### Log-Concavity of Littlewood–Richardson Coefficients

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ICERM Semester in Combinatorial Algebraic Geometry

Schubert Seminar Series



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A complete flag  $F = (F_1, ..., F_n)$  in  $\mathbb{C}^n$  is a sequence of vector subspaces

$$F_1 \subset F_2 \subset \cdots \subset F_n$$

where dim  $F_i = i$ .

The *flag manifold* Fl(n) is the set of complete flags in  $\mathbb{C}^n$ .

Fl(n) decomposes into a disjoint union of open cells  $X_w$  indexed by permutations w in  $S_n$ .

Their closures are the *Schubert varieties*  $\overline{X}_w$ .

 $\{[\overline{X}_w]\}_{w \in S_n}$  is a linear basis of the cohomology ring  $H^*(\operatorname{Fl}(n))$ .

#### Theorem (Borel 1953)

$$H^*(Fl(n)) \cong \mathbb{Z}[x_1,\ldots,x_n]/I_n,$$

where  $I_n = \langle symmetric functions with zero constant term \rangle$ .

# What is a good choice of polynomial representatives for the basis $\big\{[\overline{X}_w]\big\}?$

Schubert polynomials were defined by Lascoux and Schützenberger (1982) divided difference operators  $\partial_i$ :

$$\partial_i f = \frac{f - f(\dots, x_{i+1}, x_i, \dots)}{x_i - x_{i+1}}$$

#### Definition

The *Schubert polynomial*  $\mathfrak{S}_w$  of  $w \in S_n$  is defined by

$$\mathfrak{S}_w = \begin{cases} x_1^{n-1} x_2^{n-2} \cdots x_{n-1} & \text{if } w = n(n-1) \cdots 21 \\ \partial_i \mathfrak{S}_{ws_i} & \text{if } w(i) < w(i+1) \end{cases}$$

### Divided Difference Operators



$$\partial_1(x_1^2 x_2) = \frac{x_1^2 x_2 - x_1 x_2^2}{x_1 - x_2} = x_1 x_2$$

$$\partial_2(x_1x_2) = \frac{x_1x_2 - x_1x_3}{x_2 - x_3} = x_1$$

$$\partial_1(x_1) = \frac{x_1 - x_2}{x_1 - x_2} = 1$$

### Nonnegativity of Schubert Polynomials

#### Theorem (Lascoux-Schützenberger 1982)

The coefficients of  $\mathfrak{S}_w$  are nonnegative integers.

#### Proof.

The Transition Rule:

$$\mathfrak{S}_w = x_i \mathfrak{S}_v + \sum_{\substack{k < i \\ v \cdot (ki) \text{ covers } v}} \mathfrak{S}_{v(ki)}$$

Is there a combinatorial interpretation behind the nonnegativity?

First answered by Billey–Jockusch–Stanley (1993), and re-answered in many interesting ways since.

### A Sample of the Nonnegativity of $\mathfrak{S}_{1432}$



### Outline

• Schubert Polynomials

• Schur Polynomials and Littlewood–Richardson Coefficients

• Log-Concavity and Okounkov's Conjecture

• Newton Polytopes and Saturation

• Lorentzian Polynomials

Given a partition  $\lambda = (\lambda_1 \ge \lambda_2 \ge \cdots \ge \lambda_n) \in \mathbb{Z}_{\ge 0}^n$ , a semistandard Young tableaux (SSYT) of shape  $\lambda$  is a filling of the Young diagram of  $\lambda$  with numbers from [n] such that:

- The entries weakly increase left-to-right in each row,
- The entries strictly increase top-to-bottom in each column.

SSYT(2, 1, 0):

#### Definition

Given a partition  $\lambda \in \mathbb{Z}_{\geq 0}^n$ , the *Schur polynomial*  $s_{\lambda}$  is defined by

$$s_{\lambda}(x_1,\ldots,x_n) = \sum_{T \in SSYT(\lambda)} x_1^{wt(T)_1} \cdots x_n^{wt(T)_n}$$

SSYT(2, 1, 0):



The coefficient of  $x^{\alpha}$  in  $s_{\lambda}$  is the *Kostka number* 

 $\mathcal{K}_{\lambda lpha} = \# \text{ of SSYT}$  with shape  $\lambda$  and weight  $\alpha$ 

 $s_{210}(x_1, x_2, x_3) = x_1^2 x_2 + x_1 x_2^2 + 2x_1 x_2 x_3 + x_1^2 x_3 + x_1 x_3^2 + x_2^2 x_3 + x_2 x_3^2$ 

Schur polynomials are symmetric polynomials, and form a basis for the space of symmetric polynomials.

### Representation Theory:

The characters of the irreducible representations  $V_{\lambda}$  of  $\operatorname{GL}_n$  are exactly Schur polynomials.

### Geometry:

Schur polynomials form a linear basis for the cohomology ring of the Grassmannian  $\operatorname{Gr}_{k,n}(\mathbb{C})$ .

### Littlewood–Richardson Coefficients

The *Littlewood–Richardson coefficients* are  $c_{\lambda\mu}^{\nu}$  defined by

$$s_\lambda s_\mu = \sum_
u c^
u_{\lambda\mu} s_
u.$$

#### **Representation Theory:**

 $c_{\lambda\mu}^{\nu}$  controls tensor products of  $\mathrm{GL}_n$  irreducible representations via

$$V_\lambda \otimes V_\mu \cong igoplus_{\ell(
u) \le n} V_
u^{c^
u_{\lambda\mu}}$$

#### Geometry:

For  $\sigma_{\lambda}$  the cohomology class of the Schubert variety  $X_{\lambda}$  in the Grassmannian  $\operatorname{Gr}_{k,n}(\mathbb{C})$ ,

$$\sigma_{\lambda}\sigma_{\mu} = \sum_{\nu \subseteq k \times (n-k)} c_{\lambda\mu}^{\nu} \sigma_{\nu}$$

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### **Continuous:**

A function  $f : \mathbb{R}^n \to \mathbb{R}_{>0}$  is *log-concave* if

$$egin{aligned} &\log f( heta x + (1 - heta y)) \geq heta \log f(x) + (1 - heta) \log f(y) \ & f( heta x + (1 - heta y)) \geq f(x)^ heta f(y)^{1 - heta} \end{aligned}$$

#### **Discrete:**

A function  $a : \mathbb{Z} \to \mathbb{R}_{>0}$  is *log-concave* if

$$a_i^2 \geq a_{i+1}a_{i-1}$$

### Conjecture (Okounkov 2003)

The discrete function

$$(\lambda, \mu, \nu) \mapsto \log c_{\lambda\mu}^{\nu}$$

is a concave function of  $\lambda, \mu, \nu$ .

### Why would multiplicities be log-concave ?

### Andrei Okounkov

#### Abstract

It is a basic property of the entropy in statistical physics that is concave as a function of energy. The analog of this in representation theory would be the concavity of the logarithm of the multiplicity of an irreducible representation as a function of its highest weight. We discuss various situations where such concavity can be established or reasonably conjectured and consider some implications of this concavity. These are rather informal notes based on a number of talks I gave on the subject, in particular, at the 1997 International Press lectures at UC Irvine. In the cone of partition tuples  $(\lambda, \mu, \nu)$ , consider



Log-concavity would imply

$$(c_{N\lambda,N\mu}^{N
u})^2 \ge c_{(N+1)\lambda,(N+1)\mu}^{(N+1)\nu} c_{(N-1)\lambda,(N-1)\mu}^{(N-1)\nu}.$$

Counterexample found by Chindris–Derksen–Weyman (2007):  $\lambda = \mu = (3^{21}, 2^{21}, 1^{21}), \ \nu = (4^{21}, 3^{42}, 2^{21})$ 

Okounkov's Conjecture is false.

Some consequences of it are true.

Okounkov proved log-concavity holds asymptotically.

Are there interesting special sets of tuples  $(\lambda, \mu, \nu)$  for which a version of Okounkov's conjecture holds?

#### Theorem (Huh–Matherne–Mészáros–S. 2019)

Choose any  $i, j \ge 0$  and any partitions  $\lambda, \mu, \nu$  such that  $\nu/\mu$  is a skew shape with at most one box per column. Whenever all subscripts and superscripts are partitions,

$$(c^
u_{\lambda,\mu})^2 \geq c^{
u+arpi_i-arpi_j}_{\lambda,\mu+arpi_{i-1}-arpi_{j-1}}c^{
u-arpi_i+arpi_j}_{\lambda,\mu-arpi_{i-1}+arpi_{j-1}}$$

where  $\varpi_k$  is the kth fundamental weight  $(1^k, 0^{n-k})$ .

For example, if n = 5, i = 2, and j = 4, then

$$11^2 = (c_{52100,76410}^{87641})^2 \ge c_{52100,75310}^{87531} c_{52100,77510}^{87751} = 14 \times 4$$

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### A Polytopal Perspective

Any polynomial  $f = \sum_{z \in \mathbb{Z}^n} a_z x^z \in \mathbb{C}[x_1, \dots, x_n]$  has an associated integer polytope called its *Newton polytope*:



$$Newton(f) = Conv(z: a_z \neq 0)$$

### Schur Polynomial Newton Polytopes

What kind of polytopes are the Newton polytopes of Schur polynomials?

 $s_{(1,0,0)} = x_1 + x_2 + x_3$ 



$$s_{(2,1,0)} = x_2 x_3^2 + x_1 x_3^2 + x_1^2 x_3 + x_1^2 x_2 + x_1 x_2^2 + x_2^2 x_3 + 2x_1 x_2 x_3$$

### Theorem (Rado 1952)

The Newton polytope of  $s_{\lambda}$  is the permutahedron  $\mathcal{P}_{\lambda}$  (the convex hull of all permutations of  $\lambda$ ).



### Coefficients and Newton Polytopes

How do the Kostka numbers within the Schur Newton polytope look?



#### Question

Can there be zeros in the polytope?

### Definition (Monical-Tokcan-Yong 2017)

A polynomial f is said to have *saturated Newton polytope* (SNP) if every integer point in the Newton polytope corresponds to a monomial with nonzero coefficient in f.

#### Theorem (Monical-Tokcan-Yong 2017)

The following all have SNP:

- Schur polynomials
- Skew-Schur polynomials
- Stanley symmetric functions
- (q, t) evaluations of symmetric Macdonald polynomials

SNP says there are no zeros within the Newton polytope. How are the nonzero coefficients distributed?



SNP says there are no zeros within the Newton polytope. How are the nonzero coefficients distributed?



Idea: look along lines in root directions!



Unimodal:  $a_0 \leq a_1 \leq \cdots \leq a_j$  and  $a_j \geq a_{j+1} \geq \cdots \geq a_n$  for some j

Log-concave:  $a_i^2 \ge a_{i-1}a_{i+1}$  for all *i* 

Positive and log-concave implies unimodal

#### Question

Do the Schur coefficients form unimodal sequences along lines in root directions? Even better, are they log-concave?

The crystal structure on SSYT provides some insight into unimodality of Kostka numbers.

Define the *i*th *crystal operator*  $f_i$  on  $SSYT(\lambda)$  to be the function that changes an *i* to an i + 1 in a tableau T by the recipe:

• map 
$$i \mapsto$$
) and  $i + 1 \mapsto$  (

- read parentheses up columns
- iteratively remove matched pairs ()
- change the rightmost ) to a (



### A Crystal Graph



### Crystals: Some Unimodality



### Crystals: Some Unimodality



#### Question

For any partition  $\lambda$  and  $\alpha \in \mathbb{Z}^n_{\geq 0}$ , is

$$K_{\lambda\alpha}^2 \geq K_{\lambda,\alpha+e_i-e_j}K_{\lambda,\alpha-e_i+e_j}$$

for all 
$$i, j \in [n]$$
?



### But what about Littlewood-Richardson?

When the skew shape  $u/\mu$  has at most one box in each column,

$$c_{\lambda\mu}^{
u} = K_{\lambda,(
u-\mu)}$$

Conversely, for any partition  $\lambda$  and any  $\alpha$ ,

$$K_{\lambdalpha} = c^{
u}_{\lambda,\mu},$$

where  $\nu$  and  $\mu$  are the partitions given by



### Kostka and Littlewood–Richardson

$$(c_{\lambda,\mu}^{\nu})^{2} \geq c_{\lambda,\mu+\varpi_{i-1}-\varpi_{j-1}}^{\nu+\varpi_{i}-\varpi_{j}} c_{\lambda,\mu-\varpi_{i-1}+\varpi_{j-1}}^{\nu-\varpi_{i}+\varpi_{j}}$$

$$K_{\lambda\alpha}^{2} \geq K_{\lambda,\alpha+e_{i}-e_{j}} K_{\lambda,\alpha-e_{i}+e_{j}}$$

Discrete log-concavity of the Kostka numbers is a special case of Okounkov's conjecture!

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### Definition

A subset  $J \subseteq \mathbb{Z}^n$  is *M*-convex if for any index *i* and any  $\alpha, \beta \in J$ with  $\alpha_i > \beta_i$ , there is an index *j* satisfying

$$lpha_j < eta_j, \ \ lpha - e_i + e_j \in J, \ \ ext{and} \ \ eta + e_i - e_j \in J.$$



### Generalized Permutahedra



#### Fact

The support of a polynomial f is M-convex if and only if f has SNP and Newton(f) is a *generalized permutahedon*.

### Definition (Brändén-Huh 2019)

A homogeneous polynomial f of degree d with nonnegative coefficients is *Lorentzian* if

• f has M-convex support

• 
$$\frac{\partial}{\partial x_{i_1}} \cdots \frac{\partial}{\partial x_{i_{d-2}}} f$$
 has at most one positive eigenvalue.

Lorentzian polynomials generalize volume polynomials of irreducible projective varieties from algebraic geometry and stable polynomials from optimization.

#### Nonexample:

$$f = x_1^2 + x_1 x_2 + x_2^2$$

Matrix: 
$$\begin{pmatrix} 1 & 1/2 \\ 1/2 & 1 \end{pmatrix}$$

Eigenvalues: 1/2 and 3/2

Example:  $g = \frac{x_1^2}{2} + x_1 x_2 + \frac{x_2^2}{2}$ 

Matrix: 
$$\begin{pmatrix} 1/2 & 1/2 \\ 1/2 & 1/2 \end{pmatrix}$$

Eigenvalues: 0 and 1

### Lorentzian Polynomials and Discrete Log-Concavity

#### Definition

Let N be the *normalization operator* defined by  $N(x^{\alpha}) = \frac{x^{\alpha}}{\alpha!}$ .

#### Theorem (Brändén–Huh 2019)

If  $f = \sum_{\alpha} c_{\alpha} x^{\alpha}$  is nonzero and N(f) is Lorentzian, then

$$c_{\alpha}^2 \geq c_{\alpha+e_i-e_j}c_{\alpha-e_i+e_j}$$

for every  $\alpha$  and  $1 \leq i, j \leq n$ .

### Lorentzian Polynomials and Discrete Log-Concavity

Theorem (Brändén–Huh 2019)

If  $f = \sum_{\alpha} c_{\alpha} x^{\alpha}$  is nonzero and N(f) is Lorentzian, then

$$c_{\alpha}^{2} \geq c_{\alpha+e_{i}-e_{j}}c_{\alpha-e_{i}+e_{j}}$$

for every  $\alpha$  and  $1 \leq i, j \leq n$ .

#### Proof idea:

$$\begin{aligned} \frac{\partial^{\alpha-e_1-e_2}}{\partial x^{\alpha-e_1-e_2}} N(f) \bigg|_{x_3 = \dots = x_n = 0} &= \frac{1}{2} c_{\alpha+e_1-e_2} x_1^2 + c_{\alpha} x_1 x_2 + \frac{1}{2} c_{\alpha-e_1+e_2} x_2^2 \\ \det \begin{pmatrix} c_{\alpha+e_1-e_2} & c_{\alpha} \\ c_{\alpha} & c_{\alpha-e_1+e_2} \end{pmatrix} &\leq 0 \end{aligned}$$

### Theorem (Brändén-Huh 2019)

If  $f = \sum_{\alpha} c_{\alpha} x^{\alpha}$  is nonzero and N(f) is Lorentzian, then the function  $\log(N(f))$  is concave on  $\mathbb{R}^{n}_{>0}$ .

The Lorentzian property is actually equivalent to being *strongly log-concave* or *completely log-concave*.

Theorem (Huh–Matherne–Mészáros–S. 2019)

For any permutation  $w \in S_n$ ,

$$N((x_1\cdots x_n)^{n-1}\mathfrak{S}_w(x_1^{-1},\ldots,x_n^{-1}))$$

is Lorentzian.

#### Proof Idea:

Knutson-Miller (2001): Schubert polynomials are multidegrees of matrix Schubert varieties

### A Very Convenient Trick

By a symmetry argument:

$$(x_1\cdots x_n)^{n-1}s_\lambda(x_1^{-1},\ldots,x_n^{-1})\approx s_\mu$$



Theorem (Huh–Matherne–Mészáros–S. 2019)

For any partition  $\lambda$ ,  $N(s_{\lambda})$  is Lorentzian.

Immediately implies discrete and continuous log-concavity:

Corollary (Huh–Matherne–Mészáros–S. 2019)

• 
$$K_{\lambda\alpha}^2 \ge K_{\lambda,\alpha+e_i-e_j}K_{\lambda,\alpha-e_i+e_j}$$

•  $\log(N(s_{\lambda}))$  is a concave function on  $\mathbb{R}^{n}_{>0}$ 

What about Schubert polynomials?

### What about Schubert Polynomials?

### Theorem (Fink-Mészáros-S. 2017)

 $\mathfrak{S}_w$  has SNP and  $\operatorname{Newton}(\mathfrak{S}_w)$  is a generalized permutahedron.

$$Newton(\mathfrak{S}_{21543}) = P(U_{1,1}) + P(U_{2,4}) + P(U_{1,3})$$



### Log-Concavity of Schubert Coefficients

Let 
$$\mathfrak{S}_w = \sum_{\alpha} C_{w\alpha} x^{\alpha}$$
. Consider $N((x_1 \cdots x_n)^{n-1} \mathfrak{S}_w(x_1^{-1}, \dots, x_n^{-1})).$ 

#### Theorem (Huh–Matherne–Mészáros–S. 2019)

For any  $w \in S_n$  and  $i, j \in [n]$ ,

$$C_{w\alpha}^2 \geq C_{w,\alpha+e_i-e_j}C_{w,\alpha-e_i+e_j}.$$

Discrete log-concavity holds for Schubert polynomials. (Combinatorial proof?) Even if N(f) is Lorentzian,

$$N(x^{\alpha}f(x_1^{-1},\ldots,x_n^{-1}))$$

may not be.

Conjecture (Huh–Matherne–Mészáros–S. 2019)

For any permutation w,  $N(\mathfrak{S}_w)$  is Lorentzian.

#### Theorem (Huh–Matherne–Mészáros–S. 2019)

If w avoids 12543, 13254, 13524, 13542, 21543, 125364, 125634, 215364, 215634, 315264, 315624, and 315642, then  $N(\mathfrak{S}_w)$  is Lorentzian.

#### Theorem (Huh–Matherne–Mészáros–S. 2019)

If w avoids 1432 and 1423, then  $\mathfrak{S}_w$  and  $N(\mathfrak{S}_w)$  are Lorentzian.

#### Question

What other interesting families of polynomials have Lorentzian normalizations?

### Conjecture (Monical-Tokcan-Yong 2017)

The following all have the saturated Newton polytope property:

- Schubert polynomials
- Double Schubert polynomials
- Grothendieck polynomials
- Key polynomials

### Conjecture (Huh-Matherne-Mészáros-S. 2019)

With appropriate modifications, the following all have Lorentzian normalizations:

- Double Schubert polynomials
- Grothendieck polynomials
- Key polynomials
- Skew-Schur polynomials
- Characters of (infinite dimensional) irreducible GL<sub>n</sub> representations

## Thanks for Listening!



Another perspective is the log-concavity properties of the function  $\lambda \mapsto s_{\lambda}$ .

For symmetric functions f and g, say  $f \ge_s g$  if f - g expands positively in the Schur basis.

### Theorem (Lam–Postnikov–Pylyavskyy 2005)

• 
$$(s_{\frac{\lambda+\nu}{2}/\frac{\mu+\rho}{2}})^2 \geq_s s_{\lambda/\mu}s_{\nu/\rho}$$
 (Okounkov)

• 
$$s_{\operatorname{sort}_1(\lambda,\mu)}s_{\operatorname{sort}_2(\lambda,\mu)} \geq_s s_\lambda s_\mu$$

• 
$$\prod_{i=1}^{n} s_{\lambda^{[i,n]}} \geq_{s} \prod_{i=1}^{m} s_{\lambda^{[i,m]}}$$

(Lascoux-Leclerc-Thibon)

### • $s_{(\lambda/\mu)\vee(\nu/ ho)}s_{(\lambda/\mu)\wedge(\nu/ ho)}\geq_s s_{\lambda/\mu}s_{\nu/ ho}$

(Lam–Pylyavskyy)