# LINEAR STRUCTURES OF HODGE THEORY

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ABSTRACT. An introduction to Hodge structures and description of the linear structures underlying the Hard Lefschetz Theorem and Hodge–Riemann Bilinear Relations. Many exercises are given, those labelled with a  $\star$  are the most important for this lecture.

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Acknowledgements. These notes were prepared for the ICERM *Introductory Workshop: Combinatorial Algebraic Geometry*, 01–05 February 2021. I thank the organizers Anders Buch, Melody Chan and Leonardo Michalcea for the opportunity to participate; and Melody Chan, Chongyao Chen and Eric Hopper for feedback on early drafts of these notes.

1. INTRODUCTION: DEFINITIONS, EXAMPLES AND GOAL FOR THIS LECTURE

Fix a field  $\mathbb{F} \subset \mathbb{R}$ . Let *H* be a finite dimensional vector space over  $\mathbb{F}$ .

## 1.1. Hodge structures.

Definition 1.1. A Hodge structure on H is given by a Hodge decomposition of the complexification  $H_{\mathbb{C}} = H \otimes_{\mathbb{F}} \mathbb{C}$ . The latter is a direct sum

(1.2a) 
$$H_{\mathbb{C}} = \bigoplus_{p,q \in \mathbb{Z}} H^{p,q}$$

Date: February 12, 2021.

*Key words and phrases.* Hodge structure, Lefschetz theorem. Robles is partially supported by NSF DMS 1906352.

with the property

(1.2b)  $\overline{H^{p,q}} = H^{q,p}.$ 

*Example* 1.3 (†). Historically Hodge structures first arose in the study of compact Kähler manifolds. Given one such manifold X, the Hodge Theorem asserts that the cohomology ring  $H^{\bullet}(X, \mathbb{F})$  of admits a Hodge decomposition with  $H^{p,q}(X) \subset H^{\bullet}(X, \mathbb{C})$  the cohomology classes represented by harmonic (p, q)-forms.

Familiarity with these geometric structures is not prerequisite for reading these notes – here we focus on *abstract Hodge structures*. This means that we will be concerned with relatively simple linear structures, not Kähler geometry (or any geometry). However, the historical significance of  $H^{\bullet}(X, \mathbb{F})$  will merit the occasional comment – reader may regard this material – labeled with a  $\dagger$  – as optional. The reader interested in Kähler geometry will find a brief summary of the Kähler package in §4.5. Finally, Christian Schnell's *Introduction to Hodge theory* is a highly recommended, elegant overview of the subject; this elementary talk can be found on the Simon's Center for Geometry and Physics "Video Portal".

*Example* 1.4. In the case that X is a toric variety, the  $H^{p,q}(X)$  are nonzero only when p = q; that is,  $H^{\bullet}(X, \mathbb{C}) = \bigoplus_k H^{k,k}(X)$ , [CLS11].

Example 1.5 (†). Fix a lattice  $\mathbb{Z}^{2g} \subset \mathbb{C}^{g}$ , and consider the compact complex torus  $X = \mathbb{C}^{g}/\mathbb{Z}^{2g}$ . Given linear coordinates  $(z_1, \ldots, z_g)$  on  $\mathbb{C}^{g}$ , the differentials  $\{dz_1, \ldots, dz_g\}$  descend to well-defined closed 1-forms on X. The set of cohomology classes represented by the (p, q)-forms

 $\{\mathrm{d} z_{a_1} \wedge \cdots \wedge \mathrm{d} z_{a_p} \wedge \mathrm{d} \bar{z}_{b_1} \wedge \cdots \mathrm{d} \bar{z}_{b_q} \mid a_1 < \cdots < a_p, \ b_1 < \cdots < b_q\}$ 

is a basis of  $H^{p,q}(X)$ .

Definition 1.6. The Hodge structure is effective if  $H^{p,q} \neq \{0\}$  implies that  $p,q \ge 0$ .

Example 1.7. The Hodge structure of Example 1.3 is effective.

For convenience we will assume that

all Hodge structures discussed here are effective.

## 1.2. Pure Hodge structures.

Definition 1.8. The Hodge decomposition (1.2) defines a pure Hodge structure of weight  $w \in \mathbb{Z}$  if  $H^{p,q} = 0$  for all  $p + q \neq w$ . In this case

(1.9) 
$$H_{\mathbb{C}} = \bigoplus_{p+q=\mathsf{w}} H^{p,q}.$$

*Example* 1.10. Every vector space H trivially admits a pure Hodge structure of weight w = 2k given by  $H_{\mathbb{C}} = H^{k,k}$ .

Example 1.11 (†). The Hodge Theorem asserts that the w-th cohomology group  $H^{\mathsf{w}}(X, \mathbb{F})$ admits a pure Hodge structure of weight w with Hodge summands  $H^{p,q}(X)$ ,  $p + q = \mathsf{w}$ .

Remark 1.12. A pure, effective Hodge structure necessarily has non-negative weight  $w \ge 0$ .

Definition 1.13. A pure Hodge structure of weight  $w \ge 0$  on H is given by a Hodge filtration of the complexification  $H_{\mathbb{C}} = H \otimes_{\mathbb{F}} \mathbb{C}$ . The latter is a (finite) decreasing filtration

(1.14a) 
$$0 \subset F^{\mathsf{w}} \subset F^{\mathsf{w}-1} \subset \cdots \subset F^1 \subset F^0 = H_{\mathbb{C}}$$

satisfying

(1.14b) 
$$H_{\mathbb{C}} = F^k \oplus \overline{F^{\mathsf{w}+1-k}}.$$

*Exercise* 1.15. The definitions 1.8 and 1.13 are equivalent:

- (a) Given a pure Hodge decomposition (1.9), show that  $F^k = \bigoplus_{p \ge k} H^{p,q}$  defines a Hodge filtration (1.14).
- (b) Given a Hodge filtration (1.14), show that  $H^{p,q} = F^p \cap \overline{F^q}$  defines a pure Hodge decomposition (1.9).

### 1.3. Polarized Hodge structures.

Definition 1.16. A polarization of a pure Hodge structure of weight w is given by a nondegenerate bilinear form  $Q: H \times H \to \mathbb{F}$  satisfying

$$Q(v, u) = (-1)^{\mathsf{w}} Q(u, v), \quad \forall u, v \in H,$$

and the Hodge-Riemann bilinear relations

(1.17a) 
$$Q(F^k, F^{w+1-k}) = 0,$$

(1.17b) 
$$\mathbf{i}^{p-q}Q(u,\bar{u}) > 0, \quad \forall \ 0 \neq u \in H^{p,q}.$$

*Example* 1.18. Recall the trivial, pure Hodge structure  $H_{\mathbb{C}} = H^{k,k}$  of weight w = 2k (Example 1.10). A polarization of this Hodge structure is nothing more than an innerproduct on H.

*Example* 1.19 (†). Let X be a projective Kahler manifold of dimension n with Kähler class  $\omega \in H^{1,1} \cap H^2(X, \mathbb{R})$ . Given  $\mathsf{w} \leq n$ , the *primitive cohomology* 

$$P_{\mathsf{w}} := \{ \alpha \in H^{\mathsf{w}}(X, \mathbb{R}) \mid \omega^{n-\mathsf{w}+1} \land \alpha = 0 \}$$

inherits a weight w Hodge decomposition  $P_{\mathsf{w},\mathbb{C}} = \bigoplus_{p+q=\mathsf{w}} P_{\mathsf{w}}^{p,q}$  from  $H^{\mathsf{w}}(X,\mathbb{R})$  given by

$$P^{p,q}_{\mathsf{w}} = H^{p,q}(X) \cap P_{\mathsf{w},\mathbb{C}}.$$

This Hodge structure is polarized by

$$Q(\alpha,\beta) = (-1)^{\mathsf{w}(\mathsf{w}-1)} \int_X \alpha \wedge \beta \wedge \omega^{n-\mathsf{w}}.$$

1.4. Goal of this lecture. The Hodge–Riemann bilinear relations of Example 1.19 are consequences of a nice linear structure on the real vector space  $H^{\bullet}(X, \mathbb{R})$ :

- (i) The Lefschetz operator  $M : H^{\bullet}(X, \mathbb{R}) \to H^{\bullet}(X, \mathbb{R})$  mapping  $\alpha \mapsto \omega \wedge \alpha$  can be completed to an  $\mathfrak{sl}(2, \mathbb{R})$ -triple that is compatible with the inner product and the Hodge decomposition  $H^{\bullet}(X, \mathbb{C}) = \oplus H^{p,q}(X, \mathbb{C})$ .
- (ii) A Hermitian structure on  $H^{\bullet}(X, \mathbb{R})$  that is compatible (in a sense to be made precise, §§4.3–4.4) with the  $\mathfrak{sl}(2, \mathbb{R})$  action.

The goal of this lecture is to explain how such linear structures yield the Hard Lefschetz Theorem and the Hodge–Riemann Bilinear Relations. These notes include a number of exercises; the "key exercises" as marked with a  $\star$ .

For a thorough treatment of Hodge theory see [CMSP17].

## 2. Representations of $\mathfrak{sl}(2)$ : Lefschetz

The punchline of this section is Exercises 2.20 and 2.21, describing the linear structure underpinning the Hard Lefschetz Theorem. The material covered here is classical; there are many excellent references, including the accessible [EW06, §8].

Let  $\mathfrak{sl}(2,\mathbb{F})$  denote the vector space of  $2 \times 2$ , trace-free matrices with entries in  $\mathbb{F}$ . A basis is given by

$$\mathbf{m} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad \mathbf{y} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \quad \mathbf{n} = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}.$$

The following exercise asserts that  $\mathfrak{sl}(2,\mathbb{F})$  is a Lie algebra: it is closed under the commutator Lie bracket.

*Exercise* 2.1. (a) Given  $A, B \in \mathfrak{sl}(2, \mathbb{F})$ , the commutator

$$[A,B] = AB - BA$$

is also an element of  $\mathfrak{sl}(2,\mathbb{F})$ .

(b) Show that

$$[{\bf y},{\bf m}] = 2\,{\bf m}\,, \quad [{\bf m},{\bf n}] = {\bf y}\,, \quad [{\bf n},{\bf y}] = 2\,{\bf n}\,.$$

Let V be a  $\mathbb{F}$ -vector space. Let  $\operatorname{End}(V)$  be the  $\mathbb{F}$ -vector space of all linear maps  $V \to V$ .

*Exercise* 2.2. Show that  $\operatorname{End}(V)$  is isomorphic to the vector space of  $m \times m$  matrices with entries in  $\mathbb{F}$ , with  $m = \dim V$ . [*Hint.* Fix a basis  $\{e_i\}$  of V, and consider the action of  $X \in \operatorname{End}(V)$  on  $e_i$ .]

Given  $A, B \in \text{End}(V)$  the commutator  $[A, B] = A \circ B - B \circ A$  is also a linear map  $V \to V$ . So End(V) also has the structure of a Lie algebra; this is the *endomorphism* algebra.

Definition 2.3. A representation of  $\mathfrak{sl}(2,\mathbb{F})$  is given by a  $\mathbb{F}$ -vector space V, and a triple  $\{M, Y, N\} \subset \operatorname{End}(V)$  satisfying

$$[Y, M] = 2M, \quad [M, N] = Y, \quad [N, Y] = 2N.$$

Remark 2.4. In this case  $\mathfrak{g} = \operatorname{span}\{M, Y, N\}$  is a Lie subalgebra of  $\operatorname{End}(V)$  that is isomorphic to  $\mathfrak{sl}(2, \mathbb{F})$ , and the linear map  $\mathfrak{sl}(2, \mathbb{F}) \to \operatorname{End}(V)$  defined by  $\mathfrak{m} \mapsto M$ ,  $\mathbf{y} \mapsto Y$  and  $\mathbf{n} \mapsto N$  is an injective Lie algebra homomorphism.

*Exercise* 2.5  $(\star)$ . Let

$$S_d = \operatorname{span}_{\mathbb{F}} \{ x^a y^b \mid a+b=d \} \subset \mathbb{F}[x,y]$$

be the vector space of degree d homogeneous polynomials in two variables.

(a) Prove that

$$M = x\frac{\partial}{\partial y}, \quad Y = x\frac{\partial}{\partial x} - y\frac{\partial}{\partial y}, \quad N = y\frac{\partial}{\partial x}$$

is an  $\mathfrak{sl}(2,\mathbb{F})$ -triple.

(b) Prove that  $x^a y^b$  is an eigenvector of Y with eigenvalue  $a - b \in \mathbb{Z}$ . In particular, the Y-eigenvalues

$$\{d, d-2, d-4, \dots, 4-d, 2-d, -d\}$$

are symmetric about the origin.

- (c) Prove that  $\{y^d, M(y^d), M^2(y^d), \dots, M^d(y^d)\}$  is a basis of  $S_d$ .
- (d) Prove that  $M^{d+1} = 0$  and  $N^{d+1} = 0$ .

The picture is

$$0 \xleftarrow{M} \mathbb{C}x^{d} \underbrace{\bigwedge_{M}^{N} \mathbb{C}x^{d-1}y}_{M} \underbrace{\bigwedge_{M}^{N} \cdots \underbrace{\mathbb{C}xy^{d-1}}_{M} \mathbb{C}y^{d}}_{M} \underbrace{\mathbb{C}y^{d} \xrightarrow{N} 0}_{M} 0.$$

Remark 2.6. Assume that  $\mathbb{F} = \overline{\mathbb{F}}$  is algebraically closed. The content of Theorem 2.11 is that the  $S_d$  are the building blocks of  $\mathfrak{sl}(2,\mathbb{F})$  representations: every representation can be decomposed into a direct sum of the  $S_d$ , and the  $S_d$  are themselves "irreducible".

Definition 2.7. A subrepresentation is a linear subspace  $U \subset V$  that is invariant under the action of  $\mathfrak{sl}(2,\mathbb{F})$ ; that is,  $M(U), Y(U), N(U) \subset U$ . The representation V of  $\mathfrak{sl}(2,\mathbb{F})$  is *irreducible* if there exists no nontrivial subspace  $0 \subsetneq U \subsetneq V$ ; that is,  $M(U), Y(U), N(U) \subset U$ implies U = 0 or U = V.

*Exercise* 2.8 ( $\star$ ). The representation  $S_d$  is irreducible.

Definition 2.9. The vector space  $S_d$  is the irreducible representation of  $\mathfrak{sl}(2,\mathbb{F})$  of highest weight d.

Definition 2.10. Two  $\mathfrak{sl}(2,\mathbb{F})$ -representations V and U are isomorphic, and we write  $V \simeq U$ , if there is a linear isomorphism  $\lambda: V \to U$  such that  $\lambda(X(v)) = X(\lambda(v))$  for all  $v \in V$  and  $X \in \mathfrak{sl}(2,\mathbb{F})$ .

For the remainder of  $\S2$  we

assume  $\mathbb{F} = \overline{\mathbb{F}}$  is algebraically closed.

**Theorem 2.11** (Weyl). Every finite-dimensional representation V of  $\mathfrak{sl}(2,\mathbb{F})$  is completely reducible: there exist  $0 \leq m_d \in \mathbb{Z}$  so that

(2.12) 
$$V \simeq \bigoplus_{d>0} S_d^{\oplus m_d}$$

as  $\mathfrak{sl}(2,\mathbb{F})$ -representations.

Definition 2.13. We call the summand  $I_d \simeq S_d^{m_d}$  in (2.12) the isotypic component of highest weight d, and  $m_d$  is the multiplicity of  $S_d$  in V.

- *Exercise* 2.14. (a) Suppose that V is an  $\mathfrak{sl}(2,\mathbb{F})$ -representation, and that the eigenvalues of Y are  $\{2, 1, 1, 0, -1, -1, -2\}$  (listed with multiplicity). Prove that the decomposition (2.12) is  $V = S_2 \oplus S_1^{\oplus 2}$ .
- (b) Prove that the decomposition (2.12) is determined by the eigenvalues of Y; that is, show that Y-eigenvalues, listed with multiplicity, determine  $m_d$ .

Given  $k \in \mathbb{Z}$ , define

(2.15) 
$$V_k = \{ v \in V \mid Y(v) = k \}.$$

Example 2.16. If  $V = S_d$ , then  $\mathbb{C}x^a x^b = V_{a-b}$  (with a+b=d, Exercise 2.5).

*Exercise* 2.17 (\*). (a) Show that dim  $V_k = \dim V_{-k}$ .

(b) Show that dim  $V_{k+2} \leq \dim V_k$  for all  $k \geq 0$ . That is, the two sequences

$$\{\dots, \dim V_4, \dim V_2, \dim V_0, \dim V_2, \dim V_{-4}, \dots\}$$
$$\{\dots, \dim V_3, \dim V_1, \dim V_{-1}, \dim V_{-3}, \dots\}$$

are unimodal.

Exercise 2.18. Prove that

(2.19) 
$$V_{\text{even}} = \bigoplus_{k} V_{2k} \text{ and } V_{\text{odd}} = \bigoplus_{k} V_{2k+1}$$

are both subrepresentations of V (Definition 2.7).

*Exercise* 2.20 (\*). Suppose that  $k \ge 0$  and prove that  $M^k : V_{-k} \to V_k$  is an isomorphism.

*Exercise* 2.21 (\*). Let V be a finite dimensional representation of  $\mathfrak{sl}(2,\mathbb{F})$ . Given  $d \ge 0$ , define

(2.22) 
$$P_d = \{ v \in V \mid Y(v) = -d, \ M^{d+1}(v) = 0 \}.$$

(a) Prove that  $\dim P_d = m_d$ .

(b) Prove that the isotypic component of highest weight d is

$$I_d = \bigoplus_{k=0}^d M^k(P_d)$$

(c) Conclude that

$$V = \bigoplus_{d \ge 0} \bigoplus_{k=0}^{d} M^{k}(P_{d}).$$

*Exercise* 2.23. Show that  $\oplus P_d = \ker\{N : V \to V\}$ .

# 3. Representations of $\mathfrak{sl}(2)$ : compatible bilinear forms

Lurking in the background of the Hodge–Riemannian bilinear relations are some basic results (Exercises 3.3–3.4) about  $\mathfrak{sl}(2,\mathbb{R})$ –representations that are compatible with a bilinear form. These are implicit in the constructions of §4, and the discussion here is not necessary for that material. But while the construction of §4 has the advantage of being explicit, it has the disadvantage of being somewhat complicated and so obscuring those aforementioned basic results. So here we outline the essential underlying linear structure.

Let  $\operatorname{Aut}(V)$  be the group of invertible linear maps  $V \to V$ .

*Exercise* 3.1. Show that  $\operatorname{Aut}(V)$  is isomorphic to the group  $\operatorname{GL}_m \mathbb{F}$  of invertible  $m \times m$  matrices with entries in  $\mathbb{F}$ . [*Hint.* See Exercise 2.2.]

Fix  $\mathbb{F} = \mathbb{R}$ , and let

$$Q: V \times V \to \mathbb{R}$$

be a nondegenerate (skew-)symmetric bilinear form. Then the group of automorphisms

$$\operatorname{Aut}(V,Q) = \{A \in \operatorname{Aut}(V) \mid Q(Au,Av) = Q(u,v), \ \forall u,v \in V\}$$

is  $\operatorname{Sp}(2r, \mathbb{R})$  if Q is skew-symmetric, and  $\operatorname{O}(a, b)$  if Q is symmetric. Likewise the associated Lie algebra

$$\operatorname{End}(V,Q) = \{X \in \operatorname{End}(V) \mid Q(Xu,v) + Q(u,Xv) = 0, \forall u, v \in V\}$$

is either  $\mathfrak{sp}(2r, \mathbb{R})$  or  $\mathfrak{o}(a, b)$ .

*Exercise* 3.2. Prove that  $\operatorname{End}(V, Q)$  is a Lie subalgebra of  $\operatorname{End}(V)$ ; that is, if  $A, B \in \operatorname{End}(V, Q)$ , then  $[A, B] = A \circ B - B \circ A \in \operatorname{End}(V, Q)$ .

An  $\mathfrak{sl}(2,\mathbb{R})$ -representation is *compatible* with Q if

$$\{M, Y, N\} \subset \operatorname{End}(V, Q)$$

*Exercise* 3.3 ( $\star$ ). Given a *Q*-compatible  $\mathfrak{sl}(2,\mathbb{R})$ -representation, show that:

- (a) If  $k + \ell \neq 0$ , then  $Q(V_k, V_\ell) = 0$ ; the pairing  $Q: V_k \times V_{-k} \to \mathbb{R}$  is perfect.
- (b) If  $c \neq d$ , then  $Q(I_c, I_d) = 0$ .

In particular, the isotypic decomposition  $V = \bigoplus_d I_d$  is Q-orthogonal.

Specify  $s \in \{0, 1\}$  so that

$$Q(v, u) = (-1)^s Q(u, v).$$

(That is, s = 0 if Q is symmetric, and s = 1 if Q is skew-symmetric.) Define a bilinear form  $Q_d : P_d \times P_d \to \mathbb{R}$  by

$$Q_d(u,v) = Q(u, M^d v).$$

*Exercise* 3.4 ( $\star$ ). (a) Prove that  $Q_d$  is nondegenerate. (This result, combined with Exercise 3.3(b), gives us a weak form of the Hodge–Riemann bilinear relations.)

(b) Prove that  $Q_d(v, u) = (-1)^{s+d} Q_d(u, v)$ .

Example 3.5 (\*). We can define a pure Hodge structure of weight w = 2k on  $P_d$  by specifying that  $P_{d,\mathbb{C}} = P^{k,k}$ , cf. Example 1.10. If  $s + d \equiv 0 \mod 2$ , so that  $Q_d$  is symmetric, then the nondegenerate  $Q_d$  is a polarization if and only if it is positive definite, cf. Example 1.18.

## 4. Linear structures underlying the "Kähler package"

We now turn to the linear structures underlying the Hodge–Riemann bilinear relations. One begins with a Hermitian structure on a real vector space T that is given by an inner product  $\langle \cdot, \cdot \rangle$  and a compatible complex structure  $J: T \to T$ . From this data one constructs an  $\mathfrak{sl}(2)$ –triple acting on the exterior algebra  $V = \bigwedge^{\bullet} T$ , cf. §A. The complex structure naturally endows the exterior algebra with a Hodge structure, which the primitive subspace (2.22) inherits. The inner-product and  $\mathfrak{sl}(2)$  action (in particular, the Lefschetz operator) endow the primitive subspace with a polarization satisfying the Hodge–Riemann bilinear relations.

The material here largely follows the discussion of  $[Huy05, \S1.2]$ .

## 4.1. Hermitian structures. Let T be a real, finite dimensional vector space.

Definition 4.1. A linear map  $J: T \to T$  is a complex structure if  $J^2 = -id$ .

- *Exercise* 4.2. (a) Show that the complexification  $T_{\mathbb{C}} = T \otimes_{\mathbb{R}} \mathbb{C}$  decomposes as a direct sum  $T^{1,0} \oplus T^{0,1}$  of *J*-eigenspaces, with eigenvalues  $\pm \mathbf{i}$ , respectively.
- (b) Prove that  $\overline{T^{1,0}} = T^{0,1}$ .
- (c) Prove that T admits a basis  $\{x_1, y_1, \ldots, x_n, y_n\}$  so that  $J(x_a) = -y_a$ .

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- (d) Prove that  $\{z_a = x_a + \mathbf{i}y_a\}_{a=1}^n$  is a basis of  $T^{1,0}$ .
- (e) Define an orientation on T by specifying that  $\{x_1, y_1, \ldots, x_n, y_n\}$  is an oriented basis. Prove that this definition is independent of our choice of basis.

*Exercise* 4.3. Prove that the k-th exterior exterior power (§A) decomposes as

(4.4) 
$$\wedge^{k} T_{\mathbb{C}} = \bigoplus_{p+q=k} \wedge^{p,q} T$$
, where  $\wedge^{p,q} T = (\wedge^{p} T^{1,0}) \otimes (\wedge^{q} T^{0,1})$ 

Note that  $\overline{\bigwedge^{p,q}T} = \bigwedge^{p,q}T$  and (4.4) is a pure Hodge decomposition of weight k.

Fix an inner-product  $\langle \cdot, \cdot \rangle$  on T that is compatible with the complex structure; that is,

$$\langle u, v \rangle = \langle J(u), J(v) \rangle, \quad \forall u, v \in T.$$

Given an orthonormal basis  $\{e_1, \ldots, e_{2n}\}$  of T, we specify an inner-product on  $\bigwedge^k T$  by declaring  $\{e_{i_1} \land \ldots \land e_{i_k} \mid 1 \leq i_1 < \cdots < i_k \leq 2n\}$  to be an orthonormal basis.

*Exercise* 4.5. Show that you can choose the basis in Exercise 4.2(c) so that  $\{x_a, y_a\}_{a=1}^n$  is also orthonormal.

### 4.2. Lefschetz operator.

Definition 4.6. The fundamental form is

$$\omega = \frac{\mathbf{i}}{2} \sum_{a} z_a \wedge \overline{z}_a = \sum_{a} x_a \wedge y_a \in (\bigwedge^2 T) \cap (\bigwedge^{1,1} T).$$

The inner product on T naturally induces one on  $T^*$ . If  $\{e_1, \ldots, e_{2m}\}$  is an orthonormal basis of T, then we declare the dual basis of  $T^*$  to be orthonormal as well. This inner product is also denoted  $\langle \cdot, \cdot \rangle$ .

*Exercise* 4.7. Show that  $\omega(u, v) = \langle J(u), v \rangle = -\langle u, J(v) \rangle$  for all  $u, v \in T^*$ . In particular,  $\omega$  is independent of our choice of basis.

Definition 4.8. The Lefschetz operator

$$M: \bigwedge^{\bullet} T \to \bigwedge^{\bullet} T$$

maps  $\alpha \mapsto \omega \wedge \alpha$ .

Observe that  $M(\bigwedge^k T) \subset \bigwedge^{k+2} T$ . And, extending M to a  $\mathbb{C}$ -linear operator  $\bigwedge^{\bullet} T_{\mathbb{C}} \to \bigwedge^{\bullet} T_{\mathbb{C}}$ , we have

(4.9) 
$$M(\wedge^{a,b}T) \subset \wedge^{a+1,b+1}T.$$

Definition 4.10. The dual Lefschetz operator

$$N: \bigwedge^{\bullet} T \to \bigwedge^{\bullet} T$$

is the adjoint to M; that is

$$\langle N\alpha,\beta\rangle = \langle \alpha,M\beta\rangle.$$

The dual Lefschetz operator may be expressed as

$$(4.11) N = *^{-1} \circ M \circ *,$$

where \* is the *Hodge* \*-operator. The latter is defined as follows. Set

$$vol = (x_1 \wedge y_1) \wedge \dots \wedge (x_n \wedge y_n) \in \bigwedge^{2n} T.$$

Define

$$*: \bigwedge^k T \to \bigwedge^{2n-k} T$$

by

$$\alpha \wedge *\beta = \langle \alpha, \beta \rangle vol$$
.

*Exercise* 4.12. (a) Given an oriented, orthonormal basis  $\{e_1, \ldots, e_{2n}\}$  of T and a disjoint union  $I \cup J = \{1, \ldots, 2m\}$  we have

$$*e_{i_1}\wedge\cdots\wedge e_{i_k} = \pm e_{j_1}\wedge\cdots\wedge e_{j_{2n-k}},$$

where  $\pm$  is the sign of the permutation  $(I, J) = (i_1, \ldots, i_k, j_1, \ldots, j_{2n-k}) \in \mathfrak{S}_{2n}$ , cf. Definition A.4.

- (b) Prove \*1 = vol.
- (c) Given  $\alpha \in \bigwedge^k T$ , show that  $*^2 \alpha = (-1)^{k(2n-k)} \alpha$ .
- (d) Given  $\alpha \in \bigwedge^{k} T$ , show that  $\langle \alpha, *\beta \rangle = (-1)^{k(2n-k)} \langle *\alpha, \beta \rangle$ . (This says the Hodge \* operator is self-adjoint up to a sign.)

*Exercise* 4.13 ( $\star$ ). (a) Verify (4.11).

- (b) Show that  $N(\bigwedge^{p,q}T) \subset \bigwedge^{p-1,q-1}T$ .
- 4.3. The  $\mathfrak{sl}(2)$ -triple. Define

$$Y: \bigwedge^{\bullet} T \to \bigwedge^{\bullet} T$$

by specifying that Y acts on  $\bigwedge^k T$  by (k-n) id; that is,  $\bigwedge^{\bullet} T = \bigoplus_k \bigwedge^k T$  is the Y-eigenspace decomposition, and the Y-eigenvalue of  $\bigwedge^k T$  is k-n.

*Exercise* 4.14  $(\star)$ . Prove that

(4.15) 
$$[Y, M] = 2M$$
 and  $[N, Y] = 2N$ .

### HODGE THEORY

To see that  $\{M, Y, N\}$  is an  $\mathfrak{sl}(2)$ -triple (§2) it remains to show that

$$[4.16) [M,N] = Y.$$

The trick is to reduce the proof of (4.16) to the case that dim T = 2. For this, the basic idea is that if  $T = T_1 \oplus T_2$  is orthogonal and preserved by the complex structure, then  $\omega = \omega_1 + \omega_2$  with  $\omega_i$  the fundamental form of  $T_i$ . Since  $\wedge^{\bullet} T = (\wedge^{\bullet} T_1) \otimes (\wedge^{\bullet} T_2)$  it follows that  $M = M_1 \otimes id + id \otimes M_2$ . See [Huy05, Prop. 1.2.26] for details.

*Exercise* 4.17. Verify (4.16) for dim T = 2.

Remark 4.18. It follows from (4.15) and (4.16) that  $\{M, Y, N\}$  is an  $\mathfrak{sl}(2)$ -triple; in particular,

$$V = \bigwedge^{\bullet} T$$
 is an  $\mathfrak{sl}(2, \mathbb{R})$ -representation.

While Theorem 2.11 and Exercises 2.20–2.21 are stated for an algebraically closed field; the same results hold here for the real representation. This is because:

- The complex structure  $J : T \to T$  gives T the structure of a complex vector space; scalar multiplication by  $a + \mathbf{i}b \in \mathbb{C}$  is defined to be  $(a + \mathbf{i}b)v = av + bJ(v)$  for all  $v \in T$ .
- The action of the triple  $\{M, Y, N\}$  on V commutes with the complex structure J; in particular the action is complex linear.

Remark 4.19. Recall the Y-eigenspace  $V_k$  of (2.15). Observe that  $V_k = \bigwedge^{n+k} T$ ; equivalently,

$$\bigwedge^{\ell} T = V_{\ell-n}$$

*Exercise* 4.20. Let  $I_d$  be the isotypic component of highest weight d in  $\bigwedge^{\bullet} T$ , cf. Definition 2.13. Prove that  $I_d = 0$  for all d > n. Equivalently,  $m_d = 0$  in (2.12) for all d > n.

Define

$$\wedge^{\text{even}}T = \oplus \wedge^{2k}T \text{ and } \wedge^{\text{odd}}T = \oplus \wedge^{2k+1}T.$$

*Exercise* 4.21. Prove that  $\bigwedge^{\text{even}} T$  and  $\bigwedge^{\text{odd}} T$  are both subrepresentations of  $\bigwedge^{\bullet} T$ , cf. Definition 2.7 and Exercise 2.18.

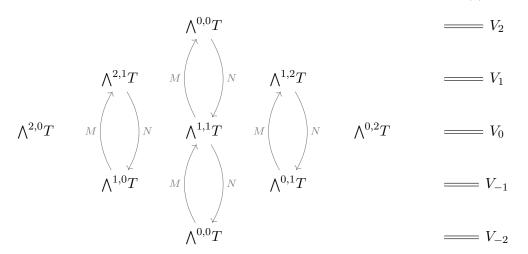
*Exercise* 4.22. (a) Fix  $0 \le k \le n$ . Prove that

$$U = \bigwedge^{n,k} T \oplus \bigwedge^{n-1,k-1} T \oplus \bigwedge^{n-2,k-2} T \oplus \dots \oplus \bigwedge^{n-k,0}$$

is a subrepresentation  $\wedge^{\bullet} T_{\mathbb{C}}$ .

(b) Let  $I_d$  be the isotypic component of highest weight d in U, cf. Definition 2.13. Prove that  $I_d = 0$  for all d > k; equivalently,  $m_d = 0$  for all d > k.

*Example* 4.23. In the case that n=2 we may visualize the representation on  $\bigwedge^{\bullet} T$  as



Note that the vertical subrepresentations are always center about the middle row  $V_0$  (Exercise 2.5(b)).

*Exercise* 4.24 ( $\star$ ). Recall the primitive space (2.22).

- (a) Show that  $P_d \subset \bigwedge^{n-d} T$ .
- (b) Given p + q = n d, define  $P^{p,q} = P_{d,\mathbb{C}} \cap \bigwedge^{p,q} T$ . Use (4.9) to show that

$$(4.25) P_{d,\mathbb{C}} = \bigoplus_{p+q=n-d} P^{p,q} .$$

(c) Prove that (4.25) is a pure Hodge decomposition of weight w = n - d.

(d) Show that

$$\bigwedge^{p,q} T = \bigoplus M^k(P^{p-k,q-k})$$

4.4. The Hodge–Riemann bilinear relations. Extend the inner-product  $\langle \cdot, \cdot \rangle$  on  $V = \bigwedge^{\bullet} T$  to a Hermitian form on the complexification  $V_{\mathbb{C}} = \bigwedge^{\bullet} T_{\mathbb{C}}$  by specifying

$$\langle \lambda u, \mu v \rangle = \lambda \bar{\mu} \langle u, v \rangle,$$

for all  $\lambda, \mu \in \mathbb{C}$  and  $u, v \in \bigwedge^{\bullet} T$ .

Example 4.26. Recall the basis  $\{z_a\}_{a=1}^n \subset T^{1,0}$  of Exercise 4.2. We have  $\langle z_a, z_b \rangle = \langle \overline{z}_a, \overline{z}_b \rangle = 2\delta_{\alpha\beta}$  and  $\langle z_a, \overline{z}_b \rangle = 0$ .

Extend the Hodge \* operator to a  $\mathbb{C}$ -linear  $\wedge^{\bullet} T_{\mathbb{C}} \to \wedge^{\bullet} T_{\mathbb{C}}$ .

*Exercise* 4.27. (a) Given  $\alpha, \beta \in \bigwedge^{\bullet} T_{\mathbb{C}}$ , verify that  $\alpha \wedge *\overline{\beta} = \langle \alpha, \beta \rangle vol$ . (b) Show that  $*(\bigwedge^{p,q} T) \subset \bigwedge^{n-q,n-p} T$ . (c) Show that the Hodge decomposition  $\bigwedge^{\bullet} T_{\mathbb{C}} = \bigoplus \bigwedge^{p,q} T$  is orthogonal with respect to the Hermitian pairing.

Definition 4.28. Given  $k \leq n$ , the Hodge-Riemann pairing

$$Q_k : \bigwedge^k T \times \bigwedge^k T \to \mathbb{R}$$

is given by

$$Q_k(\alpha,\beta)vol = (-1)^{k(k-1)/2} \alpha \wedge \beta \wedge \omega^{n-k}.$$

We also let  $Q_k$  denote the extension to a  $\mathbb{C}$ -bilinear pairing on  $\bigwedge^k T_{\mathbb{C}}$ .

- *Exercise* 4.29 (\*). (a) Show that  $Q_k(\alpha, \beta) = (-1)^k Q_k(\beta, \alpha)$ . [*Hint.* Exercise A.11.]
- (b) Given p + q = k = r + s, show that  $Q_k(\bigwedge^{p,q} T, \bigwedge^{r,s} T) = 0$  if  $(r, s) \neq (q, p)$ .

In order to show that  $Q_k$  polarizes the weight k Hodge structure on  $P_{n-k} \subset \bigwedge^k T$  it remains to establish

(4.30) 
$$\mathbf{i}^{p-q} Q_k(\alpha, \overline{\alpha}) = (n-k)! \langle \alpha, \alpha \rangle$$

for all  $\alpha \in P^{p,q}$ . We will need the following fact

(4.31) 
$$*M^{n-k}\alpha = (-1)^{k(k+1)/2}(n-k)! \mathbf{i}^{p-q}\alpha,$$

cf. [Huy05, Prop. 1.2.31]; the argument is again by induction on dim T, but with dim<sub> $\mathbb{R}$ </sub>  $T_1 = 2$ . Define  $\beta \in \bigwedge^k T$  by  $*\overline{\beta} = M^{n-k}\overline{\alpha}$ . Then Exercise 4.12(c) and (4.31) yield

$$\beta = (-1)^{k+k(k+1)/2} (n-k)! \mathbf{i}^{p-q} \alpha.$$

The desired (4.30) follows from

$$Q_k(\alpha, \overline{\alpha}) = (-1)^{k(k-1)/2} \alpha \wedge (M^{n-k}\overline{\alpha})$$
  
=  $(-1)^{k(k-1)/2} \langle \alpha, \beta \rangle vol.$ 

4.5. The Kähler package (†). For the reader interested in Kähler geometry, what one has in the back of one's mind is that  $T = T_p^* X$  is the (real) cotangent space of a compact Kähler manifold X. Then  $T^{1,0}$  is the holomorphic cotangent space at p, and  $T^{0,1}$  is its conjugate. The inner product  $\langle \cdot, \cdot \rangle$  is dual to the Riemannian metric on  $T_p X$ , and the fundamental form  $\omega$  is the Kähler form at p. One obtains the Hard Lefschetz Theorem and Hodge–Riemann bilinear relations for the cohomology  $H^{\bullet}(X)$  as follows:

(i) Let  $\mathcal{A}^k(X)$  denote the space of smooth, complex-valued k forms; and the  $\mathcal{A}^{p,q}(X)$  the space of smooth (p,q)-forms. The Kähler form defines the Lefschetz operator M:  $\mathcal{A}^{p,q}(X) \to \mathcal{A}^{p+1,q+1}(X)$  point-wise on X. The Hodge \* operator  $\mathcal{A}^k(X) \to \mathcal{A}^{2n-k}(X)$  is likewise defined point-wise.

- (ii) The Hodge decomposition theorem asserts that  $H^k(X, \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(X)$ , and that each cohomology class in  $H^{p,q}(X)$  can be uniquely represented by a harmonic (p,q)form  $\alpha \in \mathcal{A}^{p,q}(X)$ .
- (iii) The "Kähler identities" assert that the Laplacian commutes with \* and the  $\mathfrak{sl}(2)$ -triple  $\{M, Y, N\}$ . This means that they descend to well-defined operators on  $H^{\bullet}(X, \mathbb{R})$ . This gives  $H^{\bullet}(X, \mathbb{R})$  the structure of an  $\mathfrak{sl}(2)$ -representation, and  $H^{\bullet}(X, \mathbb{R})$  inherits the Lefschetz decomposition (Hard Lefschetz theorem).
- (iv) One obtains a polarization on the primitive cohomology

$$H^k_{\text{prim}}(X,\mathbb{R}) = \ker \{N : H^k(X,\mathbb{R}) \to H^{k-2}(X,\mathbb{R})\} \subset H^k(X,\mathbb{R})$$

by integrating  $Q_k$  over X (Hodge–Riemann bilinear relations). For details, see [Huy05, Chap. 3].

### APPENDIX A. EXTERIOR ALGEBRA

Let T be a real, finite dimensional vector space.

Definition A.1. A k-tensor is a k-linear map

$$\tau: T^* \times \cdots \times T^* \to \mathbb{R}.$$

Let  $T^{\otimes k}$  denote the vector space of k-tensors.

*Example* A.2. One-tensors are just linear maps  $T^* \to \mathbb{R}$ . Two-tensors are bilinear forms. For example, an inner product on  $T^*$  is a 2-tensor.

Given  $v_1, \ldots, v_k \in T$ , let  $v_1 \otimes \cdots \otimes v_k$  denote the k-tensor defined by

$$v_1 \otimes \cdots \otimes v_k(\mu_1, \ldots, \mu_k) = \mu_1(v_1) \cdots \mu_k(v_k), \quad \forall \ \mu_i \in T^*.$$

*Exercise* A.3. If  $\{\varepsilon_1, \ldots, \varepsilon_n\}$  is a basis of T, then  $\{\varepsilon_{i_i} \otimes \cdots \otimes \varepsilon_{i_k}\}$  is a basis of  $T^{\otimes k}$ .

Definition A.4. Let  $\mathfrak{S}_k$  be the permutation group on k-letters. Fix a basis  $\{e_1, \ldots, e_k\}$  of  $\mathbb{R}^k$ . Given  $\sigma \in \mathfrak{S}_k$  we may define a linear map  $\mathbb{R}^k \to \mathbb{R}^k$  by specifying  $e_i \mapsto e_{\sigma(i)}$ . The sign of  $\sigma$  is the determinant of this linear map, and is denoted  $(-1)^{\sigma}$ .

Definition A.5. A k-tensor  $\tau$  is alternating if

$$\tau(\mu_{\sigma(1)},\ldots,\mu_{\sigma(k)}) = (-1)^{\sigma}\tau(\mu_1,\ldots,\mu_k),$$

for all  $\mu_i \in T^*$  and  $\sigma \in \mathfrak{S}_k$ . Let  $\bigwedge^k T \subset T^{\otimes k}$  denote the vector subspace of alternating k-tensors.

*Example* A.6. Every 1-tensor is (trivially) alternating:  $T = \bigwedge^1 T$ . The alternating 2-tensors are the skew-symmetric forms:  $\tau(\mu_1, \mu_2) = -\tau(\mu_2, \mu_1)$ .

*Example* A.7. Let  $\times$  denote the cross-product on  $\mathbb{R}^3$ , and fix  $v \in \mathbb{R}^3$ . Then

$$\pi_v(u_1,u_2) = (u_1 imes u_2) \cdot v$$

is an alternating 2-tensor on  $T = (\mathbb{R}^3)^*$ .

*Example* A.8. If we regard elements  $x = (x^i) \in \mathbb{R}^n$  as column vectors, then a choice of *n*-elements  $x_1, \ldots, x_n \in \mathbb{R}^n$  determines an  $n \times n$  matrix  $(x_i^i)$ , and

$$\delta(x_1, \dots, x_n) = \det(x_j^i) = \sum_{\sigma \in \mathfrak{S}_n} (-1)^{\sigma} x_{\sigma(j)}^i$$

is an alternating n-tensor.

Definition A.9. Given  $v_1, \ldots, v_k \in T$ , let  $v_1 \wedge \cdots \wedge v_k$  be the k-tensor defined by

$$v_1 \wedge \dots \wedge v_k = \frac{1}{k!} \sum_{\sigma \in \mathfrak{S}_k} (-1)^{\sigma} v_{\sigma}(1) \otimes \dots \otimes v_{\sigma(k)}$$

*Exercise* A.10. Prove that  $\{\varepsilon_{i_i} \land \cdots \land \varepsilon_{i_k} \mid 1 \leq i_1 < \cdots < i_k \leq \dim T\}$  is a basis of  $\bigwedge^k T$ .

*Exercise* A.11. Given  $\alpha \in \bigwedge^k T$  and  $\beta \in \bigwedge^\ell T$ , prove that

$$\alpha \wedge \beta = (-1)^{k\ell} \beta \wedge \alpha \, .$$

Definition A.12. We call  $\bigwedge^k T$  the *k*-the exterior power of *T*. By convention  $\bigwedge^0 T = \mathbb{R}$ . We call  $\bigwedge^{\bullet} T = \bigoplus_k \bigwedge^k T$  the exterior algebra.

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