

# Maps of braid groups

Dan Margalit  
Georgia Institute of Technology

Braids in Symplectic and Algebraic Geometry  
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w/ Lei Chen and Kevin Kordek



# Executive Summary

We classify homomorphisms:

$$B_n \rightarrow B_{2n}$$

and

$$B'_n \rightarrow B_n$$

New tools: totally symmetric sets, periodics

# Connection to polynomials

$\text{Poly}_n$  = space of monic, square free polynomials of degree  $n$

$$\pi_1(\text{Poly}_n) \cong B_n$$

Our theorems constrain maps

$$\text{Poly}_n \rightarrow \text{Poly}_m$$

# Connection to polynomials

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$$\pi_1(\text{Poly}_n) \cong B_n$$

Resolution of the quartic is an algebraic map

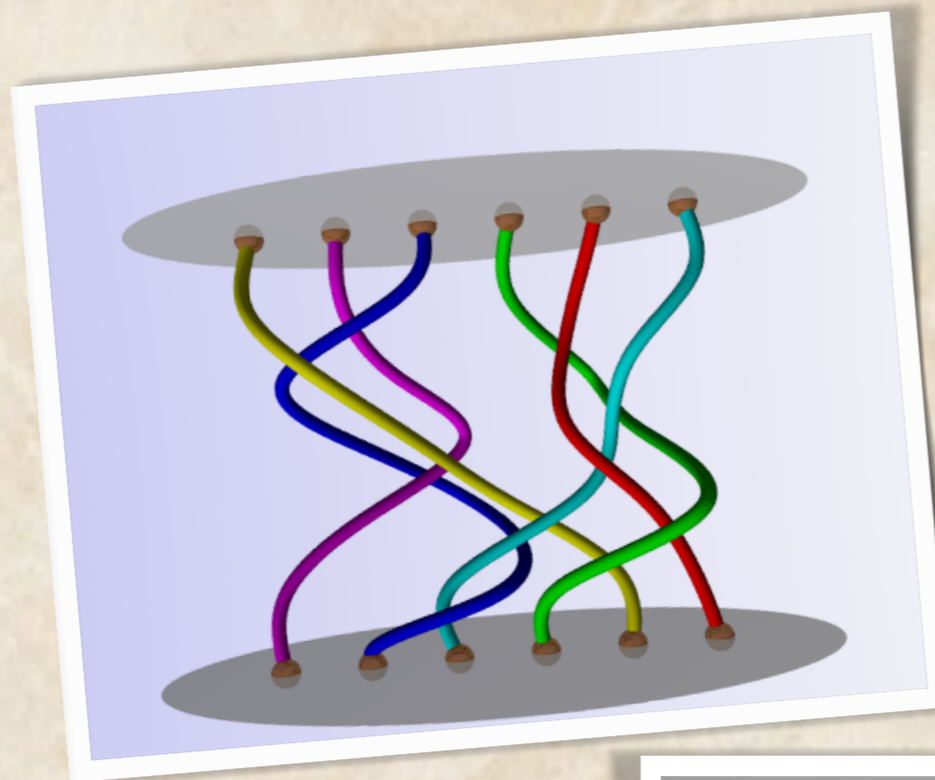
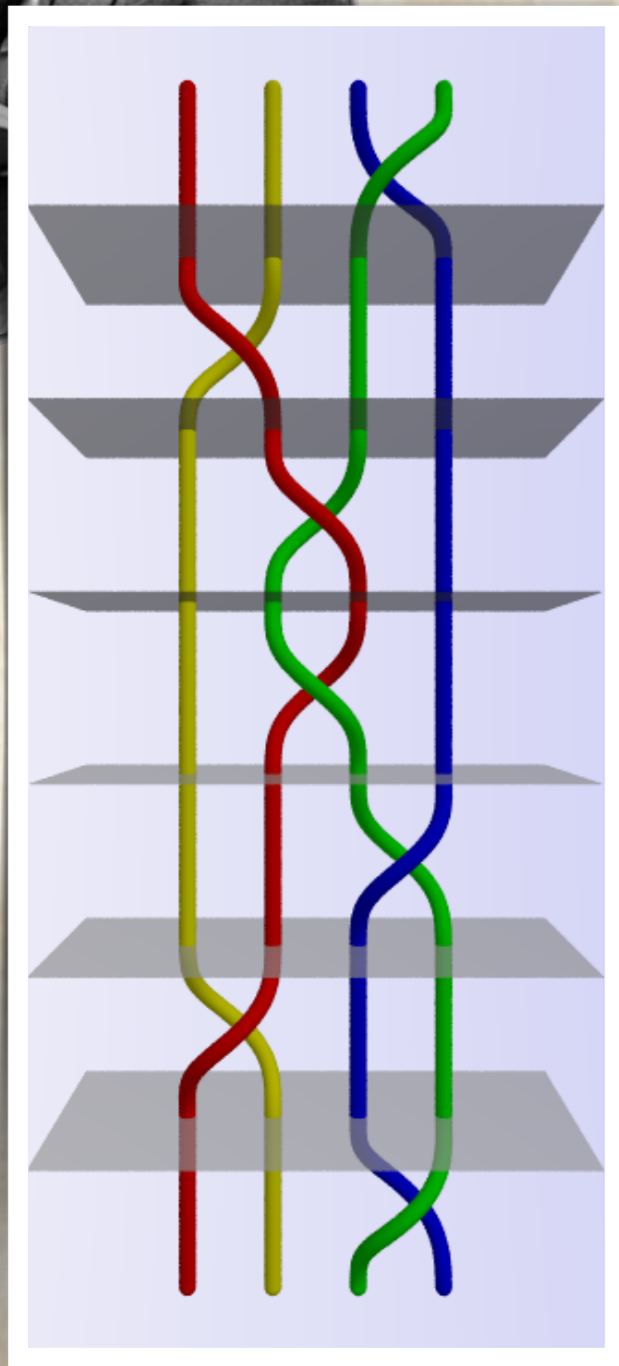
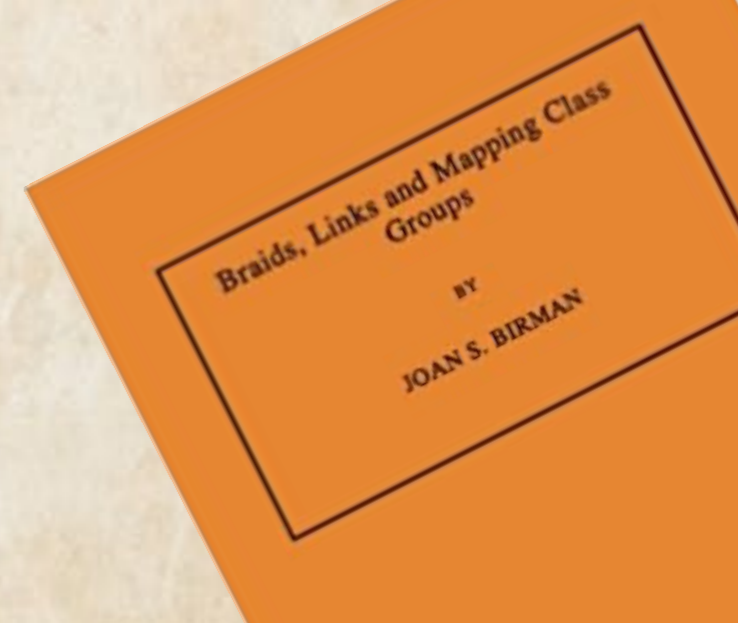
$$\text{Poly}_4 \rightarrow \text{Poly}_3$$

We show every  $B_4 \rightarrow B_3$  is cyclic or factors through the standard map, so no other maps

# Braid groups



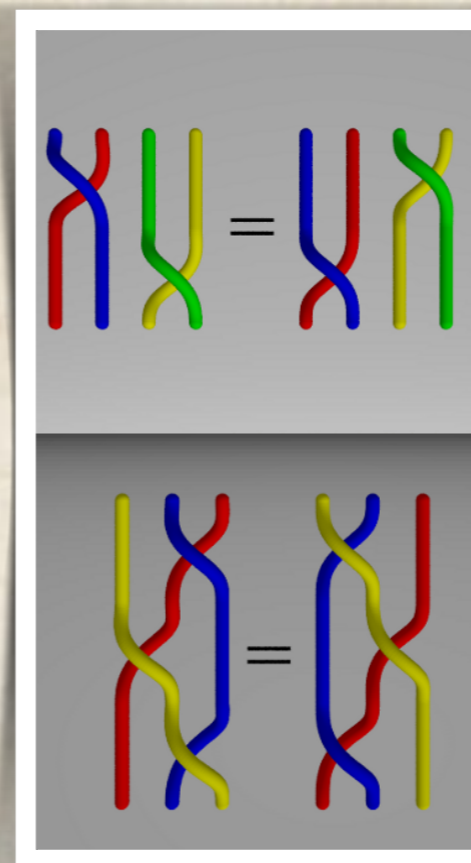
# Braid groups



*Theorems.*

$$B_n \cong \text{MCG}(D_n) \\ \cong \pi_1 \text{Conf}_n(\mathbb{C})$$

Braid images:  
Ester Dalvit



$$\sigma_i \sigma_j = \sigma_j \sigma_i$$

$$|i - j| > 1$$

$$\sigma_i \sigma_{i+1} \sigma_i =$$

$$\sigma_{i+1} \sigma_i \sigma_{i+1}$$

$$\sigma_3 \sigma_1^{-1} \sigma_2^{-1} \sigma_2^{-1} \sigma_3 \sigma_1 \sigma_3$$

# The squared lantern relation



Math:  
Brendle–M

Art:  
Buriakova

# Maps of braid groups: what was known

# Artin's question

In 1947 Artin classified all homomorphisms

$$B_n \rightarrow \Sigma_n$$

and asked about automorphisms of  $B_n$ .

Uses Bertrand's postulate!

# Geometric automorphisms

Sample automorphisms of  $B_n$ :

- Conjugation (i.e. inner automorphisms)
- Inversion:  $\sigma_i \mapsto \sigma_i^{-1} \quad \forall i$

These are **geometric**: induced by  $\text{Homeo}(D_n)$

These generate *all* geometric autos.

# Automorphisms are geometric

*Thm (Dyer–Grossman '81).* All automorphisms of  $B_n$  are geometric.

*Proof idea:*

$$\mathrm{Aut}(B_n) \rightarrow \mathrm{Aut}(B_n/\mathbb{Z}) \rightarrow \mathrm{Aut}(F_{n-1}) \rightarrow \mathrm{Homeo}(D_n)$$

# Some Generalizations

Bell–Margalit '06: Injective  $B_n \rightarrow B_{n+1}$

Castel '08: Homomorphisms  $B_n \rightarrow B_{n+2}$

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Bell–Margalit '07: Automorphisms of  $PB_n$

Childers '17: Automorphisms of hyp. Torelli

McLeay '19: Automorphisms of deeper terms of Johnson filtration

# Main Theorem 1: Expanding the range

# Expanding the range

Having a classification of maps  $B_n \rightarrow B_{n+1}$

we would like to classify maps  $B_n \rightarrow B_m$

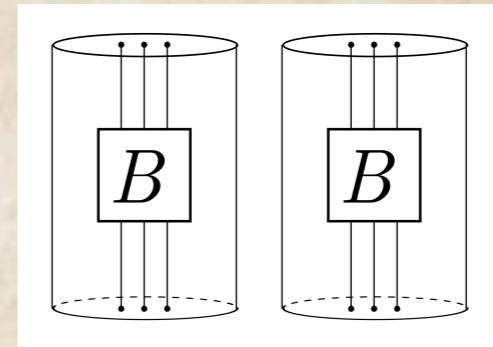
for  $m > n + 1$ .

When  $m=2n$ , there are interesting maps...

# Some homomorphisms

Some homomorphisms  $B_n \rightarrow B_{2n}$  :

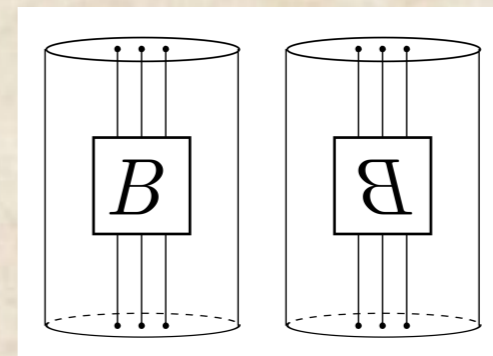
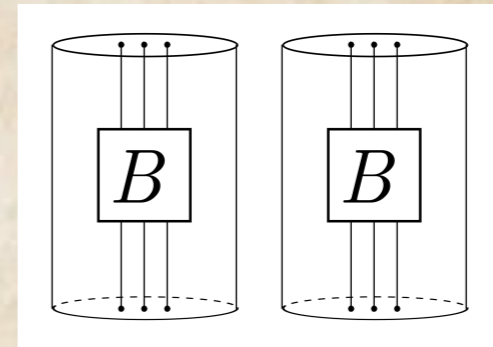
- Diagonal inclusion
- Flip diagonal inclusion
- Many cablings



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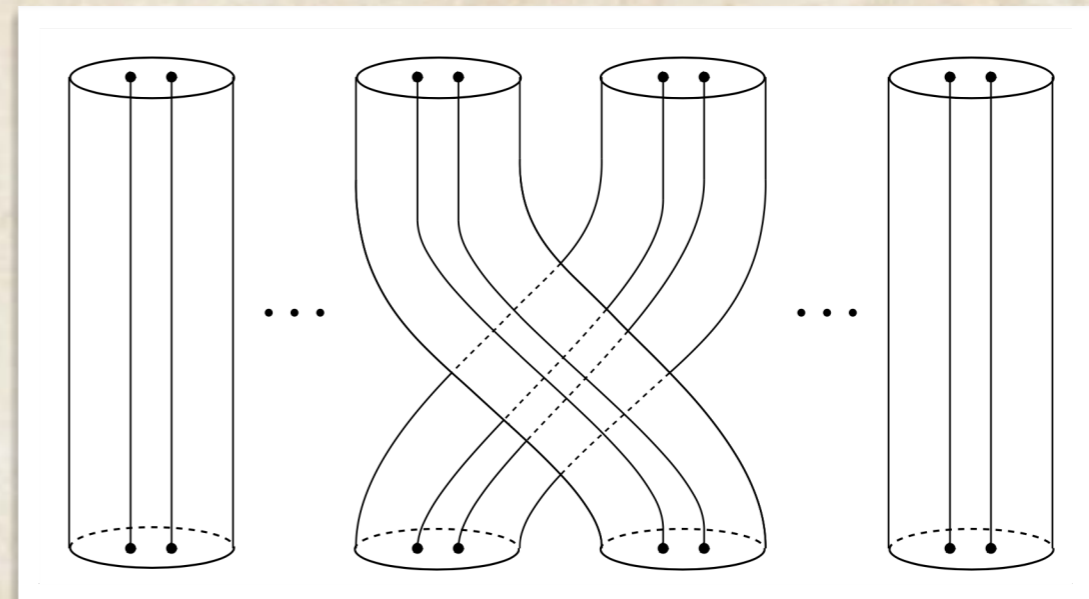
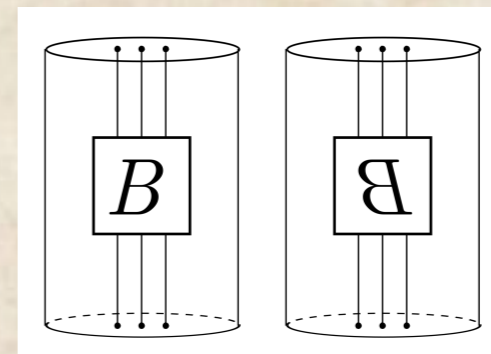
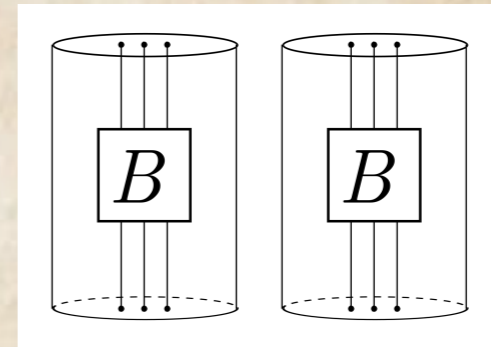
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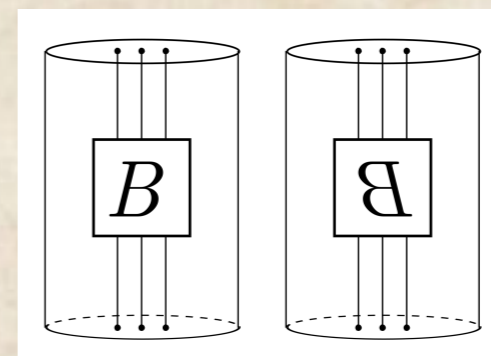
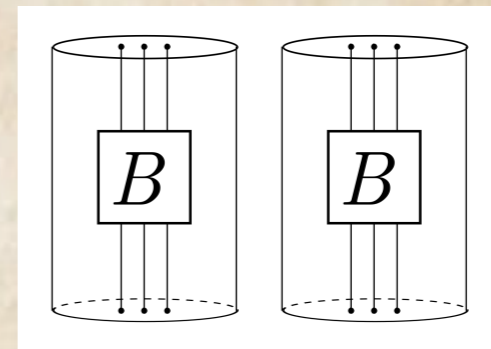
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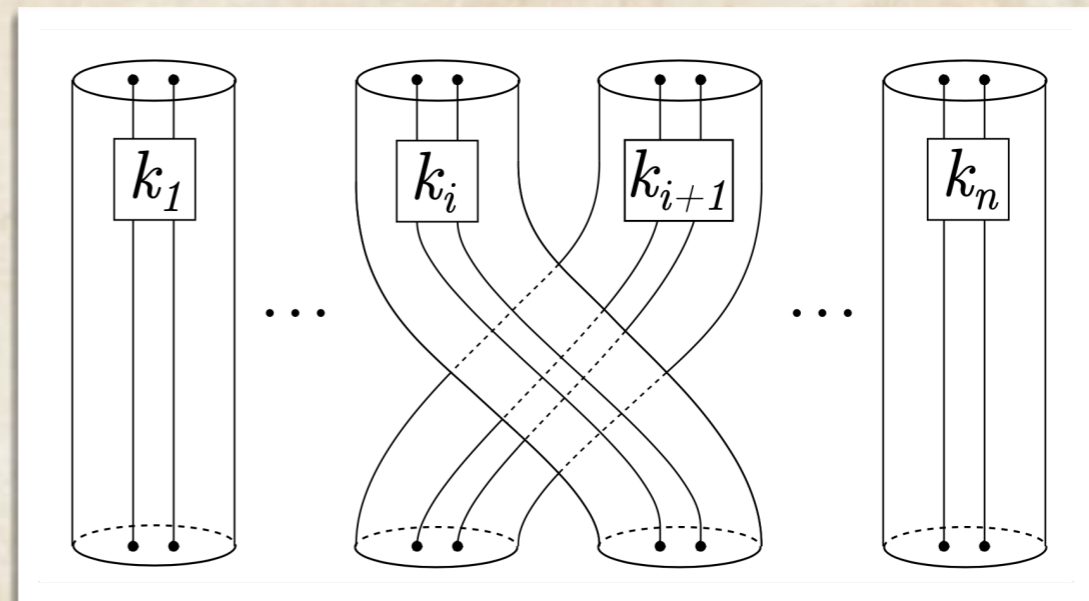
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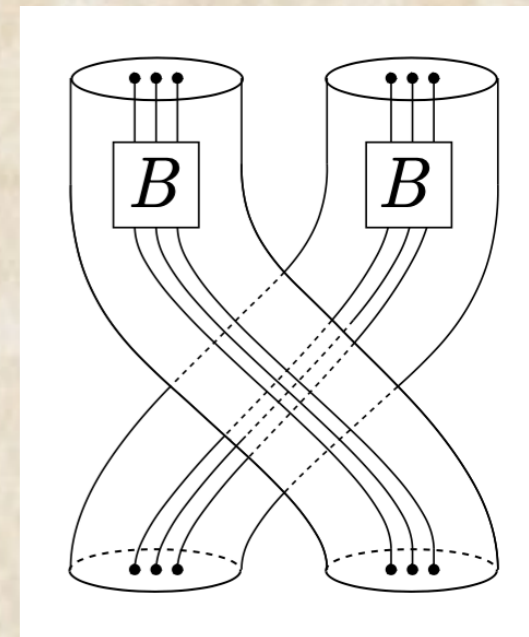
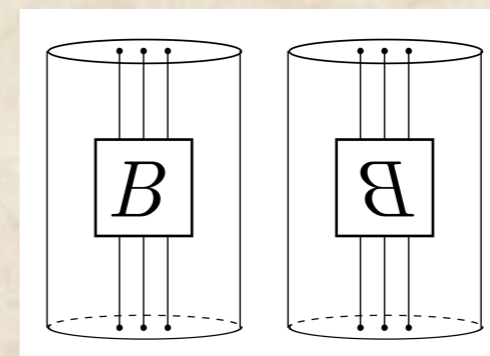
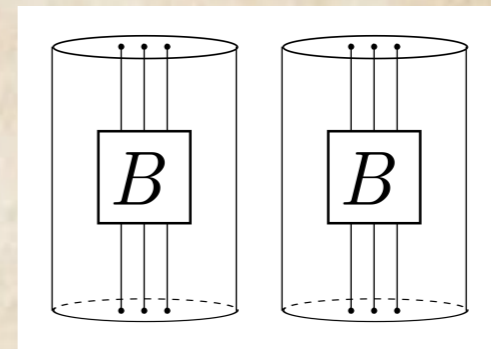
*cf. Chen-Salter*



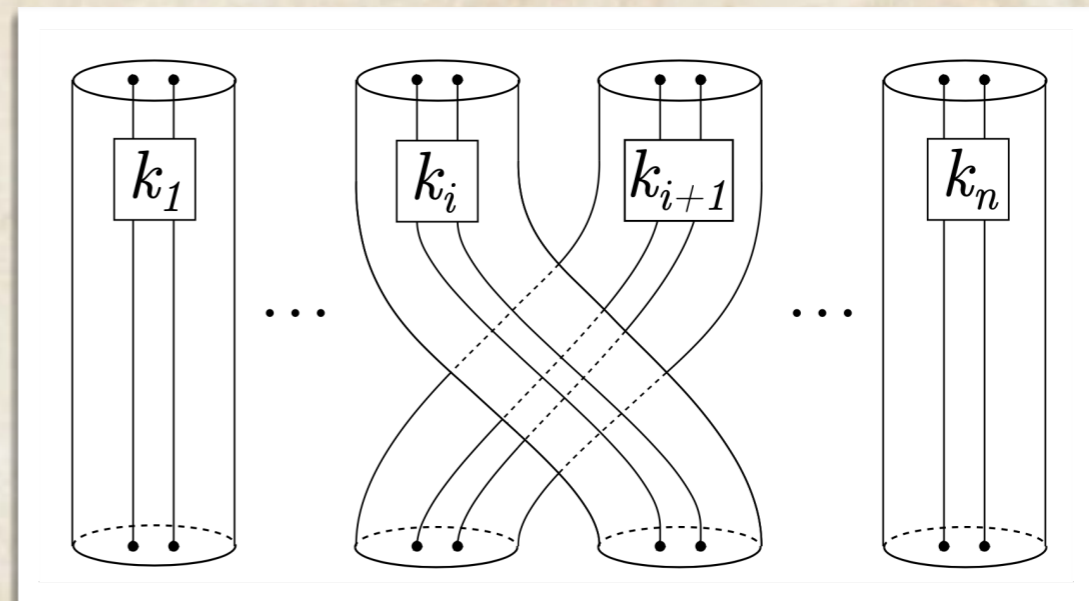
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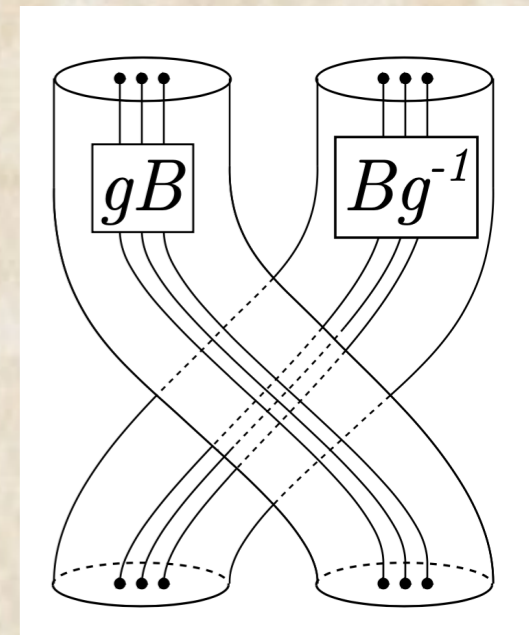
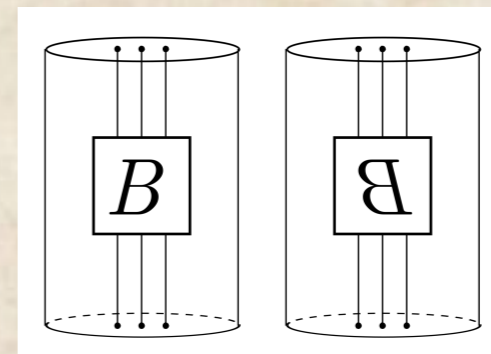
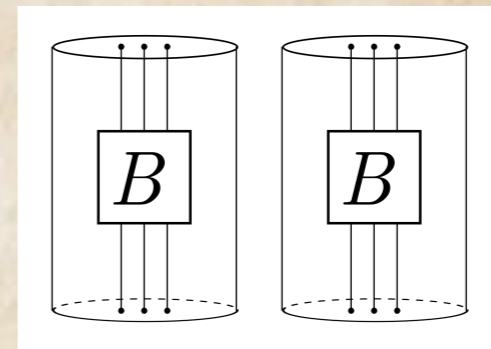
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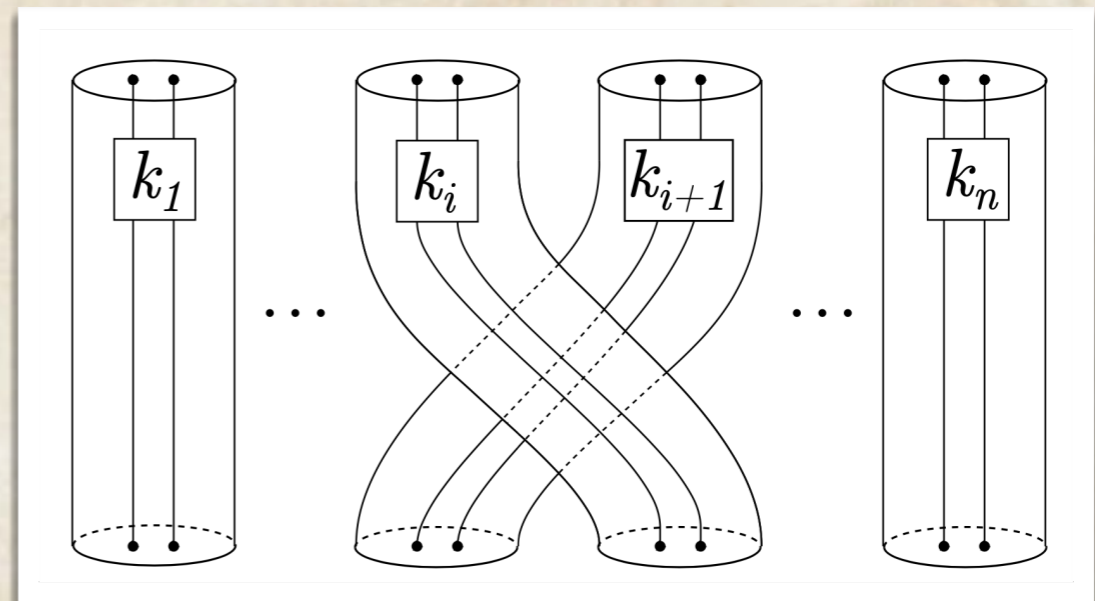
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# ...and more homomorphisms

Given  $\rho : B_n \rightarrow B_{2n}$  we can:

- Post-compose by  $\tau \in \text{Aut}(B_{2n})$
- *Transvect* by  $z \in Z(B_{2n})$ :

Charney–Crisp
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$$\rho^z(\sigma_i) = \rho(\sigma_i)z$$

These operations generate an equivalence relation.

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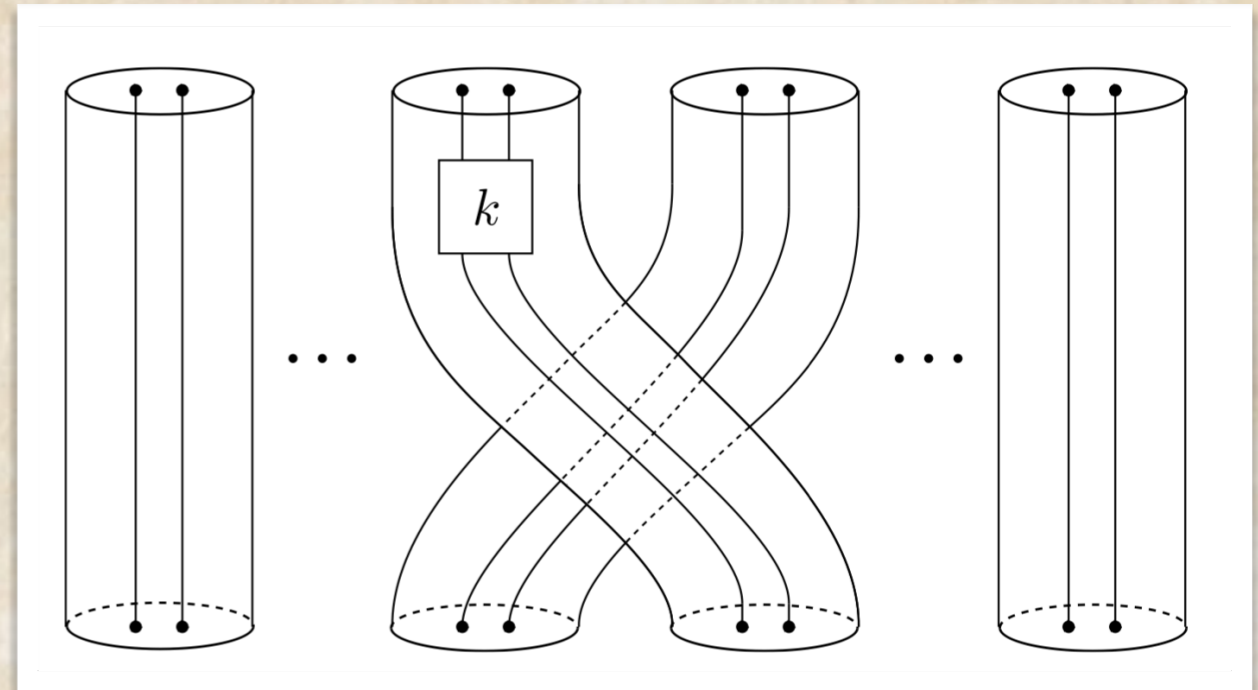
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# Standard homomorphisms

- Trivial  $\sigma_i \mapsto 1$
- Inclusion  $\sigma_i \mapsto \sigma_i$
- Diagonal inclusion  $\sigma_i \mapsto \sigma_i \sigma_{n+i}$
- Flip diagonal inclusion  $\sigma_i \mapsto \sigma_i \sigma_{n+i}^{-1}$
- $k$ -twist cabling  $\sigma_i \mapsto \sigma_{2i} \sigma_{2i-1} \sigma_{2i+1} \sigma_{2i} \sigma_{2i-1}^k$

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# Main Theorem 1

*Theorem (Chen–Kordek–M).* Let  $n \geq 5$ .

Any  $\rho : B_n \rightarrow B_{2n}$  is equivalent\* to *exactly* one of the standard homomorphisms.

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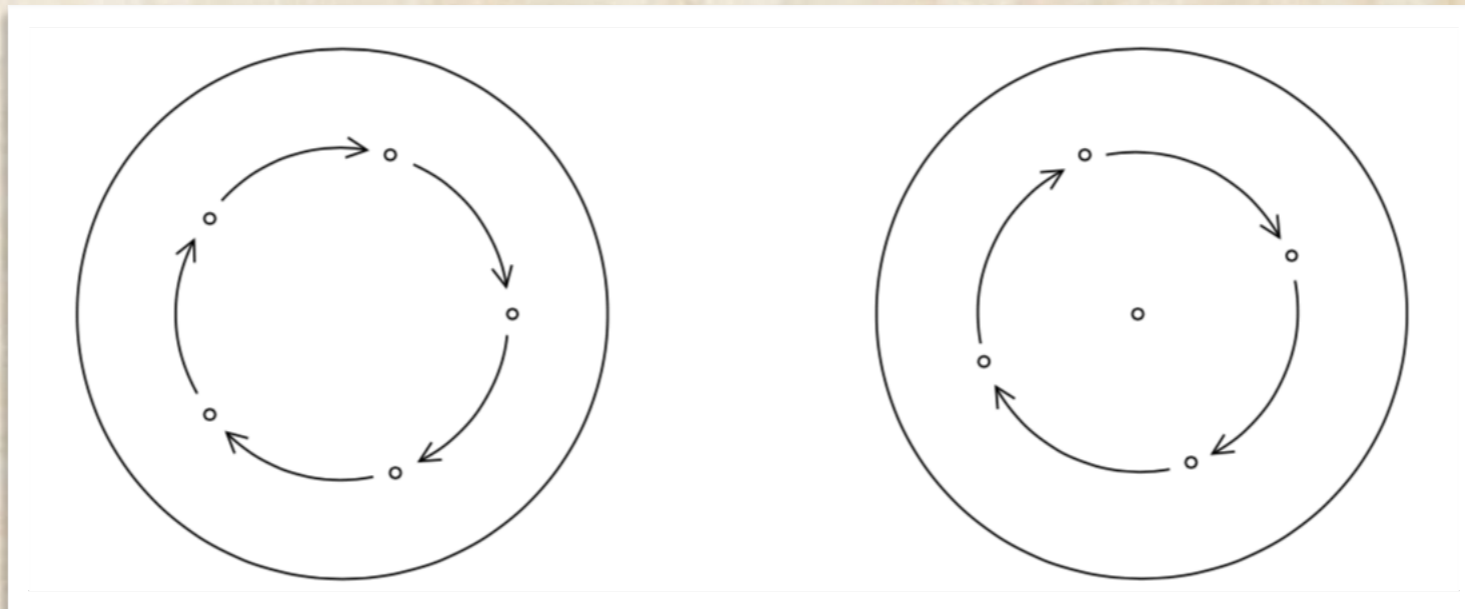
\*Transvections + post-composition by autos

*Consequence: classification of*

$$B_n \rightarrow B_m, \quad m \leq 2n$$

# Proof of Main Theorem 1: Special maps

# Periodic braids



$\alpha_5$

$\beta_5$

$$\alpha_n^n = \beta_n^{n-1} \text{ generates } Z(B_n)$$

# Special maps

*Thm (Lin).* A holomorphic  $\text{Poly}_n \rightarrow \text{Poly}_m$  induces a map  $B_n \rightarrow B_m$  that is special: periodics map to periodics.

*Thm (Lin).* If  $n(n-1) \nmid m(m-1)$  any special  $B_n \rightarrow B_m$  is cyclic.

We give a new proof.

# Special maps

Sample case. Consider  $\rho : B_5 \rightarrow B_7$  and say

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that  $B'_5$  has abelian image, hence  $\rho$  is cyclic.

# Main Theorem 2: Shrinking the domain

# Shrinking the domain

Having classified maps  $B_n \rightarrow B_n$

we would like to classify maps  $\Gamma \rightarrow B_n$

for certain  $\Gamma \leq B_n$ .

# The commutator subgroup

Signed word length gives a map

$$L : B_n \rightarrow \mathbb{Z}$$

This is the abelianization, so:

$$B'_n = \ker(L)$$

# Connection to polynomials

$\text{Poly}_n$  = space of monic, square free polynomials of degree  $n$

$$\pi_1(\text{Poly}_n) \cong B_n$$

$\text{SPoly}_n$  = subspace with discriminant 1

$$\pi_1(\text{SPoly}_n) \cong B'_n$$

# Lin's questions

Is every endomorphism  $B'_n \rightarrow B'_n \dots$

1. injective?
2. an automorphism of  $B'_n$ ?
3. the restriction of an endomorphism of  $B_n$ ?
4. the restriction of an automorphism of  $B_n$ ?

# What's harder about $B'_n$ ?

Given  $B_n \rightarrow B_n$ , we obtain

$$B_n \rightarrow B_n \rightarrow S_n$$

Can then apply Artin's theorem. We did not have a classification of maps

$$B'_n \rightarrow S_n$$

# An equivalence relation

Two homomorphisms

$$\rho : G \rightarrow H \quad \text{and} \quad \sigma : G \rightarrow H$$

are *equivalent* if there is  $\alpha \in \text{Aut}(H)$  with

$$\rho = \alpha \circ \sigma$$

# Main Theorem 1

*Theorem (Kordek–M).* Let  $n \geq 7$ . Any

$$\rho : B'_n \rightarrow B_n$$

*is either trivial or equivalent to inclusion.*

Answers the four questions of V. Lin

# Related results

Lin '04. Any  $\rho : B'_n \rightarrow B_m$ ,  $m < n$  is trivial

Orevkov '17.  $\text{Aut}(B'_n) \cong \text{Aut}(B_n)$

McLeay '18. New proof of Orevkov's result

Orevkov '20. Extension to  $n=4,5,6$

# Also...

The 2nd result (almost) follows from 1st:

$$B_{n-2} \hookrightarrow B'_n \rightarrow B_n$$

The 1st result restricts the composition...

It is also the case that the proof of the second result can be extended to prove the first (forthcoming work with Caplinger)

# Proof of Main Theorem 2:

## Totally symmetric sets

# Totally symmetric sets

$G =$  group

$X = \{x_1, \dots, x_k\} \subseteq G$  is a **totally symmetric set** if

- the  $x_i$  commute pairwise, and
- any permutation of  $X$  is achieved by conjugation in  $G$

*Example.*  $\{\sigma_1, \sigma_3, \dots\} \subseteq S_n$  or  $B_n$

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# Totally symmetric sets

$X = \{x_1, \dots, x_k\} \subseteq G$  is a **TSS** if

- the  $x_i$  commute pairwise, and
- any permutation of  $X$  is achieved by conjugation in  $G$

*Fundamental Lemma.* Under a homomorphism,  $X$  maps to a singleton or a TSS of size  $k$ .

# The TSS Blueprint

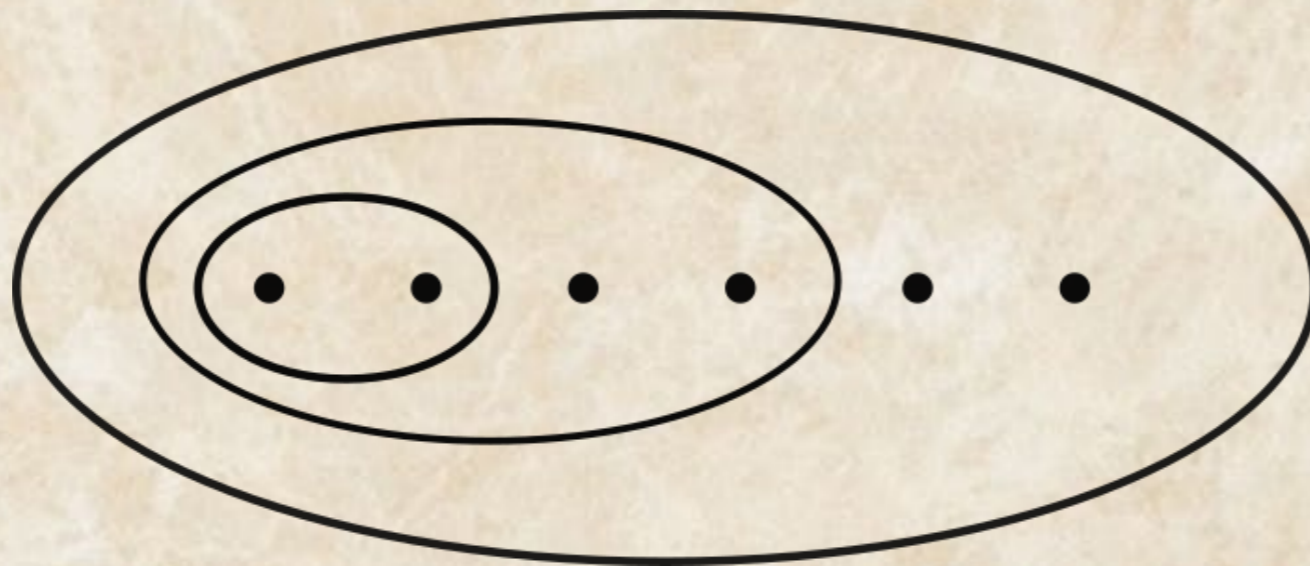
To classify maps  $\rho : G \rightarrow H$ :

1. Find a large TSS  $X = \{x_1, \dots, x_k\}$  in  $G$
2. Classify all large TSSs in  $H$
3. If  $X$  maps to singleton, then  $\langle \langle x_1 x_2^{-1} \rangle \rangle \subseteq \ker \rho$   
 $\rightsquigarrow$  try to show the kernel is large
4. Otherwise, try to show  $\rho$  is standard

# Totally symmetric sets in $B_n$

# Classifying TSSs in $B_n$

Canonical reduction system for a braid:

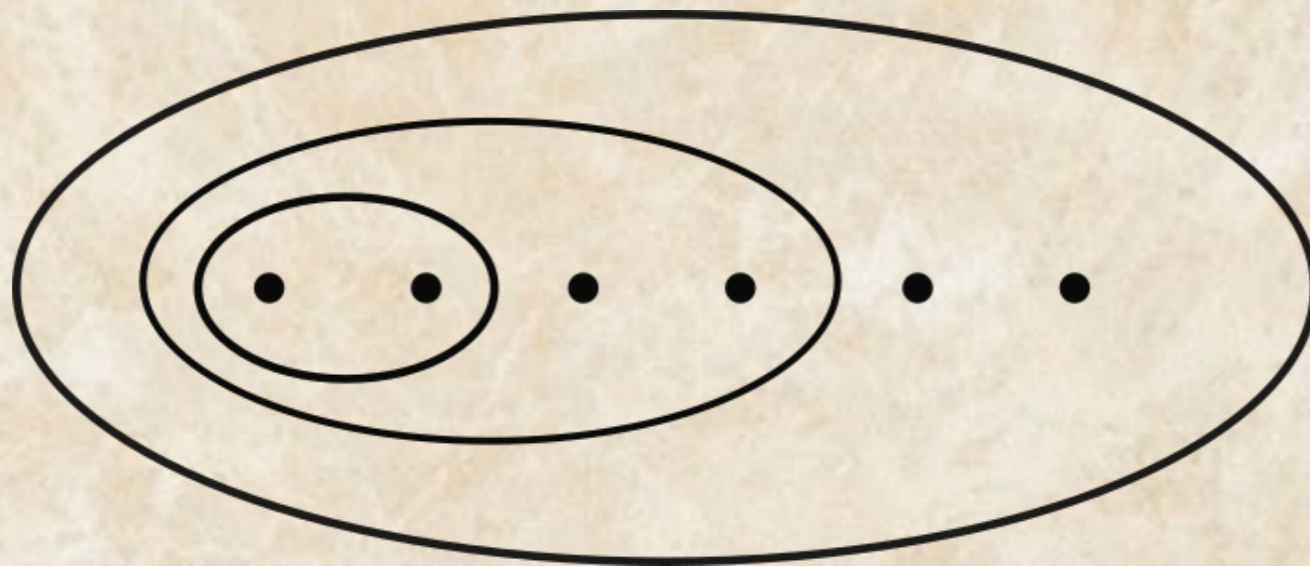


Birman–  
Lubotzky–  
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Each complementary region is either periodic (=rotation) or irreducible.

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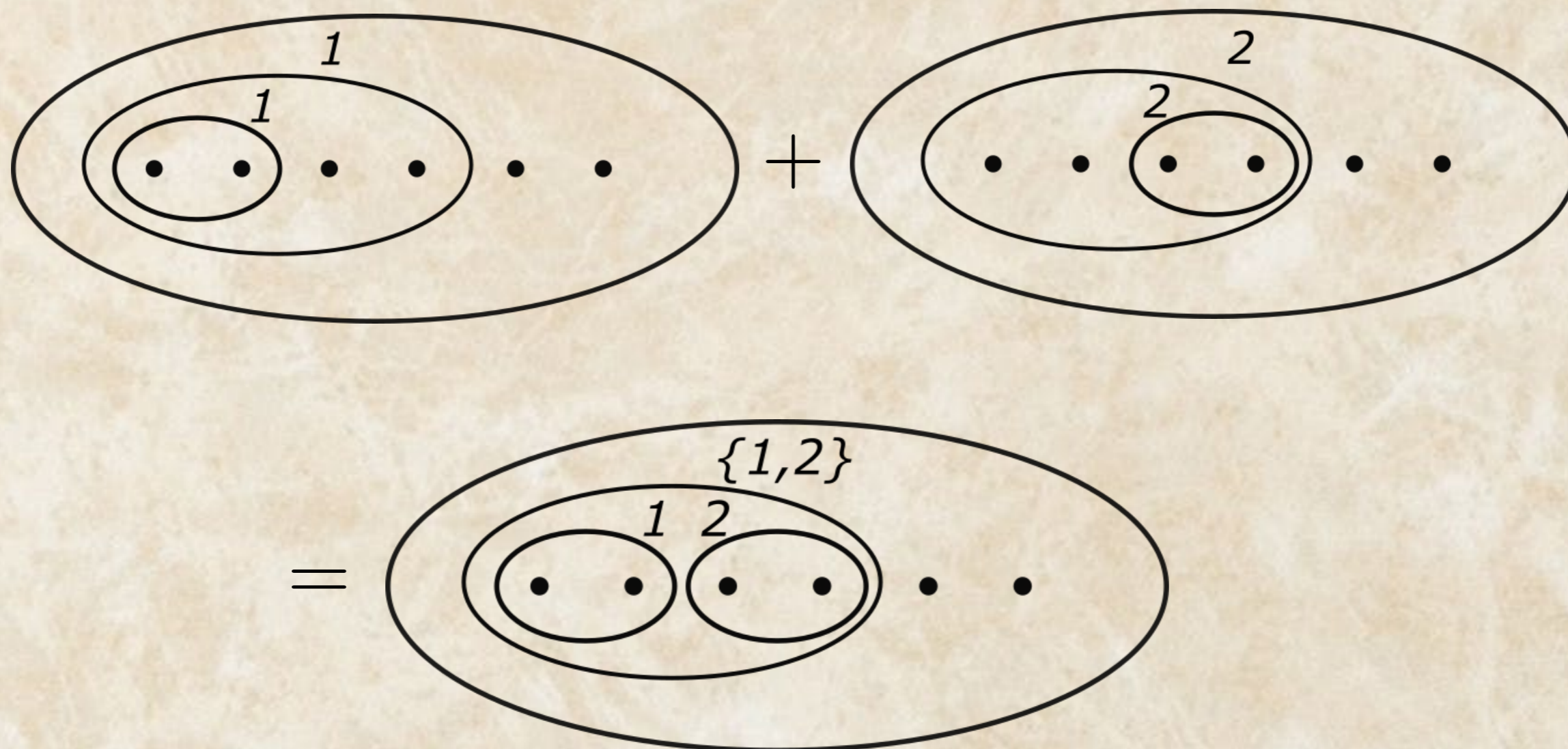
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**Fact.** Commuting  $\Rightarrow$  disjoint CRSs

# Classifying TSSs in $B_n$

Combining canonical reduction systems:



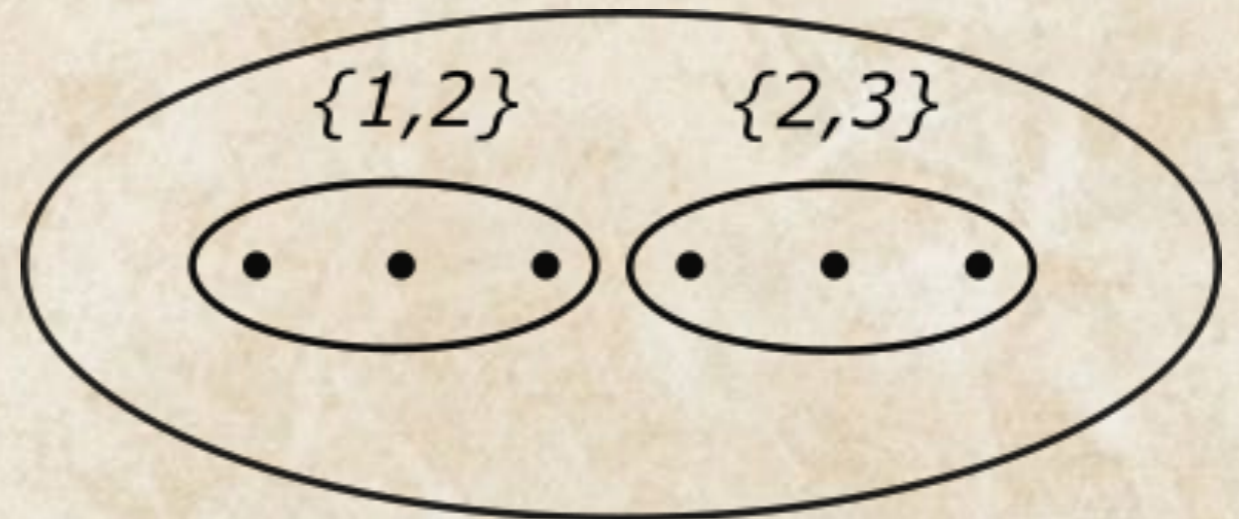
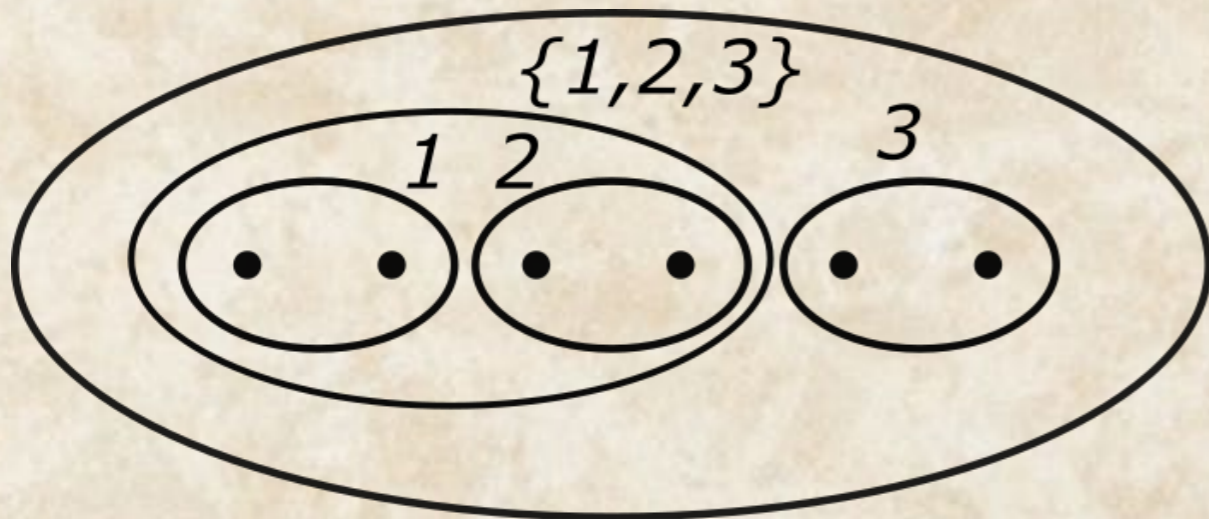
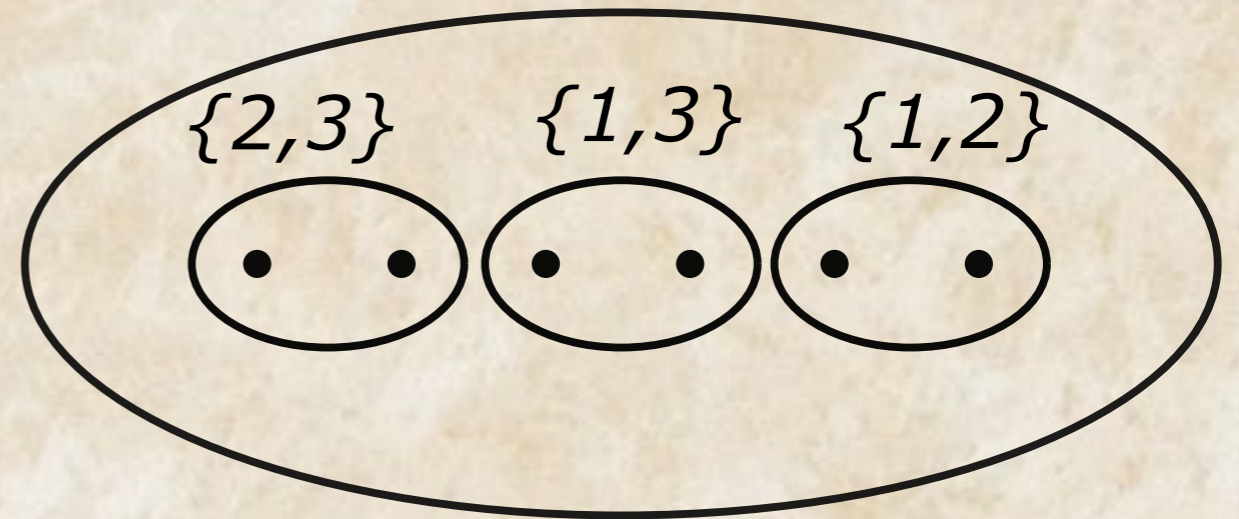
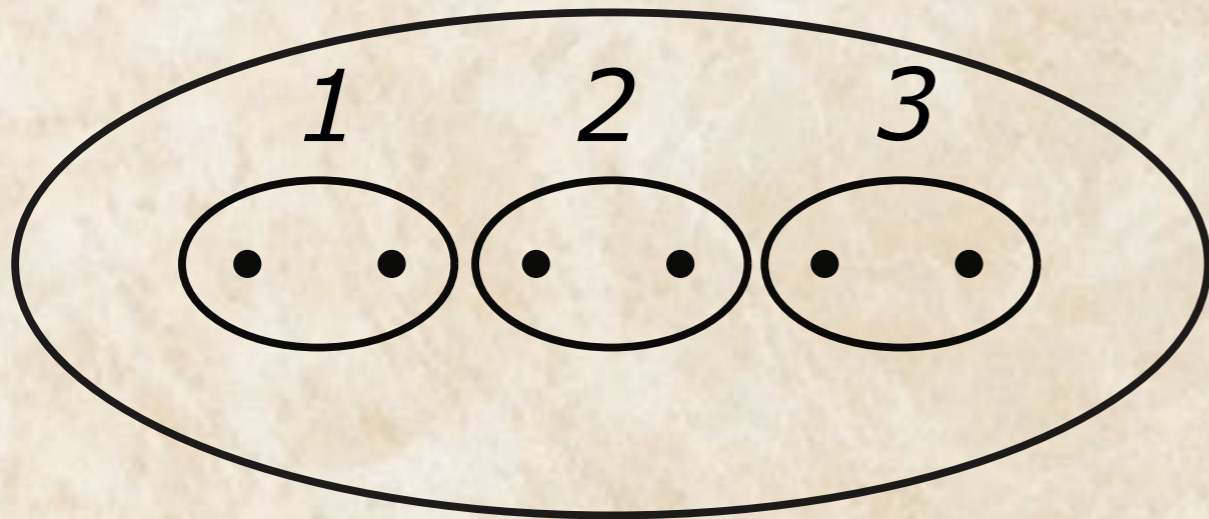
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Canonical reduction system functor:

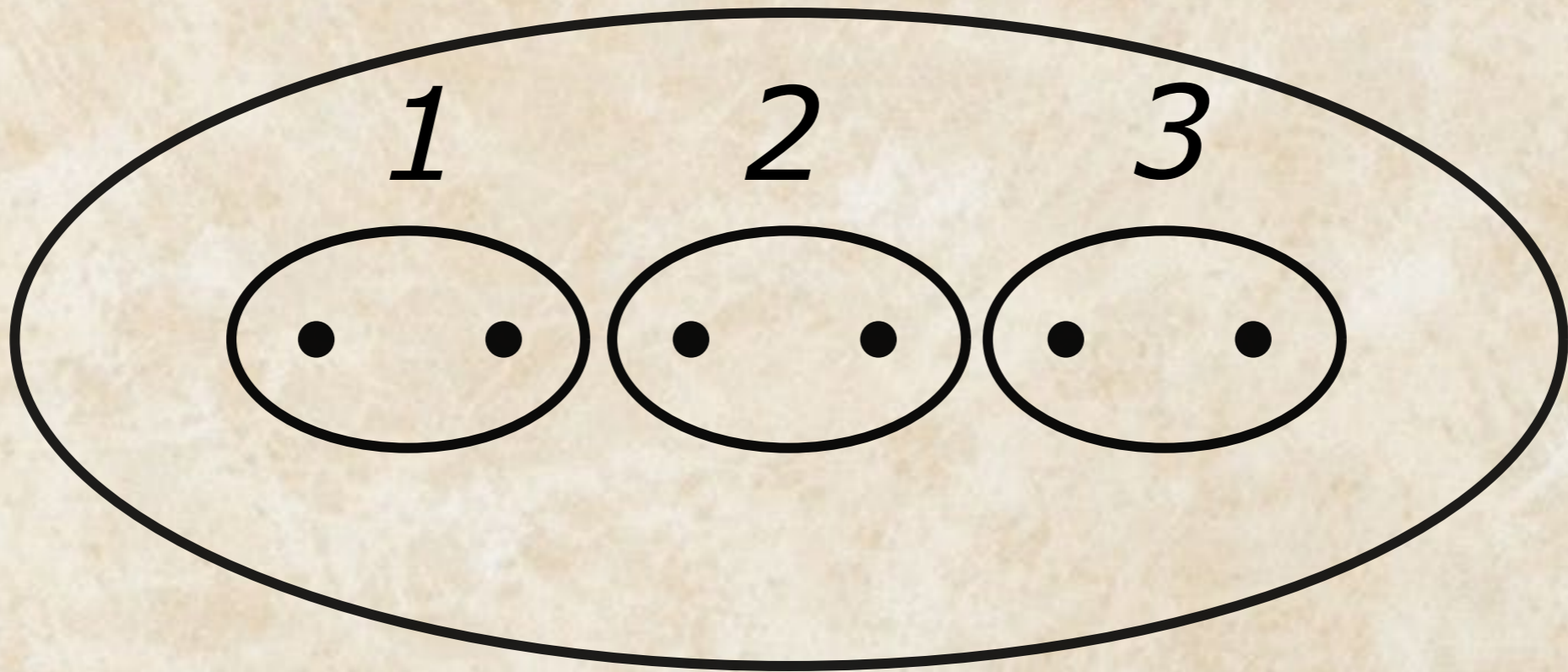
Totally symmetric set  $\longrightarrow$  Totally symmetric  
labeled multicurve

*Symmetry*: any relabeling induced by  $\Sigma_k$   
can be realized by a homeo.

# Examples and non-examples of totally symmetric labeled multicurves



From totally symmetric labeled  
multicurves to braids



# The TSS Blueprint

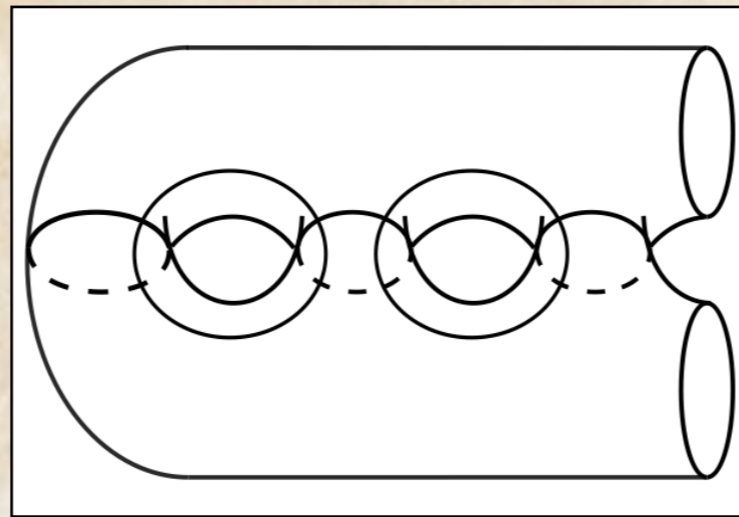
To classify maps  $\rho : B_n \rightarrow B_n$ :

1.  $\{\sigma_1, \sigma_3, \dots, \dots\}$  is a TSS in  $B_n$
2. We classify all large TSSs in  $B_n$
3. If  $X$  maps to singleton,  $B'_n = \langle\langle\sigma_1\sigma_3^{-1}\rangle\rangle \subseteq \ker \rho$   
 $\rightsquigarrow$  so  $\rho$  cyclic
4. Otherwise,  $\rho$  is equivalent to the identity

# Other results on totally symmetric sets

# Other results about TSS's

**Chen–Mukherjea '20.** Classification of maps from  $B_n$  to  $\text{Mod}(S_g)$  for  $g < n-2$ .



cf. Birman–Hilden

**Li–Partin '19.** Classifications of large TSS's in free groups, dihedral groups, solvable groups, direct products, free products, etc.

# Other results about TSS's

Caplinger–Salter '22. TSS's in  $\mathrm{GL}_n(\mathbb{Z})$ .

Friday!

Caplinger '22. New understanding of  $\mathrm{Aut}(S_n)$

Uses the TSS:  $\{(1\ i)\}$

# Other results about TSS's

**Conj.** The smallest non-cyclic quotient of  $B_n$  is  $S_n$ .

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**Chudnovsky–Kordek–Li–Partin '19.** A finite non-cyclic quotient of  $B_n$  has cardinality at least

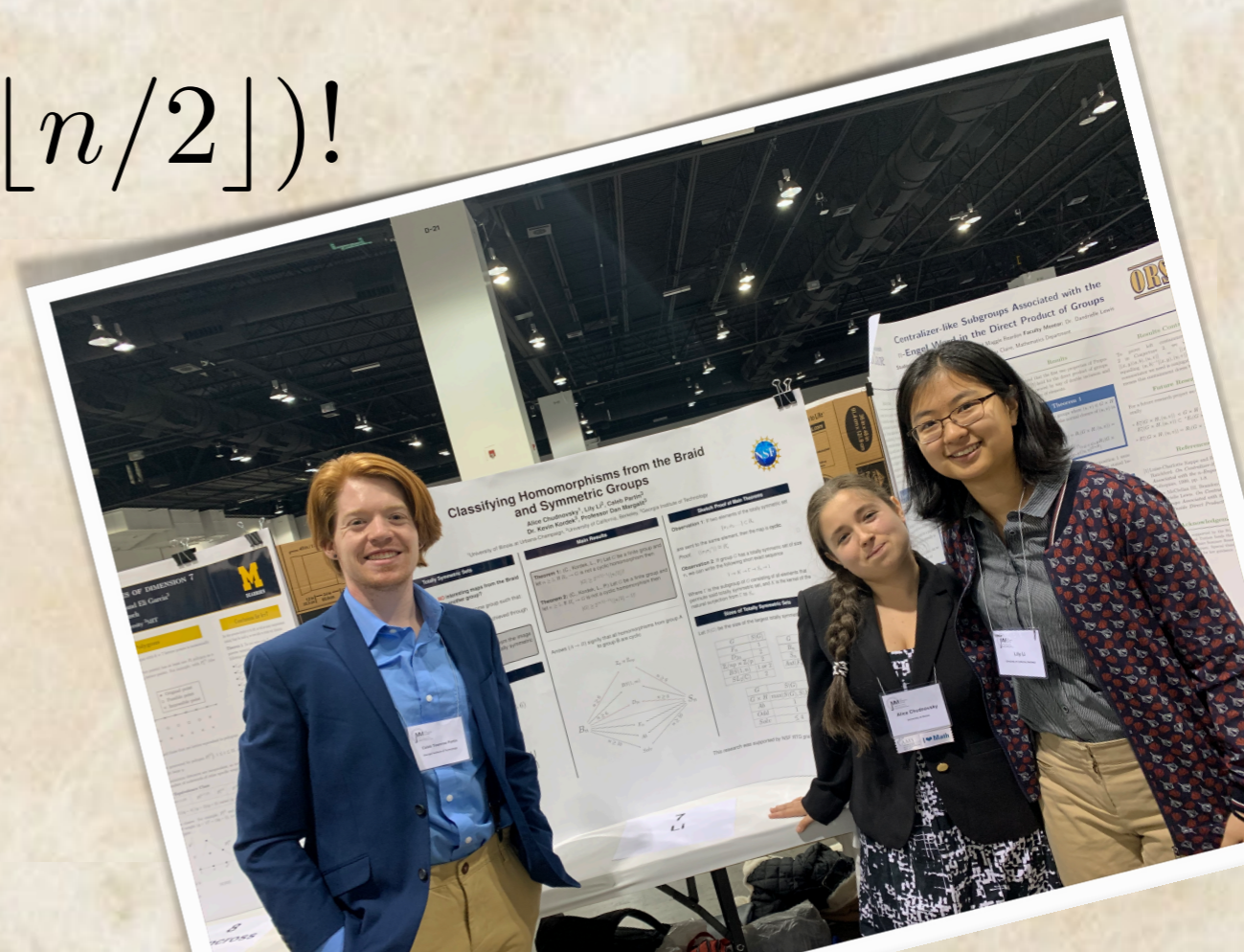
$$2^{\lfloor n/2 \rfloor - 1} (\lfloor n/2 \rfloor)!$$

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**Verberne–Scherich '20.** Improved bound:

$$3^{\lfloor n/2 \rfloor - 1} (\lfloor n/2 \rfloor)! + \lceil n/2 \rceil^2$$

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**Caplinger–Kordek '20.** Conjecture true for  $n=5,6$ .

**Kolay '21.** The conjecture is true.

# Kolay's proof

*Theorem (Kolay '21).* The smallest non-cyclic quotient of  $B_n$  is  $S_n$ .

*Proof.* Induction on  $n$ . The standard  $\binom{n}{2}$  half-twists satisfy the fundamental lemma. Apply the orbit-stabilizer theorem to  $\rho(B_n)$  acting by conjugation on  $\rho(\sigma_1)$ . Count:

$$\binom{n}{2} \cdot 2 \cdot (n-2)!$$

Some directions

# Some Directions

1. Expand the range further. We conjecture that essentially all maps  $B_n \rightarrow B_m$  are reducible (iterated cablings).
2. Restrict the domain further. Are all maps  $G \rightarrow B_m$  geometric when  $G \leq B_n$  is sufficiently rich?
3. Investigate TSS's further.

