

# Calculations In Infinite Matrix Groups Using Congruence Images

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# Setup

$$F \xrightarrow{\psi} \Gamma \xrightarrow{\varphi_m} A_m$$

Let  $\Gamma$  be discrete subgroup of Lie Group,

In particular,  $SL$  or  $SP$  over  $\mathbb{Z}$  and its friends (rings of integers, localizations).

$G \leq \Gamma$  given by finite set of generating matrices.

Can we compute the index  $[\Gamma : G]$  ?

**Toolset:** Computational Group Theory,

Isomorphism  $\psi: F \rightarrow \Gamma$  with  $F$  finitely presented explicitly given. Reduction  $\varphi_m$  modulo integer  $m$ .

# Algorithmic Tools

## Finely presented groups:

Coset Enumeration

Reidemeister-Schreier

Quotient Algorithms

Knuth-Bendix

## Matrix groups over finite fields:

Composition tree

Homomorphisms

Finite group calculations

## General Paradigms:

Groups given by small set of generators

Orbit algorithm, Schreier Generators.

Subgroup chain data structures for decomposition

Infinity representable by finite information only

# Algorithmic Tools

## Finely presented groups:

Coset Enumeration

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## Matrix groups over finite

fields:

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Finite group calculations

## General Paradigm

Groups given by s

Orbit algorithm, S

Subgroup chain d

Infinity representa

## Shameless Advertisement:

Nonsolvable ("Hybrid")  
quotient algorithm.

(Implemented!)



# Algorithms

# Basic Tools

BAARNHIELM  
HOLT,  
L.-GREEN,  
O'BRIEN  
*JSC*, 2015

**Finitely presented**  
Coset Enumeration  
Reidemeister-Schreier  
Quotient Algorithms  
Knuth-Bendix

**Matrix groups over finite fields**  
~~Rings:~~  
Composition tree  
Homomorphisms  
Finite group calculations

**General Paradigms:**

Groups given by small set of generators

Orbit algorithm, Schreier Generators.

Subgroup chain data structures for decomposition

Infinity representable by finite information only

# Algorithmic Tools

## Finely presented groups:

Coset Enumeration  
Reidemeister-Schreier  
Quotient Algorithms  
Knuth-Bendix

## Matrix groups over finite

## ~~fields~~ Rings:

Composition tree  
Homomorphisms  
Finite group calculations

## Local/Global:

Congruence images and  
their structure

# Arithmetic Tools

WEIGEL  
1995,96

MATTHEWS,  
VASERSTEIN,  
WEISFEILER  
1984

groups:

Matrix groups over finite fields

~~fields~~ Rings:

Composition tree

Homomorphisms

Finite group calculations

Reidemeister-Schreier  
Quotient Algorithms  
Knuth-Bendix

CSP (e.g.  $\dim \geq 3$ ): Finite index  $\Rightarrow$  Dense  $\Leftrightarrow$

$\varphi_p(G) = \varphi_p(\Gamma)$  modulo almost all primes

**Local/Global:**

Congruence images and  
their structure

# Software Stack Used

- **Free and open** system, group theory and related areas.
- **Powerful:** Extensive finite group theory
- **Mature:** Developed for 30+ years.
- **Used:** e.g. within Sage for group theory
- Numerous packages for particular areas.

GAP ( [www.gap-system.org](http://www.gap-system.org) )

# Software Stack Used

arithmetic.g routines  
([github.com/hulpke/arithmetic](https://github.com/hulpke/arithmetic))

kbmag  
package/  
binary

ace  
package/  
binary

matgrp package

recog package

Forms

AtlasRep

orb

genss

GAP ( [www.gap-system.org](http://www.gap-system.org) )

GAP

GAP 4.12dev built on 2021-06-07

<https://www.gap-system.org>

Architecture: x86\_64-apple-darwin20.5.0

```
gap> Read("arithmetic.g");
```

```
Loading 'Forms' 1.2.5
```

```
Loading orb 4.8.3 (Methods to enumerate orbits)
```

```
Loading genss 1.6.5 (Generic Schreier-Sims)
```

```
Loading recog 1.3.2dev (Group recognition methods)
```

```
Matrix Group Interface routines
```

```
Arithmetic group routines, Version 1.11, Alexander Hulpke
```

```
gap> psi:=SLNZFP(3); # also SPNZFP
```

```
[ t12, t13, t21, t23, t31, t32 ] ->
```

```
[ [ [ 1, 1, 0 ], [ 0, 1, 0 ], [ 0, 0, 1 ] ], [...] ]
```

```
gap> F:=Source(psi);
```

```
<fp group on [ t12, t13, t21, t23, t31, t32 ]>
```

```
gap> Range(psi);
```

```
<matrix group with 6 generators>
```

```
gap> Image(psi,PseudoRandom(F:radius:=100));
```

```
[[1203,-1886,1904],[1381,-2181,2192],[-2498,3935,-3961]]
```

```
gap> PseudoRandom(Range(psi)); #forced short to 20 minutes
```

```
[ [ <integer 144...984 (161571960 digits)>, [...] ]
```

# Constructing $SL_2(\mathbb{Z}[1/7])$

Following SERRE (choose  $p = 7$ ).

Take  $SL_2(\mathbb{Z}) = \langle a, b \mid a^4, a^2 = (ab)^3 \rangle$

```
gap> f:=FreeGroup("a","b");;
gap> g:=f/ParseRelators(f,"a4,a2=(ab)3");
<fp group on the generators [ a, b ]>
```

Two matrix representations:  $\alpha: a, b \mapsto \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$  and

$\beta: a, b \mapsto \begin{pmatrix} 0 & 1/7 \\ -7 & 0 \end{pmatrix}, \begin{pmatrix} 1 & -1/7 \\ 0 & 1 \end{pmatrix}$ .

```
gap> mats:=[[ [0,1], [-1,0] ], [ [1,0], [1,1] ] ];;
gap> alpha:=GroupHomomorphismByImages(g,Group(mats),GeneratorsOfGroup(g),mats);
[ a, b ] -> [ [ [0,1], [-1,0] ], [ [ 1, 0 ], [ 1, 1 ] ] ]
gap> new:=[[ [0,1/7], [-7,0] ], [ [1,-1/7], [0,1] ] ];;
gap> beta:=GroupHomomorphismByImages(g,Group(new),GeneratorsOfGroup(g),new);
[ a, b ] -> [ [ [0,1/7], [-7,0] ], [ [1,-1/7], [0,1] ] ]
```

SERRE

Trees

P.81

# Nonprincipal congruence subgroup $\begin{pmatrix} * & * \\ 7* & * \end{pmatrix}$ modulo 7:

```
gap> one:=One(GF(7)); mare:=mats*one;;
gap> red:=GroupHomomorphismByImages(g,Group(mare),GeneratorsOfGroup(g),mare);
[ a, b ] -> [ [ [ 0*z(7), z(7)^0 ], [ z(7)^3, 0*z(7) ] ], [ ... ] ]
gap> sub:=Stabilizer(Range(red), [0,1]*one, OnLines);
Group([ [ z(7)^2, z(7)^3 ], [ 0*z(7), z(7)^4 ] ], [ ... ])
gap> sub:=PreImage(red, sub);
Group(<fp, no generators known>)
gap> gens:=GeneratorsOfGroup(sub);
[ a^2, a*b/a, b^2/a^2/b^2, b^3/a/b^2, (a^-2)^(b^2), b^-3/a*b^2 ]
gap> gens:=gens{ [1,2,4,6] };
gap> suma:=List(gens, x->Image(beta, x));
[[ [-1,0], [0,-1] ], [ [1,0], [7,1] ], [ [-3,-1], [7,2] ], [ ... ] ]
```

## Factor generators (done on the side — see later)

```
gap> wrd:=[a^2, b^7, (a^-1*b)^(b^2), (a^-1*b^-1)^(b^-2)];
gap> List(wrd, x->ImagesRepresentative(alpha, x))=suma;
true # verified words
```

# Redundancy amongst generators:

```
gap> suma:=List(gens,x->ImagesRepresentative(beta,x));  
[[[-1,0],[0,-1]],[[1,0],[7,1]],[  
[-1,0],[0,-1]],[[-3,-1],[7,2]],[  
[-1,0],[0,-1]],[[3,-1],[7,-2]]]
```

```
gap> sub:=Stabilizer(zer(range(red),[0,1] one,OnLines),  
Group([ [ z(7)^2, z(7)^3 ], [ 0*z(7), z(7)^4 ] ], [...])  
gap> sub:=PreImage(red,sub);  
Group(<fp, no generators known>)  
gap> gens:=GeneratorsOfGroup(sub);  
[a^2,a*b/a,b^2/a^2/b^2,b^3/a/b^2,(a^-2)^(b^2),b^-3/a*b^2]  
gap> gens:=gens{[1,2,4,6]};;  
gap> suma:=List(gens,x->Image(beta,x));  
[[[-1,0],[0,-1]],[[1,0],[7,1]],[[-3,-1],[7,2]],[...]]
```

## Factor generators (done on the side — see later)

```
gap> wrd:=[a^2,b^7,(a^-1*b)^(b^2),(a^-1*b^-1)^(b^-2)];;  
gap> List(wrd,x->ImagesRepresentative(alpha,x))=suma;  
true # verified words
```

# Nonprincipal congruence subgroup $\begin{pmatrix} * & * \\ 7* & * \end{pmatrix}$ modulo 7:

```
gap> one:=One(GF(7)); mare:=mats*one;;
gap> red:=GroupHomomorphismByImages(g,Group(mare),GeneratorsOfGroup(g),mare);
[ a, b ] -> [ [ [ 0*z(7), z(7)^0 ], [ z(7)^3, 0*z(7) ] ], [ ... ] ]
gap> sub:=Stabilizer(Range(red), [0,1]*one, OnLines);
Group([ [ z(7)^2, z(7)^3 ], [ 0*z(7), z(7)^4 ] ], [ ... ])
gap> sub:=PreImage(red, sub);
Group(<fp, no generators known>)
gap> gens:=GeneratorsOfGroup(sub);
[ a^2, a*b/a, b^2/a^2/b^2, b^3/a/b^2, (a^-2)^(b^2), b^-3/a*b^2 ]
gap> gens:=gens{ [1,2,4,6] };
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[[ [-1,0], [0,-1] ], [ [1,0], [7,1] ], [ [-3,-1], [7,2] ], [ ... ] ]
```

## Factor generators (done on the side — see later)

```
gap> wrd:=[a^2, b^7, (a^-1*b)^(b^2), (a^-1*b^-1)^(b^-2)];
gap> List(wrd, x->ImagesRepresentative(alpha, x))=suma;
true # verified words
```

$a^2, ab/a, b^3/a/b^2, b^{-3}a^{-1}b^2$

$a^2, b^7, (a^{-1}b)^{b^2}, (a^{-1}b^{-1})^{b^{-2}}$

Amalgamate the subgroups through generators

```
gap> f:=FreeGroup("a","b","x","y");;
gap> rels:=ParseRelators(f,"a4,a2=(ab)3,x4,x2=(xy)3,x2=a2,\
> y7=ab/a,b3/a/b2/y3xy2,b-3/ab2y3x/y2");;am:=f/rels;;
```

Combine the matrix representations:

```
gap> mats:=Concatenation(mats,new);;
gap> iso:=GroupHomom...ByImages(am,Group(mats),GeneratorsOfGroup(am),mats);
[ a, b, x, y ] -> [[ [0,1], [-1,0] ], [ [1,0], [1,1] ], [ [0,1/7],
[ -7,0 ] ], [ [1,-1/7], [0,1] ] ]
```

Since we did not add an **NC**, this verified that the map is a homomorphism.

$a^2, ab/a, b^3/a/b^2, b^{-3}a^{-1}b^2$

$x^2, y^7, (x^{-1}y)^{y^2}, (x^{-1}y^{-1})^{y^{-2}}$

Amalgamate the subgroups through generators

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gap> f:=FreeGroup("a","b","x","y");;
gap> rels:=ParseRelators(f,"a^4,a^2=(ab)^3,x^4,x^2=(xy)^3,x^2=a^2,\
> y^7=ab/a,b^3/a/b^2/y^3xy^2,b^-3/ab^2y^3x/y^2");;am:=f/rels;;
```

Combine the matrix representations:

```
gap> mats:=Concatenation(mats,new);;
gap> iso:=GroupHomomorphismByImages(am,Group(mats),GeneratorsOfGroup(am),mats);
[ a, b, x, y ] -> [[ [0,1], [-1,0] ], [ [1,0], [1,1] ], [ [0,1/7],
[ -7,0 ] ], [ [1,-1/7], [0,1] ] ]
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$a^2, ab/a, b^3/a/b^2, b^{-3}a^{-1}b^2$   
 $x^2, y^7, (x^{-1}y)^{y^2}, (x^{-1}y^{-1})^{y^{-2}}$

String goes over  
more than one line

Amalgamate the subgroups through generators

```
gap> f:=FreeGroup("a","b","x","y");;
gap> rels:=ParseRelators(f,"a^4,a^2=(ab)^3,x^4,x^2=(xy)^3,x^2=a^2,\
> y^7=ab/a,b^3/a/b^2/y^3xy^2,b^-3/ab^2y^3x/y^2");;am:=f/rels;;
```

Combine the matrix representations:

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gap> mats:=Concatenation(mats,new);;
gap> iso:=GroupHomomorphismByImages(am,Group(mats),GeneratorsOfGroup(am),mats);
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[ -7,0 ] ], [ [1,-1/7], [0,1] ] ]
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$a^2, ab/a, b^3/a/b^2, b^{-3}a^{-1}b^2$

$x^2, y^7, (x^{-1}y)^{y^2}, (x^{-1}y^{-1})^{y^{-2}}$

Amalgamate the subgroups through generators

```
gap> f:=FreeGroup("a","b","x","y");;
gap> rels:=ParseRelators(f,"a^4,a^2=(ab)^3,x^4,x^2=(xy)^3,x^2=a^2,\
> y^7=ab/a,b^3/a/b^2/y^3xy^2,b^-3/ab^2y^3x/y^2");;am:=f/rels;;
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[ -7,0 ] ], [ [1,-1/7], [0,1] ] ]
```

Since we did not add an **NC**, this verified that the map is a homomorphism.

# Index In Congruence Images

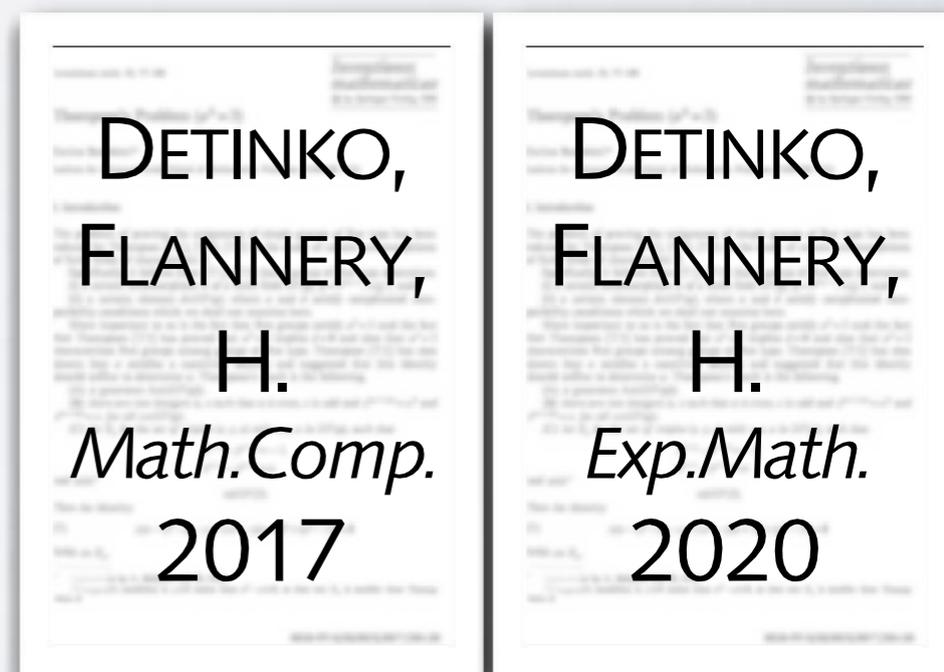
Congruence images are approximations. There is a largest principal congruence subgroup  $\Gamma_l \leq \Gamma$  of level  $l$ , such that  $\langle \Gamma_l, G \rangle \leq \langle \Gamma_k, G \rangle$  for any  $k$ .

Find this level  $l$ , and index

$$[\Gamma : \langle \Gamma_l, G \rangle] = [\varphi_l(\Gamma) : \varphi_l(G)].$$

**Two part problem:**

- ▶ Find primes dividing  $l$
- ▶ Find  $l$  from primes.



# Structure Of Congruence Image

Image  $A_l = \varphi_l(\Gamma)$  is classical group (SL/Sp) over  $Z_l = \mathbb{Z}/l\mathbb{Z}$ .

$A_l$  subdirect product of  $A_{p^e}$  for prime powers  $p^e$  in  $l$ .

$A_{p^e}$  is iterated extension of adjoint module (Lie sense) by group  $A_p$  over prime field.

Generically, these extensions  $A_{p^e}$  are not supplemented, thus if  $[A_{m \cdot p} : \varphi_{m \cdot p}(G)] = [A_m : \varphi_m(G)]$ , no further factor of  $p$  in  $l$ . Thus find maximal prime powers.

# Structure Of Congruence Image

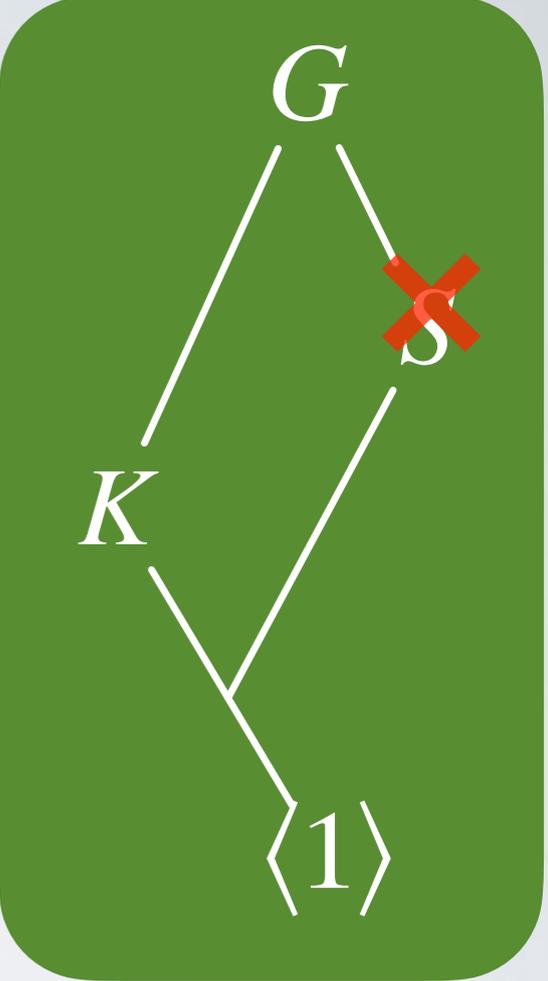
Image  $A_l = \varphi_l(\Gamma)$  is classical group (SL/Sp) of  
 $Z_l = \mathbb{Z}/l\mathbb{Z}$ .

$A_l$  subdirect product of powers

$A_{p^e}$  is iterated extension of module (Li)

by group  $A_p$

Exceptions for small primes (2,3) and small dimensions ( $\leq 3$ ), resolved by starting with prime power  $p^2$ .



Generically, these extensions  $A_{p^e}$  are not supplemented,

thus if  $[A_{m \cdot p} : \varphi_{m \cdot p}(G)] = [A_m : \varphi_m(G)]$ , no further

factor of  $p$  in  $l$ . Thus find maximal prime powers.

# Finding Primes

Same argument, primes dividing  $l$  are (disclaimer for  $p = 2, 3$ ) those  $p$ , such that  $\varphi_p(G) \neq A_p$ .

**Criteria:** a) Given transvection stays transvection  
b) Adjoint repres. of  $G \bmod p$  abs. red.

**Theorem:** An (irreducible) representation  $\alpha: G \rightarrow \mathrm{GL}_k(\mathbb{Z})$  is absolutely irreducible modulo every prime that does not divide the discriminant of the  $\mathbb{Z}$ -lattice spanned by  $\alpha(G)$ .

**Method:** Approximate this lattice until full rank.

**Problem:** Dimension  $k^2$ . (Too) costly for adj. rep.

# Finding Primes

Same argument, primes dividing  $l$  are (disclaimer for  $p = 2, 3$ ) those  $p$ , such that  $\varphi_p(G) \neq A_p$ .

**Criteria:** a) Given transvection stays transvection  
b) Adjoint repres. of  $G$  abs. red. mod  $p$

**Theorem:** An (irreducible) representation  $\alpha: G \rightarrow \mathrm{GL}_k(\mathbb{Z})$  is absolutely irreducible modulo every prime that does not divide the discriminant of the  $\mathbb{Z}$ -lattice spanned by  $\alpha(G)$ .

**Method:** Approximate this lattice until full rank.

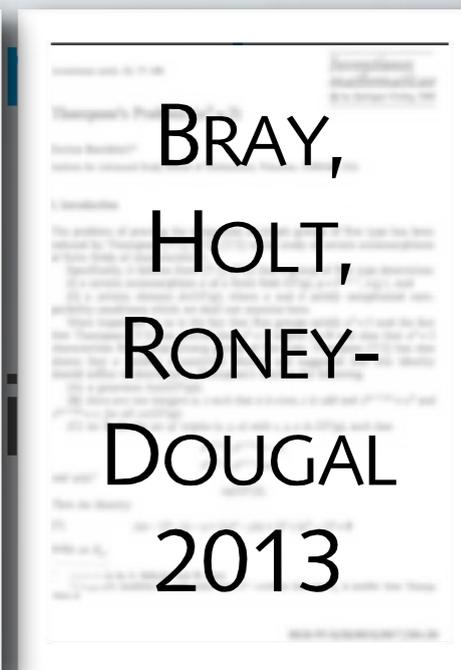
**Problem:** Dimension  $k^2$ . (Too) costly for adj. rep.

# Finding Primes

Same argument, primes dividing  $l$  and  $p$  ( $p = 2, 3$ ) those  $p$ , such that  $\varphi_p(G)$

**Criteria:** a) Given transvection stays

b) **Adjoint repres. of  $G$  abs. red. m**



**To find in practice:**

- ▶  $\varphi_p(G)$  is in maximal subgroup of  $A_p$ .
- ▶ Aschbacher classes for maximal subgroups — stabilizers of geometric structures, order bounds.
- ▶ Translate stabilization to calculation on entries of element(s) in  $G$ . Test prime divisors of value.

# Finding Primes

Some primes dividing  $l$  are (disclaimer for

Any such prime is  
candidate only – test  
whether  $\varphi_p(G) \neq A_p$

that  $\varphi_p(G) \neq A_p$ .

Criteria: transvection stays transvection

b) Adjoint repres. of  $G$  abs. red. mod  $p$

To find in practice:

- ▶  $\varphi_p(G)$  is in maximal subgroup of  $A_p$ .
- ▶ Aschbacher classes for maximal subgroups – stabilizers of geometric structures, order bounds.
- ▶ Translate stabilization to calculation on entries of element(s) in  $G$ . Test prime divisors of value.

# For Example

Convention:  $a \in A_p$ .  $g \in G$  (pseudo-)random.

**Order Bound:**  $|a| \leq b$  implies exponent  $a^e = 1$ . For  $|g| = \infty$ , consider lcm of entries of  $g^e - 1$ .

**Tensor Product:** If  $a = a_1 \otimes a_2$ , then coefficients of  $\chi_a(x) = \prod_{i,j} (x - \alpha_i \beta_j)$  satisfy syzygy condition.

**Reducible (not absolutely):** Run irreducibility test (MeatAxe) generically over  $\mathbb{Z}$ . Collect primes modulo which reduction fails.

+ further cases ...

# For Example

Hard (?)  
commutative  
algebra problem

Convention:  $a \in A_p$ ,  $g \in G$  (pseudo-)random.

**Order Bound:**  $|a| \leq b$  implies exponent  $a^e = 1$ . For  $|g| = \infty$ , consider lcm of entries of  $g^e - 1$ .

**Tensor Product:** If  $a = a_1 \otimes a_2$ , then coefficients of  $\chi_a(x) = \prod_{i,j} (x - \alpha_i \beta_j)$  satisfy syzygy condition.

**Reducible (not absolutely):** Run irreducibility test (MeatAxe) generically over  $\mathbb{Z}$ . Collect primes modulo which reduction fails.

+ further cases ...

GAP RD

STOP

```

gap> g:=BetaT(27);
<matrix group with 3 generators>
gap> pr:=PrimesNonSurjective(g);
#I Absolute irreducibility - found: [ 17, 23,
#I Element Order - found: [ 3 ] new:[ ]
#I Similarity - found: [ 3, 17, 23, 151 ] new:
#I Monomial - found: [ 3 ] new:[ ]
#I Solvable - found: [ 3 ] new:[ ]
[ 3, 17, 23, 151 ]
gap> MaxPCSPPrimes(g,pr);
#I Try 3^1, Try 3^2, [...] Try 3^10
#I Try 17^1, Try 17^2
#I Try 23^1, Try 23^2
#I Try 151^1, Try 151^2
#I Try 1162104003 3
#I Try 1162104003 17
#I Try 1162104003 23
#I Try 1162104003 151
#I Try extra 2
#I Level = 1162104003 =3^9*17*23*151
[ 1162104003, 9854089154390043625196124257904 ]

```

LONG,  
REID

*Exp.Math.*  
2011

Examples chosen to illustrate, even if results known.

```
gap> g:=BetaT(27);
```

```
<matrix group with 3 generators>
```

```
gap> pr:=PrimesNonSurjective(g);
```

```
#I Absolute irreducibility - found: [ 17, 23, 151 ]
```

```
#I Element Order - found: [ 3 ] new:[ ]
```

```
#I Similarity - found: [ 3, 17, 23, 151 ] new:[ ]
```

```
#I Monomial - found: [ 3 ] new:[ ]
```

```
#I Solvable - found: [ 3 ] new:[ ]
```

```
[ 3, 17, 23, 151 ]
```

```
gap> MaxPCSPPrimes(g,pr);
```

```
#I Try 3^1, Try 3^2, [...] Try 3^10
```

```
#I Try 17^1, Try 17^2
```

```
#I Try 23^1, Try 23^2
```

```
#I Try 151^1, Try 151^2
```

```
#I Try 1162104003 3
```

```
#I Try 1162104003 17
```

```
#I Try 1162104003 23
```

```
#I Try 1162104003 151
```

```
#I Try extra 2
```

```
#I Level = 1162104003 = 3^9*17*23*151
```

```
[ 1162104003, 9854089154390043625196124257904 ]
```

```
gap> g:=HofmannStraatenExample(3,4); # Calabi-Yau Threefolds
```

```
<matrix group with 2 generators>
```

```
gap> RankMat(g.2-g.2^0);
```

```
1
```

```
gap> PrimesForDense(g,g.2,SP); # use transvection
```

```
[ 2, 3 ]
```

```
gap> MaxPCSPPrimes(g,pr,SP);
```

```
#I Try 2^1, 2^2, 2^3
```

```
#I Try 3^1, 3^2, 3^3
```

```
#I Try 36 2
```

```
#I Try 36 3
```

```
#I Level = 36 = 2^2*3^2
```

```
#I Index = 3110400 = 2^9*3^5*5^2
```

```
[ 36, 3110400 ]
```

HOFMANN,  
VAN  
STRAATEN,  
*J.Austr.M.S.*  
2015

This  
**ONLY** is the index of  
the smallest congruence  
subgroup above, not  
of  $G$

```
gap> Example(3,4); # Calabi-Yau Threefolds
<module of invariants>
gap> 1
gap> PrimesDense(g,g.2,SP); # use transvection
[ 2, 3 ]
gap> MaxPCSPimes(g,pr,SP);
#I Try 2^1 2^2, 2^3
#I Try 3^1 3^2, 3^3
#I Try 36 2
#I Try 36 3
#I Level = 36 = 2^2*3^2
#I Index = 3110400 = 2^9*3^5*5^2
[ 36, 3110400 ]
```

# Verifying The Index

$$F \xrightarrow{\psi} \Gamma \xrightarrow{\varphi_m} A_m$$

Unless we know the subgroup to be a congruence subgroup, the maximal index in a congruence image is only the index of the smallest congruence subgroup above, and will never show infinite index.

Thus consider problem in finitely presented pre-image under  $\psi$ :

- ▶ Pre-image of matrix is word in generators
- ▶ Hope fp-group methods finish

# Finding Words

$$F \xrightarrow{\psi} \Gamma \xrightarrow{\varphi_m} A_m$$

To find pre-image under  $\psi$ , need to express matrix in  $\Gamma$  as product of elementary generators:

**Try possible words of short length:** Limited scope

**Word in  $A_m$ :** Deterministic, but might need huge  $m$ .

**Hermite NF:** Only for SL, Long Words:  $\begin{pmatrix} 1 & 34 \\ 1000 & 21 \end{pmatrix}$ , We

care not about Normal Form but transform matrix.

**Ultimately Use:** Norm-based reduction (row+column) heuristics. Produces reasonable words for SL and Sp.

# Finding Words

$$F \xrightarrow{\psi} \Gamma \xrightarrow{\varphi_m} A_m$$

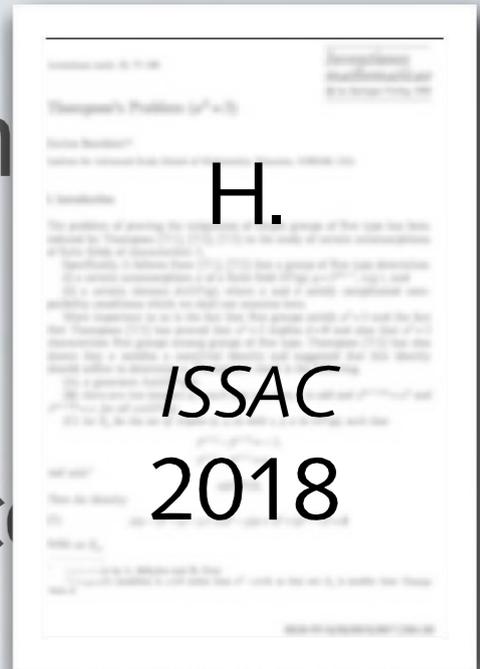
To find pre-image under  $\psi$ , need to express  $m$  in  $\Gamma$  as product of elementary generators:

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**Ultimately Use:** Norm-based reduction (row+column) heuristics. Produces reasonable words for  $SL$  and  $Sp$ .



```
gap> g:=HofmannStraatenExample(3,4);; # as before – 36, 3110400
```

```
gap> psi:=SPNZFP(4);;F:=Source(psi);
```

```
<fp group on the generators [ Y1, Y2, U1, U2, Z1 ]>
```

```
gap> w:=List(GeneratorsOfGroup(g),  
> x->PreImagesRepresentative(psi,x));
```

```
[ U1*(U2^-1*U1*U2^-1)^2*Y1^-1*Y2^-1*U2^-1*Y2^-1*Z1*U2*Y2, Y2^-1 ]
```

```
gap> S:=Subgroup(F,w);;
```

```
gap> Index(F,S);
```

```
3110400 # have proven the finite index, 5 minutes
```

```
gap> g:=HofmannStraatenExample(1,4);; # known infinite index
```

```
gap> pr:=PrimesForDense(g,g.2,SP);
```

```
[ 2 ]
```

```
gap> MaxPCSPPrimes(g,pr,SP);
```

```
[ 4, 160 ] # level 4, index 160
```

```
gap> w:=List(GeneratorsOfGroup(g),x->PreImagesRepresentative(psi,x));
```

```
[ U2^-3*U1*U2^-1*Y1^-1*Y2^-1*U2^-1*Y2^-1*Z1*U2*Y2, Y2^-1 ]
```

```
gap> Index(F,S);
```

```
Error, coset enumeration defined more than 4096000 cosets
```

```
gap> g:=HofmannStraatenExample(3,4);; # as before – 36, 3110400
```

```
gap> psi:=SPNZFP(4);;F:=Source(psi);
```

```
<fp generators [ Y1, Y2, U1, U2, Z1 ]>
```

```
gap> F:=FreeGroup(g),
```

```
> F:=FreeGroup(psi,x);
```

```
[ U1*U2^-1*U2^-1*Y2^-1*Z1*U2*Y2, Y2^-1 ]
```

```
gap>
```

```
gap> Index(F,S);
```

```
3110400 # here proven the finite index, 5 minutes
```

```
gap> g:=HofmannStraatenExample(1,4);; # known infinite index
```

```
gap> pr:=PrimitiveForDense(g,g.2,SP);
```

```
[ 2 ]
```

```
gap> MaxPCSPPrimes(g,pr,SP);
```

```
[ 4, 160 ] # level 4, index 160
```

```
gap> w:=List(GeneratorsOfGroup(g),x->PreImagesRepresentative(psi,x));
```

```
[ U2^-3*U1*U2^-1*Y1^-1*Y2^-1*U2^-1*Y2^-1*Z1*U2*Y2, Y2^-1 ]
```

```
gap> Index(F,S);
```

```
Error, coset enumeration defined more than 4096000 cosets
```

This is **NOT** a proof that the index is infinite, just failure so far.

```
gap> g:=HofmannStraatenExample(4,4);; # known finite index
```

```
gap> pr:=PrimesForDense(g,g.2,SP);
```

```
[ 2 ]
```

```
gap> MaxPCSPPrimes(g,pr,SP);
```

```
[ 64, 47185920 ]
```

```
gap> w:=List(GeneratorsOfGroup(g),x->PreImagesRepresentative(psi,x));
```

```
[ U1^2*(U2^-1*U1*U2^-1)^2*Y1^-1*Y2^-1*U2^-1*Y2^-1*Z1*U2*Y2, Y2^-1 ]
```

```
gap> S:=Subgroup(F,w);;
```

```
gap> Index(F,S);
```

```
Error, coset enumeration defined more than 4096000 cosets
```

```
brk> return;
```

```
[...] coset enumeration defined more than 16384000 cosets
```

```
gap> LoadPackage("ace");
```

```
Loading ACE (C code by George Havas, Colin Ramsay)
```

```
gap> TCENUM:=ACETCENUM;; #change default TC
```

Could use external binary for more powerful coset enumerator. Note that it requires to set options to use more than basic memory:

```
gap> g:=HofmannStraatenE
gap> pr:=PrimesForDense(
[ 2 ]
gap> MaxPCSPPrimes(g,pr,s :hard, Wo:=10^8
[ 64, 47185920 ]
gap> w:=List(GeneratorsOfGroup(s)>PreImagesRepresentative(psi,x));
[ U1^2*(U2^-1*U1*U2^-1)^2*Y1^2*Y2^-1*U2^-1*Y2^-1*Z1*U2*Y2, Y2^-1 ]
gap> S:=Subgroup(F,w);
gap> Index(F,S);
Error, coset enumeration defined more than 4096000 cosets
brk> return;
[...] coset enumeration defined more than 16384000 cosets
gap> LoadPackage("ace");
Loading ACE (C code by George Havas, Colin Ramsay)
gap> TCENUM:=ACETCENUM;; #change default TC
```

# Use Intermediate Subgroup

Coset enumeration must store row for every coset, and intermediately might need more than index.

5 generators, index 47185920 needs at least 30 GB.

**Instead** find a subgroup  $S \leqneq T \leqneq \Gamma$ . Rewrite presentation to  $T$  and then (in new presentation)

calculate  $[T : S] = \frac{[\Gamma : S]}{[\Gamma : T]}$ .

**Price:** Generator number of  $T$  grows with index, but subsequent Tietze transformations tend to reduce.

# Use Intermediate Subgroup

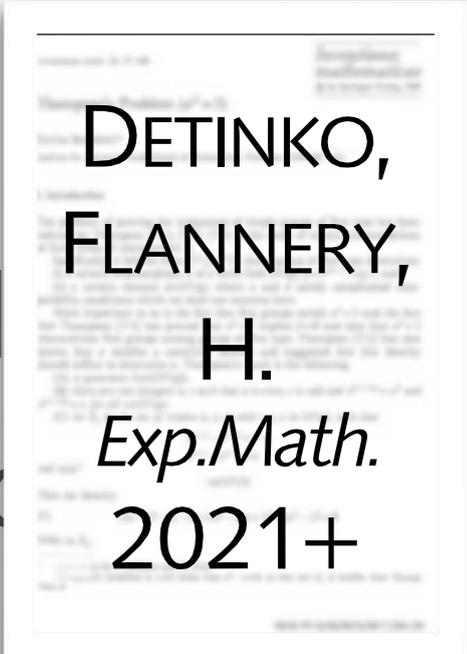
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DETINKO,  
FLANNERY,  
H.  
*Exp.Math.*  
2021+

# Use Intermediate Subgroup

Coset enumeration must store row for every coset, and intermediately might be too large.

5 generators, index 47

**Instead** find a subgroup

presentation to  $T$  and  $S$  (in new presentation)

calculate  $[T : S] = \frac{[\Gamma : T]}{[\Gamma : S]}$ .

**Price:** Generator number of  $T$  grows with index, but subsequent Tietze transformations tend to reduce.

Change presentation in sequence of simple steps, trying to delete generators and reduce size. Heuristic driven.

GB.

```

gap> ring:=Integers mod 8;;
gap> red:=MappingGeneratorsImages(psi)[2]*One(ring);
[[ [ ZmodnZObj( 1, 4 ), [...]]
gap> red:=GroupHomomorphismByImagesNC(F, Group(red),
> MappingGeneratorsImages(psi)[1], red);;
gap> red:=red*IsomorphismPermGroup(Range(red));
gap> Index(F, Kernel(red));
754974720
gap> q:=Image(red);; qs:=Image(red, S);; Index(q, qs);
184320
gap> ac:=AscendingChain(q, qs);; List(ac, x->Index(q, x));
[ 2949120, 737280, 368640, 184320, 46080, 23040, 11520
5760, 1440, 720, 360, 180, 1 ]
gap> R:=PreImage(red, ac[8]);
Group(<fp, no generators known>)
gap> iso:=IsomorphismFpGroup(R);
[ U1*Y1^-2*U1*Y1, [...]] -> [F1, F2, F3, F4, F5]
gap> Index(Range(iso), Image(iso, S):hard, Wo:=10^8);
8192
gap> 8192*5760;
47185920 # this is the proof of the index

```

Image is finite group, in which we can do all kinds of calculations.  
E.g. `IntermediateSubgroups(q, qs)`; finds the 2301 subgroups (many b/c of the  $p^{k-1} \bmod p^k$  layers) above  $\langle \Gamma_8, S \rangle$ .  
Use modulo level (64) to find all finite index subgroups above  $S$ .

```
gap> Index(F, Kernel(red));
```

```
754974720
```

```
gap> q:=Image(red); qs:=Image(red, S); Index(q, qs);
```

```
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> MappingGeneratorsImages(psi)[1], red);;
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8192
gap> 8192*5760;
47185920 # this is the proof of the index

```

Combination  
of Reidemester  
Schreier with  
Tietze

Rewriting to subgroups work well for indices in magnitude  $10^4$

Combination of Reidemester Schreier with Tietze

```
gap> ring:=Integers r
gap> red:=MappingGenerator([ [ [ ZmodnZObj(1, ring) ] ], [ 1 ] ], [ 1 ] );
gap> red:=GroupHomomorphism(F, Group(red), red);
gap> MappingGenerator([ [ [ ZmodnZObj(1, ring) ] ], [ 1 ] ], [ 1 ] );
gap> red:=red*Isomorphism(F, Group(red), red);
gap> Index(F, Kernel(red));
754974720
gap> q:=Image(red); qs:=Image(red, S);
184320
gap> ac:=AscendingChain(q, qs); I:=Intersection(ac);
[ 2949120, 737280, 368640, 184320, 5760, 1440, 720, 360, 180, 1 ];
gap> R:=PreImage(red, ac[8]);
Group(<fp, no generators known>)
gap> iso:=IsomorphismFpGroup(R);
[ U1*Y1^-2*U1*Y1, [...] ] -> [ F1, F2, F3, F4, F5 ]
gap> Index(Range(iso), Image(iso, S):hard, Wo:=10^8);
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gap> 8192*5760;
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```

# Proving Infinite Index

Some attempts of proving infinite index:

► Find an automatic structure that proves infinite index. (Requires suitable embedding.)

Normal forms for subgroup elements might show that there are infinitely many cosets.

► Show that one can find an infinite index in a suitable quotient image of normal subgroup. (Applicable, if CSP does not hold.)

# Proving I

The impossibility results for algorithms for FPGroups mean it can never be more than attempts.

Some attempts of proving infinite index:

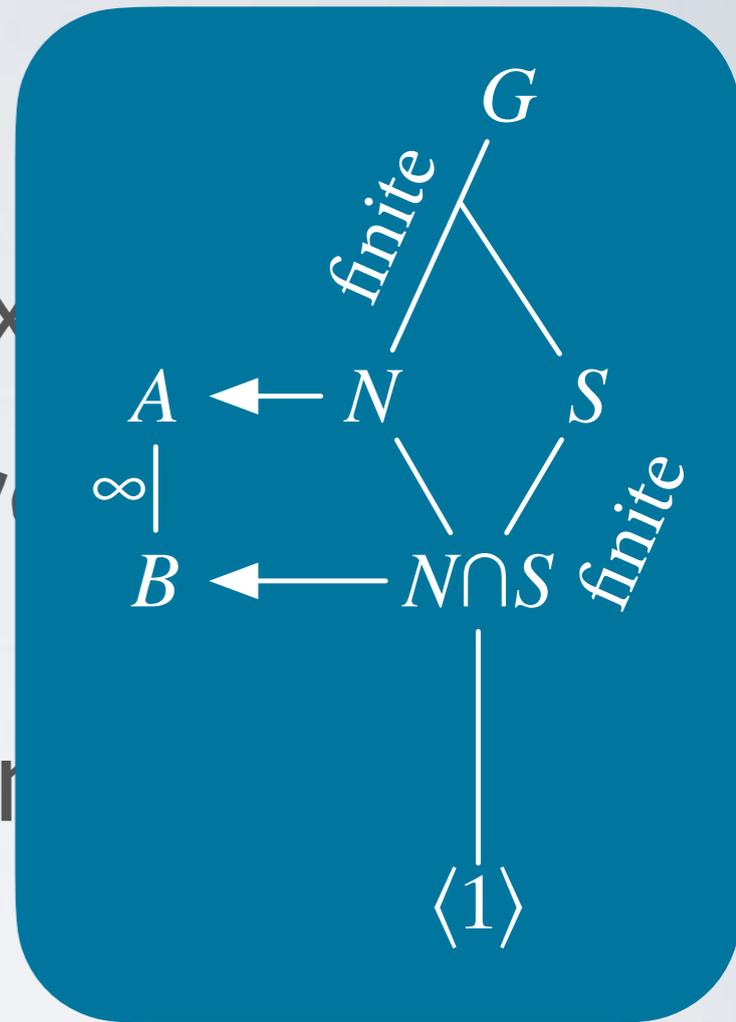
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# Proving Infinite Index

Some attempts of proving infinite index

► Find an automatic structure that proves infinite index. (Requires suitable embedding.)  
Normal forms for subgroup elements that show that there are infinitely many cosets.

► Show that one can find an infinite index in a suitable quotient image of normal subgroup. (Applicable, if CSP does not hold.)



$$\left\langle \begin{pmatrix} 1 & 3 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 3 & 1 \end{pmatrix} \right\rangle \leq SL_2(\mathbb{Z})$$

```
gap> psi:=SLNZFP(2);;F:=Source(psi);
gap> g:=Group([[1,3],[0,1]],[[1,0],[3,1]]);
gap> w:=List(GeneratorsOfGroup(g),x->PreImagesRepresentative(psi,x));
[ T^3, S*T^-2*S*T*S^-1*T ]
gap> LoadPackage("kbmag");
Loading kbmag 1.5.9 by Derek Holt
gap> R:=KBMAGRewritingSystem(F);;
gap> S:=SubgroupOfKBMAGRewritingSystem(R,w);
gap> A:=AutomaticStructureOnCosetsWithSubgroupPresentation(R,S);
true
gap> Index(R,S);
infinity # proof!
```

$$\left\langle \begin{pmatrix} 1 & 3 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 3 & 1 \end{pmatrix} \right\rangle \leq SL_2(\mathbb{Z})$$

```
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gap> A:=AutomaticStructureOnCosetsWithSubgroupPresentation(R,S);
true
gap> Index(R,S);
infinity # proof!
```

Might fail/false

Q: Can this work in dimension  $>2$  ?

# Example Second Strategy

$$R = \mathbb{Z}(\zeta), \zeta^2 + \zeta = -1,$$

$$\Gamma = GL_2(R) = \langle t, u, j, l, a, w \rangle \text{ with}$$

$$t = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, u = \begin{pmatrix} 1 & \zeta \\ 0 & 1 \end{pmatrix}, j = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, l = \begin{pmatrix} \zeta^2 & 0 \\ 0 & \zeta \end{pmatrix}, a = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, w = \begin{pmatrix} -\zeta & 0 \\ 0 & 1 \end{pmatrix}$$

with relations  $tu = ut, j^2, tj = jt, uj = ju, lj = jl,$

$$aj = ja, l^3, l^{-1}tl = t^{-1}u^{-1}, l^{-1}ul = t, a^2 = j,$$

$$(al)^2 = j, (ta)^3 = j, (ual)^3 = j, wj = jw, w^6,$$

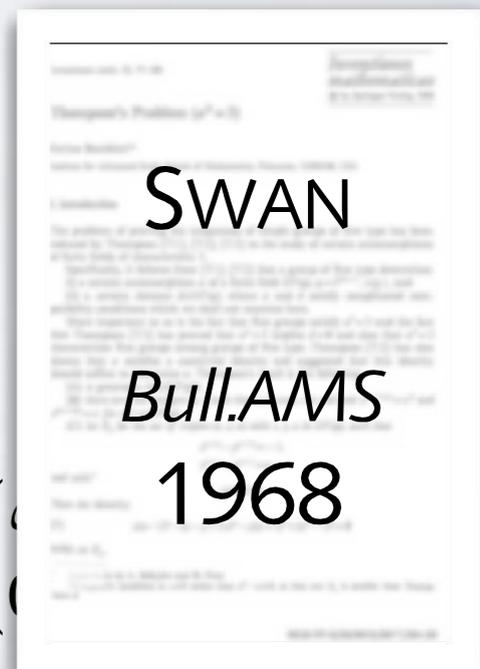
$$wtw^{-1} = u^{-1}, wuw^{-1} = tu, waw^{-1} = jl^2a, wl = lw$$

MARGOLIS/BÄCHLE: Is  $[\Gamma : G]$  finite for

$$G = \langle m_1, m_2, m_3, m_i, m_j, m_t \rangle \text{ with}$$

$$m_1 = \begin{pmatrix} 97\zeta^2 & -112\zeta - 56\zeta^2 \\ 112\zeta + 56\zeta^2 & 97\zeta^2 \end{pmatrix}, m_2 = \begin{pmatrix} 56\zeta + 41\zeta^2 & 56\zeta + 112\zeta^2 \\ 56\zeta + 112\zeta^2 & -56\zeta + 153\zeta^2 \end{pmatrix},$$

$$m_3 = \begin{pmatrix} 56\zeta + 209\zeta^2 & -56\zeta + 56\zeta^2 \\ -56\zeta + 56\zeta^2 & -56\zeta - 15\zeta^2 \end{pmatrix}, m_i = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, m_j = \begin{pmatrix} \zeta & \zeta^2 \\ \zeta^2 & -\zeta \end{pmatrix}, m_t = \begin{pmatrix} \dots & \dots \\ \dots & \dots \end{pmatrix}$$



# Example Second Strategy

$$R = \mathbb{Z}(\zeta), \zeta^2 + \zeta = -1,$$

$$\Gamma = GL_2(R) = \langle t, u, j, l, a, w \rangle \text{ with}$$

$$t = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, u = \begin{pmatrix} 1 & \zeta \\ 0 & 1 \end{pmatrix}, j = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, l = \begin{pmatrix} \zeta^2 & 0 \\ 0 & \zeta \end{pmatrix}, a = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, w = \begin{pmatrix} -\zeta & 0 \\ 0 & 1 \end{pmatrix}$$

with relations  $tu = ut, j^2, tj = jt, uj = ju, lj = jl,$

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MARGOLIS/BÄCHLE: Is  $[\Gamma : G]$  finite for

$$G = \langle m_1, m_2, m_3, m_i, m_j, m_t \rangle \text{ with}$$

$$m_1 = \begin{pmatrix} 97\zeta^2 & -112\zeta - 56\zeta^2 \\ 112\zeta + 56\zeta^2 & 97\zeta^2 \end{pmatrix}, m_2 = \begin{pmatrix} 56\zeta + 41\zeta^2 & 56\zeta + 112\zeta^2 \\ 56\zeta + 112\zeta^2 & -56\zeta + 153\zeta^2 \end{pmatrix},$$

$$m_3 = \begin{pmatrix} 56\zeta + 209\zeta^2 & -56\zeta + 56\zeta^2 \\ -56\zeta + 56\zeta^2 & -56\zeta - 15\zeta^2 \end{pmatrix}, m_i = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, m_j = \begin{pmatrix} \zeta & \zeta^2 \\ \zeta^2 & -\zeta \end{pmatrix}, m_t = \begin{pmatrix} \zeta & 0 \\ 0 & \zeta \end{pmatrix}$$

```
gap> f:=FreeGroup("t","u","j","l","a","w");
```

```
<free group on the generators [ t, u, j, l, a, w ]>
```

```
gap> rels:=ParseRelators(f,"tu=ut, j^2, tj=jt, uj=ju, \
```

```
lj=jl, aj=ja, l^3, l^-1tl=t^-1u^-1, l^-1ul=t, a^2=j, \
```

```
(al)^2=j, (ta)^3=j, (ual)^3=j, wj=jw, w^6, \
```

```
wtw^-1=u^-1, wuw^-1=tu, waw^-1=jl^2a, wl=lw");
```

```
[ u*t*u^-1*t^-1, j^2, [...] ]
```

```
gap> g:=f/rels; # different than f !
```

```
<fp group on the generators [ t, u, j, l, a, w ]>
```

```
gap> zeta:=E(3); # cyclotomic numbers
```

```
E(3)
```

```
gap> T:=[[1,1],[0,1]]; U:=[[1,zeta],[0,1]]; ;
```

```
gap> A:=[[0,-1],[1,0]]; J:=A^2; ;
```

```
gap> L:=[[zeta^2,0],[0,zeta]]; W:=[[-zeta,0],[0,1]]; ;
```

```
gap> epi:=GroupHomomorphismByImages(g,Group(T,U,J,L,A,W),
```

```
> GeneratorsOfGroup(g),[T,U,J,L,A,W]);
```

```
[ t, u, j, l, a, w ] -> [ [ [ 1, 1 ], [ 0, 1 ] ], [...] ]
```

```
gap> sim:=IsomorphismSimplifiedFpGroup(g);
```

```
[ t, u, j, l, a, w ] -> [ t, w/t*w, a^-2, w^-1*a^-1*w*a^-1, a, w ]
```

```
gap> hom:=InverseGeneralMapping(sim)*epi; G:=Range(hom); ;
```

```
[ t, a, w ] -> [ [ [ 1, 1 ], [ 0, 1 ] ], [ [ 0, -1 ], [ 1, 0 ] ], [ [ -E(3), 0 ], [ 0, 1 ] ] ]
```

```
gap> normred:=function(phi,mat) [...] end;;
```

```
gap> w1:=normred(hom,m1);
```

```
((t^-1*w^-1*a^-1*t^-1)^8)^(w^-1)
```

```
gap> w2:=normred(hom,m2);; w3:=normred(hom,m3);;
```

```
gap> wi:=normred(hom,mi);; wj:=normred(hom,mj);;
```

```
gap> wt:=normred(hom,mt);;
```

```
gap> rg:=Source(hom);;
```

```
gap> sub:=Subgroup(rg,[w1,w2,w3,wi,wj,wt]);;# fp version
```

```
gap> reducemodp:=function(g,p) [...] end;;
```

```
gap> prd:=3*7*31*97*169*361; # found by trying out
```

```
3852535323
```

```
gap> h:=reducemodp(G,prd);
```

```
Group([ [ [ ZmodnZObj( 1, 3852535323 ), [...] ] ] )
```

```
gap> FittingFreeLiftSetup(h);;Size(h);
```

```
295803518081960107436094259200
```

```
gap> hs:=reducemodp(S,prd);;FittingFreeLiftSetup(hs);;
```

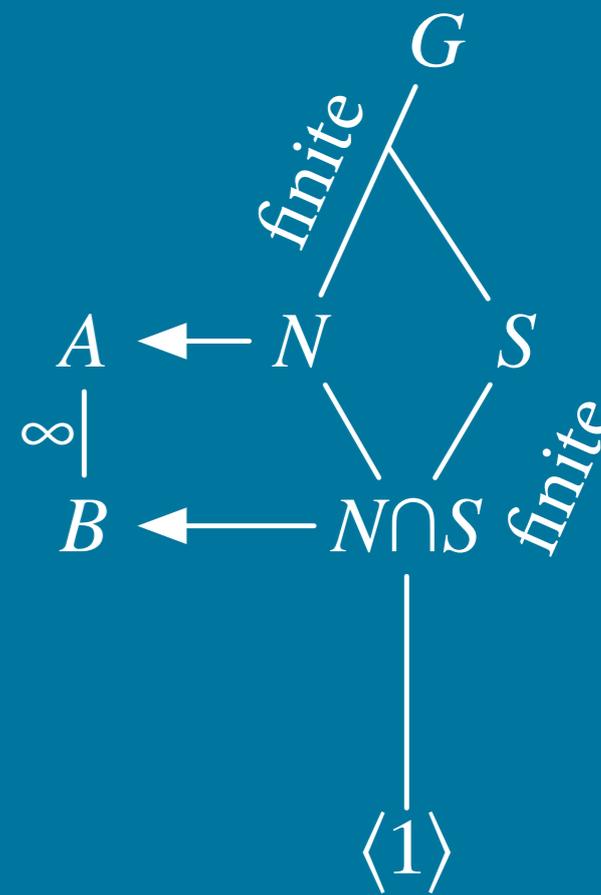
```
gap> Size(h)/Size(hs);
```

```
142580874240
```

```

gap> r:=reducemodp( Group(MappingGeneratorsImages(hom)[2]), 7 );
gap> phi:=GroupHomomorphismByImages( Source(hom), r,
> MappingGeneratorsImages(hom)[1], GeneratorsOfGroup(r) );
[ t, a, w ] -> [ [ [z(7)^0, z(7)^0], [0*z(7), z(7)^0]], [...] ]
gap> N:=Kernel(phi);
gap> Index(Source(hom), N);
2016
gap> AbelianInvariants(N); # rank 8
[ 0, 0, 0, 0, 0, 0, 0, 0 ]
gap> ma:=MaximalAbelianQuotient(N);
gap> gens:=GeneratorsOfGroup(sub);
gap> imgs:=List(gens, x->ImagesRepresentat
[ [ [ z(7)^5, 0*z(7) ], [ 0*z(7), z(7)^5 ]
gap> ise:=Stabilizer(sub, One(r), gens, img
Group(<121 generators>)
gap> mase:=List(GeneratorsOfGroup(ise), x->ImagesRepresent
gap> vecs:=List(mase, x->ExponentSums( Under
[ [ 0, 2, -2, 2, 4, -2, 0, 0, 0, 0, 0, 0,
gap> RankMat(vecs);
3 # proof that S has infinite index

```



```

gap> r:=reducemodp( Group(MappingGeneratorsImages(hom)[2]), 7 );
gap> phi:=GroupHomomorphismByImages( Source(hom), r,
> MappingGeneratorsImages(hom)[1], GeneratorsOfGroup(r) );
[ t, a, w ] -> [ [ [z(7)^0, z(7)^0], [0*z(7), z(7)^0]], [...] ]
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2016
gap> AbelianInvariants(N); # rank 8
[ 0, 0, 0, 0, 0, 0, 0, 0 ]
gap> ma:=MaximalAbelianQuotient(N);
gap> gens:=GeneratorsOfGroup(sub);
gap> imgs:=List(gens, x->ImagesRepresentative(phi, x));
[ [ [ z(7)^5, 0*z(7) ], [ 0*z(7), z(7)^5 ] ], [...] ]
gap> ise:=Stabilizer(sub, One(r), gens, imgs, OnRight);
Group(<121 generators>)
gap> mase:=List(GeneratorsOfGroup(ise), x->ImagesRepresentative(ma, x));
gap> vecs:=List(mase, x->ExponentSums( UnderlyingElement(x) ));
[ [ 0, 2, -2, 2, 4, -2, 0, 0, 0, 0, 0, 0, 0, 0, [...] ]
gap> RankMat(vecs);
3 # proof that S has infinite index

```