(j, \gamma_0)$  for  $j \in \{4, 6, 10, 12\}$  could possibly be integers:

$$N(4, \gamma_0) = \alpha^2 \cdot 318972640 + \varepsilon \quad \text{with } |\varepsilon| \leq 7.8 \times 10^{-47},$$

$$N(6, \gamma_0) = \alpha^3 \cdot 1225361851336 + \varepsilon \quad \text{with } |\varepsilon| \leq 5.5 \times 10^{-39},$$

$$N(10, \gamma_0) = \alpha^5 \cdot 10241530643525839 + \varepsilon \quad \text{with } |\varepsilon| \leq 1.6 \times 10^{-29},$$

$$N(12, \gamma_0) = -\alpha^6 \cdot 307105165233242232724 + \varepsilon \quad \text{with } |\varepsilon| \leq 4.6 \times 10^{-22}$$

where  $\alpha = 2^2 \cdot 3^2 \cdot 31$ .

We certify equality by working at 4 128 800 bits of precision using **certified quasi-linear time algorithms** for the evaluation of modular forms (Kieffer 2022).

## Example, finding the curve

Given  $(m'_4, m'_6, m'_{10}, m'_{12}) = (318972640, 1225361851336, 10241530643525839, \dots)$ , find a corresponding curve  $C'$  such that  $\text{Jac}(C)$  and  $\text{Jac}(C')$  are isogenous over  $\mathbb{Q}$ .

Mestre's algorithm yields

$$y^2 = -1624248x^6 + 5412412x^5 - 6032781x^4 + 876836x^3 - 1229044x^2 - 5289572x - 1087304,$$

a quadratic twist by  $-83761$  of the desired curve

$$C' : y^2 + xy = -x^5 + 2573x^4 + 92187x^3 + 2161654285x^2 + 406259311249x + 93951289752862.$$

We reapply the algorithm to  $C'$ , and we only find the original curve.

### Remarks

- 113 minutes of CPU time for this example
- 90% of the time is spent certifying the results

## LMFDB data

Originally 63 107 typical genus 2 curves in 62 600 isogeny classes.

By computing isogeny classes, we found 21 923 new curves.

| Size  | 1      | 2     | 3     | 4   | 5   | 6   | 7  | 8  | 9 | 10 | 12 | 16 | 18 |
|-------|--------|-------|-------|-----|-----|-----|----|----|---|----|----|----|----|
| Count | 51 549 | 2 672 | 6 936 | 420 | 756 | 164 | 40 | 45 | 3 | 2  | 3  | 9  | 1  |

### Observation

A 2-step 2-isogeny (of degree 16) always implies an existence of a second one.  
This explains the 6913  $\triangle$  and the 756  $\bowtie$  we found.

The whole computation took 75 hours. Only 3 classes took more than 10 minutes:

- **349.a**: 56 min, isogeny of degree  $13^4$ .
- **353.a**: 23 min, isogeny of degree  $11^4$ .
- **976.a**: 19 min, checking that no isogeny of degree  $29^4$  exists.

## Upcoming to LMFDB

A new set of 1 743 737 typical genus 2 curves due to Sutherland is soon to be added to the LMFDB, split in 1 440 894 isogeny classes. We found 600 948 new curves (in 111 CPU days). Counts per size:

| 1         | 2       | 3       | 4      | 5      | 6      | 7   | 8     | $\geq 9$ |
|-----------|---------|---------|--------|--------|--------|-----|-------|----------|
| 1 032 456 | 116 847 | 197 253 | 54 543 | 15 547 | 14 323 | 430 | 5 594 | 3 901    |

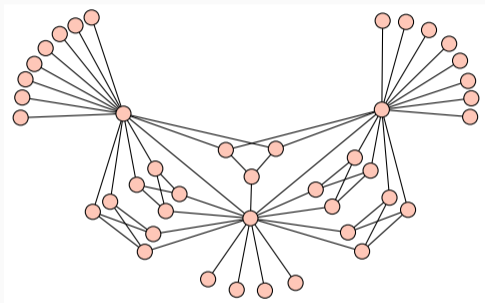
We discovered indecomposable isogenies of degree

$2^2$  (= Richelot isogenies),  $2^4, 3^2, 3^4, 5^2, 5^4, 7^2, 7^4, 11^4, 13^2, 13^4, 17^2, 31^2$ .

- Size 2: 75% have degree  $2^2$ , 22% have degree  $3^4$ , and then  $3^2, 5^4, 5^2, 7^4, 7^2, \dots$
- Size 3: 99% are  $\triangle$  of degree  $2^4$  isogenies.
- Size 4: 98% are  $\succ$  of Richelot isogenies.
- Size 5: 99.8% are  $\bowtie$  of degree  $2^4$  isogenies.
- Size 6: 75% + 15% are two graphs consisting of Richelot isogenies.

# Life, the universe, and everything

Isogeny graph consisting of 42 Richelot isogenous curves (outside our database):



Preprint: <https://arxiv.org/abs/2301.10118>

Code and data: <https://github.com/edgarcosta/genus2isogenies>