

**Friday, August 13, 2021**

**2:00 – 3:00 EDT**

**Problem Session**

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### Question

$k$  field of prime char  $p > 0$

$x = (x_{ij})$  generic  $n \times m$  matrix

$$R = \frac{k[X]}{I_t(x)}, \quad m = (x_{ij} \mid 1 \leq i, j \leq n)$$

Find an explicit  $C > 0$  such that

$$p^{(Cn)} \leq m^n$$

for all  $n \geq 1$ , all homogeneous primes  $P$

Possible guess:  $C = 2$

Why? Because that's the answer in char 0 (!)

If char  $k = 0$ ,

$x = (x_{ij})$  generic  $n \times m$  matrix

$$R = \frac{k[X]}{I_t(x)}, \quad m = (x_{ij} \mid 1 \leq i, j \leq n)$$

We know  $p^{(2n)} \leq m^n$  for all  $n \geq 1$ ,

because:

- We saw in Anurag's talk that in this case,

$$R \cong k[\gamma, z] \xrightarrow{\oplus} k[\gamma, z] \quad \text{where}$$

$\gamma$  is an  $m \times (t-1)$  matrix  $\Rightarrow R$  generated in  $\deg 2$   
 $z$  is an  $(t-1) \times n$  matrix

- (Ito - De Stefani - G - Huneke - Núñez Betancourt) (\*)

$k$  field

$$R = k[f_1, \dots, f_e] \xrightarrow{\oplus} S = k[x_1, \dots, x_d]$$

$f_1, \dots, f_e$  homogeneous,  $d := \max_i \deg(f_i)$

then

$$Q^{(dn)} \subseteq \mathfrak{m}^n$$

for all homogeneous primes  $Q$  and all  $n \geq 1$

Special case:

Theorem (Carvajal-Rojas — Smolkin, 2020)

char  $k = p > 0$

$$R = \frac{k[a, b, c, d]}{(ad - bc)} \cong \frac{k[x_{2 \times 2}]}{I_2(x)}$$

then  $I^{(cn)} \subseteq \mathfrak{P}^n$  for all  $n \geq 1$ ,  $\mathfrak{P}$  prime of height  $h$ .

$$\Rightarrow I^{(2n)} \subseteq \mathfrak{P}^n \subseteq \mathfrak{m}^n \Rightarrow c=2 \text{ works}$$

Cannot do better:  
 $\mathbb{I} = (a, c, d) \quad \frac{b}{c}c = ad \in \mathbb{I}^2 \Rightarrow c \in \mathbb{I}^{(2)} \setminus \mathfrak{m}^2$   
 $\Rightarrow c=1$  won't work for all primes, so  $c=2$  is best possible

Notice however that in this case,  $R$  is a direct summand of a polynomial ring:

$$R = \frac{k[a, b, c, d]}{(ad - bc)} \cong k[xy, xu, yv, uv] \hookrightarrow k[x, y, u, v]$$

so the fact that we can take  $C=2$  also follows from (\*).

Follow-up problems:

$k$  field of characteristic  $p > 0$

2) Symmetric determinantal rings:

$$k[x] / I_t(x)$$

$x$  generic  $n \times n$   
symmetric matrix

3) Pfaffian determinantal rings:

$$k[x] / \mathcal{P}_{2t}(x)$$

$x$   $n \times n$  generic  
alternating matrix

# STUDYING SINGULARITIES USING CLOSURE OPERATIONS

REBECCA R.G.

## Background:

Recall that for an  $R$ -module  $M$ , the trace ideal of  $M$  is

$$\mathrm{tr}_M(R) = \sum_{f \in \mathrm{Hom}_R(M, R)} f(M).$$

When  $M$  is finitely-generated, this agrees with the test ideal of the closure operation  $\mathrm{cl}_M$ .

## Problem:

Compute the test/trace ideals of some finitely-generated Cohen-Macaulay modules (also called maximal Cohen-Macaulay modules). The examples given below come from Example 5.25 in Cohen-Macaulay Representations by Leuschke and Wiegand.

- (1) Let  $R = k[[u^5, u^2v, uv^3, v^5]] \subseteq k[[u, v]] = S$ , where  $k$  has characteristic not equal to 5. The indecomposable finitely-generated Cohen-Macaulay  $R$ -modules are:
  - (a)  $M_0 = R$ ,
  - (b)  $M_1 = R(u^4, uv, v^3) \cong (u^5, u^2v, uv^3)$ ,
  - (c)  $M_2 = R(u^3, v) \cong (u^5, u^2v)$ ,
  - (d)  $M_3 = R(u^2, uv^2, v^4) \cong (u^5, u^4v^2, u^3v^4)$ , and
  - (e)  $M_4 = R(u, v^2) \cong (u^5, u^4v^2)$ .

Compute the trace ideals of these modules in Macaulay2 or by hand. What is the intersection of all of the trace modules?

- (2) Let  $R = k[[u^8, u^3v, uv^3, v^8]] \subseteq k[[u, v]] = S$ , where  $k$  has characteristic not equal to 2. The indecomposable finitely-generated Cohen-Macaulay  $R$ -modules are:
  - (a)  $M_0 = R$ ,
  - (b)  $M_1 = R(u^7, u^2v, v^3) \cong (u^8, u^3v, uv^3)$ ,
  - (c)  $M_2 = R(u^6, uv, v^6) \cong (u^8, u^3v, u^2v^6)$ ,
  - (d)  $M_3 = R(u^5, v) \cong (u^8, u^3v)$ ,
  - (e)  $M_4 = R(u^4, u^2v^2, v^4) \cong (u^8, u^6v^2, u^4v^4)$ ,
  - (f)  $M_5 = R(u^3, uv^2, v^7) \cong (u^8, u^6v^2, u^5v^7)$ ,
  - (g)  $M_6 = R(u^2, u^5v, v^2) \cong (u^2v^6, u^5v^7, v^8)$ , and
  - (h)  $M_7 = R(u, v^5) \cong (uv^3, v^8)$ .

Compute the trace ideals of these modules in Macaulay2 or by hand. What is the intersection of all of the trace modules?

- (3) Both of these were examples of a more general set of rings. Let  $S = k[[u, v]]$ ,  $r \geq 2$  an integer not divisible by  $\mathrm{char}(k)$ , and choose  $0 < q < r$  with  $(q, r) = 1$ . Take

$G = \langle g \rangle \cong \mathbb{Z}/r\mathbb{Z}$  to be the cyclic group of order  $r$  generated by  $g = \begin{pmatrix} \xi_r & 0 \\ 0 & \xi_r^q \end{pmatrix} \in GL(2, k)$ , where  $\xi_r$  is a primitive  $r$ th root of unity.

Let  $R = k[[u, v]]^G$  be the corresponding ring of invariants, so that  $R$  is generated by the monomials  $u^a v^b$  satisfying  $a + bq \equiv 0 \pmod{r}$ . The indecomposable finitely-generated Cohen-Macaulay  $R$ -modules are

$$M_j = R(u^a v^b \mid a + qb \equiv -j \pmod{r}).$$

- (a) Confirm that this gives rise to the first example when  $r = 5$  and  $q = 3$  and the second example when  $r = 8$  and  $q = 5$ .
- (b) For an arbitrary  $q$  and  $r$ , what are the test/trace ideals of the  $M_j$ ? What is the intersection of the trace ideals of all of the  $M_j$ ? You can start with the case  $r = q + 1$  (this is done in Benali-Pothagoni-R.G., but via an isomorphism to an  $(A_q)$  hypersurface singularity).
- (4) A more general open question is: how do the test/trace ideals of finitely-generated Cohen-Macaulay modules correspond to the singularities of the ring? Previous work of the speaker (Perez-R.G.) and of Herzog-Hibi-Stamate shows some cases where there are connections. Choose a class of finitely-generated Cohen-Macaulay modules and compute their trace ideals. Do certain properties of these trace ideals correspond to existing classes of singularities? Or can you find a new class of singularities corresponding to particular behavior of finitely-generated Cohen-Macaulay module trace ideals?

#### Relevant Reading:

Skim through <https://arxiv.org/abs/2103.02529> (Benali-Pothagoni-R.G.). Pay particular attention to Definition 2.10, how they compute examples of test/trace ideals, and how the examples relate to the properties of the rings.

The theoretical basis for this research approach is developed in <https://arxiv.org/abs/1907.02150> (Perez-R.G.).

More examples of finitely-generated Cohen-Macaulay modules (including all the ones appearing in Benali-Pothagoni-R.G.) can be found in Cohen-Macaulay Representations by Leuschke and Wiegand, and Cohen-Macaulay Modules over Cohen-Macaulay Rings by Yoshino.

Work on trace ideals of canonical modules appears in these papers (2 of them by Herzog-Hibi-Stamate): <https://arxiv.org/search/?query=herzog+hibi+stamate&searchtype=all&source=header>.

## Open problems

Thomas Reichelt

All problems presented deal with GKZ systems  $M_A^0$  where  $A$  is a  $d \times n$  integer matrix which satisfies

1.  $\mathbb{Z}A = \mathbb{Z}^d$
2.  $A$  is **saturated**, i.e.  $\mathbb{R}_{\geq 0}A \cap \mathbb{Z}^d = \mathbb{N}A$
3.  $A$  is **pointed**:  $\mathbb{N}A \cap (-\mathbb{N}A) = \{0\}$ .

**Question 1:** What is the length of  $M_A^0$ ?

Let  $\sigma$  be the cone  $\mathbb{R}_{\geq 0}A$ , i.e. the cone generated by the columns of  $A$ . Denote by  $\sigma^\vee$  the dual cone and by  $\gamma^\perp$  the annihilator of  $\gamma$ . There is a containment reversing bijection

$$\gamma \leftrightarrow \gamma^* = \gamma^\perp \cap \sigma^\vee$$

If  $\gamma$  has dimension  $d_\gamma$  then  $\gamma^*$  has dimension  $d_\sigma - d_\gamma$ .

Denote by  $X_{\gamma^*}$  the affine toric variety associated to the cone  $\gamma^*$ .

Set  $\mu_\gamma^\sigma(e) = \text{ih}^{d_\sigma - d_\gamma - e}(X_{\gamma^*})$ , with  $e \in \{0, \dots, d_\sigma - d_\gamma\}$ . Here  $\text{ih}^{d_\sigma - d_\gamma - e}(X_{\gamma^*})$  is the dimension of the  $d_\sigma - d_\gamma - e$  intersection cohomology of  $X_{\gamma^*}$ .

The length of  $M_A^0$  is

$$\ell(M_A^0) = \sum_{\gamma \subseteq \sigma} \sum_e \mu_\gamma^\sigma(e) = \sum_{\gamma \subseteq \sigma} \chi(X_{\gamma^*}).$$

Let  $P$  be the polytope given by the intersection of a generic hyperplane with the cone  $\sigma$ . The intersection cohomology of  $X_{\gamma^*}$  can be expressed by face numbers of the polytope  $P$ .

$f_i$  number  $i$ -dimensional faces of  $P$

$f_{i,j}$  the number of all pairs ( $i$ -face,  $j$ -face) that are contained in each other.

We get the following lengths

$$\begin{aligned} d = 3 : \quad & \ell(M_A^0) = 3f_0 - 1 \\ d = 4 : \quad & \ell(M_A^0) = -2f_0 + 4f_1 \\ d = 5 : \quad & \ell(M_A^0) = 7 - 5f_0 - f_2 + 2f_{2,0} \end{aligned}$$

Is there a closed formula for all ranks?

**Question 2:** How do the simple modules contribute to the holonomic rank of  $M_A^0$ ?

We have  $M_A^0 = \mathrm{FL}(\tilde{h}_+ \mathcal{O}_{\tilde{T}})$

$$Gr_{d+e}^W(\tilde{h}_+ \mathcal{O}_{\tilde{T}}) = \bigoplus_{\gamma} M^{IC}(X_{\gamma^*}, \mathcal{L}_{\gamma,e}) \quad \text{for } e \in [0, d]$$

What is the holonomic rank of  $\mathrm{FL}(M^{IC}(X_{\gamma^*}, \mathcal{L}_{\gamma,e}))$ ?

More general: Compute holonomic rank of  $\mathrm{FL}(M^{IC}(X))$  where  $X$  is an affine toric variety.

**Question 3:** What are the generators of the simple modules in a composition series of  $M_A^0$ ?

It is known the lowest weight step is a simple module which corresponds to the interior ideal of  $\mathbb{C}[\mathbb{N}A]$ . One can explicitly describe the generators in all weight steps for dimension 3.

Can one do it in general?

**Question 4:** Compute the weight filtration on  $\mathrm{FL}(M_A^\beta)$  for  $\beta \neq 0$ .

A. Steiner showed that for  $\beta \in \mathbb{Q}^d$  the  $D$ -module  $\mathrm{FL}(M_A^\beta)$  can be expressed as

$$\mathrm{FL}(M_A^\beta) \simeq \omega_{\dagger} j_+ \mathcal{O}_{\tilde{T}}^\beta$$

where

$$\tilde{T} \xrightarrow{j} U \xrightarrow{\omega} \mathbb{C}^n$$

and  $U$  depends on  $\beta$ . So  $\mathrm{FL}(M_A^\beta)$  is a complex mixed Hodge module.

Can one generalize the presented methods to this setting?



# Bernstein-Sato polynomials and $\nu$ -invariants

ICERM:  $D$ -modules, Group Actions, and Frobenius: Computing on Singularities

August 13, 2021

1. Consider the polynomial  $f = x^2 + y^2$  over a field  $\mathbb{L}$ .

- (a) If  $\mathbb{L} = \mathbb{F}_p$  where  $p > 2$ , find  $\nu_f^{(x,y)}(p)$ , the maximum integer  $N$  for which  $f^N$  is not in the ideal  $(x^p, y^p)$  of  $\mathbb{L}[x, y]$ .
- (b) If  $\mathbb{L} = \mathbb{Q}$ , find an element  $\delta$  of the Weyl algebra

$$\mathbb{Q}\langle x, y, \partial_x, \partial_y \rangle / (\partial_x x - x\partial_x - 1, \partial_y y - y\partial_y - 1)$$

and a polynomial  $b$  over  $\mathbb{Q}$  one variable, such that for all integers  $s$ ,

$$\delta \bullet f^{s+1} = b(s)f^s.$$

- (c) Compare  $\nu_f^{(x,y)}(p)$  modulo  $p$  to the roots of  $b$ .

2. Now fix the cusp  $f = x^3 - y^2$  over  $\mathbb{L}$ .

- (a) Suppose that  $\mathbb{L} = \mathbb{F}_p$ , and  $p > 3$ . If  $p \equiv 1 \pmod{3}$ , show that  $\nu_f(p) = \frac{5p-5}{6}$ . Then find a formula for  $\nu_f(p)$  when  $p \equiv 2 \pmod{3}$ .
- (b) If  $\mathbb{L} = \mathbb{Q}$ , consider the polynomial

$$\delta(z) = -\frac{1}{12} x \partial_x \partial_y^2 + \frac{1}{27} \partial_x^3 - \frac{3}{8} \partial_y^2 - \frac{1}{4} \partial_y^2 z$$

over the Weyl algebra, and the polynomial

$$b(z) = (z+1) \left( z + \frac{5}{6} \right) \left( z + \frac{7}{6} \right)$$

over the rational numbers. Verify (if you dare!) that  $\delta(s) \bullet f^{s+1} = b(s)f^s$  for all integers  $s$ .

- (c) Compare the roots of  $b$  to the reductions of your formulas for  $\nu_f(p)$  modulo  $p$ .

3. Finally, consider  $f = x^5 + y^4$  over  $\mathbb{L}$ .

- (a) Suppose that  $\mathbb{L} = \mathbb{F}_p$  for some prime  $p$ . Find, for some integer  $m$ , formulas for  $\nu_f^{(x,y)}(p)$  in terms of  $p$  when  $p \equiv 1 \pmod{m}$ , and when  $p \equiv -1 \pmod{m}$ , which are valid for  $p \gg 0$ .
- (b) When  $\mathbb{L} = \mathbb{Q}$ , the set of roots of the Bernstein-Sato polynomial of  $f$  is

$$-\left\{ \frac{9}{20}, \frac{13}{20}, \frac{7}{10}, \frac{17}{20}, \frac{9}{10}, \frac{19}{20}, 1, \frac{21}{20}, \frac{11}{20}, \frac{23}{20}, \frac{13}{10}, \frac{27}{20}, \frac{31}{20} \right\}.$$

Which roots do you obtain by taking your formulas for  $\nu_f^{(x,y)}(f)$  modulo  $p$ ?

- (c) Try detecting the remaining roots using one, or both, of the following strategies:

- Determine formulas for  $\nu_f^{(x,y)}(p)$  for other congruence classes of  $p$  modulo  $m$ .
- Determine formulas for  $\nu_f^{(x^s, y^t)}(p)$ , the maximum  $N$  for which  $f^N \notin (x^{sp}, y^{tp})$ , for small values of  $s$  and  $t$  when  $p \equiv \pm 1 \pmod{m}$ .

You may want to use *Macaulay2* or other software as a computational aid!

- (d) When  $\mathbb{L} = \mathbb{Q}$ , the roots of the Bernstein-Sato polynomial of

$$g = x^5 + y^4 + x^3y^2$$

are the same as that for  $f = x^4 + y^5$ , except the root  $-\frac{11}{20}$  is replaced with  $-\frac{11}{20} - 1 = -\frac{31}{20}$ . Use the techniques you have developed to detect this root.